# Simply Ramified Curves in $\mathbb{P}^1 \times \mathbb{P}^1$

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### 1 Introduction

How should I do the introduction?

**Notation** Let  $X = \mathbb{P}^1 \times \mathbb{P}^1$  over a ground field  $\kappa$ . We label its coordinates as  $((s_0, s_1), (t_0, t_1))$ . When we need to differentiate, the first copy of  $\mathbb{P}^1$  is labelled  $\mathbb{P}^1_s$  and the second one  $\mathbb{P}^1_t$ . Let  $\pi: X \to \mathbb{P}^1_t$  be the natural projection map. Given  $P \in \mathbb{P}^1_t$ , let  $X_Q$  denotes the fiber  $\pi^{-1}P$ .  $R_{n,d} = H^0(\mathbb{P}^1 \times \mathbb{P}^1, \mathcal{O}(n,d))$ . For a section  $f \in R_{n,d}$  or  $\mathbb{P}R_{n,d}$ , the hypersurface in X cut out by f is denoted by  $H_f$ . If  $C \subseteq X$  is a curve and  $Q \in X$  is a closed point, then  $e_Q(C)$  denotes the ramification degree of C with respect to  $\pi$ .

# 2 An analogue of Bertini's theorem

**Theorem 2.1.** When  $\kappa$  is algebraically closed, then for almost all  $f \in R_{n,d}$ ,  $H_f$  is simply ramified. More precisely, let  $P^0$  be the subscheme of  $\mathbb{P}R_{n,d}$  parametrizing non-singular curves, then the subset

$$D = \{ f \in \mathbb{P}R_{n,d} : H_f \text{ is not simply ramified w.r.t. } \pi \}$$

is contained in a proper closed subscheme of  $P^0$ .

*Proof.* To simplify notation in this proof we write  $V = R_{n,d}$ . There are two types of curves in D. Type I curves are those that have a ramfication degree  $\geq 3$  at some point. Type II curves are those that ramify at more than 2 points along some fiber. We want to define subschemes of  $\mathbb{P}V$  that can be informally described as

$$D_1 = \{ f \in \mathbb{P}V : \exists Q \in X, \text{ s.t. } e_Q(H_f) \ge 3 \}$$
  
$$D_2 = \{ f \in \mathbb{P}V : \exists P \in \mathbb{P}_t^1, \text{ s.t. } e_Q(H_f), e_{Q'}(H_f) \ge 2 \text{ for some } Q \ne Q' \in X_P \}$$

Clearly D corresponds the set of closed points in the scheme  $P^0 \cap (D_1 \cup D_2)$  and hence it suffices to prove the following two claims.

Claim 1:  $D_1$  is a proper closed subscheme of  $\mathbb{P}V$ .

This is more or less a standard application of Bertini's arguments. We want to define a subscheme  $\widetilde{D}_1 \subseteq X \times \mathbb{P}V$  to parametrize pairs (P, f) such that  $e_Q(H_f) \geq 3$ . Suppose  $Q \in \mathbb{A}^1 \times \mathbb{A}^2 = \operatorname{Spec} \kappa[s, t] \subset X$  where  $s = s_0/s_1, t = t_0/t_1$ . Then  $f \in \mathbb{P}V$  can be written in the form

$$f = \sum_{0 \le j \le n} \sum_{0 \le i \le d} a_{ij} s^i t^j$$

where  $(a_{ij})$  is homogeneous coordinates for  $\mathbb{P}V$ .  $e_Q(H_f) \geq 3$  if and only if

$$f(Q) = \frac{\partial}{\partial s} f(Q) = \frac{\partial^2}{\partial s^2} f(Q) = 0$$

Hence on  $\mathbb{A}^1 \times \mathbb{A}^1 \times X$ ,  $\widetilde{D}_1$  can be described as  $\{f = f_s = f_{ss} = 0\}$ . Clearly the  $e_Q(H_f)$  is independent of the affine chart of X that we choose to cover Q, so if we define  $\widetilde{D}_1$  this way on other charts, they patch up. For each  $Q \in X$ ,  $f \in (\widetilde{D}_1)_Q$  amounts to 3 linear conditions on  $\mathbb{P}V$ . Therefore we can easily compute that dim  $\widetilde{D}_1 \leq \mathbb{P}V - 1$ . Let  $D_1$  be the image of  $\widetilde{D}_1$  under the projection to  $\mathbb{P}V$ .  $\widetilde{D}_1$  is closed in  $X \times \mathbb{P}V$  and hence by elimination theory  $D_1$  is closed in  $\mathbb{P}V$ . It is proper since dim  $D_1 \leq \mathbb{P}V - 1$ .

