

# Mathematical Cryptography

# **MATH 490**

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### 1.1 Introduction

Before starting the course, it is important to understand that this document is for note-taking, and will therefore be a bit more informal than the actual textbook. There may be missing sections in the chapters, and there will certainly be missing chapters when we finish for the semester. The textbook is *An Introduction to Mathematical Cryptography* by Hoffstein, Pipher, and Silverman.

#### **Definition Caesar Shift Cipher:**

An encrypted text (by **shifting**), and you match it up with the alphabet. To encrypt, you write out a sentence, match it with a random assortment of letters by shifting the letters by a predetermined amount.

#### **Definition Code:**

Replace words / concepts. Example: Eagle has landed.

#### **Definition Cipher:**

Replacing characters or letters. Simply, replacing one letter for another.

#### **Definition Scytale Cipher:**

Used by the Spartans in the 5<sup>th</sup> century B.C.. This is also known as a **Transposition** Cipher.

#### **Definition Transposition Cipher:**

Changed order, but the stayed the same.

#### **Definition Plain Text:**

Original message that is readable to humans. Abbreviated as [pt].

#### **Definition Cipher Text:**

Encrypted message that is unreadable to humans. Abbreviated as [ct].

#### **Definition Encrypting:**

From plain text to cipher text. The inverse of encrypting is decrypting; which is going from the Plain Text [pt] to the Cipher Text [ct].

#### **Definition Key:**

A secret number or word used in encoding and decoding using a certain algorithm. Example: Caesar shift:  $A \to R$  rotated clockwise by 17

#### Definition Key Space:

The set of all keys, notated  $\mathcal{K}$ . The cardinality (amount of different keys) is notated with absolute value symbols. (E.g., for the Caesar shift,  $|\mathcal{K}| = 26$  because there are 26 letters in the alphabet. Similarly, Scytale  $|\mathcal{K}| = \text{pt.}$ )

#### **Definition Brute Force Attack:**

[During decryption] Trying all possible keys.

#### 1.1.1 Goals of Cryptography

- 1. Provide confidentiality You can't read the message.
- 2. Provide integrity You can't change the message.
- 3. Provide authenticity You can't forge the message.

Generally, these are the people and setting that will be used in examples: Alice and Bob are trying to communicate. Eve is trying to eavesdrop on the conversation.

### 1.1.2 Simple Substitution Ciphers (Mono-alphabetic Cipher)

Each letter can be replaced with any other letter. For example, you may have a key:  $\{a, b, c, \ldots, z\} \to \{q, m, w, \ldots, t\}$ . Its cardinality is  $|\mathcal{K}| = 26!$ .

#### **Definition Cryptanalysis:**

Process of decrypting without a key.

#### **Definition Bigrams:**

Two letters that are commonly placed together in language. For example, "Th", "is", or "He".

#### **Definition Frequency Analysis:**

English language patterns

Note that 13% of letters that are used in the alphabet are (in order from least to greatest): E, T, A, O, N. In brief, the longer the text, the more likely these letters will pop up.

#### 1.1.3 Exercises

#### Exercise 1.1

Build a cipher wheel as illustrated in Figure 1.1, but with an inner wheel that rotates, and use it to complete the following tasks.

(a) Encrypt the following plaintext using a rotation of 11 clockwise.

"A page of history is worth a volume of logic."

(b) Decrypt the following message, which was encrypted with a rotation of 7 clockwise.

AOLYLHYLUVZLJYLAZILAALYAOHUAOLZLJYLALZAOHALCLYFIVKFNBLZZLZ

#### Solution.

- (a) L ALRP ZQ STDEZCJ TD HZCES L GZWFXP ZQ WZRTN
- (b) THERE ARE NO SECRETS BETTER THAN THE SECRETES [sic] THAT EVERY BODY GUESSES

In the encrypted text, "Secrets" is ZLJYLAZ. Then, they use an incorrect spelling of the word, ZLJYLALZ, of which has an extra 'e' in it. That is what the "[sic]" is for.

#### Exercise 1.2

Decrypt each of the following Caesar encryptions by trying the various possible shifts until you obtain readable text.

- (a) LWKLQNWKDWLVKDOOQHYHUVHHDELOOERDUGORYHOBDVDWUHH
- (b) UXENRBWXCUXENFQRLQJUCNABFQNWRCJUCNAJCRXWORWMB

#### Solution.

- (a) I THINK THAT I SHALL NEVER SEE A BILLBOARD LOVELY AS A TREE
- (b) LOVE IS NOT LOVE WHICH ALTERS WHEN IT ALTERATION FINDS

#### Exercise 1.3

For this exercise, use the simple substitution table given in Table 1.11.

(a) Encrypt the plaintext message:

The gold is hidden in the garden

Solution.

(a) IBX FEPA QL BQAAXW QW IBX FSVAXW

#### Exercise 1.4

Each of the following messages has been encrypted using a simple substitution cipher. Decrypt them. For your convenience, we have given you a frequency table and a list of the most common bigrams that appear in the ciphertext. (If you do not want to recopy the ciphertexts by hand, they can be downloaded or printed from the web site listed in the preface.)

(a) "A Piratical Treasure"

```
JNRZR BNIGI BJRGZ IZLQR OTDNJ GRIHT USDKR ZZWLG OIBTM NRGJN IJTZJ LZISJ NRSBL QVRSI ORIQT QDEKJ JNRQW GLOFN IJTZX QLFQL WBIMJ ITQXT HHTBL KUHQL JZKMM LZRNT OBIMI EURLW BLQZJ GKBJT QDIQS LWJNR OLGRI EZJGK ZRBGS MJLDG IMNZT OIHRK MOSOT QHIJL QBRJN IJJNT ZFIZL WIZTO MURZM RBTRZ ZKBNN LFRVR GIZFL KUHIM MRIGJ LJNRB GKHRT QJRUU RBJLW JNRZI TULGI EZLUK JRUST QZLUK EURFT JNLKJ JNRXR S
```

#### Solution.

(a) THESE CHARACTERS AS ONE MIGHT READILY GUESS FORM A CIPHER THAT IS TO SAY THEY CONVEY A MEANING BUT THEN FROM WHAT IS KNOWN OF CAPTAIN KIDD I COULD NOT SUPPOSE HIM CAPABLE OF CONSTRUCTING ANY OF THE MORE ABSTRUSE CRYPTOGRAPHS I MADE UP MY MIND AT ONCE THAT THIS WAS OF A SIMPLE SPECIES SUCH HOW EVER AS WOULD APPEAR TO THE CRUDE INTELLECT OF THE SAILOR ABSOLUTELY INSOLUBLE WITHOUT THE KEY

Solver.

### Exercise 1.5

Suppose that you have an alphabet of 26 letters.

- (a) How many possible simple substitution ciphers are there?
- (b) A letter in the alphabet is said to be fixed if the encryption of the letter is the letter itself. Show an example of how the pieces work together

Solution.

- (a) 26!
- (b) This is the formula used for solving for derangements (where n is the number of elements in the set, and !n is the number of derangements [Definition: A permutation with no fixed points]):  $!n = n! \sum_{i=0}^{n} \frac{(-1)^i}{i!}$ . From Wikipedia. For n = 2. We can run through the following:

(1) 
$$i = 0$$
:  $\frac{(-1)^0}{0!} = 1$ 

(2) 
$$i = 1$$
:  $\frac{(-1)^1}{1!} = -1$ 

(3) 
$$i = 2$$
:  $\frac{(-1)^2}{2!} = 0.5$ 

Sum them together: 1 - 1 + 0.5 = 0.5. Now we can get !2:

$$!2 = 2! \times (1 - 1 + 0.5) = 2 \times 0.5 = 1$$

### 1.2 Divisibility and Greatest Common Denominators

Can assume all the properties of  $\mathbb{R}, \mathbb{Z}$ , and  $\mathbb{N}$ . Note that  $\mathbb{N}$  does not include 0.

#### **Definition Divides:**

Let a and b be integers with  $b \neq 0$ . We say that b divides a or that a is divisible by b, denoted by  $b \mid a$ , if there exists an integer n such that a = nb.

### Example 1.1: Divisibility

Let a = 100 and b = 4. Is b | a?

Solution. Yes, because  $100 = 4 \times 25$ .

### Example 1.2: Divisibility

Let a = 100 and b = 8. Is  $b \mid a$ ?

Solution. No, because  $100 = 8 \times 12 + 4$ .

#### Proposition 1.4:

Let  $a, b, c \in \mathbb{Z}$ :

- 1. If  $a \mid b$  and  $b \mid c$ , then  $a \mid c$ .
- 2. If  $a \mid b$  and  $b \mid a$ , then  $a = \pm 2$ .
- 3. If  $a \mid b$  and  $a \mid c$ , then  $a \mid (b+c)$  and  $a \mid (b-c)$ .

#### **Definition Greatest Common Divisor:**

A common divisor of two integers a and b is a positive integer d that divides both of them. The greatest common divisor of a and b, denoted by gcd(a, b), is the largest positive integer such that  $d \mid a$  and  $d \mid b$ .

This is less complicated than it sounds: we are simply factoring the integers and finding the largest common divisor between the two numbers.

#### **Definition Divison with Remainder:**

Let a, b be positive integers. Then we say that a divided by b gives a quotient q and a remainder r if a = bq + r and  $0 \le r < b$ .

### Example 1.3: Division with Remainder

Let a = 24 and b = 16. Find the quotient and remainder.

Solution. 24 = 16(1) + 8. Therefore, the quotient is 1 and the remainder is 8.

### Example 1.4: Euclidean Algorithm

Compute gcd(2024, 748) using the Euclidean Algorithm.

Solution. Notice how the b and r values on each line become the new a and b values on the subsequent line:

$$2024 = 2(748) + 528$$

$$748 = 1(528) + 220$$

$$528 = 2(220) + 88$$

$$220 = 2(88) + \boxed{44}$$

$$88 = 2(44) + 0$$

Therefore, the greatest common divisor is 44.

### Theorem: Euclidean Algorithm

Let  $a, b \in \mathbb{Z}^+$  with  $a \geq b$ . The following algorithm computes gcd(a, b) in a finite number of steps.

- 1. Let  $r_0 = a, r_1 = b;$
- 2. Set i = 1;
- 3. Divide  $r_{i-1}$  by  $r_i$  to get quotient  $q_i$  and remainder  $r_{i+1}$ ;
- 4. If  $r_{i+1} = 0$ , stop, and  $gcd(a, b) = r_i$ ;
- 5. Otherwise,  $r_{i+1} > 0$ . Set i = i + 1, and go back to step 3.
- 6. Step 3 is executed at most  $2\log_2(b) + 2$  times.

### **Example 1.5: Linear Combinations**

Use Example 1.2 in determining the linear combination of 2024 and 748 that equals 44.

Solution. We let a=2024 and b=748. From the equation in Example 1.2, we read the first line:

$$528 = a - 2b$$
.

We substitue this into the second line to get

$$b = (a-2b) \cdot 1 + 220$$
, so  $220 = 2b - a$ .

We next substitute the expressions 528 = a - 2b and 220 = 2b - a into the third line to get

$$a - 2b = (-a + 3b) \cdot 2 + 88$$
, so  $88 = 3a - 8b$ .

Finally, we substitute the expressions 220 = -a + 3b and 88 = 3a - 8b into the fourth

line to get

$$-a + 3b = (3a - 8b) \cdot 2 + 44$$
, so  $44 = 7a - 19b$ .

In other words,

$$-7 \cdot 2024 + 19 \cdot 748 = 44 = \gcd(2024, 748),$$

so we have found a way to write gcd(a, b) as a linear combination of a and b using integer coefficients.

#### **Definition Relatively Prime:**

Let a and b be relatively prime if gcd(a,b) = 1. More generally, any equation Au + Bv = gcd(A,B) can be reduced to the case of relatively prime numbers by dividing both sides by gcd(A,B). Thus,  $\frac{A}{gcd(A,B)}u + \frac{B}{gcd(A,B)} = 1$  where a = A/gcd(A,B) and b = B/gcd(A,B) are relatively prime and satisfy au + bv = 1.

### Theorem: Extended Euclidean Algorithm

Let  $a, b \in \mathbb{Z}^+$  with  $a \ge b$ . Then the equation  $\gcd(a, b) = ua + vb$  always has a solution in integers u and v. If  $u_0, v_0$  is any one solution, then every solution has the form

$$u = u_0 + \frac{b \cdot t}{\gcd(a, b)}$$
 and  $v = v_0 - \frac{a \cdot t}{\gcd(a, b)}$  for some integer  $t \in \mathbb{Z}$ .

### Example 1.6: Inverse Modulo Application with EEA

Solve for d using the extended Euclidean algorithm:

$$d \cdot 137 \equiv 1 \pmod{540} \quad \Rightarrow \quad d \equiv 137^{-1} \pmod{540}$$

n	b	q	r	$t_1$	$t_2$	$t_3$
540	137	3	129	0	1	-3
137	129	1	8	1	-3	4
129	8	16	1	-3	4	$\boxed{-67}$
8	1	8	0			

A step by tep break down for how this table got its values:

#### 1. Initial Setup

Start with  $t_1 = 0$  and  $t_2 = 1$ . Calculate  $t_3$  with the recursive formula  $t_3 = t_1 - q \cdot t_2$  where q is the quotient from the division of the 2 numbers (n divided by b), and the t values shift as you move to the next row.

#### 2. Calculate $t_3$ Values

First row:

- We start with n = 540 and b = 137.
- The quotient  $q = \lfloor \frac{540}{137} \rfloor = 3$ .
- The values of  $t_1 = 0$  and  $t_2 = 1$  are given by default.
- Now, calculate the  $t_3$  using the formula

$$t_3 = t_1 - q \cdot t_2 = 0 - 3 \cdot 1 = -3$$

• This leaves us with  $t_2 = 1$ ,  $t_3 = -3$ . These will become  $t_1$  and  $t_2$  in the next row.

Second row:

- Now, n = 137 and b = 129. We get b by solving  $b = n b \cdot q \Rightarrow b = 540 137 \cdot 3 = 129$ .
- The quotient  $q = \lfloor \frac{137}{129} \rfloor = 1$ .
- The values of  $t_1 = 1$  and  $t_2 = -3$  from the previous row.
- Now, calculate the  $t_3$  using the formula

$$t_3 = t_1 - q \cdot t_2 = 1 - 1 \cdot -3 = 4$$

• This leaves us with  $t_2 = -3$ ,  $t_3 = 4$ . These will become  $t_1$  and  $t_2$  in the next row.

Third row:

- Now, n = 129 and b = 8.
- The quotient  $q = \lfloor \frac{128}{8} \rfloor = 16$ .
- The values of  $t_1 = -3$  and  $t_2 = 4$  from the previous row.
- Now, calculate the  $t_3$  using the formula

$$t_3 = t_1 - q \cdot t_2 = -3 - 16 \cdot 4 = -67$$

Fourth row:

- 3. Now, n = 8 and b = 1.
- 4. The quotient  $q = \lfloor \frac{8}{1} \rfloor = 8$ .
- 5. Because get a remainder of 0 from the previous calculation, we stop, and record the largest t value.

Now we have our t = -67, but we still need to find the modulo. Take the modulo of t (-67 (mod 540) = 473), and you get the inverse, 473.

See Exercise 1.10 for a detailed example.

### Example 1.7: Relative Prime

What are the relative prime numbers of 2024 and 748?

Solution. In Example 1.2, we found that the gcd of 2024 and 748 have greatest common divisor of 44 and satisfy the equation  $-7 \cdot 2024 + 19 \cdot 748 = 44$ . We can divide both sides by 44 to get 46u + 17v = 1. Therefore, 46 and 17 are relatively prime and u = -7 and v = 19 are the coefficients of a linear combination of 46 and 17 that equals 1.

#### 1.2.1 Exercises

#### Exercise 1.1

Build a cipher wheel as illustrated in Figure 1.1, but with an inner wheel that rotates, and use it to complete the following tasks.

- (a) Encrypt the following plaintext using a rotation of 11 clockwise.
  - "A page of history is worth a volume of logic."
- (b) Decrypt the following message, which was encrypted with a rotation of 7 clockwise.

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Solution.

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In the encrypted text, "Secrets" is ZLJYLAZ. Then, they use an incorrect spelling of the word, ZLJYLALZ, of which has an extra 'e' in it. That is what the "[sic]" is for.

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- (b) UXENRBWXCUXENFQRLQJUCNABFQNWRCJUCNAJCRXWORWMB

Solution.

- (a) I THINK THAT I SHALL NEVER SEE A BILLBOARD LOVELY AS A TREE
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#### Exercise 1.3

For this exercise, use the simple substitution table given in Table 1.11.

(a) Encrypt the plaintext message:

The gold is hidden in the garden

Solution.

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Each of the following messages has been encrypted using a simple substitution cipher. Decrypt them. For your convenience, we have given you a frequency table and a list of the most common bigrams that appear in the ciphertext. (If you do not want to recopy the ciphertexts by hand, they can be downloaded or printed from the web site listed in the preface.)

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#### Solution.

(a) THESE CHARACTERS AS ONE MIGHT READILY GUESS FORM A CIPHER THAT IS TO SAY THEY CONVEY A MEANING BUT THEN FROM WHAT IS KNOWN OF CAPTAIN KIDD I COULD NOT SUPPOSE HIM CAPABLE OF CONSTRUCTING ANY OF THE MORE ABSTRUSE CRYPTOGRAPHS I MADE UP MY MIND AT ONCE THAT THIS WAS OF A SIMPLE SPECIES SUCH HOW EVER AS WOULD APPEAR TO THE CRUDE INTELLECT OF THE SAILOR ABSOLUTELY INSOLUBLE WITHOUT THE KEY



Solver

### Exercise 1.5

Suppose that you have an alphabet of 26 letters.

- (a) How many possible simple substitution ciphers are there?
- (b) A letter in the alphabet is said to be fixed if the encryption of the letter is the letter itself. Show an example of how the pieces work together

Solution.

- (a) 26!
- (b) This is the formula used for solving for derangements (where n is the number of elements in the set, and !n is the number of derangements [Definition: A permutation with no fixed points]):  $!n = n! \sum_{i=0}^{n} \frac{(-1)^{i}}{i!}$ . From Wikipedia. For n = 2. We can run through the following:

(1) 
$$i = 0$$
:  $\frac{(-1)^0}{0!} = 1$ 

(2) 
$$i = 1$$
:  $\frac{(-1)^1}{1!} = -1$ 

(3) 
$$i=2$$
:  $\frac{(-1)^2}{2!}=0.5$ 

Sum them together: 1 - 1 + 0.5 = 0.5. Now we can get !2:

$$!2 = 2! \times (1 - 1 + 0.5) = 2 \times 0.5 = 1$$

### Exercise 1.6

Let  $a, b, c \in \mathbb{Z}$ . Use the definition of divisibility to directly prove the following properties of divisibility. (This is Proposition 1.4)

- (a) If  $a \mid b$  and  $b \mid c$ . Then  $a \mid c$ .
- (b) If  $a \mid b$  and  $b \mid a$ . Then  $a = \pm b$ .
- (c) If  $a \mid b$  and  $a \mid c$ . Then  $a \mid (b+c)$  and  $a \mid (b-c)$ .

Solution.

(a) Let  $a, b, c \in \mathbb{Z}$  such that  $a \mid b$  and  $b \mid c$ . We know there exists an  $n \in \mathbb{Z}$  such that  $a \times n = b$ . Similarly,  $b \mid c$  means there exists an  $k \in \mathbb{Z}$  such that  $b \times k = c$ . We

can use the commutative property to show that:

$$k(an) = (b)k$$

$$ank = bk$$

$$bk = c$$

$$a(nk) = c$$

$$a \mid c$$

(b) From the problem statement, we can intuitively ascertain that because both a, b divide each other, then it must be the case that they are the same number. Moreover, because the criteria for dividing is not pertinent to whether the quotient is negative or positive, this number can be positive or negative. Now that we have an idea of what we are trying to accomplish, we can begin the proof: Let  $a, b \in \mathbb{Z}$  such that  $a \mid b$  and  $b \mid a$ . We know there exists an  $n \in \mathbb{Z}$  such that  $a \times n = b$ . Similarly,  $b \mid a$  means there exists an  $k \in \mathbb{Z}$  such that  $b \times k = a$ . Then, we can utilize substitution to get the following:

$$bk = a$$
$$(an)k = a$$

From here, we know that because a is present on both sides of the equation, we should divide by a to simplify. Thus, consider the following two cases.

