

AUTOMORPHISMS OF $\mathcal{P}(\lambda)/\mathcal{I}_\kappa$

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ABSTRACT. We study conditions on automorphisms of Boolean algebras of the form $\mathcal{P}(\lambda)/\mathcal{I}_\kappa$ (where λ is an uncountable cardinal and \mathcal{I}_κ is the ideal of sets of cardinality less than κ) which allow one to conclude that a given automorphism is trivial. We show (among other things) that every cardinality-preserving automorphism of $\mathcal{P}(2^\kappa)/\mathcal{I}_{\kappa^+}$ which is trivial on all sets of cardinality κ^+ is trivial, and that MA_{\aleph_1} implies that every automorphism of $\mathcal{P}(\mathbb{R})/\text{Fin}$ is trivial on a cocountable set.

1. INTRODUCTION

Given a set X and an ideal \mathcal{I} on X , an automorphism of $\mathcal{P}(X)/\mathcal{I}$ is said to be trivial if it is induced by a bijection between sets in $\mathcal{P}(X) \setminus \mathcal{I}$.¹ In 1956, Walter Rudin [12] showed that if the Continuum Hypothesis holds, then the set of nontrivial automorphisms of $\mathcal{P}(\omega)/\text{Fin}$ has cardinality 2^{\aleph_1} . Around 1980, Saharon Shelah [13] showed that consistently all automorphisms of $\mathcal{P}(\omega)/\text{Fin}$ are trivial. Boban Velićković [16] later proved from $\text{OCA} + \text{MA}_{\aleph_1}$, a weak fragment of the Proper Forcing Axiom, that all automorphisms of $\mathcal{P}(\lambda)/\text{Fin}$ are trivial, for all infinite cardinals λ . In the same paper, Velićković showed that the existence of nontrivial automorphisms of $\mathcal{P}(\omega)/\text{Fin}$ is consistent with MA_{\aleph_1} .

The possibilities for automorphisms of structures of the form $\mathcal{P}(\lambda)/I$, for λ an uncountable cardinal and I an ideal containing Fin , seem to be much less understood than the case $\lambda = \omega$. For instance, it appears to be unknown whether ZFC proves that every automorphism of $\mathcal{P}(\lambda)/\text{Fin}$ is trivial off of a countable subset of λ . Shelah and Steprāns [14] have recently shown, however, that for every λ below the least strongly inaccessible cardinal, every automorphism of $\mathcal{P}(\lambda)/\text{Fin}$ is trivial off of a subset of λ of cardinality 2^{\aleph_0} .

Many questions about automorphisms of $\mathcal{P}(\omega_1)/\text{Fin}$ are closely related to the question (due to Marian Turzanski, and often called the Katowice Problem) of whether the Boolean algebras $\mathcal{P}(\omega)/\text{Fin}$ and

The research of the first author is supported in part by NSF Grant DMS-1201494.

¹See Definition 2.1 below for a more precise formulation.

$\mathcal{P}(\omega_1)/\mathbf{Fin}$ can be isomorphic. There exists such an isomorphism if and only if there is an automorphism of $\mathcal{P}(\omega_1)/\mathbf{Fin}$ which maps the equivalence class of some infinite set to the equivalence class of an infinite set of a different cardinality (analogous possibilities exist at higher cardinals). We call automorphisms where this does not happen cardinality-preserving.²

In this paper we consider the ideals $\mathcal{I}_\kappa = \{X \mid |X| < \kappa\}$, for infinite cardinals κ . We prove (Theorem 3.4) that a cardinality-preserving automorphism of $\mathcal{P}(2^\kappa)/\mathcal{I}_{\kappa^+}$ (for any infinite cardinal κ) which is trivial on all sets of cardinality κ^+ is trivial. Assuming a weak fragment of Martin's Axiom, we prove (Theorem 4.4) the analogous result for automorphisms of $\mathcal{P}(\mathbb{R})/\mathbf{Fin}$ which are trivial on all countable sets. Assuming another fragment of Martin's Axiom, we show (see Corollary 5.8 and Remark 5.10) that every automorphism of $\mathcal{P}(\mathbb{R})/\mathbf{Ctble}$ is trivial, and thus every automorphism of $\mathcal{P}(\mathbb{R})/\mathbf{Fin}$ is trivial off of a countable set.

In Section 2 we prove several lemmas in a general setting that will be useful for work in later sections. In Section 3 we discuss almost-trivial automorphisms, and prove Theorem 3.4 (mentioned above). In Section 4 we introduce a weak fragment of Martin's Axiom, and use it to prove Theorem 4.4. Section 5 develops a necessary and sufficient condition for when an isomorphism between two countable, atomless subalgebras of $\mathcal{P}(\lambda)/\mathcal{I}_\kappa$ can extend to a trivial automorphism, when κ has uncountable cofinality. We use this to study automorphisms of $\mathcal{P}(\omega_1)/\mathbf{Ctble}$ when an uncountable Q -set exists. In Section 6, we give some conditions on automorphisms which imply the existence of fixed points. In Section 7 we develop a connection between ladder systems and non-fixed points of automorphisms of $\mathcal{P}(\lambda)/\mathcal{I}_\kappa$, showing in particular that if there is a cardinality-preserving (see Definition 2.7) automorphism of $\mathcal{P}(\omega_1)/\mathbf{Fin}$ whose set of ordinal fixed points is nonstationary, then $2^{\aleph_0} = 2^{\aleph_1}$. Finally, Section 8 contains a list of open questions.

1.1. Notation. We write $A \sim_\kappa B$ to indicate $|A \triangle B| < \kappa$. Given $\sigma \in 2^{<\omega}$, we write N_σ for the set $\{x \in 2^\omega \mid \sigma \subset x\}$. Given a set X and a cardinal κ , we write \mathcal{I}_κ^X for the ideal of subsets of X of cardinality less than κ . When there is no chance of confusion, we will drop the X and just write \mathcal{I}_κ . We write \mathbf{Fin} for \mathcal{I}_{\aleph_0} and \mathbf{Ctble} for \mathcal{I}_{\aleph_1} . If \mathcal{I} is an ideal on a set X and $A \subseteq X$, we write $[A]_\mathcal{I}$ for the equivalence class of A in $\mathcal{P}(X)/\mathcal{I}$. When there is no chance of confusion, we will simply

²See Definition 2.7 for a more precise formulation.

write $[X]$ instead. We write $[X]_{\mathcal{I}} \leq [Y]_{\mathcal{I}}$ to mean that $X \setminus Y \in \mathcal{I}$ and $[X]_{\mathcal{I}} < [Y]_{\mathcal{I}}$ to mean that $[X]_{\mathcal{I}} \leq [Y]_{\mathcal{I}}$ and $[X]_{\mathcal{I}} \neq [Y]_{\mathcal{I}}$.

2. PRELIMINARIES

Definition 2.1. Suppose that \mathcal{I} and \mathcal{J} are ideals on sets X and Y respectively. A homomorphism $\pi : \mathcal{P}(X)/\mathcal{I} \rightarrow \mathcal{P}(Y)/\mathcal{J}$ is *trivial* if there is a function $f : Y \rightarrow X$ such that $\pi([A]_{\mathcal{I}}) = [f^{-1}(A)]_{\mathcal{J}}$ for all $A \subseteq X$. Similarly, if $Z \subseteq X$ then we say that π is *trivial on Z* if there is a function $f : Y \rightarrow Z$ such that $\pi([A]_{\mathcal{I}}) = [f^{-1}(A)]_{\mathcal{J}}$ for all $A \subseteq Z$.

In the above definition, we use inverse images to describe trivial homomorphisms because these are guaranteed to preserve the Boolean operations. The following lemma shows that we can often work with forward images instead.

Lemma 2.2. *Let X and Y be sets, let κ be an infinite cardinal, and suppose that $f : Y \rightarrow X$ witnesses that $\pi : \mathcal{P}(X)/\mathcal{I}_\kappa \rightarrow \mathcal{P}(Y)/\mathcal{I}_\kappa$ is a trivial isomorphism. Then there are sets $E \subseteq X$ and $F \subseteq Y$ with $X \setminus E \in \mathcal{I}_\kappa^X$ and $Y \setminus F \in \mathcal{I}_\kappa^Y$, such that f restricts to a bijection from F to E .*

Proof. Suppose that $A = X \setminus \text{ran } f$ has cardinality $\geq \kappa$. Then $[A]$ is nonzero, but $\pi([A]) = [f^{-1}(A)]$ is zero, contradiction. Now suppose that there is a set $A \subseteq X$ such that $|f^{-1}(a)| \geq 2$ for all $a \in A$, and $|A| \geq \kappa$. Then $f^{-1}(A)$ has cardinality $\geq \kappa$. Let $f^{-1}(A) = B \cup C$ be a partition such that $f''(B) = f''(C) = A$ and $|B|, |C| \geq \kappa$. Then there is no D such that $f^{-1}(D) \sim_\kappa B$, a contradiction of the fact that $[B]$ is in the range of π . \square

Definition 2.3. A Boolean algebra \mathcal{B} is *$< \kappa$ -complete* if every subset \mathcal{A} of \mathcal{B} with cardinality $< \kappa$ has a least upper bound.

