

## HW 1

**1** Let  $\phi : \mathcal{B}(H) \rightarrow \mathbb{C}$  be a linear functional. Show that the following statements are equivalent:

(a) There are  $n \in \mathbb{N}$  and  $(\xi_i)_{i=1}^n, (\eta_i)_{i=1}^n \subset H$  such that

$$\phi(x) = \sum_{i=1}^n \langle x \xi_i | \eta_i \rangle \quad (x \in \mathcal{B}(H))$$

(b)  $\phi$  is continuous with respect to the weak operator topology.

(c)  $\phi$  is continuous with respect to the strong operator topology.

*Proof.* (b)  $\implies$  (a): Let  $(x_\lambda)_\lambda \subset \mathcal{B}(H)$  be a net such that  $x_\lambda \xrightarrow{WOT} x$ . Then

$$\begin{aligned} \lim_\lambda \phi(x_\lambda) &= \sum_{i=1}^n \lim_\lambda \langle x_\lambda \xi_i | \eta_i \rangle \\ &= \sum_{i=1}^n \langle \lim_\lambda x_\lambda \xi_i | \eta_i \rangle \\ &= \phi(x), \end{aligned}$$

where the second equality follows from the definition of the WOT.

(c)  $\implies$  (b): Suppose  $x_\lambda \xrightarrow{WOT} x \in \mathcal{B}(H)$ , and  $\phi$  is continuous wrt the strong operator topology. Then

□

**2** Let  $H$  be an infinite dimensional Hilbert space. Show by means of explicit examples that the norm topology, the strong operator topology, and the weak operator topology are all inequivalent on  $\mathcal{B}(H)$ .

*Proof.*

□

**3** Show that  $\mathcal{B}(H)$  is a factor. The set of bounded operators  $\mathcal{B}(H)$  is obviously a von Neumann algebra (it's the commutant of the identity). To see that it is a factor, we need to show that  $\mathcal{B}(H) \cap Z(\mathcal{B}(H)) = \mathbb{C}$ . In other words, we need to show that  $Z(\mathcal{B}(H)) = \mathbb{C}$ .

Suppose  $T \in Z(\mathcal{B}(H)) \setminus \mathbb{C}$ . Then there exists  $\xi \in H$  such that  $T\xi$  is not a multiple of  $\xi$ .

**4** Let  $S$  be a self-adjoint subset of  $\mathcal{B}(H)$ . Show that  $S'$  is a von Neumann algebra.

*Proof.* First, I claim that  $S'$  is a  $*$ -subalgebra of  $\mathcal{B}(H)$ . Suppose  $x, y \in S'$  and  $u \in S$ . Then  $xyu = uxy$ , and  $\alpha x + \beta y \in S'$  for all  $\alpha, \beta \in \mathbb{C}$ . Moreover,  $x^*u = (u^*x)^* = (xu^*)^* = ux^*$ . Hence,  $S'$  is a  $*$ -algebra.

Since  $S'$  obviously contains  $1_{\mathcal{B}(H)}$ , it suffices to show that  $S'$  is weakly closed. Let  $(x_\lambda) \subset S'$  be a net such that  $x_\lambda \rightarrow x \in \mathcal{B}(H)$  in the weak operator topology. Suppose  $x \notin S'$ . Then there exists  $u \in S$  such that  $xu - ux = v \neq 0$ . Since  $v \neq 0$ , there exist nonzero vectors  $\xi, \eta \in \mathcal{B}(H)$  such that  $v\xi = \eta$ . However,  $\square$

**5** Let  $e$  be a finite projection in a von Neumann algebra  $M$ . Let  $f < e$  be another projection. Show that  $f$  is also finite.

*Proof.*  $\square$

**6** It is known that if  $M$  is a factor, and  $p, q \in P(M)$ , then either  $p \preceq q$  or  $q \preceq p$ . Using this fact, show that if  $M$  is a  $II_1$ -factor then  $p \sim q$  if and only if  $\tau(p) = \tau(q)$ , where  $\tau$  is the unique normal faithful tracial state on  $M$ .