Claim 2:  $D_2$  is a contained in a proper closed subscheme of  $\mathbb{P}V$ .

The proof is not as straightforward as the previous one, since the question is no longer local at a point of X. Nonetheless we may sieve on pairs of points along the same fiber. Consider the space  $X \times X$ . We label its coordinates as  $((x_0 : x_1), (y_0 : y_1), (s_0 : s_1), (t_0 : t_1))$ . Let T' be the subscheme defined by  $y_0t_1 - y_1t_0 = 0$  and let  $\Delta$  be the diagonal of  $X \times X$ , i.e.  $\{y_0t_1 - y_1t_0 = 0, x_0s_1 - x_1s_0 = 0\}$ . Define  $T = T' - \Delta$ . Clearly T is simply parametrizing pairs on X that project to the same point under  $\pi$ . This time we let B be the subscheme of  $T \times \mathbb{P}V$  parametrizing pairs ((Q, Q'), f) such that  $e_Q(H_f), e_{Q'}(H_f) \geq 2$ . More precisely, on  $(\mathbb{A}^1 \times \mathbb{A}^1) \times (\mathbb{A}^1 \times \mathbb{A}^1) \times \mathbb{P}V$ , where  $(\mathbb{A}^1 \times \mathbb{A}^1) \times (\mathbb{A}^1 \times \mathbb{A}^1) \subset X \times X$  is the affine chart with coordinates  $x = x_0/x_1, y = y_0/y_1, s = s_0/s_1, t = t_0/t_1$ ,  $\widetilde{D}_2$  is defined as

$$f(x,y) = \frac{\partial}{\partial x}f(x,y) = f(s,t) = \frac{\partial}{\partial s}f(s,t) = 0$$
 (\*)

To make sense of f(x, y), we simply associate the point  $f \in \mathbb{P}V$  with homogeneous coordinates  $(a_{ij})$  with

$$f = \sum_{0 \le j \le n} \sum_{0 \le i \le d} a_{ij} x^i y^j$$

Therefore (\*) is nothing but a concise way to write down polynomial equations in x, y, s, t and  $a_{ij}$ 's. For each point  $(Q, Q') \in X \times X$ ,  $f \in (\widetilde{D}_2)_{(Q,Q')}$  amounts to 4 linear conditions on  $a_{ij}$ 's. Therefore we easily obtain dim  $\widetilde{D}_2 = \mathbb{P}V - 1$ .  $D_2$  is defined as the image of  $\widetilde{D}_2$  under the projection to  $\mathbb{P}V$ , and there  $D_2$  is a locally closed subscheme of  $\mathbb{P}V$  with closure  $\overline{D}_2 \neq \mathbb{P}V$ . This proves Claim 2.

## 3 A density result

If  $\mathcal{P} \subseteq \bigcup_d R_{3,d}$  is subset, we define

$$\operatorname{Prob}(f \in \mathcal{P}) = \lim_{d \to \infty} \operatorname{Prob}(f_d \in \mathcal{P})$$

where f and  $f_d$  are randomly chosen from  $\bigcup_d R_{3,d}$  and  $R_{3,d}$  respectively.

**Theorem 3.1.** Suppose  $p = \operatorname{char}\mathbb{F}_q > 2$ . Let  $\mathcal{D} \subset \bigcup_d R_{3,d}$  be the subset of sections f such that  $H_f$  is simply ramified with respect to  $\pi$ . Then

$$\operatorname{Prob}(f \in \mathcal{D}) = \zeta_{\mathbb{P}_{\mathbb{F}_a}}(2)^{-2}$$

In particular, the conditional probability for a randomly chosen non-singular curve to be simply ramified is  $\zeta_{\mathbb{P}_{\mathbb{F}_q}}(2)/\zeta_{\mathbb{P}_{\mathbb{F}_q}}(3)$ .