Remark 2.4. The Boolean algebra $\mathcal{P}(X)/\mathcal{I}_\kappa$ is $< \text{cf } \kappa$ -complete. In particular, if \mathcal{A} is a family of subsets of X , and $|\mathcal{A}| < \text{cf } \kappa$, then $[\bigcup \mathcal{A}]$ is a least upper bound for the set $\{[A] \mid A \in \mathcal{A}\}$.

It is a well-known open question (asked by Marian Turzanski) whether the Boolean algebras $\mathcal{P}(\omega_1)/\text{Fin}$ and $\mathcal{P}(\omega)/\text{Fin}$ can consistently be isomorphic. In many of the arguments in this paper, we must allow for the possibility that such an isomorphism exists (as well as analogous isomorphisms at other cardinals). We record here some facts that we use to deal with this possibility. The following theorem was proved by Balcar and Frankiewicz [1] in the case $\lambda = \omega$ and $\mu = \omega_1$; their proof gives the general version below.

Theorem 2.5. (*Balcar, Frankiewicz*) Suppose $\kappa \leq \lambda < \mu$, and κ is regular. If $\mathcal{P}(\lambda)/\mathcal{I}_\kappa$ and $\mathcal{P}(\mu)/\mathcal{I}_\kappa$ are isomorphic, then $\lambda = \kappa$ and $\mu = \kappa^+$.

We will also make use of the following fact, where \mathfrak{d} is the minimal cardinality of a set X , consisting of functions from ω to ω , such that every such function is dominated everywhere by a member of X .

Theorem 2.6. (*Balcar, Frankiewicz*) If $\mathcal{P}(\omega)/\mathbf{Fin}$ and $\mathcal{P}(\omega_1)/\mathbf{Fin}$ are isomorphic, then $\mathfrak{d} = \omega_1$.

Finally, we make the following definition.

Definition 2.7. A homomorphism $\pi : \mathcal{P}(X)/\mathcal{I} \rightarrow \mathcal{P}(Y)/\mathcal{J}$ is *cardinality-preserving* if for every $A \subseteq X$, there is some $B \subseteq Y$ such that $|A| = |B|$ and $\pi([A]) = [B]$.

Remark 2.8. An isomorphism $\pi : \mathcal{P}(X)/\mathcal{I}_\kappa \rightarrow \mathcal{P}(Y)/\mathcal{I}_\kappa$ is cardinality-preserving if and only if for all $A \subseteq X$, and $B \subseteq Y$, if $|A|, |B| \geq \kappa$ and $\pi([A]) = [B]$ then $|A| = |B|$. By Theorem 2.5, for any pair of infinite cardinals $\kappa < \lambda$, there exists an automorphism of $\mathcal{P}(\lambda)/\mathcal{I}_\kappa$ which is not cardinality-preserving if and only if there is an isomorphism between $\mathcal{P}(\kappa)/\mathcal{I}_\kappa$ and $\mathcal{P}(\kappa^+)/\mathcal{I}_\kappa$.

In our first application of the notion of cardinality-preservation, we show that it allows one to lift automorphisms on Boolean algebras of the form $\mathcal{P}(\lambda)/\mathcal{I}_\kappa$ to ones of the form $\mathcal{P}(\lambda)/\mathcal{I}_\mu$, when $\kappa \leq \mu \leq \lambda$.

Definition 2.9. Suppose that \mathcal{I} and \mathcal{J} are ideals on sets X and Y respectively, and that $\pi : \mathcal{P}(X)/\mathcal{I} \rightarrow \mathcal{P}(Y)/\mathcal{J}$ is a function. A *selector* for π is a map $\pi^* : \mathcal{P}(X) \rightarrow \mathcal{P}(Y)$ such that $\pi([A]) = [\pi^*(A)]$ for all $A \subseteq X$.

Lemma 2.10. Let $\kappa \leq \mu \leq \lambda$ be infinite cardinals, and let π be an automorphism of $\mathcal{P}(\lambda)/\mathcal{I}_\kappa$. Suppose that at least one of the following holds:

- π is cardinality-preserving;
- $\mu > \kappa^+$ and κ is regular.

Then π induces an automorphism π_μ of $\mathcal{P}(\lambda)/\mathcal{I}_\mu$. In particular, if \mathcal{A} is a family of subsets of λ , $|\mathcal{A}| < \text{cf } \mu$, and π^* is a selector for π , then

$$\pi^*\left(\bigcup \mathcal{A}\right) \sim_\mu \bigcup \{\pi^*(A) \mid A \in \mathcal{A}\}$$

Proof. Our assumptions on π (using Theorem 2.5 in the case where $\mu > \kappa^+$ and κ is regular) imply that π takes the subalgebra $\mathcal{I}_\mu/\mathcal{I}_\kappa$ into itself. It follows that if π^* is a selector for π , then the map

$$\pi_\mu([A]_\mu) = [\pi^*(A)]_\mu$$

is well-defined and an automorphism of $\mathcal{P}(\lambda)/\mathcal{I}_\mu$. The rest follows from the $< \text{cf } \mu$ -completeness of the Boolean algebra $\mathcal{P}(\lambda)/\mathcal{I}_\mu$. \square

The function π_μ from the proof of Lemma 2.10 clearly does not depend on the choice of π^* . We make the following definition, which will be used in Section 3.

Definition 2.11. Let $\kappa \leq \mu \leq \lambda$ be infinite cardinals, and let π be an automorphism of $\mathcal{P}(\lambda)/\mathcal{I}_\kappa$. We let π_μ be the function on $\mathcal{P}(\lambda)/\mathcal{I}_\mu$ defined by setting $\pi_\mu([A]_\mu) = [\pi^*(A)]_\mu$, for each $A \subseteq \lambda$ and any selector π^* for π .

Lemma 2.12 shows that every automorphism of a Boolean algebra of the form $\mathcal{P}(\lambda)/\mathcal{I}_\kappa$ is determined by how it acts on sets of cardinality κ .

Lemma 2.12. Let $\kappa \leq \lambda$ and let π and ρ be automorphisms of $\mathcal{P}(\lambda)/\mathcal{I}_\kappa$. Then if $\pi \neq \rho$, there is some $X \subseteq \lambda$ of cardinality κ such that $\pi([X]) \neq \rho([X])$. Moreover, for each $X \subseteq \lambda$ such that $\pi([X]) \not\leq \rho([X])$, there exists $Y \in [X]^\kappa$ such that $\pi([Y]) \not\leq \rho([Y])$.

Proof. By composing with ρ^{-1} , we may assume that $\rho = \text{id}$. Fix a bijective selector π^* for π , and choose $X \subseteq \lambda$ such that $\pi([X]) \neq [X]$. Without loss of generality, by choosing between X and its complement we may assume $\pi^*(X) \setminus X$ has cardinality $\geq \kappa$. Let

$$W = (\pi^*)^{-1}(\pi^*(X) \setminus X).$$

Then $|W| \geq \kappa$ and $|W \setminus X| < \kappa$. Let Y be a subset of $W \cap X$ of cardinality κ . Then $\pi^*(Y)$ has cardinality at least κ , and

$$|\pi^*(Y) \setminus (\pi^*(X) \setminus X)| < \kappa.$$

It follows that $|\pi^*(Y) \setminus Y| \geq \kappa$, so $\pi([Y]) \not\leq [Y]$. \square

3. ALMOST-TRIVIAL AUTOMORPHISMS OF $\mathcal{P}(\lambda)/\mathcal{I}_\kappa$

Definition 3.1. Given an automorphism π of $\mathcal{P}(\lambda)/\mathcal{I}_\kappa$, we define $\mathcal{T}(\pi)$ to be the ideal of subsets A of λ such that π is trivial on A . We let $\mathcal{A}_\mu(\pi)$ be the ideal generated by $\mathcal{T}(\pi)$ and \mathcal{I}_μ .

If $A \in \mathcal{A}_\mu(\pi)$, then we say π is μ -almost trivial on A . If π is μ -almost trivial on λ , we just say that π is μ -almost trivial. If π is κ^+ -almost trivial on a set A , then we just say that π is almost trivial on A .

In Lemma 3.2 we show that if two automorphisms lift to the same automorphism, then they are the same off of a small set.

Lemma 3.2. *Suppose that $\kappa < \mu \leq \lambda$ are infinite cardinals, with κ and μ regular, and let π and ρ be automorphisms of $\mathcal{P}(\lambda)/\mathcal{I}_\kappa$. Suppose that either $\mu > \kappa^+$ or that both π and ρ are cardinality-preserving. If $\pi_\mu = \rho_\mu$ then there is some $A \in \mathcal{I}_\mu$ such that for all $X \subseteq \lambda \setminus A$, $\pi([X]) = \rho([X])$.*

Proof. By composing with ρ^{-1} , we may assume that $\rho = \text{id}$. Let π^* be a bijective selector for π . Our assumptions on π imply that π is a permutation of \mathcal{I}_μ . Suppose that the conclusion of the lemma fails, so that for every $A \in \mathcal{I}_\mu$ there is some $X \subseteq \lambda$ disjoint from A with $\pi([X]) \neq [X]$. We will show that π_μ is not the identity.

