

Notes on Characteristic Classes

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August 19, 2015

Contents

1	Introduction	1
2	Algebraic Topology	1
2.1	A brief review of singular cohomology	1
2.2	Stiefel-Whitney classes	4
2.2.1	Defining properties of Stiefel-Whitney classes	4
2.2.2	Universal bundle	4
2.2.3	Grassmann manifolds	6
2.2.4	Existence of Stiefel-Whitney classes	8
2.2.5	Steenrod squares	9
2.3	Euler classes	9
2.3.1	Orientation	9
2.3.2	Construction and properties	10
2.4	Thom Isomorphism	11
2.4.1	With $\mathbb{Z}/2\mathbb{Z}$ coefficients	11
2.5	Computations in a smooth manifold	14
2.6	Chern Classes	15
2.6.1	Complex Grassmann manifolds	17
2.6.2	The product theorem	19

1 Introduction

2 Algebraic Topology

2.1 A brief review of singular cohomology

The algebraic topological view of characteristic classes is built upon singular cohomology. Therefore we brief recap some important facts about singular

cohomology. Singular homology and singular cohomology are dual to each other. Indeed, cohomology groups can be computed once we know the homology groups, using *universal coefficient theorem*, which says the following sequence

$$0 \rightarrow \text{Ext}(H_{n-1}(C), G) \rightarrow H^n(C; G) \rightarrow \text{Hom}(H_n(C), G) \rightarrow 0$$

for a chain complex C of free abelian groups. UCT is a basic fact in homological algebra and there is not much topology in it. However, singular cohomology has some advantage over homology in that there is a ring structure on $H^*(X; G)$.

Long exact sequence of a pair

Then short exact sequence

$$0 \rightarrow C_n(A) \xrightarrow{i} C_n(X) \rightarrow C_n(X, A) \rightarrow 0$$

dualizes to

$$0 \rightarrow C^n(X, A; G) \rightarrow C^n(X; G) \xrightarrow{i^*} C^n(A; G) \rightarrow 0$$

Recall that the function $\text{Hom}(\cdot, G)$ is always left exact, but since the above groups are all free abelian, we do have surjectivity onto $C^n(A; G)$. Indeed, given $\varphi \in C^n(A; G)$, we can extend it by 0 on simplices whose images are not contained in A .

i^* is naturally interpreted as the restriction of a map on $C_n(X)$ to a map on $C_n(A)$, and the kernel consists of those maps that are zero on $C_n(A)$, which are naturally identified with maps in $\text{Hom}(C_n(X)/C_n(A), G)$, which is precisely $C^n(X, A; G)$. In particular, unlike $C^n(X, A; G)$, $C^n(X; G)$ can be naturally viewed as a subgroup of $C^n(X; G)$, i.e. those that are zero on $C(A)$, and the boundary map $\delta : C^n(X, A; G) \rightarrow C^{n+1}(X, A; G)$ is simply the restriction of $\delta : C^n(X; G) \rightarrow C^{n+1}(X; G)$. That the relative cochains form a subset of absolute cochains makes the relative cohomology conceptually easier than relative homology.

Cross product Cross product is also called external cup product. The absolute version is

$$\times : H^k(X; R) \times H^l(Y; R) \rightarrow H^{k+l}(X \times Y; R)$$

and the relative version is

$$\times : H^k(X, A; R) \times H^l(Y, B; R) \rightarrow H^{k+l}(X \times Y, A \times Y \cup X \times B; R)$$

given by $a \times b = p_1^*(a) \cup p_2^*(b)$, where p_1, p_2 are projections from $X \times Y$ to X and Y .

Cohomology sequence of a triple

Given a triple $A \subseteq B \subseteq X$, It is not hard to convince ourselves that the following sequence is exact:

$$0 \rightarrow C^\bullet(X, B; G) \rightarrow C^\bullet(X, A; G) \rightarrow C^\bullet(B, A; G) \rightarrow 0$$

so by the snake lemma we obtain an exact sequence for a triple:

$$\cdots \rightarrow H^k(X, B; G) \rightarrow H^k(X, A; G) \rightarrow H^k(B, A; G) \rightarrow H^{k+1}(X, B; G) \rightarrow \cdots$$

In particular we obtain the exact sequence of pair as a special case by setting $A = \emptyset$.

We also prepare some lemmas on commutivity of squares for future use. (cf. Hatcher p.210)

Excision

Cohomology with compact support

For each k , define a subgroup of $C^k(X)$ by

$$C_c^k(X; R) = \{\varphi \in C^k(X; R) : \exists \text{ compact } K \subseteq X, \text{ s.t. } \varphi(\sigma) = 0, \forall \sigma \subseteq X - K\}$$

We say these cochains are *compactly supported*. It is easy to see that the normal coboundary map $\delta : C^k(X; R) \rightarrow C^{k+1}(X; R)$ restricts to $C_c^k(X; R) \rightarrow C_c^{k+1}(X; R)$ so groups $C_c^k(X; R)$ form a *subcomplex* of the complex $C^\bullet(X; R)$. Taking cohomology of the subcomplex, we obtain $H_c^k(X; R)$. Note that if we defined $C_c^k(X; R)$ instead as

$$\{\varphi \in C^k(X; R) : \exists \text{ compact } K \subseteq X, \text{ s.t. } \varphi(\sigma) \neq 0, \Rightarrow \sigma \subseteq K\}$$

then we would not have a subcomplex. For example, consider $\varphi \in C^0(\mathbb{R}; R)$ that sends 0 to 1 and is zero elsewhere. $\delta\varphi$ will be nonzero for all nondegenerate intervals that contain 0 as an endpoint.

Alternatively, we can realize compactly supported cohomology using direct limit:

$$H_c^k(X; R) = \varinjlim H^k(X, X - K; R)$$

where the limit is taken over all compact $K \subseteq X$. For example, to compute $H_c^1(\mathbb{R}; R)$ we may let K range over $\{[-n, n]\}$. The inclusion $\iota : (\mathbb{R}, [-m, m]^c; R) \rightarrow (\mathbb{R}, [-n, n]^c; R)$ induces an isomorphism

$$H^1(\mathbb{R}, [-m, m]^c; R) \cong H^1(\mathbb{R}, [-n, n]^c; R) = R$$

and hence $H_c^1(\mathbb{R}; R) = R$.

2.2 Stiefel-Whitney classes

2.2.1 Defining properties of Stiefel-Whitney classes

2.2.2 Universal bundle

We first explain the ideas in this section. Many proofs are pretty technical topological arguments and are delayed.