• Case 1:  $a \neq 0$ 

Since a is not zero, we can divide both sides by a to get nk = 1. Since,  $n, k \in \mathbb{Z}$ . We do not need to worry about fractional reciprocals. Instead, we know from the identity property of multiplication, that n, k must both be  $\pm 1$ .

- -n, k are both +1: Then,  $a = b \times 1$  and  $b = a \times 1$ . Which simplifies to a = b in both cases.
- -n, k are both -1: Then,  $a = b \times (-1)$  and  $b = a \times (-1)$  Which simplifies to a = -b in both cases.
- Case 2: a = 0If a = 0. Then  $b = 0 \times n = 0$  and  $0 = b \times k = 0$ . Therefore, a = b = 0. And  $a = \pm b$  is still true.

We have shown that in either case if  $a \mid b$  and  $b \mid a$ . Then  $a = \pm b$ .

(c) Because a needs to be divisible by both b and c. We know that there must exist an  $n, k \in \mathbb{Z}$  such that b = an and c = ak. Our goal is to get to the form,  $a \times \text{some integer} = b + c$  and  $a \times \text{some integer} = b - c$  so we can use the definition of divides to help us out here. Therefore, let us consider both b + c and b - c in two separate cases:



• Case 1: b + c

$$b + c = (an) + (ak)$$
$$b + c = a(n + k)$$
$$a \mid (b + c)$$

• Case 2: b - c

$$b - c = (an) - (ak)$$
$$b - c = a(n - k)$$
$$a \mid (b - c)$$

We have shown that if  $a \mid b$  and  $a \mid c$ . Then  $a \mid (b+c)$  and  $a \mid (b-c)$ .

### Exercise 1.7

Use a calculator and the method described in Remark 1.9 to compute the following quotients and remainders.

- (a) 34787 divided by 353.
- (b) 238792 divided by 7843.

Solution.

- (a) a = 34787 and b = 353. Then  $a/b \approx 98.54674220$ . So q = 98 and  $r = a b \cdot q = 34787 353 \cdot 98 = 193$ .
- (b) a = 238792 and b = 7843. Then  $a/b \approx 30.446512$ . So q = 30 and  $r = a b \cdot q = 238792 7843 \cdot 30 = 3502$ .

### Exercise 1.9

Use the Euclidean algorithm to compute the following greatest common divisors.

- (a) gcd(291, 252).
- (b) gcd(16261, 85652).

Solution.

- (a) gcd(291, 252)
  - (1)  $r_0 = 291$ .  $r_1 = 252$ .
  - (2) i = 1.



(3) Divide  $r_0$  by  $r_1$  to get a quotient,  $q_1$  and a remainder,  $r_2$ :

$$291/252 = 1 = q_1$$
$$291 - (252 \times 1) = 39 = r_2$$

- (4)  $r_2 \neq 0$ . So, we continue.
- (5) i = 2 + 1 = 3.

(3) Divide  $r_1$  by  $r_2$  to get quotient,  $q_2$  and a remainder,  $r_3$ :

$$252/39 = 6 = q_2$$
$$252 - (39 \times 6) = 18 = r_3$$

- (4)  $r_3 \neq 0$ . So, we continue.
- (5) i = 3 + 1 = 4.

(3) Divide  $r_2$  by  $r_3$  to get quotient  $q_3$  and a remainder,  $r_4$ :

$$39/18 = 2 = q_3$$
$$39 - (18 \times 2) = 3 = r_4$$

- (4)  $r_4 \neq 0$ . So, we continue.
- (5) i = 3 + 1 = 5.

(3) Divide  $r_3$  by  $r_4$  to get quotient  $q_4$  and a remainder,  $r_5$ :

$$18/3 = 6 = q_4$$
$$18 - (3 \times 6) = 0 = r_5$$

(4)  $r_4 = 0$ . So, we stop.

We have found that the greatest common divisor is 3.

(b) To cut back on paper, I am going to avoid reiterating the steps of 4 and 5. If there is a continuation in the enumeration process, then  $r_i \neq 0$ . And the process needs to continue:  $\gcd(16261, 85652) \Rightarrow \gcd(85652, 16261)$ .

(1)

$$85652/16261 = 5 = q_1$$
$$85652 - (16261 \times 5) = 4347 = r_2$$

(2)

$$16261/4347 = 3 = q_2$$
$$16261 - (4347 \times 3) = 3220 = r_3$$

(3)

$$4347/3220 = 1 = q_3$$
$$4347 - (3220 \times 1) = 1127 = r_4$$

(4)

$$3220/1127 = 2 = q_4$$
$$3220 - (1127 \times 2) = 966 = r_5$$

(5)

$$1127/966 = 1 = q_5$$
$$1127 - (966 \times 1) = 161 = r_6$$

(6)

$$966/161 = 6 = q_6$$
$$966 - (161 \times 6) = 0 = r_7$$

We have found that gcd(85652, 16261) = 161.

#### Exercise 1.10

For each of the gcd(a, b) values in Exercise 1.9, use the extended Euclidean algorithm (Theorem 1.11) to find integers u and v such that au + bv = gcd(a, b).

Solution.

(a) We need to solve for the various  $u_i$  and  $v_i$ . We will start at i=2.

i	$u_i$	Formula	Evaluation	$v_i$	Formula	Evaluation
2	1	$u_0 - q_1 \times u_1$	$1-1\times0$	-1	$v_0 - q_1 \times v_1$	$0-1\times 1$
3	-6	$u_1 - q_2 \times u_2$	$0-6\times1$	7	$v_1 - q_2 \times v_2$	$1 - 6 \times (-1)$
4	13	$u_2 - q_3 \times u_3$	$1 - 2 \times (-6)$	-15	$v_2 - q_3 \times v_3$	$\boxed{-1-2\times7}$

Thus, we can now fill out the table in full:

i	$r_i$	$q_i$	$r_{i+1}$	$u_i$	$v_i$
0	291	_	_	1	0
1	252	1	39	0	1
2	39	6	18	1	-1
3	18	2	3	-6	7
4	3	6	0	13	-15

Now, we need to solve:  $au + bv = \gcd(a, b) \Rightarrow 291(13) + 252(-15) = 3$ . 3 matches the gcd that we found in Exercise 1.9, so this is the correct solution.

	i	$r_i$	$q_i$	$r_{i+1}$	$u_i$	$v_i$
	0	85652	_	_	1	0
	1	16261	5	4347	0	1
(b)	2	4347	3	3220	1	-5
(D)	3	3220	1	1127	-3	16
	4	1127	2	966	4	-21
	5	966	1	161	-11	58
	6	161	6	0	15	$\overline{-79}$

85652(15) + 16261(-79) = 161.

### Exercise 1.11

Let a and b be positive integers.

(a) Suppose that there are integers u and v satisfying au + bv = 1. Prove that gcd(a,b) = 1.

Proof. (a) Suppose there are integers a, b, u, v such that av + bv = 1. Assume  $d \in \mathbb{Z}$  such that  $d = \gcd(a, b)$ . Since d divides both a and b by definition of common divisor, it must also divide av and bv by definition of divisibility. Moreover, because au + bv = 1 and d is a common divisor of both av and bv. It must also divide 1 by Proposition 1.4 (c). Then, the only positive integer that divides 1 is 1 itself, so it must be the case that d = 1. Therefore, since d = 1 and  $\gcd(a, b) = d$ . It follows that  $\gcd(a, b) = 1$ .

#### Exercise 1.14

Let  $m \ge 1$  be an integer and suppose that

$$a_1 \equiv a_2 \pmod{m}$$
 and  $b_1 \equiv b_2 \pmod{m}$ .

Prove that

$$a_1 \pm b_1 \equiv a_2 \pm b_2 \pmod{m}$$
 and  $a_1 \cdot b_1 \equiv a_2 \cdot b_2 \pmod{m}$ .

(This is Proposition 1.13(a).)

*Proof.* Let  $m \ge 1$  be an integer and suppose that  $a_1 \equiv a_2 \pmod{m}$  and  $b_1 \equiv b_2 \pmod{m}$ . From the definition of modulo, we know the difference of  $a_1 - a_2$  and  $b_1 - b_2$  is divisible by m.

- Addition: We want to show that  $a_1 + b_1 \equiv a_2 + b_2$ . So, our goal is to achieve  $m \mid ((a_1+b_1)-(a_2+b_2))$ . Thus, consider  $(a_1+b_1)-(a_2+b_2)$ . We can distribute the minus sign to get  $(a_1-a_2)+(b_1-b_2)$ . From Proposition 1.4 (c), because we know that  $m \mid (a_1-a_2)$  and  $m \mid (b_1-b_2)$ . We can write this as  $m \mid ((a_1-a_2)+(b_1-b_2))$  which implies  $m \mid ((a_1+b_1)-(a_2+b_2))$ . This shows  $a_1+b_1 \equiv a_2+b_2 \pmod{m}$ .
- Subtraction: Similarly to addition, we want to show  $a_1 b_1 \equiv a_2 b_2 \pmod{m}$ . Thus, consider  $(a_1 b_1) (a_2 b_2)$  which implies  $(a_1 a_2) (b_1 b_2)$ . From Proposition 1.4 (c), because we know that  $m \mid (a_1 a_2)$  and  $m \mid (b_1 b_2)$ . We can write this as  $m \mid ((a_1 a_2) (b_1 b_2))$  which implies  $m \mid ((a_1 b_1) (a_2 b_2))$ . So  $a_1 b_1 \equiv a_2 b_2 \pmod{m}$ .

Therefore we have shown  $a_1 \pm b_1 \equiv a_2 \pm b_2 \pmod{m}$ 

• **Product** We want to show that  $a_1 \cdot a_2 \equiv a_2 \cdot b_2 \pmod{m}$ . Thus, consider  $a_1 \cdot b_1 - a_2 \cdot b_2$ :

$$a_1 \cdot b_1 - a_2 \cdot b_2 = a_1 \cdot b_1 - a_1 \cdot b_2 + a_1 \cdot b_2 - a_2 \cdot b_2$$
$$= a_1 \cdot (b_1 - b_2) + b_2 \cdot (a_1 - a_2)$$

Because  $m \mid (b_1 - b_2)$  and  $m \mid (a_1 - a_2)$ . We know from the definition of division that when we multiply those numbers by an integer like  $a_1$  and  $b_2$ . m still divides the expression. Hence,  $m \mid (a_1 \cdot (b_1 - b_2))$  and  $m \mid (b_2 \cdot (a_1 - a_2))$ . Therefore,  $m \mid (a_1 \cdot b_1 - a_2 \cdot b_2)$  and  $a_1 \cdot b_1 \equiv a_2 \cdot b_2 \pmod{m}$ .

### 1.3 Modular Arithmetic

**Definition Modular Arithmetic:** 

Let  $m \ge 1$  be an integer. We say that the integers a and b are congruent modulo m if their difference is divisible by m:  $m \mid (b-a)$  or  $m \mid (a-b)$ . Notated as  $a=b \mod m$ 

By the definition of division, we can write this as b-a=mk for some  $k \in \mathbb{Z}$  and b=mk+a for some  $k \in \mathbb{Z}$ . For example, 17 mod  $4 \equiv 1$ . Or for another example, -17 mod  $4 \equiv -1 \equiv 3$ . For addition, you can go in two separate directions. For the first, sequence of operations, we could add the numbers inside of the parentheses and then take the mod of the number as demonstrated  $(26+14) \mod 5 \equiv 40 \mod 5 \equiv 0$ , or we could take the mod of both numbers inside the parentheses, demonstrated as 26 mod  $+14 \mod 5 = 1+4=0$ .

#### Proposition 1.13:

Let  $m \in \mathbb{Z}^+$ 

- 1. If  $a_1 \equiv a_2 \pmod{m}$  and  $b_1 \equiv b_2 \pmod{m}$  then  $a_1 \pm b_1 \equiv a_2 \pm b_2 \pmod{m} \equiv a_2 \pmod{m} + b_2 \pmod{m}$ . Also,  $a_1b_1 = a_2b_2 \pmod{m} = a_2 \pmod{m}b_2 \pmod{m}$
- 2. Let  $a \in \mathbb{Z}$ . Then  $ab \equiv 1 \pmod{m}$  for some  $b \equiv \mathbb{Z} \iff \gcd(a, m) = 1$

#### **Definition Ring:**

We write  $\mathbb{Z}/m\mathbb{Z} = \{0, 1, 2, ..., m-1\}$  and call  $\mathbb{Z}/m\mathbb{Z}$  the ring of integers modulo m. We add and multiplying them as integers and then dividing the result by m and taking the remainder in order to obtain an element in  $\mathbb{Z}/m\mathbb{Z}$ .

Note that we will be finding the more traditional rings that are brought up in Algebra. Thus, for a ring to be a ring, it must have the following properties:

- 1. Additive Closure: For any  $a, b \in R$ , the sum a + b is also in R.
- 2. Associativity of Addition: For any  $a, b, c \in R$ , (a + b) + c = a + (b + c).
- 3. Commutativity of Addition: For any  $a, b \in R$ , a + b = b + a.
- 4. Additive Identity: There exists an element  $0 \in R$  such that for any  $a \in R$ , a+0=a.
- 5. Additive Inverses: For each  $a \in R$ , there exists an element  $-a \in R$  such that a + (-a) = 0.
- 6. Multiplicative Closure: For any  $a, b \in R$ , the product  $a \cdot b$  is also in R.
- 7. Associativity of Multiplication: For any  $a, b, c \in R$ ,  $(a \cdot b) \cdot c = a \cdot (b \cdot c)$ .
- 8. **Distributive Property:** For any  $a, b, c \in R$ ,  $a \cdot (b+c) = a \cdot b + a \cdot c$  and  $(a+b) \cdot c = a \cdot c + b \cdot c$ .

Example 1.8: 
$$(\mathbb{Z}_6,\cdot)$$

Find the ring of integers modulo 6 bound under multiplication.

Solution. Note that from the following table, 6 only has 2 inverses, 1 and 5. This is because in their respective column, there is a 1 in each row.

a   0   1   2   3   4   5
$\mid a \cdot 0 \mid 0 \mid 0 \mid 0 \mid 0 \mid 0 \mid 0$
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$
a · 3   0   3   0   3   0   3
$\begin{array}{ c c c c c c c c c c c c c c c c c c c$

#### **Definition Unit:**

Recall from Proposition 1.13 that a has an inverse modulo m if and only if gcd(a, m) = 1. Numbers that have inverses are called *units*. We denote the set of all units by

$$(\mathbb{Z}/m\mathbb{Z})^* = \{ a \in \mathbb{Z}/m\mathbb{Z} \mid \gcd(a, m) = 1 \}$$
$$= \{ a \in \mathbb{Z}/m\mathbb{Z} \mid \text{a has an inverse modulo } m \}$$

Click this link to be teleported to section 2.5 where we discuss Groups. We use groups throughout the remainder of Chapter 1.

#### **Definition Euler's Phi Function:**

The function  $\varphi(m)$  defined by the rule

$$\varphi(m) = |\{0 \le a < m \mid \gcd(a, m) = 1\}|$$

### 1.3.1 Modular Arithmetic and Shift Ciphers

### Example 1.9: Shift Cipher

Let's say we have a shift cipher with a key of 3. We want to encrypt the message "HELLO". What is the encrypted message?

Solution. By shifting the letters by 3, we get "KHOOR". For the decryption, we would shift the letters by -3 to get the original message.

#### 1.3.2 Fast Powering Algorithm

We can write the algorithm as follows:

- 1. Write the exponent in binary.
- 2. Compute the powers of the base in binary. For example,

$$k_0 = a \pmod{m}$$
  
 $k_1 = k_0^2 \pmod{m} = a^2 \pmod{m}$   
 $k_2 = k_1^2 \pmod{m} = (a^2)^2 \pmod{m}$   
 $\vdots$   
 $k_r = k_{r-1}^2 \pmod{m} = (a^{2^{n-1}})^2 \pmod{m}$ 

3. Multiply the powers of the base that correspond to the 1s in the binary representation of the exponent. Compute  $a_b \pmod{m}$  by using

$$a^b = a^{b_0 \cdot b_{12} + \dots + b_r 2^r}$$

### Example 1.10: Fast Powering Algorithm

Compute  $3^{218}$  (mod 1000).

Solution. The first step is to write 218 in binary: 218 = 11011010. Then, we can write the powers of 3 in binary:

$$3^{1} = 3 \pmod{1000} = 3$$
  
 $3^{2} = 3^{2} \pmod{1000} = 9$   
 $3^{4} = 9^{2} \pmod{1000} = 81$   
 $3^{8} = 81^{2} \pmod{1000} = 561$   
 $3^{16} = 561^{2} \pmod{1000} = 721$   
 $3^{32} = 721^{2} \pmod{1000} = 841$   
 $3^{64} = 841^{2} \pmod{1000} = 281$   
 $3^{128} = 281^{2} \pmod{1000} = 961$ 

Now, we can calculate the value of  $3^{218}$  by multiplying the values of  $3^{128}$ ,  $3^{64}$ ,  $3^{16}$ ,  $3^{8}$ ,

and  $3^2$  together:

$$3^{218} = 3^{128} \times 3^{64} \times 3^{16} \times 3^8 \times 3^2$$
$$= 961 \times 281 \times 721 \times 561 \times 9$$
$$= 489 \pmod{1000}$$

#### 1.3.3 Exercises

#### Exercise 1.17

Find all values of x between 0 and m-1 that are solutions of the following congruences. (*Hint*: If you can't figure out a clever way to find the solution(s), you can just substitute each value x = 1.  $x = 2, \ldots x = m-1$  and see which ones work.)

- (a)  $x + 17 \equiv 23 \pmod{37}$ .
- (c)  $x^2 \equiv 3 \pmod{11}$
- (g)  $x \equiv 1 \pmod{5}$  and also,  $x \equiv 2 \pmod{7}$ . (Find all solutions modulo 35, that is, find the solutions satisfying  $0 \le x \le 34$ .

Solution.

- (a) x = 6
- (c) We know that x cannot be any number who's square does not exceed 11 because we cannot square a number to get 3 (other than  $\sqrt{3}$ . But these are only the integers, so we cannot use that). Hence,  $x \neq 1, 2, 3$  because we know that the results of these,  $1^2 = 1$ .  $2^2 = 4$ .  $3^2 = 9$  are not equivalent to 3. Let's try some more values: x = 4:  $4^2 = 16 \pmod{11} = 5 \not\equiv 3$ ; x = 5:  $5^2 = 25 \pmod{11} = 3 \equiv 3$ ; x = 6:  $6^2 = 36 \pmod{11} = 3 \equiv 3$ ; x = 7:  $7^2 = 49 \pmod{11} = 5 \not\equiv 3$ ; x = 8:  $8^2 = 64 \pmod{11} = 9 \not\equiv 3$ ; x = 9:  $9^2 = 81 \pmod{11} = 4 \not\equiv 3$ ; x = 10:  $10^2 = 100 \pmod{11} = 1 \not\equiv 3$ .

Thus, we have it that x = 5, 6.

(g) Because we have  $x \equiv 1 \pmod{5}$ . Verifying correct x's are straightforward. All we need to check for is if a multiple of 5+1 satisfies  $x \equiv 2 \pmod{7}$ . Thus, the only solution is x=16

#### Exercise 1.19

Prove that if  $a_1$  and  $a_2$  are units modulo m. Then  $a_1a_2$  is a unit modulo m.

*Proof.* Suppose  $a_1$  and  $a_2$  are units modulo m. This means  $a \in \mathbb{Z}/m\mathbb{Z}$ : gcd(a, m) = 1. In other words,  $a_1b_1 \equiv 1 \pmod{m}$  and  $a_2b_2 \equiv 1 \pmod{m}$  for some  $b_1, b_2 \in \mathbb{Z}$ . When

we multiply the equations together, we get  $(a_1b_1)(a_2b_2) \equiv 1 \pmod{m}$  which can be rewritten as  $(a_1a_2)(b_1b_2) \equiv 1 \pmod{m}$ . We can multiply  $b_1$  and  $b_2$  to get an integer  $b_3$ . Thus, when we multiply  $a_1a_2$  by  $b_3$  and get 1. We have shown that  $b_3$  is a multiplicative inverse, and  $a_1a_2$  is a unit modulo m.