Fix some $A \in \mathcal{I}_\mu$. By our assumption, there is some X disjoint from $A \cup \pi^*(A) \cup (\pi^*)^{-1}(A)$ with $\pi^*(X) \not\sim_\kappa X$. By choosing between X and $\lambda \setminus (A \cup X)$, we may assume that $|\pi^*(X) \setminus X| \geq \kappa$. By Lemma 2.12, we may also assume that X has cardinality κ . Applying this observation repeatedly, we may construct sets A_α ($\alpha < \mu$) in \mathcal{I}_μ , and sets X_α ($\alpha < \mu$) of cardinality κ , such that

- for all $\alpha < \mu$,
 - $X_\alpha \cap (A_\alpha \cup \pi^*(A) \cup (\pi^*)^{-1}(A)) = \emptyset$,
 - $|\pi^*(X_\alpha) \setminus X_\alpha| \geq \kappa$,
 - $A_\alpha \cup X_\alpha \cup \pi^*(A_\alpha) \cup (\pi^*)^{-1}(A_\alpha) \cup \pi^*(X_\alpha) \subseteq A_{\alpha+1}$,
- for all limit $\alpha < \mu$, $A_\alpha = \bigcup \{A_\beta \mid \beta < \alpha\}$.

For each $\alpha < \mu$, $|\pi^*(X_\alpha) \cap A_\alpha| < \kappa$, so $|\pi^*(X_\alpha) \setminus (A_{\alpha+1} \setminus A_\alpha)| < \kappa$. Let $X = \bigcup \{X_\alpha \mid \alpha < \mu\}$. Since, for all $\alpha < \mu$, we have

$$\begin{aligned} |(\pi^*(X_\alpha) \setminus X_\alpha) \cap (A_{\alpha+1} \setminus A_\alpha)| &\geq \kappa \\ |\pi^*(X_\alpha) \setminus \pi^*(X)| &< \kappa \\ X \cap (A_{\alpha+1} \setminus A_\alpha) &= X_\alpha \end{aligned}$$

it follows that $|\pi^*(X) \setminus X| \cap (A_{\alpha+1} \setminus A_\alpha) \geq \kappa$ for every $\alpha < \mu$. Then $|\pi^*(X) \setminus X| \geq \mu$. This completes the proof. \square

By applying Lemma 3.2 in the case where π_μ (recall Definition 2.11) is trivial, we obtain the following immediate corollary;

Theorem 3.3. *Suppose that $\kappa < \mu \leq \lambda$ are infinite cardinals, with κ and μ regular, and let π be an automorphism of $\mathcal{P}(\lambda)/\mathcal{I}_\kappa$. Suppose that either π is cardinality-preserving or $\mu > \kappa^+$. If π_μ is trivial, then π is μ -almost trivial.*

Theorem 3.4 is one of the main results of the paper. The strategy used in its proof is reused in Section 4.

Theorem 3.4. *Suppose that π is an automorphism of $\mathcal{P}(2^\kappa)/\mathcal{I}_{\kappa^+}$, and that π is trivial on every set of cardinality κ^+ . Then π is trivial.*

Proof. Let π^* be a bijective selector for π , and for each $A \subseteq 2^\kappa$ of cardinality κ^+ , choose a function $f_A : A \rightarrow \pi^*(A)$ such that for all $B \subseteq A$, $\pi^*(B) \sim_{\kappa^+} f_A''(B)$. By Lemma 2.2, each f_A is injective off of a subset of A of cardinality at most κ^+ .

Let $\langle x_\alpha \mid \alpha < 2^\kappa \rangle$ be an enumeration of $\mathcal{P}(\kappa)$. For each $\beta < \kappa$, let $R_\beta = \{\alpha < 2^\kappa \mid \beta \in x_\alpha\}$ and let $T_\beta = (\pi^*)^{-1}(R_\beta)$. For each $\gamma < 2^\kappa$, let $y_\gamma = \{\beta < \kappa \mid \gamma \in T_\beta\}$. Let $h : 2^\kappa \rightarrow 2^\kappa$ be such that for all $\gamma, \alpha < 2^\kappa$, if $y_\gamma = x_\alpha$, then $h(\gamma) = \alpha$.³

For each $\beta < \kappa$, and $A \in [2^\kappa]^{\kappa^+}$, let $G_{A,\beta}$ be the set of $\gamma \in A$ for which $\gamma \in T_\beta$ if and only if $f_A(\gamma) \in R_\beta$. Then, for each $\beta < \kappa$,

$$f_A^{-1}[R_\beta] \sim_{\kappa^+} (\pi^*)^{-1}(R_\beta \cap \pi^*(A)) \sim_{\kappa^+} (T_\beta \cap A),$$

which means that $|A \setminus G_{A,\beta}| \leq \kappa$. Furthermore, for any such A , and any $\gamma \in A$, $h(\gamma) = f_A(\gamma)$ if $y_\gamma = x_{f_A(\gamma)}$, i.e., if for all $\beta < \kappa$, $\gamma \in G_{A,\beta}$. It follows that for any such an A of cardinality κ^+ , $f_A \sim_{\kappa^+} (h \upharpoonright A)$.

It follows from this that

$$|\{\alpha < 2^\kappa \mid |h^{-1}[\{\alpha\}]| \neq 1\}| \leq \kappa,$$

so for some $B, C \in [2^\kappa]^{\leq \kappa}$, $h \upharpoonright (2^\kappa \setminus B)$ is a bijection between $(2^\kappa \setminus B)$ and $(2^\kappa \setminus C)$. Thus, the map $\rho([A]) = [h''(A)]$ defines a trivial automorphism of $\mathcal{P}(2^\kappa)/\mathcal{I}_{\kappa^+}$. By the above, we have $\pi([A]) = \rho([A])$ for all $A \subseteq 2^\kappa$ with cardinality $\leq \kappa^+$; hence, by Lemma 2.12, $\pi = \rho$. \square

Remark 3.5. Theorem 3.4 contradicts the remark at the end of [16] which claims that $\text{MA}_{\aleph_1} + \text{OCA}$ (which implies that $2^{\aleph_0} \geq \aleph_2$, and that all automorphisms of $\mathcal{P}(\omega_1)/\text{Fin}$ are trivial) does not imply that all automorphisms of $\mathcal{P}(\omega_2)/\text{Fin}$ are trivial. Combining Theorem 3.4 with the main result of [14], one gets that if all automorphisms of $\mathcal{P}(\omega_1)/\text{Fin}$ are trivial, then all automorphisms of $\mathcal{P}(\lambda)/\text{Fin}$ are trivial, for all λ below the least strongly inaccessible cardinal.

Remark 3.6. Theorems 3.3 and 3.4 show that if $\mu < \kappa$ are infinite cardinals and π is an automorphism of $\mathcal{P}(2^\kappa)/\mathcal{I}_\mu$ which is trivial on all sets of cardinality κ^+ , then π is trivial.

We finish this section with facts about $\mathcal{T}(\pi)$ which will be used in Section 4.

Lemma 3.7. *Suppose that $\kappa \leq \lambda$ are infinite cardinals, and that π is an automorphism of $\mathcal{P}(\lambda)/\mathcal{I}_\kappa$. Then $\mathcal{T}(\pi)$ is closed under unions of cardinality less than κ .*

³While it is not important for the current proof, we note (without any triviality condition on π) that $h''(A) \sim_{\kappa^+} \pi^*(A)$ for every A in the smallest κ -complete subalgebra of $\mathcal{P}(2^\kappa)$ containing \mathcal{I}_{κ^+} and the sets T_β ($\beta < \kappa$).

Proof. Fix a cardinal $\gamma < \text{cf } \kappa$, and let A_δ ($\delta < \gamma$) be sets in $\mathcal{T}(\pi)$. We may assume that each A_δ has cardinality $\geq \kappa$, and that the A_δ 's are pairwise disjoint. Applying Lemma 2.2 (and possibly removing a set of cardinality less than κ from each A_δ) let $f_\delta : A_\delta \rightarrow \lambda$ ($\delta < \gamma$) be injections such that for all $\delta < \gamma$ and $X \subseteq A_\delta$, $\pi([X]) = [f_\delta''(X)]$. Put $f = \bigcup \{f_\delta \mid \delta < \gamma\}$ and $A = \bigcup \{A_\delta \mid \delta < \gamma\}$. Fix a selector π^* of π , and let $X \subseteq A$. Since $\mathcal{P}(\lambda)/\mathcal{I}_\kappa$ is $< \text{cf } \kappa$ -complete and π is an automorphism, it follows that

$$\pi^*(X) \sim_\kappa \bigcup \{\pi^*(X \cap A_\delta) \mid \delta < \gamma\}.$$

Note also that

$$f''(X) = \bigcup \{f''(X \cap A_\delta) \mid \delta < \gamma\}.$$

Since $\pi^*(X \cap A_\delta) \sim_\kappa f_\delta''(X \cap A_\delta)$ for every $\delta < \gamma$, $\pi^*(X) \sim_\kappa f''(X)$. This shows that $A \in \mathcal{T}(\pi)$, as required. \square

Theorem 3.3 and Lemma 3.7 give the following.