Given a curve $M^1 \subseteq \mathbb{R}^{k+1}$, we may define a map

$$t : M^1 \rightarrow S^k$$

that sends every point in M to a unit tangent vector. Of course, to do it consistently we need an orientation of M^1 . However, when an orientation is not available or we may disregard it, it is always possible to define a map by passing to projective space, i.e. $t : M^1 \rightarrow \mathbb{P}^k$ that sends a point to its tangent space.

Similarly, given a hypersurface $M^k \subseteq \mathbb{R}^{k+1}$, we may define a map

$$n : M^k \rightarrow \mathbb{P}^k$$

that sends a point to the orthogonal complement of its tangent space.

More generally we would like a map for $M^n \subseteq \mathbb{R}^{n+k}$, so we need to space to parametrize the n -dimensional subspaces of \mathbb{R}^{n+k} . Therefore we introduce Grassmann manifolds. The underlying set $G_n(\mathbb{R}^{n+k})$ is the n -dimensional subspaces of \mathbb{R}^{n+k} and we topologize it as follows: Let

$$V_n(\mathbb{R}^{n+k}) \subseteq \mathbb{R}^{n+k} \times \cdots \times \mathbb{R}^{n+k}$$

be the subset parametrizing the n -tuples of vectors (v_1, \dots, v_n) that are linearly independent (such tuple is called a n -frame). Clearly V_n is a Zariski open subset. Each n -tuple in V_n determines a n -dimensional subspace in \mathbb{R}^{n+k} . Therefore there is a canonical function

$$q : V_n(\mathbb{R}^{n+k}) \rightarrow G_n(\mathbb{R}^{n+k})$$

This map gives $G_n(\mathbb{R}^{n+k})$ a quotient topology. Alternatively, we could have used the set of orthonormal n -frames V_n^0 . V_n^0 is clearly compact, and hence $G_n(\mathbb{R}^{n+k})$ is compact. We will verify later that $G_n(\mathbb{R}^{n+k})$, which now is a priori only a topological space, is indeed a topological manifold.

In particular \mathbb{P}^n can now be viewed as a special case of Grassmann manifolds, and we may define a canonical vector bundle on $G_n(\mathbb{R}^{n+k})$ in a completely analogous fashion:

$$\gamma^n(\mathbb{R}^{n+k}) = \{(n\text{-plane in } \mathbb{R}^{n+k}, \text{vectors in the plane})\}$$

With Grassmann manifolds we can define generalized Gauss maps $\bar{g} : M \rightarrow G_n(\mathbb{R}^{n+k})$ by sending a point to its tangent space. However, Grassmann manifolds can absorb much more bundles. In some sense it behaves like a terminal object in a category.

Lemma 2.1. *For any n -plane bundle ξ over a compact base space B there exists a bundle map $\xi \rightarrow \gamma^n(\mathbb{R}^{n+k})$ provided that k is sufficiently large.*

Proof. It suffices to define a map $\tilde{f} : E(\xi) \rightarrow \mathbb{R}^m$ that is linear and injective on each fiber. Since we may then define a bundle map $f : E(\xi) \rightarrow \gamma^n(\mathbb{R}^{n+k})$ by

$$f(e) = (\tilde{f}(\text{fiber through } e), \tilde{f}(e))$$

□

In order to generalize to common manifolds that do not happen to be compact, we do two things: replace “compact” by “paracompact” and introduce infinite Grassmann manifolds. A topological space is paracompact if it is Hausdorff and for every open cover, there is a locally finite refinement. Every metric space is paracompact, a direct limit of compact spaces is compact, and nearly all familiar topological spaces are paracompact. We realize the infinite Grassmann manifolds as a direct limit of the sequence

$$G_n(\mathbb{R}^n) \subset G_n(\mathbb{R}^{n+1}) \subset G_n(\mathbb{R}^{n+2}) \subset \dots$$

To make sense of “ \subset ”: Define $\mathbb{R}^\infty = \bigoplus_{i=1}^\infty \mathbb{R}_i$ and view each \mathbb{R}^n as a subset $\bigoplus_{i=1}^n \mathbb{R}_i$. We denote the above infinite Grassmann manifold as $G_n(\mathbb{R}^\infty)$. As a special case, we have infinite projective space $\mathbb{P}^\infty = G_1(\mathbb{R}^\infty)$ is the direct limit of $\mathbb{P}^1 \subset \mathbb{P}^2 \subset \dots$.

A canonical bundle γ^n over G_n can be constructed just as in the finite case, i.e. as a subset of $G_n \times \mathbb{R}^\infty$ that consists of pairs of planes and vectors in the planes. It is topologized as a subset of the Cartesian product. We will verify that it does satisfy the local triviality condition and is a n -plane bundle over G_n . Now Lemma 2.1 can be extended to the theorem:

Theorem 2.2. *Any \mathbb{R}^n -bundle ξ over a paracompact base admits a bundle map $\xi \rightarrow \gamma^n$. Moreover, any two such bundle maps are homotopic.*

In particular, the homotopic bundle maps induce homotopic maps on base spaces. Therefore any \mathbb{R}^n -bundle ξ over a paracompact space B determines a unique homotopy class of maps $\bar{f}_\xi : B \rightarrow G_n$.

2.2.3 Grassmann manifolds

Now we take a closer look at the structure of Grassmann manifolds. We verify that it is indeed a manifold and give a decomposition into CW complex. Finally we talk about the cohomology of Grassmann manifolds.