We say  $f \in R_{n,d}$  is "good" at  $Q \in X$ , if  $H_f$  is both non-singular and simply ramified at Q, and "bad" otherwise. For a fixed  $e_0 \in \mathbb{N}$ , we define

$$\mathcal{P}_{e_{0}}^{\text{low}} = \bigcup_{d \geq 0} \{ f \in R_{3,d} : f \text{ is good at all } Q \in X, \deg \pi(Q) < e_{0} \}$$

$$\mathcal{Q}_{e_{0}}^{\text{med}} = \bigcup_{d \geq 0} \{ f \in R_{3,d} : f \text{ is bad at some } Q, \deg \pi(Q) \in [e_{0}, \lfloor d/p \rfloor] \}$$

$$\mathcal{Q}^{\text{high}} = \bigcup_{d \geq 0} \{ f \in R_{3,d} : f \text{ is bad at some } Q, \deg \pi(Q) > d/p \}$$

#### Lemma 3.2.

$$\operatorname{Prob}(f \in \mathcal{P}_{e_0}^{\text{low}}) = \prod_{\deg(P) < e_0} \operatorname{Prob}(H_f \text{ is good at all points of } \pi^{-1}(P))$$

Now we want to do some local analysis on the fiber. Let  $P \in \mathbb{P}^1_t$  be a closed point. Without loss of generality, we assume  $t_1 \neq 0$  at P and and lies in  $\mathbb{A}^1 = \operatorname{Spec} \mathbb{F}_q[t]$  where  $t = t_0/t_1$ . Let  $\mathfrak{m} = (r(t)) \subseteq \mathbb{F}_q[t]$  be the maximal ideal corresponding to P, so that  $P^{(2)} = \operatorname{Spec} \mathbb{F}_q[t]/\mathfrak{m}^2$  and  $\kappa(P) = \mathbb{F}_q[t]/\mathfrak{m}$ . Denote the reduction map  $\mathbb{F}_q[t]/\mathfrak{m}^2 \to \mathbb{F}_q[t]/\mathfrak{m}$  by  $g \mapsto \overline{g}$ . We extend it to a map

 $H^0(\mathbb{P}^1_{\mathbb{F}_q[t]/\mathfrak{m}^2}, \mathcal{O}(3)) \to H^0(\mathbb{P}^1_{\mathbb{F}_q[t]/\mathfrak{m}}, \mathcal{O}(3))$  by applying  $\mathbb{F}_q[t]/\mathfrak{m}^2 \to \mathbb{F}_q[t]/\mathfrak{m}$  to each coefficients. Let  $\varphi_P: R_{3,d} \to H^0(\mathbb{P}^1_{\mathbb{F}_q[t]/\mathfrak{m}^2}, \mathcal{O}(3))$  be the restriction map.  $H_f$  is smooth at a point  $Q \in \pi^{-1}(P)$  if and only if  $\varphi_P(f)$  does not vanish at  $Q^{(2)} \in \mathbb{P}^1_{\mathbb{F}_q[t]/\mathfrak{m}^2}$ , and  $e_Q(H_f) \geq 3$  if and only if  $\overline{\varphi_P(f)}$  does not have a zero of multiplicity  $\geq 3$  at Q on  $\mathbb{P}^1_{\mathbb{F}_q[t]/\mathfrak{m}}$ . In other words, we can determine if  $H_f$  is good at all points on  $\pi^{-1}(P)$  by looking at the image of f in  $H^0(\mathbb{P}^1_{\mathbb{F}_q[t]/\mathfrak{m}^2}, \mathcal{O}(3))$ , so we observe that:

#### Lemma 3.3.

$$\operatorname{Prob}(f \in \mathcal{P}_{e_0}^{\text{low}}) = \prod_{\deg(P) < e_0} \operatorname{Prob}(H_f \text{ is good at all points } Q \in H_f \cap X_P)$$

*Proof.* More generally, if  $\{P_1, P_2, \dots, P_s\}$  is a set of finitely many closed points in  $\mathbb{P}^1$ , the restriction map

$$R_{3,d} = H^0(\mathbb{P}^1 \times \mathbb{P}^1, \mathcal{O}(3,d)) \to \prod_{i=1}^s H^0(X_{P_i^{(2)}}, \mathcal{O}(3))$$

is surjective for  $d \ge \sum_{i} 2 \deg P_i + 1$ .