*Proof.*  $\square$

**7** Let  $M \subset \mathcal{B}(H)$  be a von Neumann algebra. A vector  $\xi \in H$  is called cyclic for  $M$  if  $H = \overline{M\xi}^{\|\cdot\|}$ . We call  $\xi$  separating for  $M$  if for each  $x \in M$ ,  $x\xi = 0 \implies x = 0$ . Show that  $\xi$  is cyclic for  $M$  if and only if  $\xi$  is separating for  $M'$ .

*Proof.*  $\square$

**8** Let  $\Gamma$  be a group. Recall from class the definition of the (left) group von Neumann algebra  $L\Gamma = \lambda(\mathbb{C}\Gamma)'' \subset \mathcal{B}(\ell^2\Gamma)$  and the normal tracial state  $\tau : L\Gamma \rightarrow \mathbb{C}$ ;  $\tau(x) = \langle x\delta_e | \delta_e \rangle$ .

(a) Consider the right regular representation  $\rho : \mathbb{C}\Gamma \rightarrow \mathcal{B}(\ell^2\Gamma)$ ;  $\rho(g)\delta_h = \delta_{hg^{-1}}$ ,  $g, h \in \Gamma$ . Show that  $\rho(\mathbb{C}\Gamma) \subset L\Gamma'$ .

*Proof.* Let  $g, h, k \in G$ . Then  $\rho(g)\lambda(h)\delta_k = \delta_{hkg^{-1}} = \lambda(h)\rho(g)\delta_k$ . Linearizing, we have  $\rho(\mathbb{C}\Gamma) \subset \lambda(\mathbb{C}\Gamma)'$ .

Let  $x \in L\Gamma'$  and  $y \in \rho(\mathbb{C}\Gamma)$ . Then there exists a net  $(x_i) \subset \lambda(\mathbb{C}\Gamma)$  such that  $x_i \rightarrow x$  in the WOT. Thus, for all  $\xi, \eta \in \ell^2\Gamma$ , we have

$$\begin{aligned} 0 &= \langle (x_i y - y x_i) \xi, \eta \rangle \\ &= \langle x_i y \xi, \eta \rangle - \langle x_i \xi, y^* \eta \rangle \\ &\rightarrow \langle xy \xi, \eta \rangle - \langle x \xi, y^* \eta \rangle \\ &= \langle (xy - yx) \xi, \eta \rangle \end{aligned}$$

Hence,  $x$  and  $y$  commute. Since  $x$  and  $y$  were arbitrary, this implies  $\rho(\mathbb{C}\Gamma) \subset L\Gamma'$ .  $\square$

(b) Define a linear map  $\Lambda_\tau : L\Gamma \rightarrow \ell^2\Gamma$  by  $\Lambda(x) = \hat{x} = x\delta_e$ . Use part (a) above to show that  $\Lambda_\tau$  is injective. Hence any  $x \in L\Gamma$  is uniquely represented by a "Fourier series"  $\hat{x} = \sum_{g \in \Gamma} \hat{x}(g)\delta_g \in \ell^2\Gamma$ .

*Proof.* Suppose  $\Lambda_\tau(x) = 0$ . Then for all  $g \in \Gamma$ , we have  $0 = \rho(g)\Lambda_\tau(x) = \rho(g)x\delta_e = x\delta_g$ , where the last equality follows from part (b). Thus,  $x = 0$ . Thus,  $\Lambda_\tau$  is injective.  $\square$

- (c) Use the above to conclude that  $\tau$  is a faithful state on  $L\Gamma$ .

*Proof.* Suppose  $\tau(x^*x) = 0$ . Then  $0 = \langle x^*x\delta_e, \delta_e \rangle = \langle x\delta_e, x\delta_e \rangle$ . Thus  $x\delta_e = 0$ , so part (b) implies that  $x = 0$ .  $\square$

- (d) A group is said to have infinite conjugacy classes (icc) if for every  $h \neq e$ , the conjugacy class  $C_h$  of  $h$  is infinite. Show that if  $x \in L\Gamma \cap L\Gamma'$ , then  $\hat{x}$  is constant on conjugacy classes. Conclude that if  $\Gamma$  is icc, then  $L\Gamma$  is a  $II_1$ -factor.