A convenient open chart

Recall that when working with projective space we would like to choose an affine chart and work locally. At each point $p = (x_0 : \cdots : x_n) \in \mathbb{P}^n$ there is some coordinate $x_j \neq 0$, say $j = 0$. Then we can choose $A_0 = \{x_0 \neq 0\}$ to be an open neighborhood containing p , which is invariably easier to work with. Therefore with Grassmann manifolds $G_n(\mathbb{R}^{n+k})$ the first thing we do is to describe how to conveniently choose an open neighborhood of a point, which in our case is some n plane $X \in \mathbb{R}^{n+k}$. Thanks to the natural inner product in \mathbb{R}^{n+k} we may consider the orthogonal projection map proj_X onto X and let U be the set of planes that project injectively onto X , i.e.

$$U = \{X' \in G_n(\mathbb{R}^{n+k}) : X' \cap \ker \text{proj}_X = 0\}$$

We verify that U is an open subset of $G_n(\mathbb{R}^{n+k})$. Since the topology on $G_n(\mathbb{R}^{n+k})$ is the quotient topology from $V_n(\mathbb{R}^{n+k})$, it is equivalent to verifying that the preimage of U in $V_n(\mathbb{R}^{n+k})$ is open. Choose a basis w_1, \dots, w_k of $X^\perp = \ker \text{proj}_X = 0$, a n -frame $(v_1, \dots, v_n) \in V_n(\mathbb{R}^{n+k})$ has a span disjoint from X^\perp if and only if $\{v_1, \dots, v_n, w_1, \dots, w_k\}$ is a basis of \mathbb{R}^{n+k} . Therefore we define a map $\det : V_n(\mathbb{R}^{n+k}) \rightarrow \mathbb{R}$ by sending (v_1, \dots, v_n) to $\det([v_1; \dots; v_n; w_1; \dots; w_k])$. The map is clearly continuous and U is evidently $\det^{-1}(\mathbb{R} - \{0\})$ and hence is open. Just as those standard affine charts on \mathbb{P}^n , U is also Zariski dense in $G_n(\mathbb{R}^{n+k})$.

We can view planes in U as the graph of some linear map $X \rightarrow X^\perp$, so there is a natural bijective correspondence between U and $\text{Hom}(X, X^\perp) \cong M^{n \times k} = \mathbb{R}^{nk}$. Indeed, we verify that it is a homeomorphism. Actually this is clear once we write down such a correspondence $T : U \rightarrow M^{n \times k}$ explicitly using coordinates. Let v_1, \dots, v_n be an orthonormal basis for X . For each $X' \in U$, there is a unique basis $\{w_1, \dots, w_n\}$ such that $\text{proj}_X w_j = v_j$ for all j . Then we set

$$T(X') = [\text{proj}_{X^\perp} w_1, \dots, \text{proj}_{X^\perp} w_n]$$

i.e. the matrix whose columns are $\text{proj}_{X^\perp} w_j$. w_j 's evidently depends continuously on X' and vice versa, so T is indeed a homeomorphism. In particular, it implies that $G_n(\mathbb{R}^{n+k})$ is Hausdorff, which could easily be shown independently as well.

Local trivialization of $\gamma^n(\mathbb{R}^{n+k})$

With U it is also not hard to show that $\gamma^n(\mathbb{R}^{n+k})$ is a bundle. Of course, the only interesting thing to verify is local triviality. On U , $\gamma^n(\mathbb{R}^{n+k})$ restricts to pairs

$$\{(X', v) : X' \cap \ker \text{proj}_X = 0, v \in X'\}$$

Therefore we may use $h : \pi^{-1}(U) \rightarrow U \times X$ defined by $h(X', v) = (X', \text{proj}_X v)$ as a local trivialization. That $X' \in U$ guarantees that $v \rightarrow \text{proj}_X v$ is injective. It is not hard to verify that it is indeed a homeomorphism.

In this section we use D^p to denote the closed unit disk in \mathbb{R}^p . Let us recall the definition of a CW-complex:

Definition 2.3. A CW-complex is a Hausdorff space K , together with a partition of K into disjoint subsets $\{e_\alpha\}$, such that

1. Each e_α is topologically an open cell of dimension $n(e)$. For each e_α , there is a characteristic map $\varphi_\alpha : D^{n(\alpha)} \rightarrow K$ that carries $\text{int } D^{n(\alpha)}$ homeomorphically onto e_α .
2. Each point $x \in \text{cl}(e_\alpha) - e_\alpha$ must lie in a cell e_β of lower dimension.
3. Each point of K is contained in a finite subcomplex.
4. K is topologized as a direct limit of its finite subcomplexes.

The last two conditions always hold if the complex is finite (i.e. has finitely many cells), so we only use them to deal with infinite complexes. Note that the closure of a cell need not be a cell. For example, S^n can be considered a cell complex with a n -cell and a 0-cell, but the closure of the n -cell is the entire sphere. Every CW-complex is paracompact. We first study the cell structure for $G_n(\mathbb{R}^m)$. Any n -plane $X \subseteq \mathbb{R}^m$ is filtrated by

$$0 \subseteq X \cap \mathbb{R}^1 \subseteq X \cap \mathbb{R}^2 \subseteq \cdots \subseteq X \cap \mathbb{R}^m$$

The dimension of spaces in the above filtration increases from 0 and stabilizes at n . There are precisely n jumps. We use a Schubert symbol $\sigma = (\sigma_1, \dots, \sigma_n)$ to record a jump type, i.e.

$$\dim X \cap \mathbb{R}^{\sigma_i} = i, \dim X \cap \mathbb{R}^{\sigma_{i-1}} = i - 1$$

For each Schubert symbol σ , we use $e(\sigma)$ to denote the set of all n -planes with the same jump type. We will show that $e(\sigma)$ is an open cell of dimension $d(\sigma) = (\sigma_1 - 1) + (\sigma_2 - 2) + \cdots + (\sigma_n - n)$.

Let us use the standard basis for \mathbb{R}^n and \mathbb{R}^∞ . For each n -plane $X \subseteq \mathbb{R}^m$ we can write down a $n \times m$ matrix whose row space is X , i.e. a generator matrix for X . Then we reduce the matrix to reduced echelon form, then the columns

with pivotal entries are in the support of σ . With some linear algebra, it is not hard to show that each n -plane $X \in e(\sigma)$ possesses a unique orthonormal basis $(x_1, \dots, x_n) \in H^{\sigma_1} \times \dots \times H^{\sigma_n}$.

Define

$$\tilde{e}(\sigma) = V_n^0 \cap (H^{\sigma_1} \times \dots \times H^{\sigma_n})$$

and

$$\bar{e}(\sigma) = V_n^0 \cap (\overline{H}^{\sigma_1} \times \dots \times \overline{H}^{\sigma_n})$$

Lemma 2.4.

2.2.4 Existence of Stiefel-Whitney classes

This section assumes Thom isomorphism. Recall that the Thom isomorphism $\phi : H^k(B) \rightarrow H^{k+n}(E, E_0)$ can be seen as the composition:

$$H^k(B) \xrightarrow{\pi^*} H^k(E) \xrightarrow{\cup u} H^{k+n}(E, E_0)$$

where $u \in H^n(E, E_0)$ is the fundamental cohomology class.

