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## HW 1

1 Let  $f : X \rightarrow Y$ . Prove that

a) if  $A, B \subset Y$ , then  $f^{-1}(A \cap B) = f^{-1}(A) \cap f^{-1}(B)$  and  $f^{-1}(A \cup B) = f^{-1}(A) \cup f^{-1}(B)$

b) For a family  $(A_\lambda)_{\lambda \in \Lambda} \subset P(X)$ , show that  $f^{-1}(\bigcup_{\lambda \in \Lambda} A_\lambda) = \bigcup_{\lambda \in \Lambda} f^{-1}(A_\lambda)$  and  $f^{-1}(\bigcap_{\lambda \in \Lambda} A_\lambda) = \bigcap_{\lambda \in \Lambda} f^{-1}(A_\lambda)$

and give examples for the following situations

c)  $f^{-1}(f(A)) \neq A$ , for some  $A \subset X$ ,

d)  $f(f^{-1}(B)) \neq B$  for some  $B \subset Y$ ,

e)  $f(\bigcap_{\lambda \in \Lambda} A_\lambda) \neq \bigcap_{\lambda \in \Lambda} f(A_\lambda)$ , for some family  $(A_\lambda)_{\lambda \in \Lambda} \subset P(X)$ .

*Proof.* (a) is a subcase of (b). To prove the first part of (b),

$$\begin{aligned} x \in f^{-1}\left(\bigcup_{\lambda \in \Lambda} A_\lambda\right) &\iff f(x) \in \bigcup_{\lambda \in \Lambda} A_\lambda \\ &\iff f(x) \in A_\lambda \text{ for some } \lambda \\ &\iff x \in f^{-1}(A_\lambda) \text{ for some } \lambda \\ &\iff x \in \bigcup_{\lambda} f^{-1}(A_\lambda). \end{aligned}$$

For the second part,

$$\begin{aligned} x \in f^{-1}\left(\bigcap_{\lambda \in \Lambda} A_\lambda\right) &\iff f(x) \in \bigcap_{\lambda \in \Lambda} A_\lambda \\ &\iff f(x) \in A_\lambda \text{ for all } \lambda \\ &\iff x \in f^{-1}(A_\lambda) \text{ for all } \lambda \\ &\iff x \in \bigcap_{\lambda} f^{-1}(A_\lambda) \end{aligned}$$

For (c), let  $X = \{0, 1\}$  and  $Y = \{0\}$ . Let  $A = \{0\} \subset X$ . Let  $f : X \rightarrow Y$  be the constant function. Then  $f^{-1}(f(A)) = f^{-1}(Y) = X \neq A$ .

For (d), let  $X = \{0\}$  and  $B = Y = \{0, 1\}$ . Let  $f : X \rightarrow Y$  be the constant function at 1. Then  $f(f^{-1}(B)) = f(X) = \{1\} \neq B$ .

For (e), let  $X = \{0, 1\}$  and  $Y = \{0\}$ . Let  $A_1 = \{0\}$  and  $A_2 = \{1\}$ . Let  $f : X \rightarrow Y$  be the constant function. Then  $f(A_1 \cap A_2) = f(\emptyset) = \emptyset$ , but  $f(A_1) \cap f(A_2) = \{0\}$ .  $\square$

2 Show that the following two statements are equivalent for two nonempty sets  $A$  and  $B$ .

a) There is an injection  $\phi : A \rightarrow B$ .

b) There is a surjection  $\psi : B \rightarrow A$ .

*Proof.* Suppose (a) holds. Let  $(U_b)_{b \in B}$  be defined by  $U_b = \phi^{-1}(b)$  if  $b \in \phi(A)$  and  $U_b = A$  otherwise. By the axiom of choice, there exists  $f \in \prod_{b \in B} U_b$ . Since each  $U_b \subset A$ , there exist identity injections  $i_b : U_b \rightarrow A$  for each  $b \in B$ . Define  $\psi : B \rightarrow A$  by  $\psi(b) = i_b(f(b))$ .

To see that  $\psi$  is surjective, let  $a \in A$ . Since  $\phi$  is injective,  $\phi^{-1}(\phi(a))$  contains only  $a$ . Hence,  $f(\phi(a)) \in U_{\phi(a)} = \phi^{-1}(\phi(a))$  implies that  $f(\phi(a)) = a$ . Thus,  $\psi(\phi(a)) = i_{\phi(a)}f(\phi(a)) = i_{\phi(a)}(a) = a$ .