#### Exercise 1.26

Use the square-and-multiply algorithm described in Section 1.3.2, or the more efficient version in Exercise 1.25, to compute the following powers.

- (a)  $17^{183} \pmod{256}$
- (b)  $2^{477} \pmod{1000}$

Solution.

- (a) a = 17, b = 1, A = 183, and N = 256. We will now begin the loop because A > 0
  - A = 183 is odd. Therefore,  $b = b \cdot a \pmod{256} = 1 \cdot 17 \pmod{256} = 17$ ; and  $a = a^2 \pmod{256} = 17^2 \pmod{256} = 33$ .  $A = \lfloor 182/2 \rfloor = 91$ .
  - A = 91 is odd. Therefore,  $b = b \cdot a \pmod{256} = 17 \cdot 33 \pmod{256} = 49$ ; and  $a = a^2 \pmod{256} = 33^2 \pmod{256} = 65$ . A = |91/2| = 45.
  - A = 45 is odd. Therefore,  $b = b \cdot a \pmod{256} = 49 \cdot 65 \pmod{256} = 113$ ; and  $a = a^2 \pmod{256} = 65^2 \pmod{256} = 129$ .  $A = \lfloor 45/2 \rfloor = 22$ .
  - A = 22 is even. Therefore,  $a = a^2 \pmod{256} = 129^2 \pmod{256} = 1$ .  $A = \lfloor 22/2 \rfloor = 11$ .
  - A = 11 is odd. Therefore,  $b = b \cdot a \pmod{256} = 113 \cdot 1 \pmod{256} = 113$ ; and  $a = a^2 \pmod{256} = 1^2 \pmod{256} = 1$ .  $A = \lfloor 11/2 \rfloor = 5$ .
  - A = 5 is odd. Therefore,  $b = b \cdot a \pmod{256} = 113 \cdot 1 \pmod{256} = 113$ ; and  $a = a^2 \pmod{256} = 1^2 \pmod{256} = 1$ .  $A = \lfloor 5/2 \rfloor = 2$ .
  - A = 2 is even. Therefore,  $a = a^2 \pmod{256} = 1^2 \pmod{256} = 1$ .  $A = \lfloor 2/2 \rfloor = 1$ .
  - A = 1 is odd. Therefore,  $b = b \cdot a \pmod{256} = 113 \cdot 1 \pmod{256} = 113$ ; and  $a = a^2 \pmod{256} = 1^2 \pmod{256} = 1$ .  $A = \lfloor 1/2 \rfloor = 0$ .

Since A = 0, we can report the value of b, which is 113. Hence,  $17^183 \pmod{256} = 113$ .

- (b) a=2, b=1, A=477, N=1000. We will now begin the loop because A>0
  - A = 477 is odd. Therefore,  $b = b \cdot a \pmod{1000} = 1 \cdot 2 \pmod{1000} = 2$ ; and  $a = a^2 \pmod{1000} = 2^2 \pmod{1000} = 4$ . A = |477/2| = 238.

- A = 238 is even. Therefore,  $a = a^2 \pmod{1000} = 4^2 \pmod{1000} = 16$ .  $A = \lfloor 238/2 \rfloor = 119$ .
- A = 119 is odd. Therefore,  $b = b \cdot a \pmod{1000} = 2 \cdot 16 \pmod{1000} = 32$ ; and  $a = a^2 \pmod{1000} = 16^2 \pmod{1000} = 256$ . A = |119/2| = 59.
- A = 59 is odd. Therefore,  $b = b \cdot a \pmod{1000} = 32 \cdot 256 \pmod{1000} = 192$ ; and  $a = a^2 \pmod{1000} = 256^2 \pmod{1000} = 536$ . A = |59/2| = 29.
- A = 29 is odd. Therefore,  $b = b \cdot a \pmod{1000} = 192 \cdot 536 \pmod{1000} = 912$ ; and  $a = a^2 \pmod{1000} = 536^2 \pmod{1000} = 296$ .  $A = \lfloor 29/2 \rfloor = 14$ .
- A = 14 is even. Therefore,  $a = a^2 \pmod{1000} = 296^2 \pmod{1000} = 616$ .  $A = \lfloor 14/2 \rfloor = 7$ .
- A = 7 is odd. Therefore,  $b = b \cdot a \pmod{1000} = 912 \cdot 616 \pmod{1000} = 792$ ; and  $a = a^2 \pmod{1000} = 616^2 \pmod{1000} = 456$ .  $A = \lfloor 7/2 \rfloor = 3$ .
- A = 3 is odd. Therefore,  $b = b \cdot a \pmod{1000} = 792 \cdot 456 \pmod{1000} = 152$ ; and  $a = a^2 \pmod{1000} = 456^2 \pmod{1000} = 936$ . A = |3/2| = 1.
- A = 1 is odd. Therefore,  $b = b \cdot a \pmod{1000} = 152 \cdot 936 \pmod{1000} = 272$ ; and  $a = a^2 \pmod{1000} = 936^2 \pmod{1000} = 96$ .  $A = \lfloor 1/2 \rfloor = 0$ .

Since A = 0, we can report the value of b, which is 272. Hence,  $2^477 \pmod{1000} = 272$ .

# 1.4 Prime Numbers, Unique Factorization, and Finite Fields

#### **Definition Prime Number:**

A prime number is a positive integer greater than 1 whose only divisors are 1 and itself.

**Proposition 1.19** Let p be a prime number with  $a, b \in \mathbb{Z}$  such that  $p \mid ab$ . Then  $p \mid a$  or  $p \mid b$ .

#### Theorem: Fundamental Theorem of Arithmetic

Let  $a \geq 2$  be an integer. Then a can be factored as a product of prime numbers

$$a = p_1^{e_1} \cdot p_2^{e_2} \cdots p_r^{e_r}.$$

Further, other than rearranging the order of the factors, this factorization is unique.

**Proposition 1.21:** Let p be prime. Then every non-zero element of  $\mathbb{Z}/p\mathbb{Z}$  has a multiplicative inverse.

Put another way,  $\overline{x} \in \mathbb{Z}_p$  has a multiplicative inverse if and only if gcd(x, p) = 1 because of Proposition 1.13.

#### **Definition Field:**

If p is prime, then  $\mathbb{Z}/p\mathbb{Z}$  of integers modulo p with its addition, subtraction, multiplication, and division rules is a *field*. See the definition of Ring for more information on the properties of a field. (Note that a field is a type of ring, called a communicative ring.) We often notate fields as  $\mathbb{F}_p$  and  $\mathbb{F}_p^*$  as the group of units

### 1.5 Powers and Primitive Roots in Finite Fields

#### Theorem: Fermat's Little Theorem

Let p be a prime number and a be an integer. Then,

$$a^{p-1} \equiv \begin{cases} 1 \pmod{p} & \text{if } p \nmid a \\ 0 \pmod{p} & \text{if } p \mid a \end{cases}$$

### Example 1.11: Order 1

Find the order of 2 modulo 7.

Solution.  $2^3 = 8 \pmod{7} = 1$ . Thus, the order of 2 modulo 7 is 3.

### Example 1.12: Order 2

Find the order of 5 modulo 7.

Solution.  $5^6 = 15625 \pmod{7} = 1$ . Thus, the order of 5 modulo 7 is 6.

#### Theorem: Primitive Root Theorem

Let p be a prime number. Then there exists an integer g such that there exists an  $x \in \mathbb{F}_p^*$  whose powers give every element of  $\mathbb{F}_p^*$ , i.e.,

$$\mathbb{F}_{p}^{*} = \{1, g, g^{2}, g^{3}, \dots, g^{p-2}\}.$$

Elements with this property are called the *primitive roots of*  $\mathbb{F}_p$  or *generators of*  $\mathbb{F}_p^*$ . They are elements of order p-1.

### Example 1.13: Primitive Root

Find a primitive root modulo 7.

Solution. We can look to the Primitive Root Theorem to see how many primitive roots are 7 has. Because 7 is prime, we know that  $\varphi = 6$ . Thus, we know that 7 will have 6 primitive roots. Because there are 6 primitive roots in total, and  $\mathbb{F}_7^*$  has 7 elements (including 0), we know that 1-6 will be the primitive roots.

#### 1.5.1 Exercises

#### Exercise 1.32

For each of the following primes p and numbers a, compute  $a^{-1} \mod p$  in two ways: (i) the extended Euclidean algorithm. (ii) Use the fast power algorithm and Fermat's little theorem. (See Example 1.27.)

- (a) p = 47 and a = 11.
- (b) p = 587 and a = 345.

Solution.

(a) (i) For the extended Euclidean algorithm, we can start by filling out this table:

i	$r_i$	$q_i$	$r_{i+1}$	$ u_i $	$v_i$
$\overline{\theta}$	47	_	_	1	0
1	11	4	3	0	1
2	3	3	2	1	$0 - 4 \cdot 1 = -4$
3	2	1	1	$0 - 3 \cdot 1 = -4$	$1 - 3 \cdot -4 = 13$
4	1	2	0	$1 - 1 \times -4 = 4$	$-4 - 1 \cdot 13 = -17$

Thus, to find  $a^{-1} \pmod{p}$ , we need an x such that  $11x \equiv 1 \pmod{47}$ . From our table, we know that number to be -17 because  $1 = 4 \times 47 - 17 \times 11$ . Then, as a positive number mod 47, we get  $x \equiv -17 \equiv 30 \pmod{47}$ .

(ii) For Fermat's Little Theorem:

$$a^{p-1} \equiv 1 \pmod{p}$$

$$a^{p-2} \equiv a^{-1} \pmod{p}$$

$$11^{45} \pmod{47}$$

By the fast power algorithm, we need to find the binary representation of 45. Thus,  $45 \pmod{2} = 1$ ,  $22 \pmod{2} = 0$ ,  $11 \pmod{2} = 1$ ,  $5 \pmod{2} = 1$ ,  $2 \pmod{2} = 0$ ,  $1 \pmod{2} = 1$ . From this, we have the binary representation

of  $45_{10}$  as  $101101_2$ . Hence, we will need to calculate  $2^5 \cdot 2^3 \cdot 2^2 \cdot 2^1 \cdot 2^0 \Rightarrow 11^{2^5} \cdot 11^{2^3} \cdot 11^{2^0} \Rightarrow 11^{32} \cdot 11^8 \cdot 11^4 \cdot 11 \equiv 17 \cdot 14 \cdot 25 \cdot 11$ . Now, lets find the values of these numbers:

$$11^{1} \equiv 11 \pmod{47}$$

$$11^{2} \equiv 27 \pmod{47}$$

$$11^{4} \equiv 25 \pmod{47}$$

$$11^{8} \equiv 14 \pmod{47}$$

$$11^{16} \equiv 8 \pmod{47}$$

$$11^{32} \equiv 17 \pmod{47}$$

Now, we can calculate  $11^{45} = 11^{32} \cdot 11^8 \cdot 11^4 \cdot 11 \equiv 17 \cdot 14 \cdot 25 \cdot 11 \pmod{47}$ . Further multiplying we get  $17 \cdot 14 \pmod{47} \equiv 3$ . Then,  $3 \cdot 25 \pmod{47} \equiv 28$ . And finally,  $28 \cdot 11 \pmod{47} \equiv 30$ . Thus confirming our previous answer in (i).

(b) (i) For the extended Euclidean algorithm:

i	$\mid r_i \mid$	$  q_i  $	$r_{i+1}$	$u_i$	$v_i$
$\overline{\theta}$	587	_	_	1	0
1	345	1	242	0	1
2	242	1	103	1	$0 - 1 \cdot 1 = -1$
3	103	2	36	$0 - 1 \cdot 1 = -1$	$1 - 1 \cdot -1 = 2$
4	36	2	31	$1 - 2 \cdot -1 = 3$	$-1 - 2 \cdot 2 = -5$
5	31	1	5	$-1 - 2 \cdot 3 = -7$	$2 - 2 \cdot -5 = 12$
6	5	6	1	$3 - 1 \cdot -7 = 10$	$-5 - 1 \cdot 12 = -17$
$\overline{\gamma}$	1	5	0	$10 - 6 \cdot 10 = -67$	$12 - 6 \cdot -17 = 114$

Thus, the inverse of 345 (mod 587) is 114.

(ii) The binary expression for  $585_{10}$  is  $1001001001_2$  (I just used my calculator this time and kept dividing ans by 2 while keeping track of the odd vs even

quotients). This leaves us with  $345^{29} \cdot 345^{26} \cdot 345^{23} \cdot 345^{20}$ .

$$345^1 \equiv 345 \pmod{587}$$

$$345^2 \equiv 451 \pmod{587}$$

$$345^4 \equiv 299 \pmod{587}$$

$$345^8 \equiv 177 \pmod{587}$$

$$345^{16} \equiv 218 \pmod{587}$$

$$345^{64} \equiv 529 \pmod{587}$$

$$345^{128} \equiv 429 \pmod{587}$$

$$345^{256} \equiv 310 \pmod{587}$$

$$345^{512} \equiv 419 \pmod{587}$$

Now we can multiply and solve:  $345^{2^9} \cdot 345^{2^6} \cdot 345^{2^3} \cdot 345^{2^0} \Rightarrow 419 \cdot 529 \cdot 177 \cdot 345 \pmod{587} = 114$ . Therefore, the inverse of 345 (mod 587) is 114.

#### Exercise 1.34

Recall that g is called a primitive root modulo p if the powers of g give all nonzero elements of  $\mathbb{F}_p$ .

(a) For which of the following primes is 2 a primitive root modulo p?

(i) 
$$p = 7$$
 (ii)  $p = 13$  (iii)  $p = 19$  (iv)  $p = 23$ 

(b) For which of the following primes is 3 a primitive root modulo p?

(i) 
$$p=5$$
 (ii)  $p=7$  (iii)  $p=11$  (iv)  $p=17$ 

(c) Find a primitive root for each of the following primes.

(i) 
$$p = 23$$
 (ii)  $p = 29$  (iii)  $p = 41$  (iv)  $p = 43$ 

(d) Find all primitive roots modulo 11. Verify that there are exactly  $\phi(10)$  of them, as asserted in Remark 1.32.

Solution.

- (a) (i) p = 7:  $2^1 \equiv 2$ ,  $2^2 \equiv 4$ ,  $2^3 \equiv 1 \pmod{7}$ . Because 2 does not cover every nonzero elements of  $\mathbb{F}_p$ , 2 is not a primitive root.
  - (ii) p=13:  $2^1\equiv 2,\ 2^2\equiv 4,\ 2^3\equiv 8,\ 2^4\equiv 3,\ 2^5\equiv 6,\ 2^6\equiv 12,\ 2^7\equiv 11,\ 2^8\equiv 9,\ 2^9\equiv 5,\ 2^{10}\equiv 10,\ 2^{11}\equiv 7,\ 2^{12}\equiv 1,\ 2$  is a primitive root.

- (iii) p = 19:  $2^1 \equiv 2$ ,  $2^2 \equiv 4$ ,  $2^3 \equiv 8$ ,  $2^4 \equiv 16$ ,  $2^5 \equiv 13$ ,  $2^6 \equiv 7$ ,  $2^7 \equiv 14$ ,  $2^8 \equiv 9$ ,  $2^9 \equiv 18$ ,  $2^{10} \equiv 17$ ,  $2^{11} \equiv 15$ ,  $2^{12} \equiv 11$ ,  $2^{13} \equiv 3$ ,  $2^{14} \equiv 6$ ,  $2^{15} \equiv 12$ ,  $2^{16} \equiv 5$ ,  $2^{17} \equiv 10$ ,  $2^{18} \equiv 1$ , 2 is a primitive root.
- (iv) p = 23:  $2^1 \equiv 2$ ,  $2^2 \equiv 4$ ,  $2^3 \equiv 8$ ,  $2^4 \equiv 16$ ,  $2^5 \equiv 9$ ,  $2^6 \equiv 18$ ,  $2^7 \equiv 13$ ,  $2^8 \equiv 3$ ,  $2^9 \equiv 6$ ,  $2^{10} \equiv 12$ ,  $2^{11} \equiv 1$ , 2 is not a primitive root.
- (b) (i) p = 5:  $3^1 \equiv 3$ ,  $3^2 \equiv 4$ ,  $3^3 \equiv 2$ ,  $3^4 \equiv 1$ , 3 is a primitive root.
  - (ii) p = 7:  $3^1 \equiv 3, 3^2 \equiv 2, \dots, 3^6 \equiv 1, 3$  is a primitive root.
  - (iii) p = 11:  $3^1 \equiv 3, 3^2 \equiv 9, 3^3 \equiv 5, \dots, 3^5 \equiv 1, 3$  is not a primitive root.
  - (iv) p = 17:  $3^1 \equiv 3, 3^2 \equiv 9, \dots, 3^{16} \equiv 1, 3$  is a primitive root.
- (c) (i) p = 23:  $5^1 \equiv 5$ ,  $5^2 \equiv 2$ ,  $5^3 \equiv 10$ ,  $5^4 \equiv 4$ ,  $5^5 \equiv 20$ ,  $5^6 \equiv 8$ ,  $5^7 \equiv 17$ ,  $5^8 \equiv 16$ ,  $5^9 \equiv 11$ ,  $5^{10} \equiv 9$ ,  $5^{11} \equiv 22$ ,  $5^{12} \equiv 18$ ,  $5^{13} \equiv 21$ ,  $5^{14} \equiv 13$ ,  $5^{15} \equiv 19$ ,  $5^{16} \equiv 3$ ,  $5^{17} \equiv 15$ ,  $5^{18} \equiv 6$ ,  $5^{19} \equiv 7$ ,  $5^{20} \equiv 12$ ,  $5^{21} \equiv 14$ ,  $5^{22} \equiv 1$ .

5 is a primitive root.

(ii)  $2^1 \equiv 2$ ,  $2^2 \equiv 4$ ,  $2^3 \equiv 8$ ,  $2^4 \equiv 16$ ,  $2^5 \equiv 3$ ,  $2^6 \equiv 6$ ,  $2^7 \equiv 12$ ,  $2^8 \equiv 24$ ,  $2^9 \equiv 19$ ,  $2^{10} \equiv 9$ ,  $2^{11} \equiv 18$ ,  $2^{12} \equiv 7$ ,  $2^{13} \equiv 14$ ,  $2^{14} \equiv 28$ ,  $2^{15} \equiv 27$ ,  $2^{16} \equiv 25$ ,  $2^{17} \equiv 21$ ,  $2^{18} \equiv 13$ ,  $2^{19} \equiv 26$ ,  $2^{20} \equiv 23$ ,  $2^{21} \equiv 17$ ,  $2^{22} \equiv 5$ ,  $2^{23} \equiv 10$ ,  $2^{24} \equiv 20$ ,  $2^{25} \equiv 11$ ,  $2^{26} \equiv 22$ ,  $2^{27} \equiv 15$ ,  $2^{28} \equiv 1$ .

2 is a primitive root.

(iii)  $6^1 \equiv 6$ ,  $6^2 \equiv 36$ ,  $6^3 \equiv 11$ ,  $6^4 \equiv 25$ ,  $6^5 \equiv 27$ ,  $6^6 \equiv 39$ ,  $6^7 \equiv 29$ ,  $6^8 \equiv 10$ ,  $6^9 \equiv 19$ ,  $6^{10} \equiv 32$ ,  $6^{11} \equiv 28$ ,  $6^{12} \equiv 4$ ,  $6^{13} \equiv 24$ ,  $6^{14} \equiv 21$ ,  $6^{15} \equiv 3$ ,  $6^{16} \equiv 18$ ,  $6^{17} \equiv 26$ ,  $6^{18} \equiv 33$ ,  $6^{19} \equiv 34$ ,  $6^{20} \equiv 40$ ,  $6^{21} \equiv 35$ ,  $6^{22} \equiv 5$ ,  $6^{23} \equiv 30$ ,  $6^{24} \equiv 16$ ,  $6^{25} \equiv 14$ ,  $6^{26} \equiv 2$ ,  $6^{27} \equiv 12$ ,  $6^{28} \equiv 31$ ,  $6^{29} \equiv 22$ ,  $6^{30} \equiv 9$ ,  $6^{31} \equiv 13$ ,  $6^{32} \equiv 37$ ,  $6^{33} \equiv 17$ ,  $6^{34} \equiv 20$ ,  $6^{35} \equiv 38$ ,  $6^{36} \equiv 23$ ,  $6^{37} \equiv 15$ ,  $6^{38} \equiv 8$ ,  $6^{39} \equiv 7$ ,  $6^{40} \equiv 1$ .