Now we introduce Steenrod squaring operations. In general, a cohomology operation is a *natural transformation* between cohomology functors, they do not need to be homomorphisms of graded rings. Steenrod squaring operations are characterized by four properties:

1. For each pair $Y \subseteq X$, integers $n, i \geq 0$ there is an additive homomorphism:

$$\text{Sq}^i : H^n(X, Y) \rightarrow H^{n+i}(X, Y)$$

2. *Naturality* : If $f : (X, Y) \rightarrow (X', Y')$, then $\text{Sq}^i \circ f^* = f^* \circ \text{Sq}^i$. Of course, this should be satisfied by all cohomology operations.
3. If $a \in H^n(X, Y)$, then $\text{Sq}^0(a) = a$, $\text{Sq}^n(a) = a \cup a$ and $\text{Sq}^i(a) = 0$ for all $i > n$. Since higher squaring operations vanish, we define the total squaring operation as

$$\text{Sq}(a) = a + \text{Sq}^1(a) + \dots + \text{Sq}^n(a)$$

4. *Cartan formula*, which says that cup products correspond to convolution:

$$\text{Sq}^k(a \cup b) = \sum_{i+j=k} \text{Sq}^i(a) \cup \text{Sq}^j(b)$$

Or equivalently,

$$\text{Sq}(a \cup b) = \text{Sq}(a) \cup \text{Sq}(b)$$

Note that with naturality it is not hard to show that cross product also satisfies Cartan formula:

$$\text{Sq}(a \times b) = \text{Sq}(a) \times \text{Sq}(b)$$

We will construct Steenrod squaring operations later. Now we may finally define the Stiefel-Whitney classes $w_i(\xi) \in H^i(B)$ using the property:

$$\phi(w_i(\xi)) = \text{Sq}^i(u)$$

2.2.5 Steenrod squares

2.3 Euler classes

2.3.1 Orientation

An orientation of a vector space is a choice of an equivalence class of basis. Two basis are deemed as equivalent if the change of basis matrix has positive determinant, so there are exactly two orientations.

The first occasion in which I met the concept of orientation is in a differential geometric setting. An orientation means a consistent choice of basis of $T_p M$. A manifold is orientable if there exists a chart of open sets such that the transition functions on the overlaps have positive Jacobian determinants everywhere. From this point of view it does not take too much effort to show that M is orientable if and only if its tangent bundle TM is orientable.

There is also an algebraic topological description of orientation, using the concept of local cohomology/homology. Again we first deal with the orientation of a vector space. An orientation of \mathbb{R}^n is a choice of generator of

$$H^n(\mathbb{R}^n, \mathbb{R}_0^n) \cong H^{n-1}(\mathbb{R}_0^n) \cong H^{n-1}(S^{n-1}) = \mathbb{Z}$$

In order to connect to the previous definition, we give a rule to associate a homology class with a choice of basis. Take \mathbb{R}^3 as an example, we place the barycenter of a tetrahedron as the origin. Suppose the vertices of the tetrahedron (which we should think of as a representative of a cocycle in $H^n(\mathbb{R}_0^n)$), are labeled by v_0, v_1, v_2, v_3 , then we take $v_1 - v_0, v_2 - v_1, v_3 - v_2$ as a basis for \mathbb{R}^3 . The case for other \mathbb{R}^n is entirely similar.

On a manifold M , we define the local orientation at a point $x_0 \in M$ as a choice of generator of $H^n(M, M - \{x_0\})$. Choose $U \cong \mathbb{R}^n \subseteq M$ to be a neighborhood of x_0 , by excision we have $H^n(M, M - \{x_0\}) = H^n(U, U - \{x_0\})$. If $V \subseteq U$ is a smaller neighborhood, then by excision again the natural restriction $H^n(U, U - \{x_0\}) \rightarrow H^n(V, V - \{x_0\})$ is an isomorphism, so a generator restricts naturally to a generator. Now an orientation of M is a consistent choice of local orientations such that if x, y lie in a same neighborhood \mathbb{R}^n , such that there is an open ball of finite radius B containing both x and y and a generator of $H^n(\mathbb{R}^n, \mathbb{R}^n - B)$ restricts to the chosen generators at x and y . Here is a picture:(add a picture, two points, circles)

The algebraic topological way of defining orientation on a manifold does not allude to the tangent spaces. Therefore, although the following is somewhat built-in in the differential geometric way of doing things, it takes some care to prove in algebraic topology.

Lemma 2.5. *Any orientation for the tangent bundle τ_M gives rise to an orientation of the underlying topological manifold M , and vice versa.*

2.3.2 Construction and properties

The Thom isomorphism with \mathbb{Z} coefficients says that if E is an oriented bundle, then there exists a unique class $u \in H^n(E, E_0; \mathbb{Z})$ that restricts to the preferred generator of $H^n(F, F_0; \mathbb{Z})$ for each fiber F , and that the map $\phi : H^k(B, \mathbb{Z}) \rightarrow H^{k+n}(E, E_0; \mathbb{Z})$ given by

$$\phi(x) = (\pi^*x) \cup u$$

is an isomorphism.

The long exact sequence of the pair (E, E_0) gives us a map

$$H^i(E, E_0; \mathbb{Z}) \rightarrow H^i(E, \mathbb{Z})$$

for each i , which we denote by $y \mapsto y|_E$. When $i = n$, we have a composition of maps:

$$H^n(E, E_0; \mathbb{Z}) \rightarrow H^n(E; \mathbb{Z}) \cong H^n(B; \mathbb{Z})$$

The *Euler class* of an oriented n -plane bundle ξ is the unique cohomology class $e(\xi) \in H^n(B; \mathbb{Z})$ that corresponds to $u|_E$. Alternatively, under Thom isomorphism ϕ we see that

$$\phi(e(\xi)) = \pi^*e(\xi) \cup u = (u|_E) \cup u = u \cup u$$

In other words, $e(\xi) = \phi^{-1}(u \cup u)$. (Ok, $(u|_E) \cup u = u \cup u$ may cause some discomfort at first, but some thought shows that the commutivity of the diagram implicitly assumed here is already true on the level of cochains.) By the identity

$$a \cup b = (-1)^{(\dim a)(\dim b)} b \cup a$$

we see that if n is odd, then $u \cup u$ is of order 2, so $e(\xi) + e(\xi) = 0$.