We make the following convention: We call a pair  $(F_1, F_2) \in H^0(\mathbb{P}^1_{\kappa(P)}, \mathcal{O}(3))^2$  "bad" if it is one of the following 3 types:

- 1.  $F_1$  has a root of multiplicity  $\geq 2$  at a point where  $F_2$  also vanishes.
- 2.  $F_1$  has a root of multiplicity 3 at a point where  $F_2$  does not vanish.
- 3.  $F_1 \equiv 0$ .

Let  $\widetilde{}: \mathbb{F}_q[t]/\mathfrak{m} \to \mathbb{F}_q[t]/\mathfrak{m}^2$  be a  $\mathbb{F}_q$ -linear map that is a section to the reduction map  $\overline{}: \mathbb{F}_q[t]/\mathfrak{m}^2 \to \mathbb{F}_q[t]/\mathfrak{m}$ . We extend it to a map  $H^0(\mathbb{P}^1_{\mathbb{F}_q[t]/\mathfrak{m}^2}, \mathcal{O}(3)) \to H^0(\mathbb{P}^1_{\mathbb{F}_q[t]/\mathfrak{m}}, \mathcal{O}(3))$  in the same way we extended the reduction map. Then we have the following:

#### Lemma 3.4.

$$H^0(\mathbb{P}^1_{\mathbb{F}_a[t]/\mathfrak{m}}, \mathcal{O}(3))^2 \to H^0(\mathbb{P}^1_{\mathbb{F}_a[t]/\mathfrak{m}^2}, \mathcal{O}(3))$$

given by  $(F_1, F_2) \mapsto \widetilde{F}_1 + uF_2$  is a bijection. Moreover,  $f \in R_{3,d}$  is "good" at all points  $Q \in \pi^{-1}(P)$  if and only if  $\varphi_P(f)$  does not correspond to a bad pair.

Proof. The map  $f \mapsto (\overline{f}, (f - \widetilde{\overline{f}})/r(t))$  is an inverse to the map given in the lemma. Let  $(F_1, F_2)$  be the pair corresponding to  $\varphi_P(f)$ .  $\varphi_P(f)$  vanishes on  $Q^{(2)}$ , i.e.  $H_f$  is singular at Q, if and only if Q is a double root of  $F_1$  and a root to  $F_2$ . Similarly,  $e_Q(H_f) \geq 3$  if and only if Q is root to  $F_1$  of multiplicity  $\geq 3$ .

**Lemma 3.5.** The density of good pairs in  $H^0(\mathbb{P}^1_{\kappa(P)}, \mathcal{O}(3))^2$  is

$$(1-q^{-2e})^2$$

*Proof.* We first count type 1 pairs. Let P be the point that is a double root to  $F_1$  and a root to  $F_2$ . Note that  $\deg P = 1$ .  $F_1$  is fixed up to rescaling by  $\mathbb{F}_{q^e}^*$  after we choose a third root, which can be any point of degree 1. Therefore there are  $(q^e + 1)(q^e - 1)$  choices for  $F_1$ . The probability that  $F_2$  vanishes at P is  $q^{-e}$ , so we have  $q^3$  choices for  $F_2$ . Since we have  $(q^e + 1)$  choices for P, there are  $q^{3e}(q^e + 1)^2(q^e - 1)$  type 1 pairs.

To count type 2 pairs, let P be the triple root to  $F_1$ . Again there are  $q^e + 1$  choices. At each P, there are  $(q^e - 1)$  homogeneous polynomials of degree 3 which have P as a triple root, since the leading coefficient will uniquely determine such a polynomial. We have  $q^{4e} - q^{3e}$  choices for  $F_2$ . In total there are  $(q^e + 1)(q^e - 1)(q^{4e} - q^{3e})$  pairs of type 2.

