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# Project: Learning Based RRT using a Visual Classifier as a Cost Function

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## 1 Introduction

Rapidly-Exploring Random Trees (RRT) [2] have proven to be a very useful sample-based path-planning algorithm to efficiently search high-dimensional spaces. However, RRT can be hindered in environments with obstacles or specific pathways to a goal. The algorithm may waste time exploring down irrelevant or blocked paths. In this paper, we will survey various learning approaches to problems encountered by RRT in such environments, evaluate a baseline modified RRT algorithm based on behavior cloning, present the results of our Visual Classifier assisted RRT algorithm, and discuss our future work.

## 2 Problem Statement

A basic RRT algorithm's performance can suffer in configuration spaces with obstacles by exploring down irrelevant or blocked paths. The surveyed learning improvements to RRT may help but they still need a way to discover where the goal states and relevant obstacles are in relation to the agent. This problem can arise a variety of different domains such as expansions through mazes, robotic control in constrained spaces [3], and systems testing of multi-step processes [6]. There exists previous work regarding trying to solve this problem via RRT algorithms that use Behavior Cloning to seed the RRT tree. This paper uses one of these algorithms, CA-RRT[6], as a baseline and aims to develop a BC RRT algorithm that uses a visual classifier to guide RRT expansion.

## 3 Related Work

In the last few years, several contributions to robotic navigation and exploration have been proposed that incorporate variations of sampling-based costmap planners, such as RRT or RRT\*, and learning from demonstrations. Zuo et. al. [6] proposes a method, Clone Assisted RRT (CA-RRT), that learns heuristics for a search-based algorithm from a limited number of human demonstrations. CA-RRT is an expansion of the human-seeded RRT (HS-RRT) proposed by Chang et. al. [1], which uses states from human demonstrations as seed configurations states within an RRT. This expansion includes adding a first-person image-state-based (behavioral) cloning approach over the HS-RRT. Zuo et. al. [6] method consists of collecting a set of human-created trajectories and training a behavioral cloning policy using the first-person state-action pairs from those trajectories to seed the RRT. These heuristics allow the search algorithms to automatically test other states in the game thus removing the necessity to test all possible scenarios in the game through human game play.

Perez et. al. [5] also proposes an approach to learn navigation behaviors from demonstrations with the use of sampling-based costmap planners, though they propose the use Inverse Reinforcement Learning (IRL) concepts to identify the RRT cost function that best fits the example trajectories. The proposed method, Rapidly-exploring random Trees Inverse Reinforcement Learning (RTIRL), makes use of the RRT\* instead of a Markov Decision Process (MDP). This is due to the advantages that RRT\* has over MDP, in which RRT\* can handle continuous state and control spaces. Perez et. al. [5] RTIRL method is used to extract the proper weights of the cost function from demonstration

trajectories, which can then be used later in the RRT\* process to allow the robot to reproduce the desired behavior at various scenarios.

Both papers propose learning algorithms that use sampling-based costmap planners for path planning and exploration. Our proposed method will also be expanding upon the RRT algorithm and involve collecting and utilizing human demonstrations to allow for learning from demonstrations. Our proposed algorithm will incorporate a similar behavior cloning (BC) approach to that of Zuo et. al. [6] as the BC policy will be act as a seed in the initial RRT configuration. In addition to BC, we aim to use a visual classifier to learn a cost function, by using an image to gather samples from various locations to determine the cost to reach the goal state. This approach is a development of and similar to the work by Majeed et al., who successfully used an image pre-processor to locate objects in an environment prior to performing path planning utilizing an A\* algorithm. [4] They found that using an image processor to locate obstacles in combination with A\* planning was successful in path finding in both static and dynamic environments. [4] We aim to further expand on this paper's work by implementing image processing into the cost function of RRT rather than pre-processing. We aim to train a behavior cloning policy on a 2D image of the environment, similar to the greyscale 2D images used in the paper by Majeed et al. as our image also uses high contrast to aid in the performance of our algorithm.