*Proof.* Suppose  $x \in L\Gamma \cap L\Gamma'$ , and  $g, h \in \Gamma$ . Then

$$\begin{aligned}\hat{x}(g) &= \langle x\delta_e, \delta_g \rangle \\ &= \langle \lambda(h)x\delta_e, \lambda(h)\delta_g \rangle \\ &= \langle x\delta_h, \delta_{hg} \rangle \\ &= \langle x\rho(h)\delta_e, \delta_{hg} \rangle \\ &= \langle \rho(h)x\delta_e, \delta_{hg} \rangle \\ &= \langle x\delta_e, \rho(h^{-1})\delta_{hg} \rangle \\ &= \langle x\delta_e, \delta_{hgh^{-1}} \rangle \\ &= \hat{x}(hgh^{-1})\end{aligned}$$

$\square$

Now suppose  $L\Gamma$  is icc, and  $x \in L\Gamma \cap L\Gamma'$ . Since  $\hat{x}$  is constant on conjugacy classes, it must be zero for all non-trivial conjugacy classes (otherwise, its  $\ell^2$ -norm would be infinite). Hence  $L\Gamma \cap L\Gamma' = \mathbb{C}$ , so  $L\Gamma$  is a factor. Since  $\tau$  is a normal, faithful, tracial state,  $L\Gamma$  is finite. Hence, since  $L\Gamma$  is infinite dimensional, it is a  $II_1$ -factor.

- (e) Conversely, show that if  $\Gamma$  is not icc, then  $L\Gamma \cap L\Gamma' \neq \mathbb{C}1$ .

*Proof.* Let  $C \subset \Gamma$  be a nontrivial, finite conjugacy class. Then  $\lambda(\delta_C) \in L\Gamma$ . Moreover, if  $g \in \Gamma$ , then  $\lambda(g)\lambda(\delta_C)\lambda(g^{-1}) = \lambda(\delta_C)$ . Hence, by linearity,  $\lambda(\delta_C) \in \mathbb{C}\Gamma'$ . Moreover, if we have a net  $(x_i) \subset \lambda(\mathbb{C}\Gamma)$  with  $x_i \rightarrow x$  in the WOT, we have

$$\begin{aligned}0 &= \langle (x_i\lambda(\delta_C) - \lambda(\delta_C)x_i)\xi, \eta \rangle \\ &\rightarrow \langle (x\lambda(\delta_C) - \lambda(\delta_C)x)\xi, \eta \rangle,\end{aligned}$$

for all  $\xi, \eta$ . Thus  $\lambda(\delta_C) \in L\Gamma'$ .  $\square$

**9** Consider the group  $S_\infty$  given by all finite permutations of  $\mathbb{N}$  and the non-commutative free group  $\mathbb{F}_2$  on two generators. Show that both of these groups are icc.

*Proof.* Let  $\sigma \in S_\infty$  be a nontrivial permutation. Then there exist  $x \neq y \in \mathbb{N}$  such that  $\sigma(x) = y$ . For  $n \in \mathbb{N}$ , let  $\tau_n \in S_\infty$  be the transposition interchange  $y$  and  $n$ . Then for all  $n$  greater than  $x$  and  $y$ , we have  $\tau_n \sigma \tau_n^{-1}(x) = \tau_n \sigma(x) = \tau_n y = n$ . Thus,  $\tau_n \sigma \tau_n^{-1}$  are distinct for infinitely many  $n$ .

Let  $a, b \in \mathbb{F}_2$  be the standard generators. Let  $g \in \mathbb{F}_2$  be a nontrivial element. WLOG the first letter of the reduced word for  $g$  is  $a$ . I claim that the conjugates  $g_n := b^n g b^{-n}$  are distinct for all  $n \geq 0$ . This is because the reduced word for  $g_n$  must start with  $b^n a$  since the  $b^{-n}$  can only cancel  $b$ 's on the right side of the this  $a$ .  $\square$