Finally when  $F_1 = 0$ ,  $F_2$  can be anything, so we have  $q^{4e}$  type 3 pairs. Therefore the density of good pairs is

$$1 - q^{-8e}(q^{3e}(q^e + 1)^2(q^e - 1) + (q^e + 1)(q^e - 1)(q^{4e} - q^{3e}) + q^{4e}) = (1 - q^{-2e})^2$$

Lemma 3.6.

$$\lim_{e_0 \to \infty} \operatorname{Prob}(f \in \mathcal{Q}_{e_0}^{\text{med}}) = 0$$

Proof. Let P be a point of degree  $e < \lfloor d/p \rfloor$  on C and let  $B_P$  denote the event that a randomly chosen  $f \in R_{3,d}$  is "bad" at some point in the fiber  $X_P$ . By the proof of Lemma 3.3, the restriction map  $R_{3,d} \to H^0(P^{(2)}, \mathcal{O}(3))$  is surjective since p > 2 and  $e < \lfloor d/p \rfloor < (d-1)/2$  when d is large. Lemma 3.5 hence implies that probability that f is "bad" at some point in the fiber  $X_P$  is  $1 - (1 - q^{-2e})^2 < 2q^{-2e}$ .

$$\begin{aligned} \operatorname{Prob}(f \in \mathcal{Q}_{e_0}^{\operatorname{med}}) &\leq \sum_{e=e_0}^{\lfloor d/p \rfloor} (\operatorname{number of points of degree } e \text{ in } \mathbb{P}^1)(2q^{-2e}) \\ &\leq O(\sum_{e=e_0}^{\lfloor d/p \rfloor} q^e q^{-2e}) \\ &= O(\frac{cq^{-e_0}}{1-q^{-1}}) \end{aligned}$$

Therefore as  $e_0 \to \infty$ ,  $\text{Prob}(f \in \mathcal{Q}_{e_0}^{\text{med}}) \to 0$ .

**Lemma 3.7.** Let j > 2 be an integer. For a randomly chosen  $f \in R_{3,d}$ , the probability that there exists a point  $Q \in \mathbb{P}^1 \times \mathbb{P}^1$  with  $\deg \pi(Q) \geq j$  such that  $e_Q(H_f) \geq 3$  or  $H_f$  is singular at Q is at most

$$O(d^2q^{-\min(\lfloor d/p\rfloor+1,j)})$$

*Proof.* Since  $\mathbb{P}^1 \times \mathbb{P}^1$  can be covered by 4 affine charts  $\mathbb{A}^1 \times \mathbb{A}^1$ , it suffices for us to show that for each  $\mathbb{A}^1 \times \mathbb{A}^1$ , we have that for a randomly chosen  $f \in R_{3,d}$ , the probability that there exists a point  $Q \in \mathbb{A}^1 \times \mathbb{A}^1$  with  $\deg \pi(Q) \geq j$  such that  $e_Q(H_f) \geq 3$  or  $H_f$  is singular at Q is at most  $O(d^2q^{-\min(\lfloor d/p\rfloor+1,j)})$ . Therefore we may work affine-locally.

Without loss of generality, we may assume  $s_1 \neq 0, t_1 \neq 0$  on  $\mathbb{A}^1 \times \mathbb{A}^1$  and work with coordinates  $s = s_0/s_1, t = t_0/t_1$ . Let  $A_{n,d}$  be the polynomials that are of degree  $\leq n$  in s and  $\leq d$  in t. Clearly by dehomogenizing sections in  $S_{3,d}$ , we may naturally identify  $S_{3,d} = A_{3,d}$ . Accordingly, we replace  $H_f$  by  $H_f \cap \mathbb{A}^1 \times \mathbb{A}^1$ . We call a closed point  $Q \in \mathbb{A}^1 \times \mathbb{A}^1$  admissible if  $\deg \pi(Q) \geq j$  and a subscheme  $W \subseteq \mathbb{A}^1 \times \mathbb{A}^1$  admissible if it contains an admissible point. By  $(W)_{ad}$  we denote the union of admissible irreducible component of  $(W)_{red}$ .

We first deal with the probability that for a randomly chosen  $f \in A_{3,d}$ ,  $e_Q(H_f) \geq 3$  for some admissible  $Q \in \mathbb{A}^1 \times \mathbb{A}^1$ , which happens if and only if

$$f(Q) = f_s(Q) = f_{ss}(Q) = 0 (3.8)$$

Define  $W_2 = \{f_{ss} = 0\}, W_1 = W_2 \cap \{f_s = 0\}$  and  $W_0 = W_1 \cap \{f = 0\}$ . We want to bound the probability that for a randomly chosen  $f \in A_{3,d}$ ,  $W_0$  contains an admissible point.