Naturality

When $f : B \rightarrow B'$ is covered by a bundle map $\xi \rightarrow \xi'$, we have the following commutative diagram:

$$\begin{array}{ccccc} H^n(E, E_0; \mathbb{Z}) & \xrightarrow{|_E} & H^n(E; \mathbb{Z}) & \xleftarrow{\pi_E^*} & H^n(\mathbb{Z}) \\ \uparrow & & \uparrow & & \uparrow \\ H^n(E', E'_0; \mathbb{Z}) & \xrightarrow{|_{E'}} & H^n(E'; \mathbb{Z}) & \xleftarrow{\pi_{E'}^*} & H^n(B') \end{array}$$

If the map $\xi \rightarrow \xi'$ is orientation preserving, then the fundamental class u' of ξ' with respect to the given orientation pulls back to u of ξ , so we have $e(\xi) = f^*e(\xi')$. If the orientation of ξ is reversed, then $e(\xi)$ changes sign.

Relation to Stiefel-Whitney classes

The change of coefficient $\mathbb{Z} \rightarrow \mathbb{Z}/2\mathbb{Z}$ maps the cohomology class u with integer coefficients evidently to the fundamental class u with mod 2 coefficients, since the image will have nonzero restriction to each fiber and the fundamental class is unique, and $u \cup u = \text{Sq}^n(u)$. Therefore, the natural homomorphism $H^n(B; \mathbb{Z}) \rightarrow H^n(B; \mathbb{Z}/2\mathbb{Z})$ sends the Euler class $e(\xi)$ to the top Stiefel-Whitney class $w_n(\xi)$.

Whitney sum and Cartesian product

Let ξ, ξ' be vector bundles over B, B' of rank m, n respectively. For Cartesian products we have the following:

$$u(\xi \times \xi') = (-1)^{mn} u(\xi) \times u(\xi')$$

(Verify this later) Apply the restriction maps to both sides. We obtain

$$e(\xi \times \xi') = (-1)^{mn} e(\xi) \times e(\xi')$$

We may take off the sign, since if mn is odd, then $e(\xi \times \xi')$ is of order 2 anyways, so we have formula

$$e(\xi \times \xi') = e(\xi) \times e(\xi')$$

for Cartesian products. Now pull back both sides via the diagonal embedding $\Delta : B \rightarrow B \times B$. On LHS, we have $\Delta^*e(\xi \times \xi') = e(\xi \oplus \xi')$ directly. On RHS, we have

$$\begin{aligned} \Delta^*(e(\xi) \times e(\xi')) &= \Delta^*(p_1^*e(\xi) \cup p_2^*e(\xi')) \\ &= (\Delta^*p_1^*e(\xi)) \cup (\Delta^*p_2^*e(\xi')) \\ &= e(\xi) \cup e(\xi') \end{aligned}$$

since $\Delta^*p_i^* = (p_i\Delta)^* = \text{id}_B^*$. Therefore we have a formula for Whitney sum:

$$e(\xi \oplus \xi') = e(\xi) \cup e(\xi')$$

2.4 Thom Isomorphism

2.4.1 With $\mathbb{Z}/2\mathbb{Z}$ coefficients

For the first half of the section, $\mathbb{Z}/2\mathbb{Z}$ coefficients are assumed. For $n = 1$, the cohomology group $H^1(\mathbb{R}, \mathbb{R}_0) \cong \mathbb{Z}/2\mathbb{Z}$. Of course, this can be shown by

abstract means, but in order to see what the generator e^1 looks like I decide to do it by hand. Recall that

$$C^1(\mathbb{R}, \mathbb{R}_0) = \{\varphi : \{[a, b] : a, b \in \mathbb{R}\} \rightarrow \mathbb{Z}/2\mathbb{Z} : \forall [a, b] \subseteq \mathbb{R}_0, \varphi([a, b]) = 0\}$$

If in addition φ is a cocycle, then $\varphi([0, 0]) = 0$. In fact φ is fixed once $\varphi([0, 1])$ is fixed. If $\varphi([0, 1]) = 0$, then φ is trivial. If $\varphi([0, 1]) = 1$, then

$$\varphi([a, b]) = \begin{cases} 1, & \text{if } ab = 0, a \neq b \\ 0, & \text{otherwise} \end{cases}$$

and in this case $e^1 = [\varphi]$. Technically we should have used $\sigma : [0, 1] \rightarrow \mathbb{R}$ instead of $[a, b]$. The correspondence is $a = \sigma(0), b = \sigma(1)$. There are many different continuous mappings with same endpoints, but in the end we may disregard this difference.

When $n > 1$, then consider the long exact sequence of the pair $(\mathbb{R}^n, \mathbb{R}_0^n)$. Since $H^{n-1}(\mathbb{R}^n) = H^n(\mathbb{R}^n) = 0$, we have that

$$H^n(\mathbb{R}^n, \mathbb{R}_0^n) = H^{n-1}(\mathbb{R}_0^n) = \mathbb{Z}/2\mathbb{Z}$$

We set $e^n = e^1 \times \cdots \times e^1 \in H^n(\mathbb{R}^n, \mathbb{R}_0^n)$. It is not hard to check, using the explicit description of e^1 , that $e^n \neq 0$. Taking $n = 2$ for example, we see that by the definition of cross product, then following simplex won't be mapped to 0 by e^2 .

The proof of this version Thom isomorphism is rather analogous to that on differential forms that I have seen before. We deal with the trivial bundle first, and then use Mayer-Vietoris + five lemma to glue things up.

I feel the following assumes the role of Poincare lemma, but what exactly is the analogy of relative cohomology? We did not use relative cohomology that much in the differential geometric setting.

Lemma 2.6. *For any topological space B and $n \geq 1$, the map $\times e^n : H^j(B) \rightarrow H^{n+j}(B \times \mathbb{R}^n, B \times \mathbb{R}_0^n)$ is an isomorphism.*

Note that the lemma says much more than that $H^j(B) \cong H^{n+j}(E, E_0)$, but there is an explicit one given by $\times e^n$. This is important since we may then use some of the commutative diagrams proved before.