Lemma 3.8. *Suppose that $\kappa < \lambda$ are infinite cardinals, and that π is a cardinality-preserving automorphism of $\mathcal{P}(\lambda)/\mathcal{I}_\kappa$. Then $\mathcal{A}_{\kappa^+}(\pi)$ is closed under unions of cardinality κ .*

4. AUTOMORPHISMS OF $\mathcal{P}(\mathbb{R})/\text{Fin}$

In this section we define a cardinal characteristic of the continuum - the Cofinal Selection Number - and use it to show that a certain fragment of MA_{\aleph_1} (a consequence of $\text{cov}(\mathcal{M}) > \aleph_1$, where $\text{cov}(\mathcal{M})$ denotes the covering number for the ideal of meager sets) implies that any automorphism of $\mathcal{P}(\mathbb{R})/\text{Fin}$ which is trivial on all countable sets is trivial. By Theorem 3.4, it suffices to prove this result with ω_1 in place of \mathbb{R} ; as this makes no essential difference in the proof, we work with \mathbb{R} . Veličković has shown [16] that MA_{\aleph_1} implies that any automorphism of $\mathcal{P}(\omega_1)/\text{Fin}$ which is trivial on all countable sets is trivial. His fragment of MA_{\aleph_1} is different, corresponding roughly to adding \aleph_1 many Cohen reals and then specializing an Aronszajn tree.

Definition 4.1. Given $\Gamma \subseteq \mathcal{P}(2^\omega)$, we let $\text{CSN}(\Gamma)$ be the smallest cardinality of a family $\mathcal{F} \subseteq (2^\omega)^\omega \times (2^\omega)^\omega$ such that

- (1) for every $(a, b) \in \mathcal{F}$, $\{a_n \mid n < \omega\} \cup \{b_n \mid n < \omega\}$ is dense in 2^ω ,
- (2) for all pairs $(a, b), (a', b')$ from \mathcal{F} , if $b \neq b'$, then

$$\{a_n \mid n < \omega\} \cap \{a'_n \mid n < \omega\} = \emptyset,$$

- (3) for every $(a, b) \in \mathcal{F}$ and $n < \omega$, $a_n \neq b_n$, and

(4) for every set $A \in \Gamma$, the set

$$\{(a, b) \in \mathcal{F} \mid \exists^\infty n < \omega \mid |A \cap \{a_n, b_n\}| = 1\}$$

has cardinality smaller than that of \mathcal{F} ,

if such a family \mathcal{F} exists. If no such family exists, we set $\text{CSN}(\Gamma) = (2^{\aleph_0})^+$.

It is not hard to see that $\text{CSN}(\mathcal{P}(2^\omega)) = (2^{\aleph_0})^+$ (condition (2) was included to make his the case).

Consider the poset \mathbb{Q} with conditions (σ, s) , where $\sigma \in 2^{<\omega}$ and s is a function mapping into 2, with domain $\{(\sigma \restriction n)^\frown \langle 1 - \sigma(n) \rangle \mid n < \text{dom } \sigma\}$. We define $(\sigma, s) \leq (\tau, t) \iff \sigma \supseteq \tau \wedge s \supseteq t$. It is easy to see that \mathbb{Q} is isomorphic to a dense subset of $\mathbb{C} \times \mathbb{C}$, where \mathbb{C} is Cohen forcing. Given $p = (\sigma, s) \in \mathbb{Q}$ we define

$$U_p = \bigcup \{N_\tau \mid \tau \in \text{dom } s \wedge s(\tau) = 1\}$$

and

$$V_p = \bigcup \{N_\tau \mid \tau \in \text{dom } s \wedge s(\tau) = 0\}$$

and, given $G \subseteq \mathbb{Q}$, we set

$$U_G = \bigcup \{U_p \mid p \in G\}$$

and

$$V_G = \bigcup \{V_p \mid p \in G\}.$$

Lemma 4.2. *Let $X = \{x_n \mid n < \omega\}$ and $Y = \{y_n \mid n < \omega\}$ be subsets of 2^ω such that $X \cup Y$ is dense and $x_n \neq y_n$ for all $n < \omega$. Then if G is \mathbb{Q} -generic, there are infinitely many $n < \omega$ such that U_G contains exactly one of x_n, y_n .*

Proof. Given $p \in \mathbb{Q}$ we let E_p be the set of $n \in \omega$ for which U_p and V_p each contain a member of $\{x_n, y_n\}$. We will show that for each $p \in \mathbb{Q}$, there exist $q \leq p$ and $n \notin E_p$ with $n \in E_q$. Let $p \in \mathbb{Q}$ be given. Since $X \cup Y$ is dense, there must be some n such that at least one of x_n or y_n is in $[\sigma_p]$. We consider the case $x_n \in [\sigma_p]$; the case $y_n \in [\sigma_p]$ can be handled similarly.

Suppose first that $y_n \notin [\sigma_p]$; then $y_n \supseteq \tau$ for some $\tau \in \text{dom } s_p$. Let σ_q be some extension of σ_p such that $x_n \not\supseteq \sigma_q$; say k is minimal such that $x_n(k) \neq \sigma_q(k)$. Let $\nu = (\sigma_q \restriction k)^\frown x_n(k)$. Define s_q so that $s_q(\nu) = 1 - s_p(\tau)$.

Now suppose $y_n \in [\sigma_p]$. Then we may find σ_q extending σ_p such that neither of x_n, y_n are in $[\sigma_q]$. Let k and ℓ be minimal such that $x_n(k) \neq \sigma_q(k)$ and $y_n(\ell) \neq \sigma_q(\ell)$; let

$$\tau = (\sigma_q \restriction k)^\frown x_n(k)$$

and

$$\nu = (\sigma_q \upharpoonright \ell)^\wedge y_n(\ell).$$

Define s_q so that $s_q(\tau) = 0$ and $s_q(\nu) = 1$. \square

Lemma 4.2, and the fact that \mathbb{Q} is isomorphic to a dense subset of $\mathbb{C} \times \mathbb{C}$, give the following.

Corollary 4.3. $\text{CSN}(\Sigma_1^0) \geq \text{cov}(\mathcal{M})$.

Theorem 4.4 is a variant of Theorem 3.4, restricted to the case $\kappa = \omega$. Theorem 4.4 assumes triviality on countable sets, instead of sets of cardinality \aleph_1 , as in Theorem 3.4, at the cost of assuming a weak fragment of Martin's Axiom.

Theorem 4.4. *Assume $\text{CSN}(\Delta_1^1) > \omega_1$, and let π be a cardinality-preserving automorphism of $\mathcal{P}(2^\omega)/\text{Fin}$ which is trivial on every countable subset of 2^ω . Then π is trivial.*

Proof. Suppose that π is nontrivial and let π^* be a bijective selector for π . We may choose π so that for all $\sigma, \tau \in 2^{<\omega}$, if $\sigma \subseteq \tau$ then $\pi^*(N_\sigma) \supseteq \pi^*(N_\tau)$, and if $\sigma \perp \tau$ then $\pi^*(N_\sigma) \cap \pi^*(N_\tau) = \emptyset$.

For each $x \in 2^\omega$ and $n < \omega$, there is a unique $\sigma \in 2^n$ such that $x \in \pi^*(N_\sigma)$. Moreover, these σ 's form a branch through 2^ω , which we will call $h(x)$. Thus $h : 2^\omega \rightarrow 2^\omega$ is a function satisfying $h^{-1}(N_\sigma) = \pi^*(N_\sigma)$ for each $\sigma \in 2^{<\omega}$. By Lemma 2.10, it follows that $h^{-1}(B) \triangle \pi^*(B)$ is countable, for every Borel set $B \subseteq 2^\omega$. In particular, h is countable-to-one.

Let Q be the set of $\sigma \in 2^{<\omega}$ such that π is nontrivial on N_σ .

Claim 4.5. Q is a perfect tree.

Proof. Clearly, every $\sigma \in Q$ has at least one extension in Q . Suppose that for some $\sigma \in Q$, there is exactly one $x \in [Q]$ which extends σ . Then π is trivial on N_τ for every $\tau \supseteq \sigma$ with $\tau \not\subseteq x$; hence by Lemma 3.8 (and our assumption that π is trivial on countable sets), π is trivial on their union, i.e. $N_\sigma \setminus \{x\}$. But then clearly π is trivial on N_σ . \square

For each countable $A \subseteq 2^\omega$ we may fix a function $f_A : \pi^*(A) \rightarrow A$ such that for all $X \subseteq A$, $\pi([X]) = [f_A^{-1}(X)]$.

Claim 4.6. Let $A \subseteq 2^\omega$ be countable. Then there is a countable set $B \subseteq 2^\omega$ with $B \supseteq A$, and an infinite set $X \subseteq B \setminus A$, such that $h''X \cup f_B''X$ is dense in $[Q]$, and for every $x \in X$, $h(x) \neq f_B(x)$.