Following Poonen's idea, we write f is such a way so that the first and second order partial derivatives are largely independent. If  $f_0 \in A_{3,d}$  and  $g_1, g_2, h \in A_{0,\lfloor d/p \rfloor}$  are selected uniformly and independently at random, then the distribution of

$$f = f_0 + g_1^p s^2 + g_2^p s + h^p$$

is uniform over  $A_{3,d}$ . Direct computation shows that

$$f_s = f_{0,s} + 2g_1^p s + g_2^p$$
$$f_{ss} = f_{0,ss} + 2g_1^p$$

Note that  $W_2$  depends only on the choice of  $f_0, g_1$  and  $W_1$  only on  $f_0, g_1, g_2$ . Let E denote the event that

- a. The admissible irreducible components of  $W_1$  are of dimension 0.
- b. f does not vanish at any of these irreducible components.

Clearly if E holds for f, then  $W_0$  does not contain any admissible point. Therefore it suffices to show that for a randomly chosen  $f \in A_{3,d}$ ,

$$Prob(E) = 1 - O(d^2q^{-\min(\lfloor d/p\rfloor + 1, j)})$$

as  $d \to \infty$ . Now we bound Prob(E) in three steps:

Step 1: Conditioned on a choice of  $f_0$ , the probability that dim  $W_2 = 2$  is at most  $q^{-(\lfloor d/p \rfloor + 1)}$ , since dim  $W_2 = 2$  if and only if  $g_1^p = -f_{0,ss}/2$ , for which there is at most one choice of  $g_1$ .

Step 2: Conditioned on a choice of  $f_0$  and  $g_1$  such that  $\dim W_2 = 1$ , the probability that  $\dim (W_1)_{\mathrm{ad}} = 1$  is at most  $O(dq^{-\min(\lfloor d/p \rfloor + 1, j)})$ . Let  $V_1, \dots, V_\ell$  be the  $\mathbb{F}_q$ -irreducible component of  $\{W_2\}_{\mathrm{red}}$ . View  $\mathbb{P}^1 \times \mathbb{P}^1$  as a subscheme of  $\mathbb{P}^3$  via Segre embedding, we may apply Bézout's theorem to obtain that  $\ell = O(d)$ . dim  $W_1 = 1$  and  $\pi(W_1)$  contains a point P with deg  $P \geq j$  if and only if  $f_s$  vanishes identically on  $V_i$  for some i. We need to bound the set

$$G_i^{\text{bad}} = \{g_2 \in A_{0,|d/p|} : f_{0,s} + 2g_1^p s + g_2^p \text{ vanishes identically on } V_i\}$$

If  $g, g' \in G_i^{\text{bad}}$ , then g - g' vanihes identically on  $V_i$ . Therefore  $G_i^{\text{bad}}$  is a coset of  $\ker \varphi_i$ , where  $\varphi_i$  is the  $\mathbb{F}_q$ -linear map  $\varphi_i : A_{0, \lfloor d/p \rfloor} \to H^0(V_i, \mathcal{O}_{V_i})$ . Now we divide it into two cases.

Case 1: If  $\dim \pi(V_i) > 0$ , then the function t, and hence any nonzero polynomial in t, does not vanish identically on  $V_i$ . Therefore the codimension of  $\ker \varphi_i$  in  $A_{0,\lfloor d/p \rfloor}$  is  $\lfloor d/p \rfloor + 1$ , and the probability that  $f_s$  vanishes identically on  $V_i$  is at most  $q^{-(\lfloor d/p \rfloor + 1)}$ .