6 is a primitive root.

(iv)  $3^1 \equiv 3$ ,  $3^2 \equiv 9$ ,  $3^3 \equiv 27$ ,  $3^4 \equiv 38$ ,  $3^5 \equiv 28$ ,  $3^6 \equiv 41$ ,  $3^7 \equiv 37$ ,  $3^8 \equiv 25$ ,  $3^9 \equiv 32$ ,  $3^{10} \equiv 10$ ,  $3^{11} \equiv 30$ ,  $3^{12} \equiv 4$ ,  $3^{13} \equiv 12$ ,  $3^{14} \equiv 36$ ,  $3^{15} \equiv 22$ ,  $3^{16} \equiv 23$ ,  $3^{17} \equiv 26$ ,  $3^{18} \equiv 35$ ,  $3^{19} \equiv 19$ ,  $3^{20} \equiv 14$ ,  $3^{21} \equiv 42$ ,  $3^{22} \equiv 40$ ,  $3^{23} \equiv 34$ ,  $3^{24} \equiv 16$ ,  $3^{25} \equiv 5$ ,  $3^{26} \equiv 15$ ,  $3^{27} \equiv 2$ ,  $3^{28} \equiv 6$ ,  $3^{29} \equiv 18$ ,  $3^{30} \equiv 11$ ,  $3^{31} \equiv 33$ ,  $3^{32} \equiv 13$ ,  $3^{33} \equiv 39$ ,  $3^{34} \equiv 31$ ,  $3^{35} \equiv 7$ ,  $3^{36} \equiv 21$ ,  $3^{37} \equiv 20$ ,  $3^{38} \equiv 17$ ,  $3^{39} \equiv 8$ ,  $3^{40} \equiv 24$ ,  $3^{41} \equiv 29$ ,  $3^{42} \equiv 1$ .

3 is a primitive root.

(d) The primitive roots modulo 11 are 2, 6, 7, 8. To check:  $2^1 \equiv 11 = 2$ ,  $2^2 \equiv 11 = 4$ ,  $2^3 \equiv 11 = 8$ ,  $2^4 \equiv 11 = 5$ ,  $2^5 \equiv 11 = 10$ ,  $2^6 \equiv 11 = 9$ ,  $2^7 \equiv 11 = 7$ ,  $2^8 \equiv 11 = 3$ ,  $2^9 \equiv 11 = 6$ ,  $2^{10} \equiv 11 = 1$ ,



2 is a primitive root.

$$6^1 \equiv 11 = 6, 6^2 \equiv 11 = 3, 6^3 \equiv 11 = 7, 6^4 \equiv 11 = 9, 6^5 \equiv 11 = 10, 6^6 \equiv 11 = 5, 6^7 \equiv 11 = 8, 6^8 \equiv 11 = 4, 6^9 \equiv 11 = 2, 6^{10} \equiv 11 = 1,$$

6 is a primitive root.

$$7^1 \equiv 11 = 7, \ 7^2 \equiv 11 = 5, \ 7^3 \equiv 11 = 2, \ 7^4 \equiv 11 = 3, \ 7^5 \equiv 11 = 10, \ 7^6 \equiv 11 = 4, \ 7^7 \equiv 11 = 6, \ 7^8 \equiv 11 = 9, \ 7^9 \equiv 11 = 8, \ 7^{10} \equiv 11 = 1,$$

7 is a primitive root.

$$8^1 \equiv 11 = 8, \ 8^2 \equiv 11 = 9, \ 8^3 \equiv 11 = 6, \ 8^4 \equiv 11 = 4, \ 8^5 \equiv 11 = 10, \ 8^6 \equiv 11 = 3, \ 8^7 \equiv 11 = 2, \ 8^8 \equiv 11 = 5, \ 8^9 \equiv 11 = 7, \ 8^{10} \equiv 11 = 1,$$

8 is a primitive root.

### 1.6 Cryptography By Hand

#### 1.6.1 Exercises

#### Exercise 1.41

A transposition cipher is a cipher in which the letters of the plaintext remain the same, but their order is rearranged. Here is a simple example in which the message is encrypted in blocks of 25 letters at a time. Take the given 25 letters and arrange them in a 5-by-5 block by writing the message horizontally on the lines. For example, the first 25 letters of the message

Now is the time for all good men to come to the aid...

is written as

N O W I S
T H E T I
M E F O R
A L L G O
O D M E N

Now the ciphertext is formed by reading the letters down the columns, which gives the ciphertext

NTMAO OHELD WEFLM ITOGE SIRON.

(a) Use this transposition cipher to encrypt the first 25 letters of the message

Four score and seven years ago our fathers...

(b) The following message was encrypted using this transposition cipher. Decrypt it.

WNOOA HTUFN EHRHE NESUV ICEME.

(c) There are many variations on this type of cipher. We can form the letters into a rectangle instead of a square, and we can use various patterns to place the letters into the rectangle and to read them back out. Try to decrypt the following ciphertext, in which the letters were placed horizontally into a rectangle of some size and then read off vertically by columns.

WHNCE STRHT TEOOH ALBAT DETET SADHE LEELL QSFMU EEEAT VNLRI ATUDR HTEEA

(For convenience, we've written the ciphertext in 5 letter blocks, but that doesn't necessarily mean that the rectangle has a side of length 5.)

Solution.

(a) The first 25 letters of the sentence given is, "Four score and seven year ago". Written in block form:

Now the ciphertext is formed by reading the letters down the columns, which gives the ciphertext

#### FCNER OODNS URSYA REEEG SAVAO

- (b) To create a transposition cipher, we can read the sentence from the starting letter of each word from the sentence, and move inward. For example,
  - (1)  $\overline{W}$  NOOA  $\overline{H}$  TUFN  $\overline{E}$  HRHE  $\overline{N}$  ESUV  $\overline{I}$  CEME  $\Rightarrow$  "When I..."
  - (2) WNOOA HTUFN EHRHE NESUVICEME  $\Rightarrow$  "...N The C..."
  - (3) WN O OA HT U FN EH R HE NE S UV IC E ME  $\Rightarrow$  "...ourse..."
  - (4) WNO O A HTU F N EHR H E NES U V ICE M E  $\Rightarrow$  "...Of Hum..."
  - (5) WNOO A HTUF N EHRH E NESU V ICEM  $E \Rightarrow$  "...an Eve."

Putting it all together, we get, "When in the course of human eve..." (Declaration of Independence)

- (c) This cipher is going to be a little difficult as we have to go through a couple of cases to see which pattern makes the most sense. If we consider the number of letters, 60, we can start at the lowest divisor, 2, and work our way up through the other divisors (this way, we won't start with extremely long ciphers that will each take up a whole page on their lonesome). Thus,
  - Reading by 2s (to get a  $2 \times 30$  matrix)

W N E T H T O H L A D T A H L E H C S R T E O A B T E S D E E L

Clearly, even though we do not have all the letters, there are no words being formed. Let's move on.

• Reading by 3s (to get a  $3 \times 20$  matrix)

W C T T O A A E T D L L S U E V R T R E
H E R T O L T T S H E L F E A N I U H E
N S H E H B D E A E E Q M E T L A D T A
There are no words here.

•  $4 \times 15$  matrix

W	Ε	Н	0	L	D	T	Н	Ε	S	Ε	T	R	U	T
Н	S	T	0	В	Е	S	Е	L	F	Е	V	Ι	D	Ε
N	T	T	Н	Α	T	Α	L	L	М	Е	N	Α	R	Ε
С	R.	E	Α	Т	E	D	E	Ŋ	IJ	Α	T.	Т	Н	Α

There we finally have our transcription! "We hold these truths to be self evident that all men are created equal tha..." (Declaration of Independence again).

#### **Exercise Additional Problem**

Write down the steps for an algorithm to encrypt a plaintext using a transposition/S-cytale cipher using n columns. Hint: This should involve some modular arithmetic.

Solution.

- (1) Remove all spaces from the plaintext message.
- (2) Let i be the length of the message after removing spaces.
- (3) Find the number of rows m required for the transposition cipher matrix:

$$m = \left\lceil \frac{i}{n} \right\rceil$$

- (4) Create an  $m \times n$  matrix to hold the characters.
- (5) For each character in the message, place it into the matrix. Let the index of the current character in the message be k, where  $0 \le k < i$ . Calculate the row and column for this character as follows:

$$row = \left\lfloor \frac{k}{n} \right\rfloor$$

$$column = k \pmod{n}$$

(6) Once all characters are placed into the matrix, read the matrix column by column to form the ciphertext. For each column j, loop through the rows and append each character to the ciphertext in the following order:

character index = 
$$j + n \times row$$

- (7) If there are empty cells (i.e., n does not divide the message length i), fill these cells with random characters or leave them blank.
- (8) Concatenate the characters column by column to form the final ciphertext.

# 1.7 Symmetric and Antisymmetric Ciphers

## 1.7.1 Symmetric Ciphers

#### **Definition Symmetric Cipher:**

A cipher that uses the same key for both encryption and decryption.

#### Review of Notation

- k implies key;
- K implies key space;
- m implies plaintext plain text;
- c implies cipher text;
- $\mathcal{M}$  implies all possible messages (message space);
- $\mathcal{C}$  implies all possible cipher texts (cipher space);
- Encryption is a function that is defined as:

$$e: \mathcal{K} \times \mathcal{M} \to \mathcal{C}$$
 such that  $d(k(e(k, m))) = m$ 

• Decryption is a function that is defined as:

$$d: \mathcal{K} \times \mathcal{C} \to \mathcal{M}$$
 such that  $e(k, d(k, c)) = c$ 

## 1.7.2 Successful Ciphers

This brings us to what it means to be a *successful* cipher. Thus, we look to *Kerckhoff's Principle* which states that the security of a cipher should not depend on the secrecy of the algorithm, but rather on the secrecy of the key. Therefore, we have the following properties that are required for each cipher:

- 1. For all k and m, it is easy to compute  $e_k(m)$ . (Note that easy is relative to the computational power of the adversary. For this course, easy denotes a decryption time of less than a second.)
- 2. For all k and c, it is easy to compute  $e_k(c)$ .
- 3. Given one or more ciphertext,  $c_1, \ldots c_n$ , all encrypted with the same key, it is hard to compute any plaintext, m, such that  $d_k(m)$  without knowing the key.

The next traits are desired, but not required:

- 4. Given one or more PT and CT pair,  $(m_1, c_1), \ldots, (m_n, c_n)$  it is decrypt another CT not on this list without knowing the key. An example would be the *enigma cipher* from WW-II.
- 5. For any PT chosen by the adversary and their CT's,  $(c_1, \ldots c_n)$ , it should be hard to decrypt any CT not in this list.

### Types of Attacks

- Brute Force Attack: Trying all possible keys.
- Known PT Attack: The adversary knows the plaintext and the corresponding ciphertext.
- Chosen PT Attack: The adversary chooses the plaintext and receives the corresponding ciphertext.

#### **Types of Ciphers**

- 1. Multiplication modulo m:  $c = m \times k \pmod{m}$ .
  - $\mathcal{K} = \mathcal{M} = \mathcal{C} = \mathbb{F}_p^*$
  - $k \in \mathbb{F}_p^*$
  - $\bullet$   $e_k(m) = k \cdot m \pmod{p}$
  - $d_k(m) = k^{-1} \cdot c \pmod{p}$
  - Example:  $\mathbb{F}_{307}$ , k = 258, m = 444,  $e_{258}(444) = 258.444 \pmod{1307} = 843$ . To find decrypt this message, Eve needs to iterate through 1307 1 different keys. (Easy.)
- 2. Add (mod m):  $c = m + k \pmod{m}$ . (Caesar Cipher.)
- 3. Affine Cipher: Key =  $(k_1, k_2) \in \mathbb{Z} \times \mathbb{Z}$ .
- 4. Hill Cipher.
- 5. Vernam's One-time Pad.

# 1.7.3 Encoding Schemes

#### **Definition Encoding Scheme:**

An encoding scheme is a method of converting plaintext into a form that can be transmitted over a channel. An encoding scheme is assumed to be entirely public knowledge and used by everyone for the same purposes. An encryption scheme is designed to hide information from anyone who dopes not know the key. Thus, an encoding scheme, like an encryption scheme, consists of an encoding functions are public knowledge and should be fast and easy to compute.

This section will cover ASCII: We can take strings of 8 bits and convert them into a single character. From 0 to 255, and use them to represent the letters of the alphabet via a = 00000000, b = 00000001, c = 00000010, ..., z = 00011001. To distinguish between upper and lower case letters, we can use the first bit to represent the case.

#### 1.7.4 Exercises

#### Exercise 1.46

- (a) Convert the 12-bit binary number 110101100101 into a decimal integer between 0 and  $2^{12} 1$ .
- (e) Convert the decimal numbers 8734 and 5177 into binary numbers, combine them using XOR, and convert the result back into a decimal number.

For this exercise, I will be using these python functions that I wrote:

```
1 \text{ def}
 2
3
         while
 4
 5
 6
                                         map str
8
         print
9
10 \, \deg
11
                                         int
12
         print
```

#### Solution.

- (a) 3429
- (e) Note the added 0 for the second binary number so the two numbers add properly.

```
8734 = 10001000011110

5177 = 01010000111001

8734 \oplus 5177 = 11011001010111

13863 = 110110010101111
```

#### DISCRETE LOGARITHMS AND DIFFIE-HELLMAN

# 2.1 The Birth of Public Key Cryptography

#### **Definition One-way Function:**

A one-way function that is easy to computer, but whose inverse is difficult.

### **Definition Trap-door Function:**

A trap-door function is a one-way function with an extra piece of information that makes  $f^{-1}$  easy.

# 2.2 Discrete Logarithm Problem (DLP)

#### **Definition Discrete Logarithm Problem:**

Let g be a primitive root for  $\mathbb{F}_p$  and let h be a nonzero element of  $\mathbb{F}_p$ . The *Discrete Logarithm Problem* is the problem of finding an exponent x such that

$$g^x \equiv h \pmod{p}$$
.

The number x is called the discrete logarithm of h to the base g and is denoted by  $\log_q(h)$ .

Remember the rules of logarithms:

$$\log_b(a \cdot c) = \log_b(a) + \log_b(c)$$
$$\log_b(a^c) = c \cdot \log_b(a)$$
$$\log_b(a/c) = \log_b(a) - \log_b(c)$$

What is the value of x such that  $20^x = 21 \pmod{23} \stackrel{\text{Brute-f}}{\Longrightarrow} \log_{20} 21 = \boxed{7} \pmod{23}$ . (Where 7 is from wolfram.)

# Example 2.1: DLP

Find  $\log_2(10)$  (mod 11). In other words, find the value of x such that  $2^x \equiv 10 \pmod{11}$ .



Solution.

$$2^{1} = 2 \pmod{11}$$

$$2^{2} = 4 \pmod{11}$$

$$2^{3} = 8 \pmod{11}$$

$$2^{4} = 5 \pmod{11}$$

$$2^{5} = 10 \pmod{11}$$

$$\log_{2}(10) = \boxed{5} \pmod{11}.$$

### 2.2.1 Exercises

## Exercise 2.3

Let g be a primitive root for  $\mathbb{F}_p$ .

- (b) Prove that  $\log_g(h_1h_2) = \log_g(h_1) + \log_g(h_2)$  for all  $h_1, h_2 \in \mathbb{F}_p^*$ .
- (c) Prove that  $\log_g(h^n) = n \log_g(h)$  for all  $h \in \mathbb{F}_p^*$  and  $n \in \mathbb{Z}$ .

Solution.

- (b) Proof. We know that  $g^x \equiv h \pmod{p}$ , which means that  $x = \log_g(h)$ . Similarly, if  $g^{x_1} \equiv h_1 \pmod{p}$  and  $g^{x_2} \equiv h_2 \pmod{p}$ , then  $x_1 = \log_g(h_1)$  and  $x_2 = \log(h_2)$ . Now, we can substitute these values into the first equation to get  $g^{x_1+x_2} \equiv h_1h_2 \pmod{p} \equiv g^{\log_g(h_1)+\log_g(h_2)} \pmod{p}$ . Then, from the properties of exponents, we can rewrite this equation as  $h_1 \cdot h_2 \pmod{p} \equiv g^{\log_g(h_1h_2)} \pmod{p}$ . Therefore,  $\log_g(h_1 \cdot h_2) = \log_g(h_1) + \log_g(h_2)$ .
- (c) Proof Following a similar process to (b), we start with  $g^{n \log_g(h)}$ . Then, by using the properties of logarithms, we can rewrite this as  $g^{\log_g(h^n)}$ . Then, because  $g^{\log_g}$  cancel out, we see that  $g^{\log_g(h^n)} = h^n$ . Putting everything together, we have

$$g^{\log_g(h^n)} \equiv h^n \pmod{p}$$
  
$$\log_g(h^n) \equiv n \log_g(h) \pmod{p}.$$



### Exercise 2.4

Compute the following discrete logarithms.

- (a)  $\log_2(13)$  for the prime 23, i.e., p=23, g=2, and you must solve the congruence  $2^x \equiv 13 \pmod{23}$ .
- (b)  $\log_{10}(22)$  for the prime p = 47.
- (c)  $\log_{627}(608)$  for the prime p=941. (Hint: Look in the second column of Table 2.1 on page 66.)

Solution.

- (a) We use Wolfram Alpha to solve for x in the equation  $2^x \equiv 13 \pmod{23}$ : x = 7.
- (b) Solving for x we get x = 11.
- (c) x = 18.

# 2.3 Diffie-Hellman Key Exchange

D-H gives a way for Alice and Bob to get a secret shared key in an unsecure environment (i.e., when Eve is listening). Now, we will follow the steps of D-H below:

- 1. Alice and Bob choose large prime p and primitive root g and make public  $k_{\text{pub}} = (p, g)$ .
- 2. Alice and Bob each pick their own secret integers, a, b such that  $k_{\text{priv }A} = a$  and  $k_{\text{priv }B} = b$ . Compute  $g^a \pmod{p} = A$  and  $g^b \pmod{p} = B$ .
- 3. Exchange A and B over an insecure channel.
  - i. Note that Eve would have to solve DLP if she obtained A and B where  $a = \log_g(A)$  and  $b = \log_g(B)$ .
  - ii. Guidelines  $\approx 2^{1000}g \approx p/2$ .
- 4. Alice computes  $B^a \pmod{p} = A'$  and Bob computes  $A^b \pmod{p} = B'$ .

## Example 2.2: D-H

Let p = 23 and g = 5. Alice chooses a = 6 and Bob chooses b = 15. Compute the shared secret key.



Solution.

$$A = 5^6 \pmod{23} = 8$$
  
 $B = 5^{15} \pmod{23} = 19$   
 $A' = 19^6 \pmod{23} = 2$   
 $B' = 8^{15} \pmod{23} = 2$ .

#### **Definition Diffie-Hellman Problem:**

Let p be a prime number and g an integer. The *Diffie-Hellman Problem* is the problem of computing the value of  $g^{ab} \pmod{p}$  from the known values of  $g^a \pmod{p}$  and  $g^b \pmod{p}$ .

## 2.3.1 Exercises

# 2.4 Elgamal Public Key Cryptosystem

Public parameter creation		
A trusted party chooses and publishes a large prime $p$ and an element $g$ modulo $p$ of large (prime) order.		
Key creation		
Alice	Bob	
Choose private key $1 \le a \le p - 1$ . Compute $A = g^a \pmod{p}$ . Publish the public key $A$ .		
Encryption		
	Choose plaintext $m$ .  Choose random element $k$ .  Use Alice's public key $A$ to compute $c_1 = g^k \pmod{p}$ and $c_2 = mA^k \pmod{p}$ .  Send ciphertext $c_1, c_2$ to Alice.	
Decryption		
Compute $(c_1^a)^{-1} \cdot c_2 \pmod{p}$ . This quantity is equal to $m$ .		

Table 2.1: Elgamal Key Creation, Encryption, and Decryption



## Example 2.3: Elgamal

Let p = 29 and g = 2. Alice chooses a = 12 and Bob chooses k = 5 and wants to send secret message m = 26. Compute the shared secret key.