Proof. Suppose otherwise. Then there is a countable $A^* \subseteq 2^\omega$ such that for every countable $B \supseteq A^*$, there is some $\sigma \in Q$ such that the set of $x \in B \setminus A^*$ with $h(x) \neq f_B(x)$ and $N_\sigma \cap \{h(x), f_B(x)\} \neq \emptyset$ is

finite. Pressing down, we may fix a $\sigma^* \in Q$ and a finite $F^* \subseteq 2^\omega$ such that for all B in some stationary subset of $[2^\omega]^\omega$, if $x \in B \setminus A^* \cup F^*$, then whenever one of $h(x), f_B(x)$ is in N_{σ^*} , we have $h(x) = f_B(x)$. In particular, if $x \in \pi^*(N_{\sigma^*}) = h^{-1}(N_{\sigma^*})$, then $h(x) \in N_{\sigma^*}$ and so $h(x) = f_B(x)$ as long as $x \in B \setminus (A^* \cup F^*)$. It follows that π is trivial on $\pi^*(N_{\sigma^*})$, a contradiction. \square

By applying Claim 4.6 repeatedly, we may find a \subseteq -increasing sequence $\langle A_\alpha : \alpha < \omega_1 \rangle$ consisting of countable subsets of 2^ω , and infinite sets $X_\alpha \subseteq A_{\alpha+1} \setminus A_\alpha$, such that for every $\alpha < \omega_1$, $h''X_\alpha \cup f''_{A_{\alpha+1}}X_\alpha$ is dense in $[Q]$, and $h(x) \neq f_{A_{\alpha+1}}(x)$ for every $x \in X_\alpha$. Since h is countable-to-one, we may thin the sequence if necessary so that the sets $h[X_\alpha]$ are disjoint for distinct α . Applying the assumption that $\text{CSN}(\Delta_1^1) > \omega_1$ (and possibly thinning our sequence again), we may find a Borel set $B \subseteq [Q]$ such that for every $\alpha < \omega_1$, there are infinitely many $x \in X_\alpha$ such that B contains exactly one of $h(x), f_{A_{\alpha+1}}(x)$. Hence,

$$(h^{-1}(B) \triangle f_{A_{\alpha+1}}^{-1}(B)) \cap (A_{\alpha+1} \setminus A_\alpha)$$

is infinite for every $\alpha < \omega_1$. Since $f_{A_{\alpha+1}}^{-1}(B) \triangle (\pi^*(B) \cap A_{\alpha+1})$ is finite for each α , it follows that $\pi^*(B) \triangle h^{-1}(B)$ is uncountable. This is a contradiction. \square

Remark 4.7. Since $\mathfrak{d} \geq \text{cov}(\mathcal{M})$, if we replace “ $\text{CSN}(\Delta_1^1) > \omega_1$ ” with “ $\text{cov}(\mathcal{M}) > \omega_1$ ” in Theorem 4.4, by Theorem 2.6 we can then remove the assumption that π is cardinality-preserving. On the other hand, it follows from Theorem 2.5 that if π and π^{-1} are both trivial on every countable subset of 2^ω , then π must be cardinality-preserving.

5. ISOMORPHISMS BETWEEN COUNTABLE SUBALGEBRAS

In [6, Theorem 3.1], Geschke showed that any isomorphism between countable subalgebras of $\mathcal{P}(\omega)/\mathbf{Fin}$ extends to a trivial automorphism, and in fact a trivial automorphism witnessed by a permutation of ω . In this section we find a necessary and sufficient condition for an isomorphism between two countable, atomless subalgebras of $\mathcal{P}(\lambda)/\mathcal{I}_\kappa$ to extend to a trivial automorphism, in the case where κ has uncountable cofinality. We then use our result to examine automorphisms of $\mathcal{P}(\omega_1)/\mathbf{Ctble}$ when a Q -set exists.

Recall that any two countable, atomless Boolean algebras are isomorphic. In particular, if \mathcal{A} is a countable, atomless Boolean algebra, then \mathcal{A} is isomorphic to the Boolean algebra of clopen subsets of 2^ω , and hence \mathcal{A} is generated by elements a_σ ($\sigma \in 2^{<\omega}$) such that $a_\sigma \wedge a_\tau = 0$ for $\sigma \perp \tau$, and $a_{\sigma \smallfrown 0} \vee a_{\sigma \smallfrown 1} = a_\sigma$. Note that in this case, if $\pi : \mathcal{A} \rightarrow \mathcal{B}$

is an isomorphism, then the elements $b_\sigma = \pi(a_\sigma)$ ($\sigma \in 2^{<\omega}$) generate \mathcal{B} , and satisfy the same relations.

Definition 5.1. If \mathcal{A} is a countable, atomless Boolean subalgebra of $\mathcal{P}(\lambda)/\mathcal{I}_\kappa$, then we say that a sequence $\bar{A} = \langle A_\sigma \mid \sigma \in 2^{<\omega} \rangle$ of subsets of λ is a *nice sequence of representatives* for \mathcal{A} if

- for every $\sigma \in 2^{<\omega}$, the sets $A_{\sigma \smallfrown 0}$ and $A_{\sigma \smallfrown 1}$ partition A_σ , and
- the sequence $[A_\sigma]$ ($\sigma \in 2^{<\omega}$) generates \mathcal{A} .

If \bar{A} is a nice sequence of representatives for \mathcal{A} , and $x \in 2^\omega$, then we set

$$A_x = \bigcap \{A_\sigma \mid \sigma \subset x\}$$

and

$$X(\bar{A}) = \{x \in 2^\omega \mid A_x \neq \emptyset\}$$

Note that if \bar{A} is a nice sequence of representatives for \mathcal{A} , then $[A_\emptyset]$ is the top element of \mathcal{A} , and

$$A_\emptyset = \bigcup \{A_x \mid x \in X(\bar{A})\}$$

The following lemma shows that this information serves as a sort of invariant for \mathcal{A} .

Lemma 5.2. *Suppose that \mathcal{A} is a countable, atomless Boolean subalgebra of $\mathcal{P}(\lambda)/\mathcal{I}_\kappa$, where $\text{cf } \kappa > \omega$, and that \bar{A} and \bar{B} are nice sequences of representatives for \mathcal{A} with $A_\sigma \sim_\kappa B_\sigma$ for each $\sigma \in 2^{<\omega}$. Then there is an $S \in \mathcal{I}_\kappa$ such that for every $x \in 2^\omega$, $A_x \setminus S = B_x \setminus S$. Moreover, $X(\bar{A}) \sim_\kappa X(\bar{B})$.*

Proof. Let $S = \bigcup \{A_\sigma \triangle B_\sigma \mid \sigma \in 2^{<\omega}\}$; then $|S| < \kappa$, and for every $x \in 2^\omega$, $A_x \setminus S = B_x \setminus S$. Now, if $x \in X(\bar{A}) \triangle X(\bar{B})$, then it follows that $A_x \cup B_x \subseteq S$, since one of A_x or B_x must be empty. But for each $\alpha \in S$, there is at most one $x \in 2^\omega$ such that $\alpha \in A_x$, and likewise, there is at most one $y \in 2^\omega$ such that $\alpha \in B_y$. \square

Theorem 5.3 characterizes when an isomorphism between two countable, atomless subalgebras of $\mathcal{P}(\lambda)/\mathcal{I}_\kappa$ can extend to a trivial isomorphism.

Theorem 5.3. *Let \bar{A}, \bar{B} be nice sequences of representatives for countable, atomless Boolean subalgebras of $\mathcal{P}(\lambda)/\mathcal{I}_\kappa$, where $\text{cf } \kappa > \omega$. Then the following are equivalent.*

- (1) *There is a trivial isomorphism from $\mathcal{P}(A_\emptyset)/\mathcal{I}_\kappa$ to $\mathcal{P}(B_\emptyset)/\mathcal{I}_\kappa$ which sends $[A_\sigma]$ to $[B_\sigma]$, for every $\sigma \in 2^{<\omega}$.*
- (2) *$X(\bar{A}) \sim_\kappa X(\bar{B})$, and there is some $S \in \mathcal{I}_\kappa$ such that for all $x \in 2^\omega$, $|A_x \setminus S| = |B_x \setminus S|$.*

Proof. Suppose that $f : E \rightarrow F$ is a bijection, where

- $E \subseteq A_\emptyset$,
- $F \subseteq B_\emptyset$,
- $A_\emptyset \setminus E$ and $B_\emptyset \setminus F$ are both in \mathcal{I}_κ ,
- $f''(A_\sigma \cap E) \sim_\kappa B_\sigma$ for all $\sigma \in 2^{<\omega}$.

Let $\bar{C} = \langle f''(A_\sigma) \mid \sigma \in 2^{<\omega} \rangle$. Then \bar{B} and \bar{C} satisfy the hypotheses of Lemma 5.2, so $X(\bar{C}) \sim_\kappa X(\bar{B})$. Since $f''(A_x \cap E) = C_x \cap F$ for all $x \in 2^\omega$, it follows that $X(\bar{C}) \sim_\kappa X(\bar{A})$ as well, so $X(\bar{A}) \sim_\kappa X(\bar{B})$. Now let S be as given in Lemma 5.2, applied to \bar{B} and \bar{C} , so that $C_x \setminus S = B_x \setminus S$ for all $x \in 2^\omega$. Expanding S if necessary (but preserving its membership in \mathcal{I}_κ) we may assume that S contains $A_\emptyset \setminus E$ and $B_\emptyset \setminus F$ and is closed under f and f^{-1} . Then, for each $x \in 2^\omega$, f maps $A_x \setminus S$ to $B_x \setminus S$. Since f is a bijection it follows that $|A_x \setminus S| = |B_x \setminus S|$.