Proof. (commutative diagram to be found in page 267) □

Theorem 2.7. *There is a unique class $u \in H^n(E, E_0)$ whose restriction to each $H^n(F, F_0)$ is nonzero for each fiber F . Furthermore the map $\cup u : H^j(E) \rightarrow H^{j+n}(E, E_0)$ is an isomorphism for each integer j .*

Proof. trivial

We first deal with the case that the bundle is trivial, i.e. $E \cong B \times \mathbb{R}^n$. By the above lemma we have

$$H^0(B) \xrightarrow{\times e^n} H^n(B \times \mathbb{R}^n, B \times \mathbb{R}_0^n) = H^n(E, E_0)$$

Let $b_0 \in B$ be a point and let F be the fiber over it, we have a commutative diagram:

Therefore that if $s \in H^0(B)$ corresponds to u under the isomorphism $\times e^n$, we have that s is nonzero on each point of B . Of course, there is only one such class (this is actually also true on the level of cocycles), i.e. $1 \in H^0(B)$. Any element in $H^j(B \times \mathbb{R}^n)$ can be written uniquely as $y \times 1$ with $y \in H^j(B)$. Now we check that

$$y \times 1 \mapsto (y \times 1) \cup (1 \times e) = y \times e$$

is indeed an isomorphism $H^j(E) \rightarrow H^{j+n}(E, E_0)$ by the previous lemma.

compact

Now we assume B is compact, so that B can be covered by finitely many open sets U_1, \dots, U_2 such that $E(U_i)$ is trivial for each i . Since we have shown that the conclusion holds for each $E(U_i)$, it suffices to show that in general if the conclusion holds for $E(A), E(B)$, where $A, B \subseteq B$ are open sets, then it holds for $E(A \cup B)$ as well. To simply notation, label $E(A) = E', E(B) = E'', E(A \cup B) = E, E(A \cap B) = E^\cap$. There is a relative Mayer-Vietoris sequence:

$$\dots \rightarrow H^n(E, E_0) \xrightarrow{\Psi} H^n(E', E'_0) \oplus H^n(E'', E''_0) \xrightarrow{\Phi} H^n(E^\cap, E_0^\cap) \rightarrow \dots$$

Let $u' \in H^n(E', E'_0), u'' \in H^n(E'', E''_0)$ be the unique classes as in the theorem. Then $u'|_{E^\cap}, u''|_{E^\cap}$ both work as the unique class in $H^n(E^\cap, E_0^\cap)$ as in the theorem since the condition is local. In particular, they are equal. Therefore $(u, v) \in \ker \Phi$, and by exactness of the sequence there exists $u \in H^n(E, E_0)$ that restricts to u' on A and to u'' on B . This u is unique, since $H^{n-1}(E^\cap, E_0^\cap) = 0$.

To verify the second statement, consider the exact sequence:

$$\dots \rightarrow H^j(E) \rightarrow H^j(E') \oplus H^j(E'') \rightarrow H^j(E^\cap) \rightarrow \dots$$

Now apply $\cup u$ to each column, the above sequence maps to

$$\dots \rightarrow H^{n+j}(E, E_0) \xrightarrow{\Psi} H^{n+j}(E', E'_0) \oplus H^{n+j}(E'', E''_0) \xrightarrow{\Phi} H^{n+j}(E^\cap, E_0^\cap) \rightarrow \dots$$

$\cup u$ induces an isomorphism on the second and third column, and therefore also induces an isomorphism on the first.

limit Now for a general topological space B , we have verified the conclusion for all compact subsets $C \subseteq B$. B can be seen as the direct limit of all its compact subspaces. For each C , the inclusion $\iota : C \rightarrow B$ induces a map $H^j(B) \rightarrow H^j(C)$. The proof is complete by the following lemma. \square

Lemma 2.8. *The natural homomorphism*

$$H^j(B) \rightarrow \varprojlim H^j(C)$$

is an isomorphism.

Proof. The corresponding statement for homology, i.e. $\varinjlim H_j(C) = H_j(B)$ is true for arbitrary coefficients. (Remember to come back to check this) Now apply UCT. Thanks to that fact that we are using field coefficients, there are no torsion groups and $H^j(\cdot) = \text{Hom}(H_j(\cdot), \mathbb{Z}/2\mathbb{Z})$. \square

2.5 Computations in a smooth manifold

Let M be a manifold of dimension m smoothly embedded in a Riemannian manifold A of dimension $m + k$. Let ν_k be the normal bundle of M in A and let E be its total bundle. We first introduce the tubular neighborhood lemma.

Lemma 2.9. *There exists an open neighborhood of M in A that is diffeomorphic to the total bundle E .*

Proof. We only show the case when M is compact. The lemma is also true for noncompact manifolds, but the proof is more technical. Let $(x, 0) \in E$ be a point. There is a neighborhood $U_x \subseteq E$ of $(x, 0)$ that is diffeomorphic to an open subset of A containing x under the exponential map. Recall how exponential map is defined: let $(x', v) \in U$ be a point, $\text{Exp}(x', v) = \gamma(1)$ where $\gamma : [0, 1] \rightarrow A$ is the unique geodesic with $\gamma(0) = x', \gamma'(0) = v$ ($E \subseteq \tau_A$ so $\gamma'(0) = v$ makes sense). Since M is compact, we can cover it with finitely many such neighborhoods U_{x_1}, \dots, U_{x_p} , and there exists a $\varepsilon > 0$, such that

$$E(\varepsilon) := \{(x, v) \in E : \|v\| < \varepsilon\} \subseteq \bigcup_{i=1}^p U_{x_i}$$

The above construction ensures that each $E(\varepsilon) \cap U_{x_i}$ is diffeomorphic to its image. Now we show we can restrict ε to ensure that $E(\varepsilon) \rightarrow A$ is injective. Suppose not, there for each k , we can find $(x_k, v_k), (x'_k, v'_k) \in E(1/k)$ such that $(x_k, v_k) \neq (x'_k, v'_k)$, but $\text{Exp}(x_k, v_k) = \text{Exp}(x'_k, v'_k)$. Since M is compact, both sequences converge, say $(x_k, v_k) \rightarrow (x, 0)$ and $(x'_k, v'_k) \rightarrow (x', 0)$. Since Exp is in particular continuous, we have that $\text{Exp}(x, 0) = \text{Exp}(x', 0)$, but it contradicts the fact that Exp is a diffeomorphism near $\text{Exp}(x, 0)$. It is not hard to see that $E(\varepsilon) \cong E$. \square

The denote the tubular neighborhood (the image of $E(\varepsilon)$) constructed above by N_ε . By excision, the inclusion $\iota : (N_\varepsilon, N_\varepsilon - M) \rightarrow (A, A - M)$ induces an isomorphism $H^*(A, A - M; R) \rightarrow H^*(N_\varepsilon, N_\varepsilon - M; R)$. Now compose with the isomorphism induced by the diffeomorphism $E \cong N_\varepsilon$ we have that

$$H^*(E, E_0; R) \cong H^*(A, A - M; R)$$

In addition, the isomorphism is canonical.