Solution. First, we need to calculate Alice's A and Bob's B. Then, we can calculate the ciphertexts  $c_1$  and  $c_2$ :

$$A = g^a \pmod{p}$$
  $= 2^{12} \pmod{29} = 7$   
 $B = g^k \pmod{p}$   $= 2^5 \pmod{29} = 3$   
 $c_1 = g^k \pmod{p}$   $= 2^5 \pmod{29} = 3$   
 $c_2 = m(A^k) \pmod{p}$   $= 26(7^5 \pmod{29}) = 10$ 

Now, for Alice to decrypt the message, she must compute  $(c_1^a)^{-1} \cdot c_2 \pmod{p}$ :

$$(c_1^a)^{-1} \cdot c_2 \pmod{p} = (3^{12})^{-1} \cdot 10 \pmod{29}$$

The order of operations to compute this is as follows:

- 1. Compute  $3^{12} \pmod{29} = 16$ ;
- 2. Compute  $16^{-1} \pmod{29} = 20$ ;
- 3. Finish by multiplying  $20 \cdot 10 \pmod{29} = 26$ .

Be aware: You should only use this encryption scheme once. If you use it more than once, it is possible for an attacker to decrypt the message. For example, Eve knows  $m_1(c_1, c_2) \to \text{Eve finds } A$  by keeping record of the first message, then by solving for  $d_2$  such that  $c_1, d_2$  (where  $c_1$  is the *same* as the first message) and  $d_2$  is the second message. Then, Eve can solve for  $m_2$  by computing  $(c_1^d)^{-1} \cdot d_2 \pmod{p}$ .



### 2.4.1 Exercises

## Exercise 2.8

Alice and Bob agree to use the prime p = 1373 and the base g = 2 for communications using the Elgamal public key cryptosystem.

- (a) Alice chooses a = 947 as her private key. What is the value of her public key A?
- (b) Bob chooses b = 716 as his private key, so his public key is

$$B \equiv 2^{716} \equiv 469 \pmod{1373}$$
.

Alice encrypts the message m = 583 using the random element k = 877. What is the ciphertext  $(c_1, c_2)$  that Alice sends to Bob?

(c) Alice decides to choose a new private key a = 299 with associated public key

$$A \equiv 2^{299} \equiv 34 \pmod{1373}$$
.

Bob encrypts a message using Alice's public key and sends her the ciphertext  $(c_1, c_2) = (661, 1325)$ . Decrypt the message.

(d) Now Bob chooses a new private key and publishes the associated public key B=893. Alice encrypts a message using this public key and sends the ciphertext  $(c_1, c_2) = (693, 793)$  to Bob. Eve intercepts the transmission. Help Eve by solving the discrete logarithm problem  $2^b \equiv 893 \pmod{1373}$  and using the value of b to decrypt the message.

Solution.

- (a) p = 1373, g = 2,  $a = 947 \Rightarrow A \equiv 2^{947} \pmod{1373} \equiv 177$ .
- (b)  $c_1 \equiv 2^{877} \pmod{1373} \equiv 719$ ,  $c_2 \equiv 583 \cdot 469^{877} \pmod{1373} \equiv 623$ . Alice sends "(719, 623)" to Bob.
- (c) To decrypt, we can use the EEA to find the inverse of  $661^{299}$  (mod 1373)  $\equiv 645^{-1} \equiv 794$ . From here, we solve for the message:  $1325 \cdot 794$  (mod 1373)  $\equiv 332$ .
- (d) Solving for b in  $2^b \equiv 893 \pmod{1373}$  gives b = 219. Now we can decrypt:

$$(c_1^a)^{-1} \cdot c_2 \equiv (693^{219})^{-1} \equiv 431^{-1} \cdot 793 \equiv 532 \cdot 793 \equiv 365 \pmod{1373}.$$

Alice's private message to Bob is m = 365.



# 2.5 An Overview of the Theory of Groups

#### **Definition Group:**

A set G along with a binary operation (closure) such that for all  $a, b \in G$ ,  $a \times b \in G$  (closure), and there exists an  $e \in G$  such that  $a \times e = a$  and  $e \times a = a$  (identity), for all  $a \in G$ , there exists  $a^{-1} \in G$  such that  $a \times a^{-1} = a^{-1} \times a = e$  (inverse), and for all  $a, b, c \in G$ ,  $(a \times b) \times c = a \times (b \times c)$  (associativity)

For commutativity, for all  $a, b \in G$ ,  $a \times b = b \times a$ . Some groups have this, some do not.

## Example 2.4: Integer Addition as a Group

Lets check to see addition among the integers are a group:  $(\mathbb{Z}, +)$ 

Solution.

- 1. True. Let  $a, b \in \mathbb{Z}$   $a + b \in \mathbb{Z}$ .
- 2. True.  $e = 0 \in \mathbb{Z}$ , a + 0 = a and 0 + a = a
- 3. True. For all  $a \in \mathbb{Z}$ ,  $a^{-1} = -a$  because a + (-a) = 0 = -a + a
- 4. True. For all  $a, b, c \in \mathbb{Z}$ , (a+b)+c=a+(b+c)

Therefore, the additive property of the integers are a group. In fact, because a+b=b+a  $\mathbb{Z}$  are a commutative group (abelian group).

## Example 2.5: Integer Multiplication

Lets check to see multiplication among the integers are a group:  $(\mathbb{Z}, +)$ 

Solution.

- 1. True. Let  $a, b \in \mathbb{Z}$   $ab \in \mathbb{Z}$ .
- 2. True.  $e = 1 \in \mathbb{Z}$ , a \* 1 = a and 1 \* a = a
- 3. False. Counterexample: consider  $2^{-1} = \frac{1}{2}$  because  $2(\frac{1}{2}) = 1$  but  $\frac{1}{2} \notin \mathbb{Z}$

#### **Definition Order:**

The order of an element  $a \pmod{p}$  is the smallest exponent  $k \geq 1$  such that  $a^k \equiv 1 \pmod{p}$ .

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### 2.5.1 Exercises

## Exercise (Additional)

Decide whether each of the following is a group:

- (a) All  $2\times 2$  matrices with real number entries with operation matrix addition
- (b) All 2  $\times$  2 matrices with real number entries with operation matrix multiplication

Solution.

### (a) Matrix Addition: 🗸

- (1) Closure: For addition to work between matrices, they must be of dimension  $2 \times 2 + 2 \times 2$ . Therefore, the dimensions do not change, and it is closed.
- (2) **Associativity:**  $2 \times 2$  matrix addition is associative, as it inherits this property from the properties of matrices.
- (3) **Identity Element:** We can add a matrix Z that consists of only 0s to a matrix A. And matrix A will remain unchanged.
- (4) **Inverse Element:** True. Consider the matrices,  $\begin{bmatrix} a & b \\ c & d \end{bmatrix}$ . And  $\begin{bmatrix} -a & -b \\ -c & -d \end{bmatrix}$ . When we add these two together we get  $\begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}$ . This shows that  $2 \times 2$  matrices have additive inverses.

## (b) Matrix Multiplication: X

- (1) **Closure:** The dimensions will stay the same during multiplication because it is an  $n \times n$  matrix.
- (2) **Associativity:**  $2 \times 2$  matrix multiplication is associative, as it inherits this property from the properties of matrices.
- (3) **Identity Element:** True. Consider the identity matrix,  $I_2 = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$ . When we multiply a matrix  $\begin{bmatrix} a & b \\ c & d \end{bmatrix}$  by I. We get

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix}$$

$$\cdot \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} = \begin{bmatrix} a & b \\ c & d \end{bmatrix}$$



(4) **Inverse Element:** False. Matrices with a non-zero determinant fail this criteria. Consider the matrix  $B = \begin{bmatrix} 1 & 2 \\ 3 & 4 \end{bmatrix}$ . The determinant would be  $\det((1)(4) - (2)(3) = -2$ . Therefore, this matrix would not have an inverse.

## Exercise (Additional)

Is All 2x2 matrices with real number entries a ring with operations matrix addition and matrix multiplication? Justify your answer.

Solution. 

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- (1) Additive Closure: True. (See the previous exercise (a), (1)).
- (2) Additive Associativity: True. Inherited from the properties of matrices.
- (3) Additive Identity: True. (See the previous exercise (a), (3)).
- (4) Additive Inverse: True. You can take the difference between a matrix and its inverted duplicate (e.g., -[A]) and get 0.
- (5) Multiplicative Closure: True. (See the previous exercise (b), (4)).
- (6) **Distributive Property:** True. While this will pose an error on a calculator, you can do the equivalent: [A]([B] + [C]) = [A][B] + [A][C]. This is true because you are still taking the summation of each  $a_{ij}$ .  $b_{ij}$  and  $c_{ij}$ .

# 2.7 A Collision Algorithm for the DLP

Recall that the DLP is the problem of finding x such that  $g^x \equiv h \pmod{p}$  for a given value of h.

Remember that to brute force the DLP, it takes P-1 steps. Recall  $g^{p-1} \pmod{p} \equiv 1$ . In computational complexity, we say that the DLP is  $\mathcal{O}(P)$ .

### Proposition 2.21:

(Shanks Baby-Step Giant-Step Algorithm) Computational time of  $\mathcal{O}(\sqrt{P})$ . Below is the algorithm:

- 1. Let  $m = \lceil \sqrt{P} \rceil$ .
- 2. Create two lists:
  - (a) Baby steps:  $\{g^0, g^1, g^2, \dots, g^m\}$ .
  - (b) Giant steps:  $\{h, h \cdot g^{-m}, h \cdot g^{-2m}, \dots, h \cdot g^{-m^2}\}$ .

- Image: Control of the control of the
- 3. Find a match between the two lists:  $g^i \equiv hg^{-im} \pmod{p}$
- 4. x = i + jm is a solution for  $g^x \equiv h \pmod{p}$  (another way of saying "x = i + jm is a solution for the DLP").

# Example 2.6: Baby-step, Giant-step

Use the Baby-step, Giant-step algorithm to solve for  $13^x \equiv 5 \pmod{47}$ .

Solution.

- 1. Let  $m = \lceil \sqrt{47} \rceil = 7$ .
- 2. Create the two lists:
  - (a) Baby steps:  $\{13^0, 13^1, 13^2, \dots, 13^6\} \pmod{47} \equiv \{1, 13, 28, 35, 32, 40, 3, 39\}.$
  - (b) Giant steps:  $\{5, 5 \cdot 13^{-7}, 5 \cdot 13^{-14}, \dots, 5 \cdot 13^{-49}\} \pmod{47} \equiv \{5, 17, 39, 1, 48, 36, 19, 27\}.$
- 3. Find a match between the two lists: 39 and 39, or 1 and 1.
- 4. Substitute the following variables: i = 7, j = 2, n = 7 for the equation  $x = i + jm \Rightarrow x = 7 + 2(7) = \boxed{21}$ . So,  $13^{21} \equiv 5 \pmod{47}$ .

# Example 2.7: (From Book) Baby-step, Giant-step

Solve the discrete logarithm problem with these values: g = 9704, h = 13896, p = 17389.

Solution. The number 9704 has order 1242 in  $\mathbb{F}_{17389}^*$ . Set  $n = \lceil \sqrt{1242} \rceil = 36$  and  $u = g^{-n} = 9704 - 36 = 2494$ . Table 2.4 in the book lists the values of  $g^k$  and  $h \cdot u^k$  for  $k = 1, 2 \dots$  From the table, we find the collision

$$9704^7 = 14567 = 13896 \cdot 2494^{32}$$
 in  $\mathbb{F}_{17389}$ .

Using the fact that  $2494 = 9704^{-36}$ , we compute

$$13896 = 9704^7 \cdot 2494^{-32} = 9704^7 \cdot (9704^{36})^{32} = 9704^{1159} \text{ in } \mathbb{F}_{17389}.$$

Hence, x = 1159 solves the problem  $9704^x = 13896$  in  $\mathbb{F}_{17389}$ .



### 2.7.1 Exercises

### Exercise 2.17

Use Shanks's babystep-giantstep method (Proposition 2.21) to solve the following discrete logarithm problems.

(a) 
$$11^x = 21$$
 in  $\mathbb{F}_{71}$ .

Solution.

- (a) 1. Let  $m = \lceil \sqrt{70} \rceil = 9$ 
  - 2. Create two lists:
    - Baby steps:

$$\{11^0, 11^1, \dots, 11^9\} \pmod{71} \equiv \{1, \boxed{11}, 50, 53, 15, 23, 40, 14, 12\}$$

• Giant steps:

$$\{21, 21 \cdot 11^{-9}, 21 \cdot 11^{-18}, \dots\} \pmod{71} \equiv \{21, 5, 35, 32, \boxed{11} \dots\}$$

- 3. Find a match between the two lists: 11
- 4. Substitute values for i + jm = 1 + 4(9) = 37. So,  $11^{37} \equiv 21 \pmod{71}$

# 2.8 Chinese Remainder Theorem (CRT)

# Theorem: Chinese Remainder

Let  $n_1, n_2, \ldots, n_k$  be pairwise relatively prime integers. This means that  $gcd(m_i, m_j) = 1$  for all  $i \neq j$ . Then, for any integers  $a_1, a_2, \ldots, a_k$ , the system of congruences

$$x \equiv a_1 \pmod{n_1}$$

$$x \equiv a_2 \pmod{n_2}$$

$$\vdots$$

$$x \equiv a_k \pmod{n_k}$$

has a unique solution  $c \pmod{n_1 n_2 \dots n_k}$ .



# Example 2.8: CRT

Solve the following system of congruences:

$$x \equiv 6 \pmod{7}$$
$$x \equiv 4 \pmod{8}$$

Solution. Note that  $x \equiv 6 \pmod{7}$  means

$$x = 7n + 6$$

$$7n + 6 \equiv 4 \pmod{8}$$

$$7n \equiv 6 \pmod{8}$$

$$n \equiv 6 \cdot 7^{-1} \pmod{8}$$

$$\equiv 6 \cdot 7 \pmod{8}$$

$$\equiv 2 \pmod{8},$$

where  $2 \pmod{8} = 8m + 2 = n$ . We plug this back into the following:

$$x = 7(8m + 2) + 6 \pmod{7 \cdot 8}$$
$$= 56m + 14 + 6 \pmod{56}$$
$$= 56m + 20 \pmod{56}.$$

Note that 56m is a multiple of 56 and so it will always be equal to 0. Thus,  $x = 20 \pmod{56}$ .

In general, to solve for CRT such that  $x \equiv a_1 \pmod{m_1} \dots, x \equiv a_k \pmod{m_k}$  we follow the algorithm below:

- 1. Let  $m = m_1 \cdot m_2 \cdots m_k$ .
- 2. Take  $n_i = \frac{m}{m_i}$ .
- 3. Check to see if there is a solution,  $y_i$ .  $y_i = n_i^{-1} \pmod{m_i}$ . Note that the inverse exists because  $m_i$  and  $n_i$  are relatively prime.
- 4. Compute  $x = a_1 n_1 y_1 + a_2 n_2 y_2 + \cdots + a_k n_k y_k \pmod{m}$ .

## Example 2.9: CRT with New Algorithm

Solve the following system of congruences:  $x \equiv a_1 \pmod{m_1}$  and  $x \equiv a_2 \pmod{m_2}$  where  $a_1 = 6, m_1 = 7, a_2 = 4, m_2 = 8$ .

Solution.

1. Let 
$$m = 7 \cdot 8 = 56$$
.

2. Compute 
$$n_1 = 8$$
 and  $n_2 = 7$ .

3. Compute 
$$y_1 = 8^{-1} \pmod{7} = 1$$
 and  $y_2 = 7^{-1} \pmod{8} = 7$ .

4. Compute

$$x = 6 \cdot 8 \cdot 1 + 4 \cdot 7 \cdot 7 \pmod{56}$$

$$= 48 + 196 \pmod{56}$$

$$= 244 \pmod{56}$$

$$= \boxed{20}.$$

## 2.8.1 Exercises

### Exercise 2.18

Solve each of the following simultaneous systems of congruences (or explain why no solution exists).

(b) 
$$x \equiv 137 \pmod{423}$$
 and  $x \equiv 87 \pmod{191}$ .

(d) 
$$x \equiv 5 \pmod{9}$$
,  $x \equiv 6 \pmod{10}$ , and  $x \equiv 7 \pmod{11}$ .

Solution.

(b) 1. Let 
$$m = 423 \cdot 191 = 80793$$
.

2. Compute 
$$n_1 = \frac{m}{m_1} = \frac{80793}{423} = 191$$
, and  $n_2 = \frac{80793}{191} = 423$ 

3. Compute 
$$y_1 = 191^{-1} \pmod{423} \equiv 392$$
 and  $y_2 = 423^{-1} \pmod{191} \equiv 14$ .

4. Compute 
$$x = (137)(191)(392) + (87)(423)(14) \pmod{80793} \equiv 27209$$

(d) 1. Let 
$$m = 9 \cdot 10 \cdot 11 = 990$$
.

2. Compute 
$$n_1 = \frac{990}{9} = 110$$
,  $n_2 = \frac{990}{10} = 99$ , and  $n_3 = \frac{990}{11} = 90$ .

3. Compute 
$$y_1 = 110^{-1} \pmod{9} \equiv 5$$
,  $y_2 = 99^{-1} \pmod{10} \equiv 9$ , and  $y_3 = 90^{-1} \pmod{11} \equiv 6$ 

4. Compute 
$$x = (5)(110)(5) + (6)(99)(9) + (7)(90)(6) \pmod{990} = 986$$



### Exercise 2.20

Let a, b, m, n be integers with gcd(m, n) = 1. Let

$$c \equiv (b - a) \cdot m^{-1} \pmod{n}.$$

Prove that x = a + cm is a solution to

$$x \equiv a \pmod{m}$$
 and  $x \equiv b \pmod{n}$ , (2.24)

and that every solution to (2.24) has the form x = a + cm + ymn for some  $y \in \mathbb{Z}$ .

*Proof.* Let  $a, b, m, n \in \mathbb{Z}$  with gcd(m, n) = 1. Let  $c \equiv (b - a)m^{-1} \pmod{n}$ . Review the following:

$$x \equiv a \pmod{m}$$
  
 $a + cm \equiv a \pmod{m}$   
 $a \equiv a \pmod{m} - cm$ .

Then, because cm is a multiple of m, when we take the mod of  $a - cm \pmod{m}$ , we will always get a. Hence,  $a \equiv a \pmod{m}$ . For the other equation, we will be using the def of c in our proof:

$$a + cm \equiv b \pmod{n}$$
  
 $cm \equiv b - a \pmod{n}$   
 $c \equiv (b - a)m^{-1} \pmod{n}$ .

So, now when we multiply by m on both sides, we get  $cm \equiv b-a \pmod{n}$ . Rearranging, we see  $cm+a \equiv b \pmod{n}$ , so x is a solution.

For the second half of the proof, suppose we have x' as the solution for  $x' \equiv a \pmod{m}$  and  $x' \equiv b \pmod{n}$ . We want to show that x' = x. Thus, we subtract x from x' and get the following:

$$x' - x \equiv a - a = 0 \pmod{m}$$
, which implies  $x' - x = km$  for some  $k \in \mathbb{Z}$ .

This is the outcome because we know that for anything to be equal to 0 in modulus, the number itself must be a multiple of the modulus, m. We can follow the same logic for b, and see that  $x' - x \equiv b - b = lm$  for some  $l \in \mathbb{Z}$ . Since gcd(m, n) = 1, x' - x must be a multiple of m and n, meaning x' - x = ymn for some  $y \in \mathbb{Z}$ . Therefore, x' = x + ymn = a + cm + ymn.



# 2.9 Pohlig-Hellman Algorithm

This algorithm is used to solve  $g^x \equiv h \pmod{p}$  for p prime and g primitive root. This has computational time of  $\mathcal{O}(\sqrt{p-1})$ . Order of g is p-1 is composite. This is most efficient when p-1 has small prime factors. Look below for the algorithm:

- 1. Factor  $p-1=n_1^{e_1}\cdot n_2^{e_2}\cdots n_k^{e_k}$ . (Note that  $\gcd(q_i,q_i)=1 \ \forall i\neq j$ .)
- 2. For each  $1 \leq i \leq k$ , let  $m_i = \frac{p-1}{n_i^{e_i}}$ .
- 3. Solve  $g^{x_i} \equiv h^{m_i} \pmod{p}$  for  $x_i$ . (Note this DLP is easier because order of  $g_i$  is way less than the order of  $g_i$ .)
- 4. Use CRT to find x such that  $x \equiv x_1 \pmod{q_1^{e+1}}, \dots, x_i \pmod{n_i^{e_i}}$ .