Suppose now that $X(\bar{A}) \sim_\kappa X(\bar{B})$, and that there exists an $S \in \mathcal{I}_\kappa$ such that $|A_x \setminus S| = |B_x \setminus S|$ for every $x \in 2^\omega$. For each

$$x \in X(\bar{A}) \triangle X(\bar{B}),$$

either $A_x = \emptyset$ or $B_x = \emptyset$, so

$$A_x \setminus S = B_x \setminus S = \emptyset$$

and

$$A_x \cup B_x \subseteq S.$$

It follows that, if

$$E = \bigcup \{A_x \mid x \in X(\bar{A}) \cap X(\bar{B})\}$$

and

$$F = \bigcup \{B_x \mid x \in X(\bar{A}) \cap X(\bar{B})\},$$

then $A_\emptyset \setminus S \subseteq E$ and $B_\emptyset \setminus S \subseteq F$. For each $x \in X(\bar{A}) \cap X(\bar{B})$, choose a bijection $g_x : A_x \setminus S \rightarrow B_x \setminus S$. Since for $x \neq y$ we have $A_x \cap A_y = B_x \cap B_y = \emptyset$, it follows that $g = \bigcup_{x \in X(\bar{A}) \cap X(\bar{B})} g_x$ is a bijection from $E \setminus S$ to $F \setminus S$, such that $g''(A_\sigma \setminus S) = B_\sigma \setminus S$ for every $\sigma \in 2^{<\omega}$. Then g is as desired. \square

Remark 5.4. If $X \subseteq \mathbb{R}$ has no isolated points then $X \cap N_\sigma$ ($\sigma \in 2^{<\omega}$) forms a nice sequence of representatives for a countable, atomless subalgebra \mathcal{A}_X of $\mathcal{P}(\mathbb{R})/\mathbf{Ctble}$, and the set $X(\bar{A})$, where each A_σ is $X \cap N_\sigma$, is exactly equal to X . If X and Y are two such sets, then there is an isomorphism from \mathcal{A}_X to \mathcal{A}_Y sending $[X \cap N_\sigma]$ to $[Y \cap N_\sigma]$ for every σ . By Theorem 5.3, if $X \triangle Y$ is uncountable, then there does not exist a trivial isomorphism from $\mathcal{P}(X)/\mathbf{Ctble}$ to $\mathcal{P}(Y)/\mathbf{Ctble}$ sending each set $[X \cap N_\sigma]$ to $[Y \cap N_\sigma]$.

Definition 5.5. A set $X \subseteq 2^\omega$ is a Q_B -set if for every $Y \subseteq X$, there is a Borel $B \subseteq 2^\omega$ such that $B \cap X = Y$.

The above is a weakening of the usual notion of a Q -set, where the set B above is required to be G_δ and not just Borel. The reader can consult [11, 10] for properties of Q -sets. We note in particular that MA_κ implies all subsets of \mathbb{R} of size κ are Q -sets (this is credited to Silver on page 162 of [8]), and that the Ramsey forcing axiom \mathcal{R}_4 implies that all subsets of \mathbb{R} of size ω_1 are Q -sets ([15]). As for the difference between Q -sets and Q_B -sets, Miller ([9]) showed that if $X \subseteq \mathbb{R}$ is a Q_B -set, then there is some $\alpha < \omega_1$ such that every subset of X is relatively Σ_α^0 , whereas it is consistent that for every $2 \leq \alpha < \omega_1$ there is an uncountable $X \subseteq \mathbb{R}$ such that α is the minimal ordinal for which every subset of X is relatively Σ_α^0 .

Theorem 5.6. Suppose there exists a Q_B -set $X \subseteq 2^\omega$ of cardinality λ , where $\text{cf } \lambda > \omega$. Then the following are equivalent.

- (1) There exists a cardinality-preserving, nontrivial automorphism of $\mathcal{P}(\lambda)/\text{Ctble}$.
- (2) There exists a Q_B -set $Y \subseteq 2^\omega$ such that $X \triangle Y$ is uncountable, and for every Borel $B \subseteq 2^\omega$, $|B \cap X| + \aleph_0 = |B \cap Y| + \aleph_0$.

Proof. Assuming that (1) holds, we may fix a nontrivial cardinality-preserving isomorphism π from $\mathcal{P}(X)/\text{Ctble}$ to $\mathcal{P}(\lambda)/\text{Ctble}$. Choose a sequence of sets $\bar{A} = \langle A_\sigma \mid \sigma \in 2^{<\omega} \rangle$ such that $A_\emptyset = \lambda$, and, for all $\sigma \in 2^{<\omega}$,

- $\pi([N_\sigma \cap X]) = [A_\sigma]$,
- A_σ is the disjoint union of $A_{\sigma \frown 0}$ and $A_{\sigma \frown 1}$.

Since X is a Q_B -set, every subset of X is equal to $C \cap X$ for some Borel C . Since $\mathcal{P}(\lambda)/\text{Ctble}$ is countably complete, $\pi([C \cap X]) = [D]$, where D is the set built from \bar{A} in the same way that C is built from $\langle N_\sigma : \sigma \in 2^{<\omega} \rangle$. Since π is an isomorphism, every subset of λ is, up to a countable set, a member of the σ -algebra generated by the sets A_σ ($\sigma \in 2^{<\omega}$). It follows that for each $y \in 2^\omega$, the set

$$A_y = \bigcap \{A_{y \upharpoonright n} : n \in \omega\}$$

is countable, and that the set of $y \in 2^\omega$ for which $|A_y| \geq 2$ is countable. Let Y be the set of $y \in 2^\omega$ for which $|A_y| = 1$, and for each $y \in Y$, let $h(y)$ be the unique element of A_y . Then h is injective, $h''(Y)$ is a cocountable subset of λ , and $h^{-1}(A_\sigma) = N_\sigma \cap Y$ for each $\sigma \in 2^{<\omega}$. We claim that Y is the desired set.

To see that Y is a Q_B -set, fix $Z \subseteq Y$. Then there exists a D in the σ -algebra generated by \bar{A} such that $D \triangle h''(Z)$ is countable. Then

$h^{-1}(D) \triangle Z$ is countable, and $h^{-1}(D)$ is equal to $B \cap Y$ for a Borel set $B \subseteq 2^\omega$ which is built from the sets N_σ in the same way that D was built from the sets A_σ . Similarly, for each Borel set $B \subseteq 2^\omega$, letting D be the set built from the sets A_σ in the way that B was built from the sets N_σ , $\pi([B \cap X]) = [D]$ and $h^{-1}(D) = B \cap Y$. Since $\lambda \setminus h''(Y)$ is countable,

$$|B \cap Y| + \aleph_0 = |D| + \aleph_0.$$

Since π is cardinality-preserving

$$|D| + \aleph_0 = |C \cap X| + \aleph_0.$$

Finally, if $X \triangle Y$ were a countable set S , we could fix a bijection $b: X \setminus S \rightarrow h''(Y \setminus S)$ by setting $b(x)$ to be $h(x)$. Then as above, for each Borel set $B \subseteq 2^\omega$, $\pi([B \cap X]) = [h''(B \cap Y)] = [b''(B \cap X)]$. Again applying the fact that X is a Q_B -set, this shows that π is trivial.

Now suppose that $Y \subseteq 2^\omega$ witnesses (2). Define $\pi: \mathcal{P}(X)/\mathbf{Ctble} \rightarrow \mathcal{P}(Y)/\mathbf{Ctble}$ by

$$\pi([C \cap X]) = [C \cap Y]$$

where C ranges over the Borel sets. We claim that

- (i) π is well-defined,
- (ii) π is an isomorphism, and
- (iii) π is cardinality-preserving and nontrivial.

All of these follow easily from our assumptions, except perhaps the claim that π is nontrivial. Suppose then that S and T are countable subsets of 2^ω , and that f is bijection from $X \setminus S$ to $Y \setminus T$ such that $\pi([A]) = [f''(A \setminus S)]$ for all $A \subseteq X$. Since $X \triangle Y$ is uncountable there are uncountably many $x \in X \setminus S$ such that $f(x) \neq x$. Moreover, we may fix incompatible σ, τ in $2^{<\omega}$ such that the set Z consisting of those $x \in N_\sigma \cap (X \setminus S)$ such that $f(x) \in N_\tau \cap (Y \setminus T)$ is uncountable. Then there is a Borel set B such that $Z = B \cap X$, so

$$B \cap X = (B \cap N_\sigma) \cap X$$

and

$$(B \cap N_\sigma) \cap f''(Z) = \emptyset,$$

contradicting our assumption that $\pi([Z]) = [f''(Z \setminus S)]$. \square

Remark 5.7. We do not know whether it is consistent with ZFC that there exists a nontrivial automorphism of $\mathcal{P}(\omega_1)/\mathbf{Ctble}$. On the other hand, Theorem 1 of [5] shows that the analogous result for Calkin algebras holds under the assumption that $2^{\omega_1} = \omega_2$. More precisely, let \mathcal{B} denote the C*-algebra of bounded, linear operators on a Hilbert space of dimension ω_1 , and let \mathcal{I} be its (closed, two-sided, *-) ideal

of operators with separable range. Then $2^{\omega_1} = \omega_2$ implies there are 2^{ω_2} -many automorphisms of \mathcal{B}/\mathcal{I} .

Notice that condition (2) above fails whenever the union of two Q_B -sets of cardinality ω_1 is also a Q_B -set. Combined with Theorem 3.4, we obtain the following as a corollary.

