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## **HW** 1

**1** Let  $f: X \to Y$ . Prove that

a) if 
$$A,B\subset Y$$
, then  $f^{-1}(A\cap B)=f^{-1}(A)\cap f^{-1}(B)$  and  $f^{-1}(A\cup B)=f^{-1}(A)\cup f^{-1}(B)$ 

b) For a family  $(A_{\lambda})_{{\lambda}\in\Lambda}\subset P(X)$ , show that  $f^{-1}(\bigcup_{{\lambda}\in\Lambda}A_{\lambda})=\bigcup_{{\lambda}\in\Lambda}f^{-1}(A_{\lambda})$  and  $f^{-1}(\bigcap_{{\lambda}\in\Lambda}A_{\lambda})=\bigcap_{{\lambda}\in\Lambda}f^{-1}(A_{\lambda})$ 

and give examples for the following situations

- c)  $f^{-1}(f(A)) \neq A$ , for some  $A \subset X$ ,
- d)  $f(f^{-1}(B)) \neq B$  for some  $B \subset Y$ ,
- e)  $f(\cap_{\lambda \in \Lambda}) \neq \cap_{\lambda \in \Lambda} f(A_{\lambda})$ , for some family  $(A_{\lambda})_{\lambda \in \Lambda} \subset P(X)$ .

*Proof.* (a) is a subcase of (b). To prove the first part of (b),

$$x \in f^{-1}(\bigcup_{\lambda \in \Lambda} A_{\lambda}) \iff f(x) \in \bigcup_{\lambda \in \Lambda} A_{\lambda}$$

$$\iff f(x) \in A_{\lambda} \text{ for some } \lambda$$

$$\iff x \in f^{-1}(A_{\lambda}) \text{ for some } \lambda$$

$$\iff x \in \bigcup_{\lambda} f^{-1}(A_{\lambda}).$$

For the second part,

$$x \in f^{-1}(\bigcap_{\lambda \in \Lambda} A_{\lambda}) \iff f(x) \in \bigcap_{\lambda \in \Lambda} A_{\lambda}$$

$$\iff f(x) \in A_{\lambda} \text{ for all } \lambda$$

$$\iff x \in f^{-1}(A_{\lambda}) \text{ for all } \lambda$$

$$\iff x \in \bigcap_{\lambda} f^{-1}(A_{\lambda})$$

For (c), let  $X=\{0,1\}$  and  $Y=\{0\}$ . Let  $A=\{0\}\subset X$ . Let  $f:X\to Y$  be the constant function. Then  $f^{-1}(f(A))=f^{-1}(Y)=X\neq A$ .

For (d), let  $X = \{0\}$  and  $B = Y = \{0, 1\}$ . Let  $f : X \to Y$  be the constant function at 1. Then  $f(f^{-1}(B)) = f(X) = \{1\} \neq B$ .

For (e), let  $X = \{0, 1\}$  and  $Y = \{0\}$ . Let  $A_1 = \{0\}$  and  $A_2 = \{1\}$ . Let  $f: X \to Y$  be the constant function. Then  $f(A_1 \cap A_2) = f(\emptyset) = \emptyset$ , but  $f(A_1) \cap f(A_2) = \{0\}$ .

**2** Show that the following two statements are equivalent for two nonempty sets A and B.

- a) There is an injection  $\phi: A \to B$ .
- b) There is a surjection  $\psi: B \to A$ .

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Proof. Suppose (a) holds. Let  $(U_b)_{b\in B}$  be defined by  $U_b = \phi^{-1}(\{b\})$  if  $b \in \phi(A)$  and  $U_b = A$  otherwise. By the axiom of choice, there exists  $f \in \prod_{b \in B} U_b$ . Since each  $U_b \subset A$ , there exist identity injections  $i_b : U_b \to A$  for each  $b \in B$ . Define  $\psi : B \to A$  by  $\psi(b) = i_b(f(b))$ .