Lemma 2.10. *If $M \subseteq A$ is embedded as a closed subset, then composition of restriction maps*

$$H^k(A, A - M) \rightarrow H^k(A) \rightarrow H^k(M)$$

maps the fundamental class u' with mod 2 coefficients to the top Stiefel-Whitney class $w_k(\nu^k)$ of the normal bundle. Similarly, if ν^k is oriented, then using \mathbb{Z} coefficients, u' restricts to the Euler class $e(\nu^k)$.

Proof. □

Diagonal cohomology class Assume either that M is oriented or that the coefficient ring is $\mathbb{Z}/2\mathbb{Z}$.

There is a fundamental cohomology class

$$u' \in H^n(M \times M, M \times M - \Delta(M)) = H^n(E, E_0; R)$$

where E is the total space of the normal bundle ν^n of $\Delta(M)$ in $M \times M$. By Lemma 2.10

2.6 Chern Classes

Assume \mathbb{Z} coefficients in this section.

Canonical orientation on $\omega_{\mathbb{R}}$

Lemma 2.11. *If ω is a complex vector bundle, then the underlying real vector bundle $\omega_{\mathbb{R}}$ has a canonical preferred orientation.*

Proof. Let V be a vector space over \mathbb{C} . Choose a basis v_1, \dots, v_n for V over \mathbb{C} , then $\{v_1, iv_1, \dots, v_n, iv_n\}$ gives a basis for the underlying real vector space. In [1] they showed that the orientation given by this basis is independent of the choice of v_1, \dots, v_n by noting that $GL_n(\mathbb{C})$ is connected and hence we can pass from any complex basis to another by continuous deformation, which cannot alter the induced orientation. Alternatively, we can also see it is true by simply noting that any permutation of v_1, \dots, v_n induces an *even* permutation of $\{v_1, iv_1, \dots, v_n, iv_n\}$, which corresponds to a permutation matrix of determinant 1. □

Therefore for any complex n -plane bundle ω , the Euler class $e(\omega_{\mathbb{R}}) = H^{2n}(B; \mathbb{Z})$ of the underlying real vector bundle is defined.

Construction of ω_0 over E_0 Let ω be a n -plane bundle over B and $E = E(\omega)$ be the total space. We construct a canonical $(n-1)$ -plane bundle over E_0 . Recall that each point in E_0 is represented by (x, v) where $x \in B$ is a point and $v \in F_b$ is a nonzero vector. Given a Hermitian metric on ω , we define the fiber of ω_0 at (x, v) to be the orthogonal complement of v in F_x . Without resorting to a Hermitian metric, we can alternatively define the metric to be the quotient space $F_x / \langle v \rangle$.

Gysin sequence Recall that a real $2n$ -plane bundle possesses an exact Gysin sequence

$$\cdots \longrightarrow H^{i-2n}(B) \xrightarrow{\cup e} H^i(B) \xrightarrow{\pi_0^*} H^i(E_0) \longrightarrow H^{i-2n+1}(B) \longrightarrow \cdots$$

For $i < 2n-1$, $H^{i-2n}(B) = H^{i-2n+1}(B) = 0$, and hence π_0^* is an isomorphism.

Construction of Chern classes Chern classes $c_i \in H^{2i}(B; \mathbb{Z})$ are defined inductively on n . The top Chern class $c_n(\omega)$ is defined to be the Euler class of the underlying real $2n$ -plane bundle $e(\omega_{\mathbb{R}})$. For $i < n$, define

$$c_i(\omega) = (\pi_0^*)^{-1} c_i(\omega_0)$$

Finally, for $i > n$, define $c_i(\omega) = 0$.

This definition makes sense that ω_0 is a $(n-1)$ -plane bundle. By induction hypothesis, $c_i(\omega_0)$ is defined. By Gysin sequence, when $i < n$, $\pi_0^* : H^{2i}(B) \rightarrow H^{2i}(E_0)$ is an isomorphism since $2i < 2n-1$.

We define total Chern class to be $c(\omega) = 1 + c_1(\omega) + \cdots + c_n(\omega)$ in the ring $H^*(B; \mathbb{Z})$. Note that by what we know about power series from commutative algebra, $c(\omega)^{-1}$ can always be computed since $c(\omega)$ has a unit as the constant term.

Naturality of Chern classes If $f : B \rightarrow B'$ is covered by a bundle map $\omega \rightarrow \omega'$, then $f^* c_i(\omega') = c_i(\omega)$. Like its construction, naturality is also shown inductively, so we assume that this holds for all $(n-1)$ -plane bundles. The top Chern class is natural since Euler class is. Now the key point is that a bundle map $\omega \rightarrow \omega'$ restricts to a map $f_0 : E_0(\omega) \rightarrow E_0(\omega')$, which certainly is covered by a bundle map $\omega_0 \rightarrow \omega'_0$. By induction hypothesis, $f^* c_i(\omega'_0) = c_i(\omega_0)$. Now make use the following commutative diagram:

$$\begin{array}{ccc}
E_0(\omega) & \xrightarrow{f_0} & E_0(\omega') \\
\downarrow \pi_0 & & \downarrow \pi'_0 \\
B & \xrightarrow{f} & B'
\end{array}$$

Stability under $\oplus \varepsilon$

2.6.1 Complex Grassmann manifolds

In this section all projective spaces and Grassmannians are assumed to be over \mathbb{C} .

Complex projective space A canonical line bundle $\gamma^1 = \gamma^1(\mathbb{C}^{k+1})$ is defined over $\mathbb{P}_{\mathbb{C}}^k$. Apply Gysin sequence, we get

$$\cdots \longrightarrow H^{i+1}(E_0) \longrightarrow H^i(\mathbb{P}_{\mathbb{C}}) \xrightarrow{\cup c_1} H^{i+2}(\mathbb{P}_{\mathbb{C}}^k) \xrightarrow{\pi_0^*} H^{i+2}(E_0) \longrightarrow \cdots$$

Let $E_0 = E_0(\gamma^1)$ is the set of the all pairs

$$(l \subseteq \mathbb{C}^{k+1}, v \neq 0 \in v)$$

and hence it is naturally identified with $C^{k+1} - \{0\}$ and hence has the same homotopy type as \mathbb{S}^{2k+1} . We have that for all $0 \leq i \leq 2k - 2$, $H^{i+1}(E_0) = H^{i+2}(E_0) = 0$. Therefore by Gysin sequence $\cup c_1 : H^i(\mathbb{P}_{\mathbb{C}}) \rightarrow H^{i+2}(\mathbb{P}_{\mathbb{C}}^k)$ is an isomorphism and $H^{2i}(\mathbb{P}_{\mathbb{C}}^k) \cong \mathbb{Z}$ is generated by $c_1(\gamma^1)$. In fact, the cohomology ring $H^*(\mathbb{P}_{\mathbb{C}}^k)$ is generated by $c_1(\gamma^1)$.