Case 2: If dim  $\pi(V_i) = 0$ , then since  $V_i$  is assumed to be admissible, deg  $\pi(V_i) \geq j$ .  $\varphi_i$  factors as

$$A_{0,|d/p|} = \mathbb{F}[t]_{\leq |d/p|} \to H^0(\pi(V_i), \mathcal{O}_{\pi(V_i)}) \stackrel{\pi^*}{\to} H^0(V_i, \mathcal{O}_{V_i})$$

The pullback map  $\pi^*$  is clearly injective. Let  $B_{0,i}$  be the image of  $A_{0,i}$  in  $H^0(\pi(V_i), \mathcal{O}_{\pi(V_i)})$ . Suppose  $\pi(V_i) = \operatorname{Spec} \mathbb{F}_q[t]/(r(t))$ , where  $\deg r(t) = \deg \pi(V_i)$ . Then it is clear that  $\dim_{\mathbb{F}_q} B_{0,i}$  increases in dimension with each increase of i until it stabilizes at  $\deg r$ . Therefore  $\dim \operatorname{Im} \varphi_i \geq \min(\lfloor d/p \rfloor + 1, j)$ , and the probability that  $f_s$  vanishes identically on  $V_i$  is at most  $q^{-\min(\lfloor d/p \rfloor + 1, j)}$ . Since  $q^{-(\lfloor d/p \rfloor + 1)} \leq q^{-\min(\lfloor d/p \rfloor + 1, j)}$ , and there are at most O(d) such components  $V_i$ , in either case we obtain the desired conclusion of this step.

Step 3: Conditioned on a choice of  $f_0, g_1$  and  $g_2$  such that  $\dim(W_1)_{ad} = 0$ , the probability that  $(W_0)_{ad} \neq \emptyset$  is at most  $O(d^2q^{-\min(\lfloor d/p\rfloor+1,j)})$ . Let  $Q_1, Q_2, \dots, Q_r$  be all irreducible components of  $(W_1)_{ad}$ , where  $r = |(W_1)_{ad}|$ .

Since  $W_1$  is cut out by  $f_s$  and  $f_s s$ , and  $\deg f_s$ ,  $\deg f_{ss} = O(d)$ , by Bézout theorem  $r = O(d^2)$ , and the same argument as in the previous paragraph shows that at each point in  $W_1$ , the probability that f = 0 at the point is at most  $q^{-\min(\lfloor d/p \rfloor + 1, j)}$ .

Finally Step 1 and 2 combined to give that

$$Prob(E_a) \ge (1 - q^{-(\lfloor d/p \rfloor + 1)})(1 - q^{-\min(\lfloor d/p \rfloor + 1, j)}) = 1 - O(dq^{-\min(\lfloor d/p \rfloor + 1, j)})$$

And Step 3 gives

$$Prob(E) \ge Prob(E_a)(1 - O(d^2q^{-\min(\lfloor d/p \rfloor + 1, j)})) = 1 - O(d^2q^{-\min(\lfloor d/p \rfloor + 1, j)})$$

Now we deal with the probability that  $H_f$  is singular at Q for some  $Q \in \mathbb{A}^1 \times \mathbb{A}^1$ , which happens if and only if  $f(Q) = f_s(Q) = f_t(Q) = 0$ . This time we may write f in the form

$$f = f_0 + g_1^p s + g_2^p t + h^p$$

for some randomly chosen  $f_0 \in A_{3,d}$  and  $g_1, g_2, h \in A_{0,\lfloor d/p \rfloor}$ . The rest of the proof is completely analogous to the above.

#### Lemma 3.9.

$$\operatorname{Prob}(f \in \mathcal{Q}^{\operatorname{high}}) = 0$$

*Proof.* Apply Lemma 3.7 with j = |d/p|.

Proof of Theorem 3.1. For each  $e_0$ , we have that

$$\mathcal{P}_{e_0}^{\mathrm{low}} \subseteq \mathcal{D} \subseteq \mathcal{P}_{e_0}^{\mathrm{low}} \cup \mathcal{Q}_{e_0}^{\mathrm{med}} \cup \mathcal{Q}^{\mathrm{high}}$$

Therefore

$$\operatorname{Prob}(f \in \mathcal{P}_{e_0}^{\text{low}}) \leq \operatorname{Prob}(f \in \mathcal{D}) \leq \operatorname{Prob}(f \in \mathcal{P}_{e_0}^{\text{low}} \cup \mathcal{Q}_{e_0}^{\text{med}} \cup \mathcal{Q}^{\text{high}})$$

Now take  $e_0 \to \infty$ , Lemma 3.3, 3.5, 3.6, 3.9 combine the give the result.  $\square$ 

# References

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- [2] B. Poonen, Bertini theorems over finite fields, Ann. of Math. (2) 160 (2004), no. 3, 1099-1127.