To see that  $\psi$  is surjective, let  $a \in A$ . Since  $\phi$  is injective,  $\phi^{-1}(\phi(\{a\}))$  contains only a. Hence,  $f(\phi(a)) \in (U_{\phi(a)} = \phi^{-1}(\phi(\{a\})))$  implies that  $f(\phi(a)) = a$ . Thus,  $\psi(\phi(a)) = i_{\phi(a)}f(\phi(a)) = i_{\phi(a)}(a) = a$ .

Now suppose (b) holds. Let  $(U_a)_{a\in A}$  be defined by  $U_a = \psi^{-1}(\{a\})$ , which are non-empty since  $\psi$  is surjective. By AC, there exists  $f \in \prod_{a\in A} U_a$ . Since each  $U_a \subset B$ , there exist identity injections  $i_a: U_a \to B$ . Define  $\phi: A \to B$  by  $\phi(a) = i_a(f(a))$ .

To see that  $\phi$  is injective, let  $b \in B$  and suppose  $x, y \in \phi^{-1}(\{b\})$ . Then  $x \in f^{-1}(i_x^{-1}(\{b\}))$ , so  $f(x) \in i_x^{-1}(\{b\}) = \{b\}$  and similarly for y. Hence, f(x) = b = f(y). Hence,  $b \in (U_x \cap U_y)$ . But  $U_x \cap U_y = \psi^{-1}(\{x\}) \cap \psi^{-1}(\{y\}) = \psi^{-1}(\{x\} \cap \{y\})$ . Thus,  $\{x\} \cap \{y\}$  is nonempty, so x = y.

**3** Find nonhomeomorphic metric spaces  $M_1$  and  $M_2$  such that there exist injective continuous functions  $f: M_1 \to M_2$  and  $g: M_2 \to M_2$ .

Proof. Let  $M_1 = (0,1)$  and  $M_2 = (0,1) \cup (2,3)$  with distances inherited from  $\mathbb{R}$ . Since  $M_1$  is connected but  $M_2$  is disconnected, they cannot be homeomorphic. Let  $f: M_1 \to M_2$  be defined by f(x) = x, and  $g: M_2 \to M_1$  be defined by g(x) = x/3.

4 Prove that every real vector space has a basis.

*Proof.* Let V be a real vector space. Let  $\mathcal{I}$  be the collection of linearly independent subsets of V.  $\mathcal{I}$  is partially ordered by inclusion. Let  $\mathcal{I}$  be a linearly ordered subset of  $\mathcal{I}$ . Let  $B := \bigcup \mathcal{I}$ . I claim that B is linearly independent, hence a bound for  $\mathcal{I}$ .

Let  $\sum_{w \in W} \alpha_w w = 0$  for a finite set  $W \subset B$ . By the definition of B, each w lies in some  $J_w \in \mathcal{J}$ . Since W is finite, it follows that  $J := \bigcup_w J_w$  is in  $\mathcal{J}$ . Since J is linearly independent,  $\alpha_w = 0$  for all w. Thus, B is linearly independent.

Hence, every chain in  $\mathcal{I}$  is bounded, so Zorn's Lemma implies that  $\mathcal{I}$  has a maximal element M. If  $\mathrm{span}(M) = V$ , we are done. Otherwise, there exists  $v \in V \setminus \mathrm{span}(M)$ . If  $\alpha v + \sum_{m \in M} \beta_m m = 0$  for  $(\beta_m)$  zero except on a finite set, then  $\alpha v \in \mathrm{span}(V)$ . Thus  $\alpha = 0$ , so  $\beta_m = 0$  for all m. Hence  $\{v\} \cup M$  is linearly independent, contradicting the maximality of M.

**5** Prove that any partial order  $\leq$  on a set X can be extended to a linear order on the set.

*Proof.* Let  $\mathcal{O} \subset P(X \times X)$  be the collection of partial orders containing  $\leq$ .  $\mathcal{O}$  is partially ordered by inclusion. Let  $\mathcal{U} \subset O$  be a chain, and  $U = \bigcup \mathcal{U}$ . U is clearly reflexive. For transitivity, suppose xUy and yUz. Then xRy and ySz for some  $R, S \in \mathcal{U}$ . Let  $T = R \cup S$ . Then xTy and yTz, so xTz which implies

xUz. A similar argument shows that U is antisymmetric. Hence, U is a bound for U. Thus, by Zorn's Lemma, there exists a maximal element  $M \in \mathcal{O}$ .