Corollary 5.8. *Suppose that there is a Q_B -set of cardinality ω_1 , and the union of any two Q_B -sets of cardinality ω_1 is a Q_B -set. Then every automorphism of $\mathcal{P}(\mathbb{R})/\mathbf{Ctble}$ is trivial.*

The cardinal characteristic \mathfrak{q}_0 is the least cardinality of a subset of 2^ω which is not a Q -set (see [2], for instance). Following [17], we let \mathfrak{z} be the least cardinality of a subset of 2^ω which is not a Q_B -set. Then \mathfrak{q}_0 is clearly at most \mathfrak{z} . We note that \mathfrak{q}_0 is at most \mathfrak{d} (see [2]; we do not know if the same holds with \mathfrak{z} in place of \mathfrak{q}_0 .) and that \mathbf{MA}_{\aleph_1} implies that $\mathfrak{q}_0 = 2^{\aleph_0}$, by the result of Silver mentioned above.

Corollary 5.8 and Theorem 3.3 give the following.

Corollary 5.9. *If $\mathfrak{z} > \aleph_1$ then each of the following hold.*

- *Every automorphism of $\mathcal{P}(\mathbb{R})/\mathbf{Ctble}$ is trivial.*
- *Every cardinality-preserving automorphism of $\mathcal{P}(\mathbb{R})/\mathbf{Fin}$ is trivial on a cocountable set.*

In conjunction with the main result of [14], we see that $\mathfrak{z} > \aleph_1$ implies that every cardinality-preserving automorphism of $\mathcal{P}(\lambda)/\mathbf{Fin}$ is trivial on a cocountable set, for every λ less than the least strongly inaccessible cardinal. Veličković [16] has shown that \mathbf{MA}_{\aleph_1} is consistent with the existence of a nontrivial automorphism of $\mathcal{P}(\omega)/\mathbf{Fin}$.

Remark 5.10. Corollary 5.8 applies to automorphisms π which may not be induced by automorphisms of $\mathcal{P}(\mathbb{R})/\mathbf{Fin}$. We do not know if the hypothesis of either of the two theorems implies the other. However, as $\mathbf{CSN}(\mathcal{P}(2^\omega)) = (2^{\aleph_0})^+$, $\mathbf{CSN}(\Delta_1^1)$ is at least \mathfrak{z} .

6. FIXED POINTS

If π is an automorphism of a Boolean algebra of the form $\mathcal{P}(\lambda)/\mathcal{I}_\kappa$, then a *fixed point* of π is a set $A \subseteq \lambda$ such that $\pi([A]) = [A]$. A fixed point A is *nontrivial* if A and $\lambda \setminus A$ both have cardinality at least κ . By the $< \text{cf } \kappa$ -completeness of $\mathcal{P}(\lambda)/\mathcal{I}_\kappa$, the set of (\sim_κ -classes of) fixed points of such a π is a (possibly trivial) $< \text{cf } \kappa$ -complete subalgebra of $\mathcal{P}(\lambda)/\mathcal{I}_\kappa$.

Lemma 6.1. *Suppose that $\kappa \leq \lambda$ are infinite cardinals, and that π is an automorphism of $\mathcal{P}(\lambda)/\mathcal{I}_\kappa$. Let π^* be a selector for π . Let η be an*

infinite regular cardinal unequal to $\text{cf } \kappa$, and suppose that $\langle A_\alpha : \alpha < \eta \rangle$ is a sequence of subsets of λ such that

$$|(A_\alpha \cup \pi^*(A_\alpha) \cup (\pi^*)^{-1}(A_\alpha)) \setminus A_\beta| < \kappa$$

for all $\alpha < \beta < \eta$. Then $\bigcup \{A_\alpha : \alpha < \eta\}$ is a fixed point of π .

Proof. Let $B = \bigcup \{A_\alpha : \alpha < \eta\}$. We want to see that $\pi^*(B) \sim_\kappa B$. Suppose first that $|B \setminus \pi^*(B)| \geq \kappa$. Fix some $X \subseteq B \setminus \pi^*(B)$ with cardinality exactly κ such that $|X \setminus A_\alpha| < \kappa$ for some $\alpha < \eta$. Then

$$|(\pi^*)^{-1}(X) \setminus A_{\alpha+1}| < \kappa$$

and

$$|(\pi^*)^{-1}(X) \cap B| < \kappa$$

hence $|(\pi^*)^{-1}(X)| < \kappa$, a contradiction. Supposing instead that

$$|\pi^*(B) \setminus B| \geq \kappa$$

we get that $|B \setminus (\pi^*)^{-1}(B)| \geq \kappa$, and we can run the argument just given with $(\pi^*)^{-1}$ in place of π^* to obtain another contradiction. \square

Summarizing, we get the following. Part (3) of the theorem uses Theorem 2.5. The proof of part (4) breaks into two cases, one where $\text{cf } \kappa$ is uncountable, and one where $\text{cf } \kappa = \aleph_0$.

Theorem 6.2. *Suppose that $\kappa \leq \lambda$ are infinite cardinals, and that π is an automorphism of $\mathcal{P}(\lambda)/\mathcal{I}_\kappa$.*

- (1) *The set of \sim_κ -classes of fixed points of π is a $< \text{cf } \kappa$ -complete subalgebra of $\mathcal{P}(\lambda)/\mathcal{I}_\kappa$.*
- (2) *If η is a regular cardinal not equal to $\text{cf } \kappa$, and $\langle A_\alpha : \alpha < \eta \rangle$ is a sequence of fixed points of π such that $|A_\alpha \setminus A_\beta| < \kappa$ for all $\alpha < \beta < \eta$, then $\bigcup \{A_\alpha : \alpha < \eta\}$ is a fixed point of π .*
- (3) *If κ is regular and $\lambda > \kappa$, then for every $A \subseteq \kappa$, there is a fixed point $B \subseteq \lambda$ such that $A \subseteq B$ and $|B| \leq |A| + \kappa^+$.*
- (4) *If π is cardinality-preserving and κ is uncountable, then for every $A \subseteq \kappa$, there is a fixed point $B \subseteq \lambda$ such that $A \subseteq B$ and $|B| = |A|$.*

Theorem 6.2 gives the following corollary.

Corollary 6.3. *If $\lambda > \kappa$ are infinite cardinals, and π is an automorphism of $\mathcal{P}(\lambda)/\mathcal{I}_\kappa$, then π has nontrivial fixed points if at least one of the following holds:*

- κ is regular and $\lambda > \kappa^+$,
- π is cardinality preserving and κ is uncountable.

Remark 6.4. K.P. Hart and Harm de Vries have shown ([7]) that if the algebras $\mathcal{P}(\omega)/\mathbf{Fin}$ and $\mathcal{P}(\omega_1)/\mathbf{Fin}$ are isomorphic, then there exists a nontrivial automorphism of $\mathcal{P}(\omega)/\mathbf{Fin}$. One can run their argument in reverse, considering the trivial automorphism of $\mathcal{P}(\omega)/\mathbf{Fin}$ induced by the (upwards or downwards) shift, getting that if $\mathcal{P}(\omega)/\mathbf{Fin}$ and $\mathcal{P}(\omega_1)/\mathbf{Fin}$ are isomorphic, then there exists an automorphism of $\mathcal{P}(\omega_1)/\mathbf{Fin}$ without nontrivial fixed points. An easy argument shows that every trivial automorphism of $\mathcal{P}(\omega_1)/\mathbf{Fin}$ has a club of ordinal fixed points.

Section 7 considers ordinal fixed points of cofinality κ for automorphisms of Boolean algebras of the form $\mathcal{P}(\kappa^+)/\mathcal{I}_\kappa$.

7. LADDER SYSTEMS

A *ladder* on a limit ordinal α is a cofinal subset of α whose ordertype is the cofinality of α (we do not require here that the subset be closed). If S is a set of limit ordinals, a *ladder system on S* is a sequence $\langle L_\alpha \mid \alpha \in S \rangle$ such that each L_α is a ladder on the corresponding α . A ladder system $\langle L_\alpha \mid \alpha \in S \rangle$ satisfies κ -uniformization (for a given cardinal κ) if for every sequence of functions $f_\alpha : L_\alpha \rightarrow \kappa$ ($\alpha \in S$), there is a function $F : \sup(S) \rightarrow \kappa$ such that for all $\alpha \in S$,

$$\{\beta \in L_\alpha \mid F(\beta) \neq f_\alpha(\beta)\} \in \mathcal{I}_\kappa.$$

Here we show that the existence of a cardinality-preserving automorphism of $\mathcal{P}(\kappa^+)/\mathcal{I}_\kappa$ without ordinal fixed points of cofinality κ (where κ is a regular cardinal) gives rise to a ladder system on a club subset of κ^+ which satisfies 2-uniformization (which is easily seen to be equivalent to γ -uniformization, for any $\gamma < \kappa$).

Given an automorphism π of $\mathcal{P}(\lambda)/\mathcal{I}_\kappa$, for infinite cardinals $\kappa \leq \lambda$, we say that $\beta \in \lambda$ is a *closure point* of π if for all $\alpha < \beta$, $\pi([\alpha]) \leq [\beta]$ and $\pi^{-1}([\alpha]) \leq [\beta]$. If $\lambda > \kappa$ and π is cardinality-preserving, then the set of closure points of π is a club subset of λ . It is easy to see that every closure point whose cofinality is not $\text{cf } \kappa$ is a fixed point (this does not require that π is cardinality-preserving, or that κ is regular).