Now suppose (b) holds. Since  $\psi$  is surjective, AC implies there exists  $f \in \prod_{a \in A} \psi^{-1}(a)$ . Since each  $\psi^{-1}(a) \subset B$ , there exist identity injections  $i_a : \psi^{-1}(a) \rightarrow B$ . Define  $\phi : A \rightarrow B$  by  $\phi(a) = i_a(f(a))$ .

To see that  $\phi$  is injective, let  $b \in B$  and suppose  $x, y \in \phi^{-1}(b)$ . Then  $f(x) \in i_x^{-1}(b) = \{b\}$ , and similarly for  $y$ . Hence,  $f(x) = b = f(y)$ . Hence,  $b \in \psi^{-1}(x) \cap \psi^{-1}(y) = \psi^{-1}(\{x\} \cap \{y\})$ . Thus,  $\{x\} \cap \{y\}$  is nonempty, so  $x = y$ .  $\square$

**3** Find nonhomeomorphic metric spaces  $M_1$  and  $M_2$  such that there exist injective continuous functions  $f : M_1 \rightarrow M_2$  and  $g : M_2 \rightarrow M_1$ .

*Proof.* Let  $M_1 = (0, 1)$  and  $M_2 = (0, 1) \cup (2, 3)$  with distances inherited from  $\mathbb{R}$ . Since  $M_1$  is connected but  $M_2$  is disconnected, they cannot be homeomorphic. Let  $f : M_1 \rightarrow M_2$  be defined by  $f(x) = x$ , and  $g : M_2 \rightarrow M_1$  be defined by  $g(x) = x/3$ .  $\square$

**4** Prove that every real vector space has a basis.

*Proof.* Let  $V$  be a real vector space. Let  $\mathcal{I}$  be the collection of linearly independent subsets of  $V$ .  $\mathcal{I}$  is partially ordered by inclusion. We may assume that  $V \neq \{0\}$  is nonempty since the proposition is trivial otherwise. By AC, there exists  $v \in V \setminus \{0\}$ , so  $\{v\} \in \mathcal{I}$ . In particular,  $\mathcal{I}$  is nonempty.

Let  $\mathcal{J} \subset \mathcal{I}$  be a nonempty chain, and  $B := \bigcup \mathcal{J}$ . I claim that  $B$  is linearly independent, hence a bound for  $\mathcal{J}$ . Let  $\sum_{w \in W} \alpha_w w = 0$  for a nonempty finite set  $W \subset B$ . By the definition of  $B$ , each  $w$  lies in some  $J_w \in \mathcal{J}$ . Since  $\{J_w\}_{w \in W}$  is a nonempty finite chain, it follows that  $\bigcup_w J_w \in \{J_w\} \subset \mathcal{J}$ . Hence,  $\bigcup_w J_w$  is linearly independent, so  $\alpha_w = 0$  for all  $w$ . Thus,  $B$  is linearly independent.

Hence, every chain in  $\mathcal{I}$  is bounded, so Zorn's Lemma implies that  $\mathcal{I}$  has a maximal element  $M$ . If  $\text{span}(M) = V$ , we are done. Otherwise, there exists  $v \in V \setminus \text{span}(M)$ . If  $\alpha v + \sum_{m \in M} \beta_m m = 0$  for  $(\beta_m)$  zero except on a finite set, then  $\alpha v \in \text{span}(M)$ . Thus  $\alpha = 0$ , so  $\beta_m = 0$  for all  $m$ . Hence  $\{v\} \cup M$  is linearly independent, contradicting the maximality of  $M$ .  $\square$

**5** Prove that any partial order  $\leq$  on a set  $X$  can be extended to a linear order on the set.

*Proof.* Let  $\mathcal{O} \subset P(X \times X)$  be the collection of partial orders containing  $\leq$ .  $\mathcal{O}$  is partially ordered by inclusion. Let  $\mathcal{U} \subset \mathcal{O}$  be a nonempty chain, and  $U = \bigcup \mathcal{U}$ . Since  $\mathcal{U}$  is nonempty,  $U$  is a superset of a partial order, hence is reflexive. For transitivity, suppose  $xUy$  and  $yUz$ . Then  $xRy$  and  $ySz$  for some  $R, S \in \mathcal{U}$ . Let  $T = R \cup S$ . Then  $xTy$  and  $yTz$ , so  $xTz$  which implies  $xUz$ . A similar argument

shows that  $U$  is antisymmetric. Hence,  $U$  is a bound for  $\mathcal{U}$ . Thus, every chain in  $\mathcal{O}$  is bounded. Moreover,  $\mathcal{O}$  is nonempty since it contains  $\leq$ . Hence, Zorn's Lemma implies there exists a maximal element  $M \in \mathcal{O}$ .