## Example 2.10: Pohlig-Hellman Algorithm

Solve the following DLP:  $106^x \equiv 12375 \pmod{24691}$ .

Solution. To use the Pohlig-Hellman algorithm, we need to find the order of the prime number p = 24691. Since it is prime, we know the order to be  $\varphi(24691) = 24690$ . We can factor this number to  $30 \cdot 823$ . Let  $x = a_0 + 30a_1$ :

$$(106^{a_0+30a_1})^{823} \equiv 12375^{823} \pmod{24691} \tag{2.1}$$

$$(106^{823a_0 + 24690a_1}) \equiv 24143 \pmod{24691} \tag{2.2}$$

$$(106^{823a_0} \cdot 106^{24690a_1}) \equiv 24143 \pmod{24691} \tag{2.3}$$

$$(106^{823})^{a_0} \cdot (106^{24690})^{a_1} \equiv 24143 \pmod{24691} \tag{2.4}$$

$$(1410)^{a_0} \equiv 24143 \pmod{24691} \tag{2.5}$$

For (1), we got the expression by substituting x for  $a_0+30a_1$  for the exponent in  $g^x \equiv \dots$ From there, (2) — (4) is simple algebra. Then for (5), because  $106^{a_1}$  is congruent to 1,  $(106^{a_1})^{24690} = 1$ . Additionally, we take  $106^{823}$  (mod 24691) to get  $1410^{a_0}$ . Then, we do the same thing for the other side of the equation. Now, we can brute force this by setting  $a_0 = \{0, 1, 2, 3, \dots, 823\}$ . We find that when  $a_0 = 12$ ,  $1410^{a_0} \equiv 24143$  (mod 24691). Seeing that we have  $a_0$ , we need to find  $b_0$ :

$$(106^{b_0+823b_1})^{30} \equiv 12375^{30} \pmod{24691}$$
  
 $15097^{b_0} \equiv 7229 \pmod{24691}$ 

Thus, through brute force, we find that  $b_0 = 171$ . We can take our  $a_0$  and  $b_0$  to solve for  $x_1, x_2$ :

$$x_1 = a_0 + 30a_1$$
  
 $x_1 = 12 \pmod{30}$ 



and

$$x_2 = b_0 + 823b_1$$
$$x_2 = 171 \pmod{823}$$

At this point, we can solve the Chinese Remainder Theorem.

- 1. Let  $m = 30 \cdot 823 = 24690$ .
- 2. Compute  $n_1 = \frac{24690}{30} = 823$  and  $n_2 = \frac{24690}{823} = 30$ .
- 3. Compute  $y_1 = 823^{-1} \pmod{30} \equiv 7$  and  $y_2 = 30^{-1} \pmod{823} \equiv 631$ .
- 4. Compute  $x = (12)(823)(7) + (171)(30)(631) \pmod{24690} \equiv 22392$ .

Therefore, a = 22392

#### 2.9.1 Exercise

#### Exercise 2.28

Use the Pohlig-Hellman algorithm to solve the discrete logarithm problem  $g^x = a$  in  $\mathbb{F}_p$  in each of the following cases.

(a) 
$$p = 433$$
,  $q = 7$ ,  $a = 166$ .

Solution. We start by writing the given information into an equation that we can work with. Thus,  $7^x \equiv 166 \pmod{433}$ . Since 433 is prime,  $\varphi(433) = 432$ , which we can factor to  $16 \cdot 27$ . Let  $x = a_0 + 16a_1$ :

$$(7^{a_0+16a_1})^{27} \equiv 166^{27} \pmod{433} \tag{2.6}$$

$$(7^{27a_0+432a_1}) \equiv \tag{2.7}$$

$$(7^{27a_0} \cdot 7^{432a_1}) \equiv \tag{2.8}$$

$$(7^{27})^{a_0}(7^{a_1})^{432} \equiv \tag{2.9}$$

$$(265)^{a_0} \equiv 250 \pmod{433} \tag{2.10}$$

For (3.1), we got the expression by substituting x for  $a_0 + 16a_1$  for the exponent in  $g^x \equiv \dots$  From there, (3.2) — (3.4) is simple algebra. Then for (3.5), because  $7^{a_1}$  is congruent to 1,  $(7^{a_1})^{432} = 1$ . Additionally, we take  $7^{27} \pmod{432}$  to get  $265^{a_0}$ . Then, we do the same thing for the other side of the equation. Now, we can brute force this by setting  $a_0 = \{0, 1, 2, 3, \dots, 27\}$ . We find that when  $a_0 = 15$ ,  $265^{a_0} \equiv 250 \pmod{433}$ . Seeing that we have  $a_0$ , we need to find  $b_0$ :

$$(7^{b_0+27b_1})^{16} \equiv 166^{16} \pmod{433}$$
  
 $374^{b_0} \equiv 335 \pmod{433}$ 



Thus, through brute force, we find that  $b_0 = 20$ . We can take our  $a_0$  and  $b_0$  to solve for  $x_1, x_2$ :

$$x_1 = a_0 + 16a_1$$
  
 $x_1 = 15 \pmod{16}$ 

and

$$x_2 = b_0 + 27b_1$$
$$x_2 = 20 \pmod{27}$$

At this point, we can solve the CRT.

- 1. Let  $m = 16 \cdot 27 = 432$ .
- 2. Compute  $n_1 = \frac{432}{16} = 27$  and  $n_2 = \frac{432}{27} = 16$ .
- 3. Compute  $y_1 = 27^{-1} \pmod{16} \equiv 3$  and  $y_2 = 16^{-1} \pmod{27} \equiv 22$ .
- 4. Compute  $x = (27)(15)(3) + (20)(16)(22) \pmod{432} = 47$

I relied on this video heavily.

#### INTEGER FACTORIZATION AND RSA

# 3.1 Euler's Totient Theorem and Roots Modulo pq

Remember FLT and DLP? Can we use FLT for non-prime moduli? No, FLT is only true for prime moduli:  $2^5 \pmod{6} = 32$ . Thus, we need to look to other ways of solving this problem for non-primes.

## Theorem: Euler's Totient Theorem for phi

Let N be a positive integer and let a be an integer such that gcd(a, N) = 1. Then,

$$a^{\varphi(N)} \equiv 1 \pmod{n}$$
.

where  $\varphi(N) = \#\{0 \le x < N \mid \gcd(x, N) = 1\}$ 

## Corollary 1: Euler's Totient Theorem for phi

If N is prime,  $a^{\varphi(N)} \equiv 1 \pmod{N}$ . For  $\varphi(N)$ , we can express it as N-1 from FLT.

*Proof.* If N is prime, then gcd(a, N) = 1. Thus, for all a, such that 0 < a < N, gcd(a, N) = 1. Thus,  $\varphi(N) = N - 1$ .

# Corollary 2: Euler's Totient Theorem for phi

If p, q are distinct primes, then

$$a^{(p-1)(q-1)} \equiv 1 \pmod{pq}$$
 for all  $a$  such that  $\gcd(a, pq) = 1$ .

From this, we can state that N = pq.

*Proof.* For this proof, we would show that  $\varphi(pq) = \varphi(q) \cdot \varphi(p) = (p-1)(q-1)$ .

# Example 3.1: ETT for phi

Find  $a^x \pmod{15}$ .

Solution.  $15 = 3 \cdot 5$  (relatively prime);  $\varphi(15) = 2 \cdot 4 = 8$ . Thus,  $a^8 \equiv 1 \pmod{15}$ .



## Theorem: Euler's Totient Theorem for pq

Let p and q be distinct primes and let

$$g = \gcd(p-1, q-1).$$

Then,

$$a^{(p-1)(q-1)/g} \equiv 1 \pmod{pq}$$
 for all  $a$  such that  $\gcd(a, pq) = 1$ .

In particular, if p and q are distinct primes, then

$$a^{(p-1)(q-1)} \equiv 1 \pmod{pq}$$
 for all  $a$  such that  $\gcd(a, pq) = 1$ .

Recall that for Diffie Hellman Elgamal we need to find  $a^x \pmod{p}$ . Now, for RSA, we will be solving  $x^e \pmod{N}$  where N = pq.

#### Proposition 3.2:

Let p be prime and let e be defined as  $\{e \in \mathbb{Z} \ge 1 \mid \gcd(e, p - q) = 1\}$ . (Note this means that  $e^{-1} \mod p - 1$  exists) Then, call  $d = e^{-1}$  i.e,  $d \equiv e^{-1} \pmod{p - 1}$ . Then,  $x^e \equiv c \pmod{p}$  has the unique solution  $x = c^d \pmod{p}$ .

# Example 3.2: RSA 1

Find x:  $x^3 \equiv 2 \pmod{17}$ .

Solution.

- 1. Check with gcd(3, 17 1) = gcd(3, 16) and 17 is a prime number. Thus, we can use Proposition 3.2.
- 2. Let  $d \cdot 3 \equiv 1 \pmod{16} \equiv d \equiv 3^{-1} \pmod{16} \equiv 11$  (Found with EEA)
- 3. Then,  $x^3 \equiv 2 \pmod{17} \Rightarrow x \equiv 2^{11} \pmod{17} \equiv 8$ .
- 4. To check:  $8^3 = 512 \pmod{17} = 2$

### Proposition 3.5:

Let p and q be distinct primes and let  $e \ge 1$  satisfy

$$\gcd(e, (p-1)(q-1)) = 1.$$

Then, Proposition 1.13 tells us that e has an inverse modulo (p-1)(q-1), say

$$de \equiv 1 \pmod{(p-1)(q-1)}.$$



Then the congruence

$$x^e \equiv c \pmod{pq}$$

has the unique solution  $x \equiv c^d \pmod{pq}$ .

## Example 3.3: RSA 2

Solve  $x^{169} \equiv 1000 \pmod{6887}$ 

Solution.

- 1. Check gcd(169, (70)(96)) = gcd(169, 6720) = 1 where 70 and 96 are from the prime factorization of 6887 such that (71-1)(97-1) are from (p-1)(q-1).
- 2. Solve for d such that  $d169 \equiv 1 \pmod{6720}$ . Using EEA, we find that  $d \equiv -1511 \pmod{6720} \equiv 5209$ .
- 3. Solve  $x \equiv 1000^{5209} \pmod{6887} = 4055$

#### 3.1.1 Exercises

## Exercise 3.1

Solve the following congruences (use this example for help).

- (a)  $x^{19} \equiv 36 \pmod{97}$ .
- (b)  $x^{137} \equiv 428 \pmod{541}$ .
- (c)  $x^{73} \equiv 614 \pmod{1159}$ .
- (d)  $x^{751} \equiv 677 \pmod{8023}$ .

Solution.

(a) Because we know 97 is a prime number, and that gcd(19, 96) = 1, we can use Proposition 3.2. Thus, we need to solve for d:

$$d \cdot 19 \equiv 1 \pmod{96}$$
$$d \equiv 19^{-1} \pmod{96}$$
$$d \equiv 91.$$

ü

Then, we can solve for x:

$$x^{19} \equiv 36 \pmod{97}$$
$$x \equiv 36^{91} \pmod{97}$$
$$x = 36.$$

(b) Using Proposition 3.2, again:

$$d \cdot 137 \equiv 1 \pmod{540}$$
 
$$d \equiv 137^{-1} \pmod{540}$$
 
$$d \equiv 473.$$

Then, for x:

$$x^{137} \equiv 428 \pmod{541}$$
  
 $x \equiv 428^{473} \pmod{541}$   
 $x \equiv 213.$ 

(c)

$$d \equiv 73^{-1} \pmod{1158}$$
$$d \equiv 349.$$

Then,

$$x \equiv 614^{349} \pmod{1159}$$
$$x \equiv 598.$$

(d)

$$d \equiv 751^{-1} \pmod{8022}$$
$$d \equiv 235.$$

Then,

$$x \equiv 677^{235} \pmod{8023}$$
$$x \equiv 4858.$$

## **Exercise Additional Problem 1**

Prove that  $\varphi(pq) = (p-1)(q-1)$  when p and q are distinct primes (where  $\varphi$  is Euler's Phi Function).



*Proof.* Let p and q be distinct primes. From the definition of Euler's Phi Function, we know  $\varphi(pq)$  counts the number of integers from 1 to pq-1 that are co-prime to pq. Since p and q are distinct primes, the only numbers that are not co-prime to pq are multiples of p and multiples of q.

The numbers divisible by p are  $p, 2p, 3p, \ldots, (q-1)p$ , for a total of q-1 multiples of p. Similarly, the numbers divisible by q are  $q, 2q, 3q, \ldots, (p-1)q$ , for a total of p-1 multiples of q.

Notice that the number pq itself is counted twice, once as a multiple of p and once as a multiple of q. To correct this over-counting, we apply the Inclusion-Exclusion Principle. Thus, the total number of integers divisible by either p or q is:

$$p + q - 1$$

Since there are pq integers in total from 1 to pq - 1, the number of integers that are co-prime to pq is:

$$\varphi(pq) = pq - (p+q-2) = pq - p - q + 1$$

Simplifying the expression gives:

$$\varphi(pq) = (p-1)(q-1) \qquad \Box$$

# 3.2 RSA Cryptosystem

Bob	Alice
Key creation	
Choose secret primes $p$ and $q$ .	
Choose encryption exponent $e$	
with $gcd(e, (p-1)(q-1)) = 1$ .	
Publish $N = pq$ and $e$ .	
Encryption	
	Choose plaintext $m$ .
	Use Bob's public key $N, e$
	to compute $c \equiv m^e \pmod{N}$ .
	Send ciphertext $c$ to Bob.
Decryption	
$\overline{\text{Compute } d \text{ satisfying}}$	
$ed \equiv 1 \pmod{(p-1)(q-1)}.$	
Compute $m' \equiv c^d \pmod{N}$ .	
Then $m'$ equals the plaintext $m$ .	

Table 3.1: Elgamal Key Creation, Encryption, and Decryption



## 3.2.1 RSA Algorithm

Remember, multiplying is easy; we have tools to compute large numbers. However, factoring is hard. The RSA Cryptosystem is based on the difficulty of factoring large numbers. Thus, the RSA Cryptosystem is based on the following steps:

- 1. Alice chooses two distinct primes p and q (private key).
- 2. With integer e such that gcd(e, (p-1)(q-1)) = 1.
- 3. Alice computes N = pq and  $d = e^{-1} \pmod{(p-1)(q-1)}$ .
- 4. For Bob to send "m" to Alice, he computes  $c = m^e \pmod{N}$ .
- 5. For Alice to decrypt, she computes  $m' = c^d \pmod{N}$ .

We claim that m' = m.

Proof.

$$d \equiv e^{-1} \pmod{(p-1)(q-1)}$$
 
$$de \equiv 1 \pmod{(p-1)(q-1)}$$
 
$$de = 1 + k(p-1)(q-1)$$
 for some  $k \in \mathbb{Z}$ 

Now that we know de, we can compute m':

$$m' = c^d \pmod{N}$$
  
 $= m^{ed} \pmod{N}$   
 $= m^{1+k(p-1)(q-1)} \pmod{N}$   
 $= m \cdot m^{k(p-1)(q-1)} \pmod{N}$   
 $= m \cdot (m^{(p-1)(q-1)})^k \pmod{N}$   
 $= m \cdot 1^k \pmod{N}$   
 $= m \pmod{N}$ . (3.1)

Note for (3.1), we got 1 because we substituted for  $\varphi$ . Hence,

$$m(m^{(p-1)(q-1)}) \pmod{N} \equiv m(m^{\varphi(pq)} \pmod{N})$$
  
 $\equiv m \cdot 1 \pmod{N}.$ 

Remember from Chapter 1, for a Cryptosystem to be a successful cipher, it must satisfy the following properties:



- 1. Encryption: Must be easy to encrypt:  $c \equiv m^e \pmod{N}$ .
- 2. **Decryption:** Must be hard to decrypt:
  - Need to find  $d \equiv e^{-1} \pmod{(p-1)(q-1)}$  which requires knowing p and q separately. Remember that factoring is hard.

### Example 3.4: RSA 3

Let N = (47)(43) = 2021 with private key 47, 43 and e = 11 (Note this means that  $e \neq 2 \cdot 23$ ,  $6 \cdot 7$ , and so on).

- (a) Calculate Bob's public key.
- (b) Encrypt the message m = 1050 that Bob sends to Alice.
- (c) Decrypt the message.

#### Solution.

- (a) Calculate c:  $c = 1050^{11} \pmod{2021} = 435$ .
- (b) Alice first finds  $d: d \equiv 11^{-1} \pmod{(47-1)(43-1)} \equiv 11^{-1} \pmod{1932}$ . Using EEA, we find that  $d \equiv 527$ .
- (c) Then,  $m' = 435^{527} \pmod{2021} = 1050$ .

#### Remarks:

- We often choose small e to make the encryption process faster.
- Most agree that a smaller e is just as secure. The normal choice for e is 65537.
- What is the smallest possible e for a semiprime pq? e = 3
- This cryptosystem may be insecure if  $d < N^{1/4}$ .

#### 3.2.2 Exercises

#### Exercise 3.7

Alice publishes her RSA public key: modulus N = 2038667 and exponent e = 103.

- (a) Bob wants to send Alice the message m=892383. What ciphertext does Bob send to Alice?
- (b) Alice knows that her modulus factors into a product of two primes, one of which is p = 1301. Find a decryption exponent d for Alice.
- (c) Alice receives the ciphertext c = 317730 from Bob. Decrypt the message.



Solution.

- (a) Bob needs to calculate  $c \equiv 892383^{103} \pmod{2038667} \equiv 45293$
- (b) To get the other prime factor of the modulus, Alice simply divides 2038667 by 1301. She gets 1567. Then Alice finds:

$$d \equiv 103^{-1} \pmod{(1301 - 1)(1567 - 1)}$$
$$d \equiv 810367$$

(c) To decrypt, Alice solves for m:

$$m \equiv 317730^{810367} \pmod{2038667}$$
  
 $m \equiv 514407$ 

## Exercise 3.8

Bob's RSA public key has modulus N=12191 and exponent e=37. Alice sends Bob the ciphertext c=587. Unfortunately, Bob has chosen too small a modulus. Help Eve by factoring N and decrypting Alice's message. (Hint: N has a factor smaller than 100.)

- (a) Factor N.
- (b) Decrypt Alice's message.

Solution.

- (a) N's factors are p=73 and q=167. (This was found by simply looping through all integers less than 100 and dividing N by each. If I got a number that was not a decimal (i.e., if m % a == 0), then the loop broke, and p and q were printed.)
- (b) Eve will solve for d:

$$d \equiv 37^{-1} \pmod{(73-1)(167-1)}$$
$$d \equiv 11629$$

Then she will solve for m:

$$m \equiv 587^{11629} \pmod{12191}$$
  
$$m \equiv 4894$$



## Exercise 3.9

For each of the given values of N = pq and (p-1)(q-1), use the method described in Remark 3.11 to determine p and q.

(a) 
$$N = pq = 352717$$
 and  $(p-1)(q-1) = 351520$ .

Solution.

(a) First, we need get (p+q) alone:

$$(p-1)(q-1) = pq - (p+q) + 1$$
$$351520 = 352718 - (p+q)$$
$$1198 = p+q.$$

Now, we can solve the quadratic equation  $x^2 - 1198x + 352717$  to find p, q. The answer is p = 521, q = 677. To check:  $521 \cdot 677 = 352717$ .

# 3.3 Implementation and Security Issues

**Brute Force Attack** 

This involves factoring N = pq. We only consider numbers below  $\sqrt{N}$ .

# 3.3.1 Chosen Ciphertext Attack

If Eve can find (p-1)(q-1), then p and q are easy.

Proof.

$$(p-1)(q-1) = pq - p - q + 1$$
  
=  $pq - (p+q) + 1$ .

Thus, Eve just needs to solve the quadratic equation  $(x-p)(x-q) = x^2 - (p+q)x + pq = 0$ .

Example 3.5: CCA

Factor N = 2701 such that (p-1)(q-1) = 2592.



Solution. Find

$$(p-1)(q-1) = pq - (p+q) + 1$$
$$2592 = 2701 - (p+q) + 1$$
$$110 = p+q.$$

Then, solve the quadratic equation  $x^2 - 110x + 2701 = 0$  to find p and q. Thus, x = 37, 73.