Theorem 7.1. *Suppose that*

- κ is a regular cardinal,
- π is a cardinality-preserving automorphism of $\mathcal{P}(\kappa^+)/\mathcal{I}_\kappa$,
- C is the set of closure points of π , and
- $S \subseteq \kappa^+$ is the set of $\alpha \in C$ which are not fixed points of π .

Then there exist S_0, S_1 such that $S = S_0 \cup S_1$, and such that S_0 and S_1 each support a ladder system satisfying 2-uniformization.

Proof. Let

$$S_0 = \{\alpha \in S \mid [\alpha] \not\leq \pi([\alpha])\}$$

and let

$$S_1 = \{\alpha \in S \mid \pi([\alpha]) \not\leq [\alpha]\}$$

Then clearly $S = S_0 \cup S_1$. Let π^* be a bijective selector for π . For each $\alpha \in S_0$, let $L_\alpha^0 = \alpha \setminus \pi^*(\alpha)$, and for each $\alpha \in S_1$, let $L_\alpha^1 = \alpha \setminus (\pi^*)^{-1}(\alpha)$. Then for each $i \in \{0, 1\}$ and each $\alpha \in S_i$,

- $|L_\alpha^i| = \kappa$,
- $|L_\alpha^i \cap \beta| < \kappa$ for all $\beta < \alpha$.

The second of these follows from the fact that α is a closure point of π , and from the fact that $|(\pi^*)^{-1}(L_\alpha^0) \cap \alpha| < \kappa$ in the case where $\alpha \in S_0$ and $|\pi^*(L_\alpha^1) \cap \alpha| < \kappa$ in the case where $\alpha \in S_1$. It follows that each L_α^i is a ladder on the corresponding α .

Now suppose we are given 2-colorings $f_\alpha^i : L_\alpha^i \rightarrow 2$ for each pair (α, i) with $i \in \{0, 1\}$ and $\alpha \in S_i$. For each such pair (α, i) let $a_\alpha^i = (f_\alpha^i)^{-1}(\{1\})$. For each $\alpha \in S_0$, put

$$b_\alpha^0 = (\pi^*)^{-1}(a_\alpha) \cap ((\pi^*)^{-1}(\alpha) \setminus \alpha)$$

and for each $\alpha \in S_1$, put

$$b_\alpha^1 = \pi^*(a_\alpha) \cap (\pi^*(\alpha) \setminus \alpha).$$

Notice that, for each $i \in \{0, 1\}$, $b_\alpha^i \cap b_\beta^i = \emptyset$ for distinct $\alpha, \beta \in S_i$.

Let $B_i = \bigcup \{b_\alpha^i \mid \alpha \in S_i\}$, for each $i < 2$. Define $A_0 = \pi^*(B_0)$ and $A_1 = (\pi^*)^{-1}(B_1)$. For each $i \in \{0, 1\}$, let F_i be the characteristic function of A_i . If $\alpha \in S_0$, then

$$B_0 \cap ((\pi^*)^{-1}(\alpha) \setminus \alpha) = b_\alpha^0$$

hence $A_0 \cap L_\alpha \sim_\kappa a_\alpha$. Similarly, if $\alpha \in S_1$, then

$$B_1 \cap (\pi^*(\alpha) \setminus \alpha) = b_\alpha^1$$

so $A_1 \cap L_\alpha \sim_\kappa a_\alpha$. It follows that $F_0 \upharpoonright L_\alpha \sim_\kappa f_\alpha$ for all $\alpha \in S_0$ and $F_1 \upharpoonright L_\alpha \sim_\kappa f_\alpha$ for all $\alpha \in S_1$. □

The following theorem of Devlin and Shelah then shows that the existence of a cardinality-preserving automorphism of $\mathcal{P}(\omega_1)/\text{Fin}$ without nontrivial ordinal fixed points implies that $2^{\aleph_0} = 2^{\aleph_1}$.

Theorem 7.2. (*Devlin-Shelah [4]*) *Suppose that $\{S_\alpha : \alpha < \omega_1\}$ is such that*

- *each S_α is a subset of ω_1 supporting a ladder system satisfying 2-uniformization,*

- the diagonal union of $\{S_\alpha \mid \alpha < \omega_1\}$ contains a club subset of ω_1 .

Then $2^{\aleph_0} = 2^{\aleph_1}$.

After a simple modification of π^* , we see that π^* moves the ladders L_α , for $\alpha \in S_0$, to disjoint sets; and $(\pi^*)^{-1}$ moves L_α for $\alpha \in S_1$ to disjoint sets. It follows that they satisfy uniformization properties stronger than 2-uniformization (but not comparable, as far as we know, with κ -uniformization). For instance, they each satisfy the following property : for any partition of S_i into sets $\{T_\alpha \mid \alpha < \gamma\}$ (for some $\gamma \leq \kappa^+$) there exist sets $\{K_\alpha \mid \alpha < \gamma\}$ such that

- for all $\alpha < \gamma$ and all $\beta \in T_\alpha$, $|L_\beta \setminus K_\alpha| < \kappa$,
- for every sequence of functions $f_\alpha: K_\alpha \rightarrow 2$ ($\alpha < \gamma$) there exists a function $F: \kappa^+ \rightarrow 2$ such that, for each $\alpha < \gamma$, $F \upharpoonright K_\alpha \sim_\kappa f_\alpha$.

8. OPEN QUESTIONS

We collect here various open questions related to the material in this paper, some of which have been mentioned above, and some of which have been asked by others. First, we ask for various types of automorphisms.

Question 1. Are any of the following consistent with ZFC?

- (a) There exists an uncountable cardinal λ and an automorphism of $\mathcal{P}(\lambda)/\mathbf{Fin}$ which is not trivial on any cocountable set.
- (b) There exists an uncountable cardinal λ and an automorphism of $\mathcal{P}(\lambda)/\mathbf{Fin}$ which is not trivial on any uncountable set. (By [14], λ would have to be at most 2^{\aleph_0} .)
- (c) There exists an infinite cardinal κ and a nontrivial automorphism of $\mathcal{P}(\kappa^+)/\mathcal{I}_\kappa$ which is trivial on all sets of cardinality κ .
- (d) There exists an infinite cardinal κ such that all automorphisms of $\mathcal{P}(\kappa)/\mathcal{I}_\kappa$ are trivial, but there is a nontrivial automorphism of $\mathcal{P}(\kappa^+)/\mathcal{I}_\kappa$.
- (e) There exist infinite cardinals $\kappa < \lambda$ and a nontrivial automorphism of $\mathcal{P}(\lambda)/\mathcal{I}_{\kappa^+}$ which is trivial on all sets of cardinality κ^+ . (By Theorem 3.4, λ would have to be bigger than 2^κ .)
- (f) There exists an automorphism of $\mathcal{P}(\omega_1)/\mathbf{Fin}$ with no nontrivial fixed points. (What if the automorphism is required to be cardinality-preserving? In this case, we would have to have $2^{\aleph_0} = 2^{\aleph_1}$, by Theorems 7.1 and 7.2.)
- (g) There exists an uncountable cardinal λ and a nontrivial automorphism of $\mathcal{P}(\lambda)/\mathbf{Ctble}$.

- (h) There exists an uncountable cardinal λ and an outer automorphism of the Calkin algebra on the Hilbert space of dimension λ . (See Remark 5.7 or [5] for definitions and more information.)

We also ask about the Katowice Problem, question (a) below, and its relation to automorphisms. The reader is referred to [7, 3] for more on the Katowice Problem and related questions. We note in particular that, in [3], Chodounský has constructed a model of ZFC where most of the known consequences of a positive answer to Question (a) hold.

- Question 2.* (a) (Turzanski) Is it consistent with ZFC that the Boolean algebras $\mathcal{P}(\omega)/\mathbf{Fin}$ and $\mathcal{P}(\omega_1)/\mathbf{Fin}$ are isomorphic?
- (b) Is it consistent with ZFC that there is an isomorphism from $\mathcal{P}(\omega_1)/\mathbf{Fin}$ to $\mathcal{P}(\omega)/\mathbf{Fin}$ which is trivial on all countable sets?
- (c) Is it consistent with ZFC that there exists an automorphism π of $\mathcal{P}(\omega_1)/\mathbf{Fin}$ such that $\pi([A]) = [B]$ for no infinite $A \subset B \subseteq \omega_1$ with $\omega_1 \setminus B$ infinite?
- (d) Does the existence of an isomorphism $\mathcal{P}(\omega)/\mathbf{Fin} \simeq \mathcal{P}(\omega_1)/\mathbf{Fin}$ imply that there is a nontrivial automorphism of $\mathcal{P}(\omega_1)/\mathbf{Ctbl}$? (Since such an isomorphism implies there is an uncountable Q -set, by Theorem 5.6 it is enough to ask whether such an isomorphism implies there exist two uncountable Q_B -sets, with uncountable difference, which intersect the same Borel sets uncountably.)
- (e) Does the existence of an isomorphism between $\mathcal{P}(\omega)/\mathbf{Fin}$ and $\mathcal{P}(\omega_1)/\mathbf{Fin}$ imply that $\mathfrak{z} = \aleph_1$?

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