

LEGAL EXISTANCE / CUSTOMER DETAILS/:

XYZ BANK

APPROVED: 6-5-2018 [LINK](#)



FILE SUMMARY

REPORT APPROVAL DATE	REQUESTING BANK	LAST DATA REFRESH	ASSESED PARTY	PREPARED BY
6-5-2018	ATK Bank	6-5-2018	XYZ Bank	Blacksmith CDD Analyst XXX.

SUMMARY

The client is a domestic branch of XYZ Group, with registered head office located in Singapore, a low risk country. It is engaged in providing a range of banking and financial products and services to business owners and individuals. The head office is listed on the Singapore Stock exchange, an ING recognized stock exchange, and is regulated MAS, an ING recognized regulator. XYZ Bank shares are issued in ordinary form. Approximately 55% of all XYZ Bank shares were held by major shareholders – Mr XYZ (>15%), Aetos (>5%), Anz (>5%) and Bank of America (>5%) – and private shareholders (>25%), who are mainly resident in Singapore. Approximately 45% of all XYZ Bank shares were in the hands of institutional investors. Bearer shares were initially considered as a high risk indicator however, as per MLRO advice, we have no knowledge about shareholders below 3% but such shareholders are usually not in scope of KYC.

REGISTRATION DETAILS

LEGAL NAME	TRADING NAME	REGISTRATION NUMBER	AUTHORITY	DATE OF INCORPORATION	TYPE OF BUSINESS	EVIDENCE
XYZ LTD.	XYZ Bank	21321321	Chamber of Commerce Singapore	22 nd August, 1982	Financial Institution	Link

ADDRESS DETAILS (REGISTRATION)

STREET NAME& NUMBER	BUILDING NAME	POSTAL CODE	CITY	COUNTRY	EVIDENCE
6 Clark Quay	Waterfront	122132	Singapore	Singapore	Link

ADDRESS DETAILS (RESIDENCE)

STREET NAME& NUMBER	BUILDING NAME	POSTAL CODE	CITY	COUNTRY	EVIDENCE
6 Clark Quay	Waterfront	122132	Singapore	Singapore	Link

LEGAL REPRESENTATIVES

FULL NAME	ROLE	DATE OF BIRTH	PLACE OF BIRTH	NATIONALITY	RESIDENTIAL ADDRESS	SOURCE OF WEALTH	PASSPORT DETAILS	EVIDENCE
Mr Cos Mos	CEO	1-1-1900	Singapore	Singaporean	6 Shenton Way	Unknown	Not disclosed	Link
Mr B. Shin Han	CFO	1-2-1970	Singapore	Singaporean	32 Pasir Ris	Unknown	Not disclosed	Link

OFFSHORE JURISDICTIONS

OFFSHORE JURISDICTIONS IN STRUCTURE	DETAILS	EVIDENCE
No	N.A.	Link

CUSTOMER BUSINESS ACTIVITIES

REAL ESTATE ACTIVITIES	DESCRIPTION	EVIDENCE
Yes	Active in sale and lease back of real estate properties	Link
TRADE FINANCE SERVICES	DESCRIPTION	EVIDENCE
No	N.A.	Link

FINANCIAL INSTITUTION DETAILS

BIC	RMA KEY	REGULATED BY RECOGNIZED REGULATOR	REGULATOR NAME	EVIDENCE
XYZSG2U	RMA SG12345	Yes	MAS	Link

ALERTS

ALERT	DETAILS	PRIORITY	EVIDENCE
Offshore jurisdictions	No info on offshore jurisdictions in KYC Swift registry. Only company website was consulted.	High	Link
RMA key	RMA key will expire 6-5-2018	Medium	Link
Passport details	No passport details Mr Cosmos obtained.	Low	Link
Passport details	No passport details Mr Shin Han	Low	Link

APPENDIX

ATK BANK PROFILE APPLIED

PARAMETER	VALUE
MAS=recognized regulator	Yes

SOURCE	RECOGNIZED SOURCE	APPLIED
ACRA	Yes	Yes
KYC SWIFT Registry	Yes	Yes
Company Website	Yes	Yes
Government Website	Yes	No