## 3.3.2 Timing Attacks (Ex. of Side Channel Attack)

This attack is based on the time it takes to decrypt a message. More specifically, it monitors how long it takes a computation to complete. Then, it derives the relative sizes of p and q.

# 3.4 Primality Testing

The only primality tests that we know so far is the brute force method. In other words, we brute force finding prime numbers. We do this by dividing N by primes. If no numbers divide N, then N is prime. This test is not efficient for large numbers.

Thus, consider a new test that utilizes FLT.

## Theorem: Fermat's Little Theorem, Version 2

If p is prime, then  $a^P \equiv a \pmod{p}$ . Consider the contrapositive to this statement: if  $a^P \not\equiv 1 \pmod{p}$  for some a, then P is not prime.

# Example 3.6: FLT

Let N = 21. Is N prime?

Solution. Pick a=3. Check  $3^{21}\stackrel{?}{\equiv} 3\pmod{21}$ . No,  $3^{21}\equiv 6\pmod{21}$ . Hence, 21 is not prime.

#### **Definition Fermat Witness:**

We call a a witness to the fact that N is composite if  $a^N \not\equiv a \pmod{N}$ .

#### **Definition Fermat Liar:**

A Fermat liar is a number a such that, even though N is composite, it satisfies  $a^{-1} \equiv 1 \pmod{n}$ . This gives a false indication that N is prime. Simply put, a "lies" by making N appear prime when it is not.



However, there are some numbers will force all a's to be fermat liars. These are called  $Carmichael\ Numbers$ .

## **Definition Carmichael Numbers:**

A composite number N is a Carmichael Number if  $a^N \equiv a \pmod{N}$  for all a such that  $\gcd(a,N)=1$ .



## Example 3.7: Carmichael Numbers

Solve  $37^{561} \equiv \pmod{561}$ . What can you conclude about 561? Is it prime?

Solution.

- 1. Check if 561 is prime. Pick a = 37. Check  $37^{561} \stackrel{?}{\equiv} 37 \pmod{561}$ . Yes,  $37^{561} \equiv 37 \pmod{561}$ . Thus, 561 is prime. Right?
- 2. If we check for all a < 561, we will see that 561 has no Fermat witnesses. However, we know that 561 is composite, so that means 561 is a Carmichael Number.

## 3.4.1 Miller-Rabin Primality Test

#### Proposition 3.17:

Let p be an odd prime, and write  $p-1=2^kq$  with q being odd. Then, let a be any number not divisible by p. Then, one of the following must hold:

- (i)  $a^q \equiv 1 \pmod{p}$ .
- (ii) One of  $a^q, a^{2q}, a^{4q}, \dots, a^{2^{k-1}q}$  is congruent to -1 modulo p.

#### Miller-Rabin Algorithm

- 1. Choose an odd integer n > 2 to test for primality.
- 2. Write  $n-1=2^k \cdot q$  where q is odd and k > 1.
- 3. Pick a random integer a such that  $2 \le a \le n-2$ .
- 4. compute  $x = a^q \pmod{n}$ .
  - If x = 1 or x = n 1, continue to the next base because this indicates that the number is probably prime.
- 5. Square x, up to k-1 times:
  - If x = n 1 at any step, continue to the next base for the same reason.
  - If  $x \neq n-1$  for all squarings, n is composite. For example, if we have a number and we keep squaring x up to k-1, and we never encounter a n-1, but we encounter other numbers like 1 or anything else, then the number is composite. Remember: ONLY composite if there was NO record of a n-1 for a given base x.



6. Repeat the test with different random bases a.

#### **Definition Miller-Rabin Witness:**

Let N be an odd number. Write  $N-1=2^kq$  with q odd. If a is an integer that satisfies gcd(a, N) = 1, then a is a Miller-Rabin Witness for N if both of the following hold:

- (i)  $a^q \not\equiv 1 \pmod{N}$ .
- (ii)  $a^{2^i q} \not\equiv -1 \pmod{N}$  for all i such that  $0 \le i \le k 1$ .

## Example 3.8: Miller-Rabin Test 1

Let N = 561. Is N prime?

Solution.

- 1. Write  $N 1 = 2^4 \cdot 35$ .
- 2. Pick a = 7. Start by solving  $7^{35} \pmod{561} \equiv 241$ . If it was congruent to 1 or -1, then N is a possible prime.
- 3. If the number is neither congruent to 1 or -1, keep squaring and checking up to k-1 times.
  - Like this:  $(7^{36})^2 \pmod{561} \equiv 298$ . If this number is congruent to 1, then we are done and the number is composite.
- 4. If it is congruent to -1, then we choose another a, and note this is a possible prime. If it is not congruent to either -1 or 1, then keep squaring up to k-1 times
- 5. Thus,  $(7^{36})^4 \pmod{561} \equiv 166$ ,  $(7^{36})^8 \pmod{561} \equiv 67$ , and  $(7^{36})^{16} \pmod{561} \equiv 1$ , so 561 is composite and 7 is a M-R witness because we found a 1 before we found a -1 in the sequence.

# Example 3.9: Miller-Rabin Test 2

Let N = 563. Let a = 7. Is N prime?

Solution. N-1=562=2(281). Start by solving  $7^{281}\pmod{563}\equiv 1$ . Thus, 563 is a possible prime. Choose a=8. Then,  $8^{281}\pmod{563}\equiv -1$ , so N is a possible prime.



## Example 3.10: Miller-Rabin Test 3

Let N = 31001. Determine if N is prime.

Solution. Start by finding  $p-1=31000=2^3\cdot 3875$ . Then, use the fast powering algorithm to find  $b_0=2^{3875}\pmod{31001}\equiv 5799$  for  $b_1$ . Since  $b_1$  is not 1 or -1, we will try the other bases up to k-1=3-1=2. Thus, we use the fast powering algorithm to solve for subsequent b's:

$$b_0^{3875} = 2^{3875} \equiv 5799 \pmod{31001} = b_1$$
  
 $b_1^2 = 5799^2 \equiv 13026 \pmod{31001} = b_2$   
 $b_2^2 = 13026^2 \equiv 8203 \pmod{31001} = b_3$ 

Since no n-1 appeared in these steps, and there was not a 1 that appeared before a -1, then this number is composite.

**Note:** Let N be an odd positive integer. Then,  $\geq 75\%$  of a's are between 1 and n-1 are Miller-Rabin Witnesses for N.

#### 3.4.2 Exercises

## Exercise Additional Problem 2

Decide whether each of the following numbers is composite or probably prime by finding a witness or finding 5 liars using Fermat's Little Theorem, V2:

For each of the possible primes left over from the previous part, use the Miller-Rabin test to either find a witness for the compositeness of the number or give 5 more liars to show the number is probably prime.

Solution.

- (a) For 8911: pick a=2. Thus,  $2^{8911}\stackrel{?}{\equiv} 2\pmod{8911}\equiv 2$ . Thus, 2 is either a Fermat liar, or 8911 is prime. Let's continue for 4 more a's:
  - $a = 3^{8911} \pmod{8911} \stackrel{?}{\equiv} 3 \checkmark$
  - $a = 4^{8911} \pmod{8911} \stackrel{?}{\equiv} 4$
  - $a = 5^{8911} \pmod{8911} \stackrel{?}{\equiv} 5 \checkmark$
  - $a = 6^{8911} \pmod{8911} \stackrel{?}{=} 6 \checkmark$



Since every a we tested was true for the equation  $a^N \equiv a \pmod{N}$ , 8911 is either prime, or all the a's are liars. Now, we can use the Miller-Rabin test to further probe the possibility that 8911 is prime. We start by writing  $N-1=2^kq$  with q odd. Thus, 8911 =  $2^1 \cdot 4455$ . Now, we pick random a's and solve for  $a^{4455} \pmod{8911} \stackrel{?}{=} 1$ :

- $a = 3^{4455} \pmod{8911} \stackrel{?}{\equiv} 1$ . Probably prime because  $\not\equiv 1$  or N-1.
- $a = 5^{4455} \pmod{8911} \stackrel{?}{\equiv} 1 \, \text{X}$ . This gives 2813. Now, we need to use the fast powering algorithm to check if for any k,  $5^{2^k \cdot q}$  is equal to N-1 or 1:

$$k_0 = 2813 \pmod{8911}$$
  
 $k_1 = 2813^2 \pmod{8911} \equiv 1$   
 $k_2 = 1^2 \pmod{8911} \equiv 1$   
 $\vdots$ 

Since we did not have any result of  $a^{2^{k \cdot q}} = N - 1$ , 8911 is not prime with 5 as a Miller-Rabin witness.

- (b) For 13291: pick a=2:  $2^{13291}\stackrel{?}{\equiv}2\pmod{13291}\equiv 2$ . Thus, 2 is either a Fermat liar, or 13291 is prime. Let's continue for 4 more a's:
  - $a = 3^{13291} \pmod{13291} \stackrel{?}{\equiv} 3 \checkmark$
  - $a = 4^{13291} \pmod{13291} \stackrel{?}{\equiv} 4 \checkmark$
  - $a = 5^{13291} \pmod{13291} \stackrel{?}{\equiv} 5$
  - $a = 6^{13291} \pmod{13291} \stackrel{?}{\equiv} 6 \checkmark$

We can use the Miller-Rabin test to see if 13291 is prime. From the formula  $N-1=2^kq$  with q odd:  $13290=2^1\cdot 6645$ . Pick random a's and solve for  $a^{6645}\pmod{13291}\stackrel{?}{\equiv}1$ :

- $a = 3^{6645} \pmod{13291} \equiv 13290 \checkmark$
- $a = 7^{6645} \pmod{13291} \equiv 1 \checkmark$
- $a = 11^{6645} \pmod{13291} \equiv 13290 \checkmark$
- $a = 13^{6645} \pmod{13291} \equiv 13290 \checkmark$
- $a = 17^{6645} \pmod{13291} \equiv 13290 \checkmark$

Either 13290 is prime, or all a's that we have chosen are Miller-Rabin liars.

(c) For 415693: pick a = 2:  $2^{415693} \stackrel{?}{\equiv} 2 \pmod{415693} \equiv 116692 \ \text{X}$ . Therefore, 2 is a witness that 415693 is composite.



# 3.5 Pollard's Factorization Algorithm

For N = pq semiprime, this algorithm is efficient when p-1 only has small prime factors. This algorithm is based on the following steps:

- Step 1: Choose a smooth bound B: The smooth bound is a small number such that the prime factors of p-1 (where p is a prime factor of n) are less than or equal to B.
- Step 2: Compute the least common multiple of all integers up to B, call this number k.
- Step 3: Pick a random base a: Choose an integer a such that  $2 \le a \le n-2$ .
- Step 4: Compute  $a^k \pmod{n}$ .
- Step 5: Find the GCD of  $a^k 1$  and n: The greatest common divisor  $gcd(a^k 1, n)$  may give a non-trivial factor of n.
- Step 6: If  $gcd(a^k 1, n) = n$ , try a different a or increase B.

### In Python:

```
1 def pollards_p_minus_l(base, mod, limit):
2     lom_val = 1
3     for i in range(. limit + 1):
4         lom_val = math.lom(lom_val, i)
5         pow.val = pow(base, lom_val, mod) = 1
6         god_val = math.god(pow.val, mod)
7         print(i'lcm({i})) = {lcm_val}, gcd: {gcd_val}')
8         if | { god_val | mod | mod | gcd | mod | gcd | mod | gcd |
```

# Example 3.11: Pollard's Factorization Algorithm

Factor 540143 with a = 2.

Solution.

```
      B \mid \text{lcm}(k = 1, 2..., 13) \mid 2^k - 1 \pmod{510143}
      \gcd(2^k - 1, 510143)

      5 \mid \text{lcm}(1, 2, \underline{3}, \underline{4}, \underline{5}) = 60 \mid 2^{60-1 \pmod{540143}}
      \gcd(338353, 540143) = 1

      7 \mid \text{lcm}(1, 2, ..., 7) = 420 \mid 2^{420} - 1 \pmod{540143} = 42942 \mid
      \boxed{421 \text{ Stop!}}
```

Thus, we found that 421 is a factor of 540143.

#### 3.5.1 Exercise

#### Exercise 3.22

Use Pollard's p-1 method to factor each of the following numbers.

- (a) n = 1739
- (b) n = 220459

Be sure to show your work and indicate which prime factor p of n has the property that p-1 is a product of small primes.

ü

Solution.

- (a) Step 1: Choose a smooth B = 5. We assume that one of the prime factors p of n = 1739 has the property p 1 is divisible by small primes, and we will try a small B.
  - Step 2: Compute the least common multiple of all integers up to B=5:

$$k = \text{lcm}(1, 2, 3, 4, 5) = 60.$$

- Step 3: Pick a random base a = 2.
- Step 4: Compute  $a^k 1 \pmod{n}$ :

$$2^{60} - 1 \pmod{1739} = 638.$$

• Step 5: Compute the greatest common divisor of n and  $a^k - 1$ :

$$\gcd(1739, 638) = 1$$

• Since the greatest common divisor is 1, we need to try a larger B. We will try B=7:

$$k = \text{lcm}(1, 2, 3, 4, 5, 6, 7) = 420.$$

• Compute

$$2^{420} - 1 \pmod{1739} = 157, \gcd(157, 1739) = 1.$$

• Try B = 11, and compute:

$$2^{27720} - 1 \pmod{1739} = 407, \gcd(407, 1739) = 37$$

Thus, we have found a factor of 1739: 37. By dividing 1739 by 37, we get 47. Thus, 1739 factors into 37 and 47. Note that  $p-1=36=2^2\cdot 3^2$  and  $q-1=46=2\cdot 23$ .



- (b) We will still be using the same steps from part (a), except this time we will skip straight to trying different B's.
  - B = 5:  $2^{60} 1 \pmod{220459} = 120157$ ,  $\gcd(120157, 220459) = 1$ .
  - B = 7:  $2^{420} 1 \pmod{220459} = 89023$ ,  $\gcd(89023, 220459) = 1$ .
  - B = 11:  $2^{27720} 1 \pmod{220459} = 155869$ ,  $\gcd(155869, 220459) = 1$ .
  - B = 13:  $2^{360360} 1 \pmod{220459} = 97948, \gcd(97948, 220459) = 1$ .
  - B = 17:  $2^{12252240} 1 \pmod{220459} = 144576$ ,  $\gcd(144576, 220459) = 1$ .
  - B = 19:  $2^{232792560} 1 \pmod{220459} = 71838$ ,  $\gcd(71838, 220459) = 1$ .
  - B = 23:  $2^{5354228880} 1 \pmod{220459} = 201150$ ,  $\gcd(201150, 220459) = 1$ .

If we continue this process, we find a B = 5342931457063200, which gives the factor 449. Divide 220459 by 449, and we get 491. Note that  $p - 1 = 448 = 2^6 \cdot 7$  and  $q - 1 = 490 = 2 \cdot 5 \cdot 7^2$ . (See this Python code for how I automated finding the factors of 220459.)

## 3.6 Factorization via Difference of Squares

We would only use this method if prime numbers, p and q are close to each other.

To factor N we find a value k such that  $N + k^2 = m^2$  for some  $m \in \mathbb{Z}$ . This is equal to  $N = m^2 - k^2 = (m + k)(m - k)$ .

## Example 3.12: Factorization 1

Factor 45.

Solution.

$$45 + 1^{2} = 46 \text{ X}$$

$$45 + 2^{2} = 49 \text{ /}$$

$$45 = 7^{2} - 2^{2}$$

$$45 = (7 + 2)(7 - 2)$$

$$45 = (9)(5)$$

## Example 3.13: Factorization 2

Factor N=25217 by looking for an integer b making  $N+b^2$  a perfect square.

Solution. We factor N=25217 by looking for an integer b making  $N+b^2$  a perfect square:



$$25217 + 1^2 = 25218$$
 not a square, 
$$25217 + 2^2 = 25221$$
 not a square, 
$$25217 + 3^2 = 25226$$
 not a square, 
$$25217 + 4^2 = 25233$$
 not a square, 
$$25217 + 5^2 = 25242$$
 not a square, 
$$25217 + 6^2 = 25253$$
 not a square, 
$$25217 + 7^2 = 25266$$
 not a square, 
$$25217 + 8^2 = 25281 = 159^2$$
 Eureka! square.

Then we compute

$$25217 = 159^2 - 8^2 = (159 + 8)(159 - 8) = 167 \cdot 151.$$

#### 3.6.1 Exercise

#### Exercise 3.24

For each of the following numbers N, compute the values of  $N+1^2$ ,  $N+2^2$ ,  $N+3^2$ ,  $N+4^2$ ,... as we did in Example 3.34 (Example 3.11 in notes), until you find a value  $N+b^2$  that is a perfect square  $a^2$ . Then use the values of a and b to factor N.

(a) 
$$N = 53357$$

(b) 
$$N = 34571$$

Solution.

(a)

$$53357 + 1^2 = 53358$$
 not a square,  $53357 + 2^2 = 53361 = 231^2$  Eureka! square.

 $53357 = 231^2 - 2^2 = (231 + 2)(231 - 2) = 233 \cdot 229$ . Therefore, 53357 factors into 233 and 229.



$$34571 + 1^2 = 34572$$
 not a square,  
 $34571 + 2^2 = 34575$  not a square,  
 $34571 + 3^2 = 34580$  not a square,  
 $34571 + 4^2 = 34587$  not a square,  
 $34571 + 5^2 = 34596 = 186^2$  Eureka! square.

 $34571 = 186^2 - 5^2 = (186 + 5)(186 - 5) = 191 \cdot 181$ . Therefore, 34571 factors into 191 and 181.

## 4.1 What Is a Digital Signature?

We used RSA and Elgamal for confidentiality, whereas we use digital signatures for authentication. A digital signature is a way to ensure that a message is authentic, has not been tampered with, and is from the person who claims to have sent it.

## 4.2 RSA Digital Signatures

Recall RSA encryption and decryption. We have public key (N = pq, e) where N is the modulus, e is the public exponent, and p, q are the prime factors of N. We also have private key p, q where e has the following property:  $\gcd(e, (p-1)(q-1)) = 1$ . This ensures a d exists such that  $d \equiv e^{-1} \pmod{(p-1)(q-1)}$ .

Note: To gain a bit of efficiency, choose a d and e to satisfy

$$de \equiv 1 \left( \text{mod} \frac{(p-1)(q-1)}{\gcd(p-1, q-1)} \right)$$

To sign a document D, which we assume to be an integer in the range 1 < D < N, we compute the signature S as follows:

$$S \equiv D^d \pmod{N}$$

To verify this signature, we compute:

$$D \equiv S^e \pmod{N}$$

## Example 4.1: RSA Digital Signature

Given the following (p, q, a) as (1223, 1987, 2430101) with the verification exponent e = 948074, publish a document and verify its signature.

Solution. Samantha computers her private signing key d using secret values of p and q to compute  $(p-1)(q-1)=1222\cdot 1986=2426892$  and then solving the congruence

$$ed \equiv 1 \pmod{(p-1)(q-1)}, \quad 948074d \equiv 1 \pmod{2426892}$$



She finds that d = 1051235. Samantha selects a digital document to sign,

$$D = 1070777$$
 with  $1 \le D < N$ .

She computes the digital signature

$$S \equiv D^d \pmod{N}$$
,  $S \equiv 1070777^{1051235} \equiv 153337 \pmod{2430101}$ .

She then publishes the document and signature

$$D = 1070777$$
.  $S = 153337$ .

To verify the signature, the recipient computes

$$S^e \pmod{N}$$
,  $153337^{948074} \equiv 1070777 \pmod{2430101}$ .

He verifies that the value of  $S^e$  modulo N is the same as the value of the digital document D = 1070777.

Key creation		
Samantha	Victor	
Choose secret primes $p$ and $q$ . Choose encryption exponent $e$ with $gcd(e, (p-1)(q-1)) = 1$ . Publish $N = pq$ and $e$ .		
Signing		
Compute $d$ satisfying $ed \equiv 1 \pmod{(p-1)(q-1)}$ . Sign document $D$ by computing $S \equiv D^d \pmod{N}$ .		
Verification		
	Compute $S^e \pmod{N}$ and verify that it equals $D$ .	