I claim that  $M$  is linearly ordered. Suppose  $a, b \in X$  with neither  $aMb$  nor  $bMa$ . Define a relation  $N \in P(X \times X)$  by  $N = M \cup \{(a, b)\}$ . Let  $T$  be the transitive closure of  $N$ . That is,  $xTy$  iff there is a finite sequence  $(x_i)_{i=1}^n \subset X$  such that  $x_1 = x$ ,  $x_n = y$  and  $x_i N x_{i+1}$  for all  $1 \leq i < n$ . Since  $T \supset N \supset M$ ,  $T$  is reflexive.  $T$  is transitive since we can concatenate the sequences for  $xTy$  and  $yTz$ .

For anti-symmetry, suppose  $xTy$  and  $yTx$ . By concatenation, we get a sequence  $(x_i)_{i=1}^n$  with  $x_1 = x_n = x$ ,  $x_m = y$  for some  $1 < m < n$ , and  $x_i N x_{i+1}$  for all  $1 \leq i < n$ . If none of the  $(x_i, x_{i+1})$  is equal to  $(a, b)$ , then every such pair is in  $M$ . Hence, by the transitivity of  $M$ ,  $xMx_2M \dots MyM \dots Mx$  implies  $xMy$  and  $yMx$ , so  $x = y$ .

The other case is that there exists an  $(x_i, x_{i+1}) = (a, b)$ . If only one such pair exists, then  $(x_k, x_{k+1}) \in M$  for  $k \neq i$ . The transitivity of  $M$  implies that  $xMa$  and  $bMx$ . Hence  $bMa$ , a contradiction. If there exists another pair  $(x_j, x_{j+1}) = (a, b)$ , WLOG assume  $i$  is of minimal index and  $j$  is the index of the next such pair. Then  $x_{i+1}Mx_{i+2}M \dots Mx_j \implies bMa$ , a contradiction.  $\square$

**6** Find a sequence of Riemann integrable functions  $(f_n)$  defined on  $[0, 1]$ , so that for all  $\epsilon > 0$  there is an  $n_0 \in \mathbb{N}$  so that

$$\int_0^1 |f_m(x) - f_n(x)| dx < \epsilon \text{ whenever } m, n \geq n_0,$$

but there is no Riemann integrable function  $f$  so that

$$\lim_{n \rightarrow \infty} \int_0^1 |f(x) - f_n(x)| dx = 0.$$

*Proof.* Pick any  $0 < a < 1$  and a strictly decreasing sequence  $a_n \rightarrow a$  with  $a_0 = 1$ . Let  $E_0 = [0, 1]$ . Given  $E_n$  a disjoint union of  $2^n$  closed intervals of length  $a_n 2^{-n}$ , define  $E_{n+1}$  by removing an open interval from the center of each interval of  $E_n$  so that  $E_{n+1}$  consists of  $2^{n+1}$  closed intervals of length  $a_{n+1} 2^{-n-1}$ . Let  $E = \bigcap_n E_n$ .

Let  $f_n = \chi_{E_n}$ . Each  $f_n$  is Riemann integrable since it has only finitely many points of discontinuity. Since  $(E_n)$  is a descending sequence of sets of finite measure,  $m(E) = m(\bigcap_n E_n) = \lim_{n \rightarrow \infty} m(E_n) = \lim_{n \rightarrow \infty} a_n = a$ . Hence  $\int |\chi_E - f_n| = \int \chi_{E \setminus E_n} = a - a_n \rightarrow 0$ . Thus,  $f_n \rightarrow \chi_E$  in  $L_1$ . In particular,  $(f_n)$  is Cauchy in  $L_1$ .

Since  $f_n \rightarrow \chi_E$  in  $L_1$ , it suffices to show that there is no Riemann integrable function in the  $L_1$  equivalence class of  $\chi_E$ . Let  $g$  differ from  $\chi_E$  on a set of measure 0. Pick any  $x \in E$  such that  $g(x) = 1$ . I claim that  $g$  is discontinuous at  $x$ . Let  $U$  be a neighborhood of  $x$ . Since  $E$  cannot contain any intervals, it follows that  $V := U \cap E^c$  is a nonempty open set. Thus  $m(V) > 0$ , so  $g(y) = 0$  for some  $y \in V$ . Hence  $g$  is discontinuous at  $x$ . Thus,  $g$  is discontinuous on  $E$  a.e. Since  $E$  has positive measure,  $g$  cannot be Riemann integrable.  $\square$