I claim that M is linearly ordered. Suppose  $a,b \in X$  with neither aMb nor bMa. Define a relation  $N \in P(X \times X)$  by  $N = M \cup \{(a,b)\}$ . Let T be the transitive closure of N. That is, xTy iff there is a finite sequence  $(x_i)_{i=1}^n \subset X$  such that  $x_1 = x$ ,  $x_n = y$  and  $x_iNx_{i+1}$  for all  $1 \le i < n$ . Since  $T \supset N \supset M$ , T is reflexive. T is transitive since we can concatenate the sequences for xTy and yTz.

For anti-symmetry, suppose xTy and yTx. By concatenation, we get a sequence  $(x_i)_{i=1}^n$  with  $x_1 = x_n = x$ ,  $x_m = y$  for some 1 < m < n, and  $x_iNx_{i+1}$  for all  $1 \le i < n$ . If none of the  $(x_i, x_{i+1})$  is equal to (a, b), then every such pair is in M. Hence, by the transitivity of M,  $xMx_2M ... MyM ... Mx$  implies xMy and yMx, so x = y.

The other case is that there exists an  $(x_i, x_{i+1}) = (a, b)$ . If only one such pair exists, then  $(x_k, x_{k+1}) \in M$  for  $k \neq i$ . The transitivity of M implies that xMa and bMx. Hence bMa, a contradiction. If there exists another pair  $(x_j, x_{j+1}) = (a, b)$ , WLOG assume i is of minimal index and j is the index of the next such pair. Then  $x_{i+1}Mx_{i+2}M...Mx_j \implies bMa$ , a contradiction.

**6** Find a sequence of Riemann integrable functions  $(f_n)$  defined on [0,1], so that for all  $\epsilon > 0$  there is an  $n_0 \in \mathbb{N}$  so that

$$\int_0^1 |f_m(x) - f_n(x)| dx < \epsilon \text{ whenever } m, n \ge n_0,$$

but there is no Riemann integrable function f so that

$$\lim_{n \to \infty} \int_0^1 |f(x) - f_n(x)| \, dx = 0.$$

*Proof.* Pick any 0 < a < 1 and a strictly decreasing sequence  $a_n \to a$  with  $a_0 = 1$ . Let  $E_0 = [0,1]$ . Given  $E_n$  a disjoint union of  $2^n$  closed intervals of length  $a_n 2^{-n}$ , define  $E_{n+1}$  by removing an open interval from the center of each interval of  $E_n$  so that  $E_{n+1}$  consists of  $2^{n+1}$  closed intervals of length  $a_{n+1} 2^{-n-1}$ . Let  $E = \bigcap_n E_n$ .

Let  $f_n = \chi_{E_n}$ . Each  $f_n$  is Riemann integrable since it has only finitely many points of discontinuity. Since  $(E_n)$  is a descending sequence of sets of finite measure,  $m(E) = m(\bigcap_n E_n) = \lim_{n \to \infty} m(E_n) = \lim_{n \to \infty} a_n = a$ . Hence  $\int |\chi_E - f_n| = \int \chi_{E \setminus E_n} = a - a_n \to 0$ . Thus,  $f_n \to \chi_E$  in  $L_1$ . In particular,  $(f_n)$  is Cauchy in  $L_1$ .

Since  $f_n \to \chi_E$  in  $L_1$ , it suffices to show that there is no Riemann integrable function in the  $L_1$  equivalence class of  $\chi_E$ . Let g differ from  $\chi_E$  on a set of measure 0. Pick any  $x \in E$  such that g(x) = 1. I claim that g is discontinuous at x. Let U be a neighborhood of x. Since E cannot contain any intervals, it follows that  $V := U \cap E^c$  is a nonempty open set. Thus m(V) > 0, so g(y) = 0 for some  $g \in V$ . Hence g is discontinuous at g. Thus, g is discontinuous on g a.e. Since g has positive measure, g cannot be Riemann integrable.  $\square$