Table 4.1: RSA Digital Signatures

## 4.3 Elgamal Digital Signatures

Elgamal digital signatures are similar to RSA digital signatures. We have public key (p, g, A). Where A is the public key from the expression  $A \equiv g^a \pmod{p}$  and private key a. To sign a document D, where 1 < D < p, choose a random k with  $\gcd(k, p - 1) = k$ . Compute

$$S_1 \equiv g^k \pmod{p}$$
 and  $S_2 \equiv (D - aS_1)k^{-1} \pmod{p-1}$ .



Victor verifies the signature by checking that

$$A^{S_1}S_1^{S_2} \pmod{p}$$
 is equal to  $g^D \pmod{p}$ .

## Example 4.2: Elgamal Digital Signature

Given the following (p, g, a) as (21739, 7, 15140), sign a document and verify its signature.

Solution. First, we need to calculate A:

$$A \equiv g^a \pmod{p}, \quad A \equiv 7^{15140} \pmod{21739} \equiv 17702.$$

Next, we sign the digital document D = 5331 using the random element k = 10727 by computing

$$S_1 \equiv g^k \equiv 7^{10727} \equiv 15775 \pmod{21739},$$
  
 $S_2 \equiv (D - aS_1)k^{-1} \equiv (5331 - 15140 \cdot 15775) \cdot 6353 \equiv 10727 \pmod{21739}.$ 

Verify the signature by computing

$$A^{S_1}S^{S_2} \equiv 17702^{15775} \cdot 15775^{10727} \equiv 7^{5331} \equiv 13897 \pmod{21739}$$

and verifying that it agrees with

$$g^D \equiv 7^{5331} \equiv 13897 \pmod{21739}.$$



#### Public parameter creation

A trusted party chooses and publishes a large prime p and an element g modulo p of large (prime) order.

#### Key creation

#### Samantha

#### Victor

Choose secret signing key

 $1 \le a \le p - 1$ .

compute  $A = g^a \pmod{p}$ .

Publish the verification key A.

#### Signing

Choose document  $D \pmod{p}$ .

Choose random element 1 < k < p

satisfying gcd(k, p - 1) = 1.

Compute signature

 $S_1 \equiv g^k \pmod{p}$  and

 $S_2 \equiv (D - aS_1)k^{-1} \pmod{p-1}.$ 

#### Verification

Compute  $A^{S_1}S_1^{S_2} \pmod{p}$ Verify that it is equal to  $g^D \pmod{p}$ .

Table 4.2: The Elgamal Digital Signature Algorithm

#### ELLIPTIC CURVES AND CRYPTOGRAPHY

## 6.1 Elliptic Curves

#### **Definition Elliptic Curve:**

An elliptic curve E is the set of solutions to an equation of the form  $y^2 = x^3 + ax + b$ , together with a point at infinity  $\mathcal{O}$ , and the condition that  $4a^3 + 27b^2 \neq 0$ . The last condition is to prevent singular points that cross. In other words,  $4a^3 + 27b^2 = 0 \equiv x^3 + ax + b$  having 3 distinct roots.

#### Adding Two Elliptic Curve Points

We define " $\oplus$ " as mapping:  $E \times E \to E$ . From this, we get  $P \oplus Q = R$ .

Define a line through  $P \oplus Q$ . This line will intersect the curve at a third point, R. Then R' is the reflection of R over the y-axis.  $P \oplus Q = R'$ .

## Example 6.1: Adding Two Elliptic Curve Points

Given the elliptic curve  $E: y^2 = x^3 - 36x$  with P = (-3, 9), Q = (-2, 8). Find  $P \oplus Q$ .

Solution.

- (a) Find slope:  $m = \frac{y_2 y_1}{x_2 x_1} = \frac{8 9}{-2 (-3)} = -1$ .
- (b) Solve the equation of line: y = -x + b. Plug in  $P: 9 = 3 + b \implies b = 6$ . Thus, y = -x + 6.
- (c) Plug y back into given formula:

$$(-x+6)^2 = x^3 - 36x$$
$$x^2 - 12x + 36 = x^3 - 36x$$
$$(-x^3) + x^2 + 24x + 36 = 0$$
$$x^3 - x^2 - 24x - 36 = 0$$

- (d) Find the roots of the equation: x = -3, -2, 6. Thus, R = (6, 0) and R' = (6, 0). Note two important things we did here:
  - We know that two of the roots are 3 and 2 because they are given. We got the third root, −6, by solving for the cubic equation.
  - R and R' are the same value because to find R', we reflect R over the y-axis.



(e) Conclude:  $P \oplus Q = R' = (6, 0)$ .

## Theorem: Addition Law Properties

Let E be an elliptic curve. Then, the addition law on E has the following properties:

- (a)  $P \oplus \mathcal{O} = \mathcal{O} \oplus P = P$  for all  $P \in E$ . (Identity)
- (b)  $P \oplus (-P) = (-P) \oplus P = \mathcal{O}$  for all  $P \in E$ . (Inverse)
- (c)  $(P \oplus Q) \oplus R = P \oplus (Q \oplus R)$  for all  $P, Q, R \in E$ . (Associative)
- (d)  $P \oplus Q = Q \oplus P$  for all  $P, Q \in E$ . (Commutative)

In other words, the addition law makes the points of E into an Abelian group.

*Proof.* (a) **Identity:** True because  $\mathcal{O}$  lies on all vertical lines.

- (b) Inverse: Same reason as Identity. (Also, we defined  $\mathcal{O}$  as such.)
- (c) Associative: Ignoring because hard.
- (d) Commutative: Line through  $P \oplus Q$  is the same as the line through  $Q \oplus P$ . Hence,  $P \oplus Q = Q \oplus P$ .



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## 6.1.1 Special Cases for Adding Elliptic Curve Points

## Theorem: Elliptic Curve Addition Algorithm

Let

$$E: y^2 = x^3 + ax + b$$

be an elliptic curve, and let  $P_1$  and  $P_2$  be points on E.

- (a) If  $P_1 = \mathcal{O}$ , then  $P_1 + P_2 = P_2$ .
- (b) Otherwise, if  $P_2 = \mathcal{O}$ , then  $P_1 + P_2 = P_1$ .
- (c) Otherwise, write  $P_1 = (x_1, y_1)$  and  $P_2 = (x_2, y_2)$ .
- (d) If  $x_1 = x_2$  and  $y_1 = -y_2$ , then  $P_1 + P_2 = \mathcal{O}$ .
- (e) Otherwise, define  $\lambda$  by

$$\lambda = \begin{cases} \frac{y_2 - y_1}{x_2 - x_1} & \text{if } P_1 \neq P_2, \\ \frac{3x_1^2 + A}{2y_1} & \text{if } P_1 = P_2, \end{cases}$$

and let

$$x_3 = \lambda^2 - x_1 - x_2$$
 and  $y_3 = \lambda(x_1 - x_3) - y_1$ .

Then,  $P_1 + P_2 = (x_3, y_3)$ .

## Verbatim From Notes Today In Class

For  $P = (x_1, y_1), Q = (x_2, y_2).$ 

- 1. P = Q: This means  $x_1 = x_2$ , and there is no slope because  $x_2 x_2 = 0$ . Thus, this "line," is actually a point tangent to the curve. Thus, to find the slope of the line, we need to differentiate.
- 2.  $P = \mathcal{O}$  or  $Q = \mathcal{O}$ : This means that the line is vertical, and the sum is the other point. In other words,  $P \oplus \mathcal{O} = P$ .

For the first case, consider the following example:

## Example 6.2: Case 1

Solve for R' with the elliptic curve  $E: y^2 = x^3 - 36x$ .



Solution. To solve this we first need to differentiate to get the slope for our equation:

$$y^{2} = x^{3} - 36x$$

$$2y\frac{dy}{dx} = 3x^{2} - 36$$

$$\frac{dy}{dx} = \frac{3x^{2} - 36}{2y}$$

$$\frac{dy}{dx} = \frac{3(-3)^{2} - 36}{2(9)}$$

$$\frac{dy}{dx} = \frac{-1}{2}$$

From here, we solve  $y - y_0 = m(x - x_0)$ :

$$y - 9 = \frac{-1}{2}(x+3)$$
$$y = \frac{-1}{2} + \frac{15}{2}$$

Now, we can substitute our x and y values back into the original  $y^2 = x^3 + ax + b$ :

$$\left(\frac{-1}{2}x + \frac{15}{2}\right)^2 = x^3 - 36x$$
$$\frac{1}{4}x^2 - \frac{15}{2}x + \frac{225}{4} = x^3 - 36x$$
$$x^3 - \frac{1}{4}x^2 - \frac{57}{2}x - \frac{225}{4} = 0$$
$$(x+3)(x+3)(x - \frac{25}{4}) = 0$$

Hence,  $x = \frac{25}{4}$  and  $y = \frac{-1}{2}(\frac{25}{4}) + \frac{15}{2} - \frac{35}{8}$ . Therefore,  $R' = P \oplus P = (\frac{25}{4}, \frac{-35}{8})$ . Note that  $\frac{35}{8}$  is negative because we flipped it along the y-axis.

#### Elliptic Curves over Finite Fields 6.2

## Example 6.3: Elliptic Addition with Modulo

Given the elliptic curve  $E: y^2 = x^3 + 2x + 2 \pmod{17}$  with points P = (5,1) and Q = (16, 13).

- (a) Find  $P \oplus Q$ .
- (b) Find  $P \oplus P$ .

Solution.

(a) First, we need to find lambda. Using the formula for lambda in the Elliptic Curve Addition Algorithm part (e), first condition, we have:  $\lambda = \frac{12}{11}$ , but remember, we are in modulo, so we need to find the modular inverse of 11. This is 14. Thus,  $\lambda = 12 \cdot 14 = 15$ . (Note there is a quick trick of subtracting the number by 17 to get a smaller number to work with. For example, 12 and 14 are -5 and -3, respectively. When we multiply these, we get the same answer: 15. Hence, we can use this trick to make our calculations easier.)

Use the formula for  $x_3$  and  $y_3$  to find the point R. For  $x_3$ :

$$x_3 \equiv (15)^2 - 5 - 16$$
$$\equiv (-2)^2 - 5 - 16$$
$$\equiv -17$$
$$\equiv 0 \pmod{17}$$

Then, for  $y_3$ :

$$y_3 \equiv 15(5-0) - 1$$
$$\equiv (-2)(5) - 1$$
$$\equiv -11$$
$$\equiv 6 \pmod{17}$$

This gives us the point R = (0, 6).

(b) For  $P \oplus P$ , we have P = (5, 1). Now, we use the Elliptic Curve Addition Algorithm part (e), second condition, to find lambda. We have

$$\lambda = \frac{3(5)^2 + 2}{2(1)} = 9 \cdot 2^{-1} \equiv 9 \cdot 9 \equiv 13 \pmod{17}.$$

Now, we can find  $x_3$  and  $y_3$ :

$$x_3 \equiv 13^2 - 5 - 5$$
$$\equiv 169 - 10$$
$$\equiv 159$$
$$\equiv 6 \pmod{17}$$

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For  $y_3$ :

$$y_3 \equiv 13(5-6) - 1$$
$$\equiv 13(-1) - 1$$
$$\equiv -14$$
$$\equiv 3 \pmod{17}$$

This gives us the point R = (6,3).

## Example 6.4: Set of Points $E(\mathbb{F}_p)$

Using the same elliptic curve from the last example,  $E \colon y^2 = x^3 + 2x + 2 \pmod{17}$  find the set of points  $E(\mathbb{F}_{17})$ .

Solution. For this problem, we need to find all the squares modulo 17. We can do this by squaring all the numbers from 0 to 16.

(a) First, find all the squared values:

• 
$$0^2 = 0$$

• 
$$1^2 = 1 = 16^2$$

• 
$$2^2 = 4 = 15^2$$

• 
$$3^2 = 9 = 14^2$$

• 
$$4^2 = 16 = 13^2$$

• 
$$5^2 = 8 = 12^2$$

• 
$$6^2 = 2 = 11^2$$

• 
$$7^2 = 15 = 10^2$$

• 
$$8^2 = 13 = 9^2$$

Notice that the squares are symmetric about 8. This is because the curve is symmetric about the y-axis.

2. We need to find the y-values. We need to test each of the x-values in the equation  $y^2 = x^3 + 2x + 2$ :

• 
$$0^3 + 2(0) + 2 = 2$$

• 
$$1^3 + 2(1) + 2 = 5$$

• 
$$2^3 + 2(4) + 2 = 12$$

• 
$$3^3 + 2(9) + 2 = 1$$

• 
$$4 \rightarrow 6$$

• 
$$5 \rightarrow 1$$

• 
$$6 \rightarrow 9$$

• 
$$7 \rightarrow 2$$

• 
$$8 \rightarrow 3$$

• 9 
$$\rightarrow$$
 1, 10  $\rightarrow$  2, 11  $\rightarrow$  2, 12  $\rightarrow$  3, 13  $\rightarrow$  15, 14  $\rightarrow$  3, 15  $\rightarrow$  7, 16  $\rightarrow$  16.

3. Now, given a y-value, we can search for the corresponding x-value. For example, y=2 corresponds to x=6 and x=11. We find the pairs to be:



O, (0,6), (0,11), (3,1), (3,16), (5,1), (5,16), (6,3), (6,14), (7,6), (7,11), (9,1), (9,16), (10,6), (10,11), (13,7), (13,10), (16,4), (16,13).

This yields the set of points  $E(\mathbb{F}_{17})$  to be 19 points in total.

#### Theorem: Hasse

The following formula gives an estimate for the number of points on an elliptic curve over a finite field:

$$p + 1 - 2\sqrt{p} \le \#E(\mathbb{F}_p) \le p + 1 + 2\sqrt{p}.$$

# 6.3 The Elliptic Curve Discrete Logarithm Problem (ECDLP)

#### The Double-and-Add Algorithm

- (a) Write n in binary.
- (b) Repeatedly double the point P up to the highest multiple of 2 in binary representation of n.
- (c) Take points corresponding to binary expansion of n and add them together.

## Example 6.5: Double-and-Add Algorithm

Use the double-and-add algorithm to compute  $E\colon y^2=x^3+2x+2\pmod{17}$  with p=(5,1) and n=11.

#### Solution.

- (a) Write n = 11 in binary: 11 = 1011.
- (b) Double the point P up to the highest multiple of 2 in the binary representation of

n·

$$1P = (5, 1)$$
  
 $2P = (6, 3)$   
 $4P = (3, 1)$   
 $8P = (13, 7)$ 

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(c) Solve for 11P:

$$11P = 8P + 2P + P$$

$$= (13,7) + (6,3) + (5,1)$$

$$= (7,11) + (5,1)$$

$$= (13,10).$$

This algorithm takes  $\leq 2n$  "steps" to compute nP.

#### Ternary Expansion of n

- (a) Write n in binary.
- (b) Working from smaller powers of 2 to larger powers when you have 2 or more consecutive powers or 2, we can replace:

$$(2^{s+5}) + 1(2^{s+t-1}) + 1(2^{s+t-2}) + \dots + 1(2^s)$$
  
=  $2^{s+t} - 2^s$ .

This allows us to "cancel out" middle terms of consecutive powers of 2. We take the next largest power of 2, for a string of 2s, and subtract the next smallest power of 2.

## Example 6.6: Ternary Expansion

Find the ternary expansion of 11.

Solution.

- (a) Write 11 in binary: 11 = 1011.
- (b) Replace the binary expansion with the ternary expansion:

$$11 = 8 + 2 + 1$$

$$= 1(8) + 1(4) + 1(2) + 1(1)$$

$$= 8 + 4 + 1(2) - 1$$

$$= 11.$$



## 6.4 Elliptic Curve Cryptography

## 6.4.1 Elliptic Curve Diffie-Hellman Key Exchange

Public parameter creation		
A trusted party chooses and publishes a large prime $p$ , an elliptic curve $E$ over $\mathbb{F}_p$ and a point $P$ in $E(\mathbb{F}_p)$ .		
Private Computations		
Alice	$\operatorname{Bob}$	
Chooses a secret integer $n_A$ . Computes the point $Q_A = n_A P$	Chooses a secret integer $n_B$ . Computes the point $Q_B = n_B P$	
Public Exchange of Values		
Alice sends $Q_A$ to Bob		
	Bob sends $Q_B$ to Alice	
Further Private Computations		
Computes the point $n_A Q_B$ .	Computes the point $n_B Q_A$ .	
Their shared secret value is $n_A Q_B = n_A(n_B P) = n_B(n_A P) = n_B Q_A$ .		

Table 6.1: Diffie-Hellman Key Exchange Using Elliptic Curves

## Example 6.7: Elliptic Curve Diffie-Hellman Key Exchange

Given the elliptic curve  $E: y^2 \equiv x^3 + x + 6 \pmod{11}$  with point p = (5, 9), Alice's private key  $n_A = 4$ , and Bob's private key  $n_B = 7$ , find the shared secret key. Use this website: Elliptic Curve Calculator.

Solution. First, we need to find  $Q_A$  and  $Q_B$ . For  $Q_A$ , we have  $n_A = 4$ , so we need to find 4P. Using the double-and-add algorithm, we have n = 4 = 100. Now, we need to solve for 2P and 4P:

$$\lambda = \frac{3(5)^2 + 1}{2(9)} = \frac{76}{18} = 10 \cdot 18^{-1} \equiv -1 \cdot 7 \equiv -7 \equiv 4 \pmod{11}$$

Now we can find  $x_3$  and  $y_3$ . First,  $x_3$ :

$$x_3 \equiv 4^2 - 5 - 5$$
$$\equiv 16 - 10$$
$$\equiv 6 \pmod{11}.$$



For  $y_3$ :

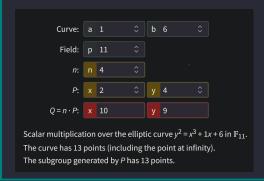
$$y_3 \equiv 4(5-6) - 9$$
$$\equiv 4(-1) - 9$$
$$\equiv -4 - 9$$
$$\equiv 9 \pmod{11}.$$

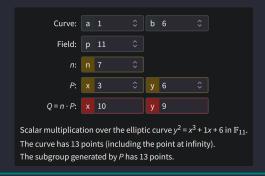
Thus, 2P = (6,9). Using the same process, we find that  $Q_A = 2(2P) = 2(6,9) = (3,6)$ .

$$1P = (5,9)$$
  
 $2P = (6,9)$   
 $4P = (3,6)$ .

Similarly, for  $7Q_B \Rightarrow 7P = (2,4)$ .

From the image below, we can see that when we take the point  $n_A Q_B \Rightarrow 4 \cdot (2, 4)$ , we get the point (10, 9). Then, when we take the point  $n_B Q_A \Rightarrow 7 \cdot (3, 6)$ , we get the point (10, 9). Thus, the shared secret key is (10, 9).







### 6.4.2 Elgamal Encryption Using Elliptic Curves

#### Public parameter creation

A trusted party chooses and publishes a large prime p, an elliptic curve E over  $\mathbb{F}_p$ , and a point P in  $E(\mathbb{F}_p)$ .

#### Key creation

Alice

Bob

Choose private key  $n_A$ .

Compute  $Q_A = n_A P$  in  $E(\mathbb{F}_p)$ .

Publish the public key  $Q_A$ .

#### Encryption

Choose plaintext  $M \in E(\mathbb{F}_p)$ . Choose random element k. Use Alice's public key  $Q_A$  to compute  $C_1 = kP \in E(\mathbb{F}_P)$ and  $C_2 = M + kQ_A \in E(\mathbb{F}_P)$ . Send ciphertext  $(C_1, C_2)$  to Alice.

#### Decryption

Compute  $C_2 - n_A C_1 \in E(\mathbb{F}_P)$ .

This quantity is equal to M.

Table 6.2: Elgamal Key Creation, Encryption, and Decryption with Elliptic Curves

## Example 6.8: Elgamal Encryption Using Elliptic Curves

Given the elliptic curve  $E: y_2 \equiv x_3 + 7x + 4 \pmod{17}$  with  $P = (3, 1), n_A = 15, M = (16, 8), and <math>(K = 5)$ , find  $Q_A$ , and encrypt and decrypt the message.

Solution. We find  $Q_A$  to be (11, 16)

$$C_1 = 5(3,1) = (0,15).$$

Then,

$$C_2 = (16, 8) + 5(11, 16) = (3, 1).$$

Alice can decrypt the message by computing:

$$(3,1) \ominus 15(0,15) = (3,1) \ominus (2,14) = (3,1) \ominus (2,3) = (16,8).$$

(Note the subtraction is just taking -y.)