Cohomology ring $H^*(G_n(\mathbb{C}^\infty); \mathbb{Z})$ The above result on $H^*(\mathbb{P}_{\mathbb{C}}^k)$ generalizes to :

Theorem 2.12.

$$H^*(G_n(\mathbb{C}^\infty); \mathbb{Z}) = \mathbb{Z}\langle c_1(\gamma^n), \dots, c_n(\gamma^n) \rangle$$

So there are two things to prove: $c_1(\gamma^1), \dots, c_n(\gamma^n)$ generate $H^*(G_n(\mathbb{C}^\infty); \mathbb{Z})$ and there are no polynomial relations between them.

Proof. We want to induct on n . Consider the Gysin sequence

$$\cdots \longrightarrow H^i(G_n) \xrightarrow{\cup c_n} H^{i+2n}(G_n) \xrightarrow{\pi_0^*} H^{i+2n}(E_0) \longrightarrow H^{i+1}(G_n) \longrightarrow \cdots$$

Claim. There is a natural map $f : E_0 \rightarrow G_{n-1}$ that induces an isomorphism on cohomology.

Proof. Recall that E_0 is the set of all pairs (X, v) where X is a n -plane in \mathbb{C}^∞ and $v \neq 0 \in X$ is a vector. f is given by $f(X, v) = X \cap v^\perp$. To show that f induces an isomorphism, it suffices to show that each of its restriction $f_N : E_0(\mathbb{C}^N) \rightarrow G_{n-1}(\mathbb{C}^N)$ does. Note that f_N can be factored into two maps:

$$\begin{array}{ccc} & E_0(\omega^{N-n+1}) & \\ g_N \nearrow & & \searrow \pi_0^N \\ E_0(\mathbb{C}^N) & \xrightarrow{f_N} & G_{n-1}(\mathbb{C}^N) \end{array}$$

where ω^{N-n+1} is a vector bundle over $G_{n-1}(\mathbb{C}^N)$. The fiber of ω^{N-n+1} over a $(n-1)$ -plane Y is Y^\perp . g_N is given by $g_N(X, v) = (X \cap v^\perp, v)$. Note that since once Y and $v \in Y^\perp$ are fixed, then $X = Y + \langle v \rangle$ is fixed. Therefore the map g_N is in fact a homeomorphism and we reduce to showing that the projection map π_0^N induces an isomorphism, for which we can apply the Gysin sequence on the bundle ω^{N-n+1} . (some verification left here). \square

Now in the original Gysin sequence we replace $H^{i+2n}(G_n) \rightarrow H^{i+2n}(E_0)$ by

$$H^{i+2n}(G_n) \xrightarrow{\lambda} H^{i+2n}(G_{n-1})$$

where $\lambda = f^{*-1} \circ \pi_0^*$. Now we show that $f^{*-1} \circ \pi_0^*$ sends the Chern class $c_j(\gamma^n)$ to $c_j(\gamma^{n-1})$. When $j = n$, $c_j(\gamma^{n-1}) = 0$. Note that $c_n(\gamma^n)$ lies in the image of $H^0(G_n) \xrightarrow{\cup c_n} H^n(G_n)$ in the exact sequence, so by exactness $\lambda(c_n) = 0$. Now we have verified the case for top Chern classes, we can use induction to show it is also true for $j < n$. By definition $c_j(\gamma^n) = \pi_0^* c_j(\gamma_0^n)$. But $f : E_0 \rightarrow G_{n-1}$ is evidently covered by a bundle map $\gamma_0^n \rightarrow \gamma^{n-1}$. We can write down this map explicitly by

$$((X, v \in X), w \in W \cap v^\perp) \mapsto (X \cap v^\perp, w \in W \cap v^\perp)$$

Therefore by naturality $f^* c_i(\gamma) = c_i(\gamma_0^n)$.

The induction hypothesis says that $H^*(G_{n-1})$ is generated by $c_j(\gamma^{n-1})$'s. Therefore λ is surjective for each i and the Gysin sequence splits into short exact sequences:

$$0 \longrightarrow H^i(G_n) \xrightarrow{\cup c_n} H^{i+2n}(G_n) \xrightarrow{\lambda} H^{i+2n}(G_{n-1}) \longrightarrow 0$$

Finally we use subsidiary induction on i to show that each $x \in H^{i+2n}(G_n)$ can be uniquely expressed uniquely as a polynomial in $c_j(\gamma^n)$'s, where the multiplication is assumed to be cup product. By induction hypothesis on G_{n-1} , we have that

$$\lambda(x) = p(c_1(\gamma^{n-1}), \dots, c_{n-1}(\gamma^{n-1}))$$

for some unique polynomial p . Now pull back to $G^{i+2n}(G_n)$ we see that

$$x - p(c_1(\gamma^n), \dots, c_{n-1}(\gamma^n)) \in \ker \lambda$$

By exactness and the induction hypothesis on $H^i(G_n)$, we see that

$$x - p(c_1(\gamma^n), \dots, c_{n-1}(\gamma^n)) = c_n q(c_1(\gamma^n), \dots, c_{n-1}(\gamma^n))$$

for some unique polynomial q . We concisely write the above as $x = p + c_n q$. Now suppose $x = p' + c_n q'$. Then $\lambda(p') = \lambda(p)$. Note that λ is in fact injective on $\mathbb{Z}\langle c_1(\gamma^n), \dots, c_{n-1}(\gamma^n) \rangle$ and hence $p = p'$. \square

Universal bundle Just as for real n -plane bundles, we have

Theorem 2.13. *Every n -plane bundle ω over a paracompact space B possesses a bundle map into the canonical complex n -bundle γ^n over G_n .*

2.6.2 The product theorem

References

- [1] J. Milnor, J. Stasheff, *Characteristic classes*, Annals of mathematics studies, Princeton university press, Number 76, 1978