## 4 Problem Domain

The problem domain consists of 2D pygame environment which contains obstacles that create a maze that an agent must navigate to reach a goal location. The background of this environment is black, the obstacles are blue, the agent is red, and the goal is green to enhance contrast. The start location, goal location, and obstacles can either be static or dynamic across instances of the game depending on user arguments.

The action space is discrete and deterministic with 4 cardinal direction actions.

## 5 Learning Baseline

In this paper we will show results relative to some baseline. The baseline we decided to work off of is a trained implementation of the CA-RRT algorithm [6] that we call BCRRT. BCRRT works by first rolling out a trained Behavior Cloning policy to generate a path. This path is then used to seed a standard RRT algorithm. The idea is that the path rolled out by the BC model will guide the RRT expansion.

To keep complexity low for the baseline, we used a environment where the goal state and the obstacles are kept static. The state space is the agent's and the goal's current coordinates in the maze environment. Table 1 shows the neural network model used for the BCRRT algorithm. This model was trained with around 20,000 demonstrations.

## 6 IMGBCRRT - Novel Model

We now introduce a novel model called IMGBCRRT that works off of the same concepts as BCRRT but where the state space is a 2D image of the entire game field (as opposed to just the coordinates of objects). Table 2 shows the structure of the IMGBCRRT Convolutional Neural Network. It first performs some preprocessing on an input image that normalizes and scales all images down to size 256x256. Then the image is passed through 2 convolutional and pooling layers. Finally the input is flattened and passed through 2 fully connected layers to obtain 4 outputs that correspond to the 4 potential actions.

We trained this model under 3 different scenarios: static start/goal/obstacles, static start/goal + dynamic obstacles, static goal + dynamic start/obstacles. Each training used an autogenerated dataset of about 10,000 labeled images. To further validate our following results, we also trained a dynamic start/obstacles + static goal model twice more on datasets of size 20,000 and 100,000. The classification accuracy when evaluating every model after training was always around 48%.

Table 1: BCRRT Model Summary

Layer (Type)	Output Shape	Parameter count
Linear-1	[-1, 8]	40
ReLU-2	[-1, 8]	0
BatchNorm1d-3	[-1, 8]	16
Linear-4	[-1, 8]	72
ReLU-5	[-1, 8]	0
BatchNorm1d-6	[-1, 8]	16
Linear-7	[-1, 4]	36
ReLU-8	[-1, 4]	0
BatchNorm1d-9	[-1, 4]	8
Linear-10	[-1, 4]	20
ReLU-11	[-1, 4]	0
BatchNorm1d-12	[-1, 4]	8
Total Parameters		216

Table 2: IMGBCRRT Model Summary

Layer (Type)	Output Shape
Resize	[3,256,256]
ConvertImageToFloat	[3,256,256]
Normalize	[3,256,256]
Conv2d	[64,127,127]
ReLU	—
MaxPool2d	[64,63,63]
Conv2d	[128,31,31]
ReLU	—
MaxPool2d	[128,15,15]
InstanceNorm2d	—
Flatten	28800
Linear	64
ReLU	64
Linear	4
ReLU	4

## 7 Experiment Metrics

The metrics being evaluated in the experiment include the following:

- Success Rate: The percentage of successes, in which the agent successfully reaches the goal.
- Time: The time taken by the agent from the start of RRT to the terminal node or condition i.e. when the limit of 1000 expansions is met.
- Number of Expansions: The number of nodes in the RRT graph at termination.

## 8 Experiment Results

As our goal was to develop a RRT algorithm that uses a visual classifier to learn a cost function. The baseline used for this paper required a learning-based approach, as such, we decided to utilize CARRT as our baseline rather than a standard RRT. The above metrics were used for an initial evaluation of both our baseline algorithm, which was a version of CA-RRT (BCRRT), and a standard RRT algorithm. In order to ensure the baseline BCRRT was performing correctly, the metric results must reflect an improvement of BCRRT over the standard RRT. The initial baseline results for BCRRT can be seen in Table 3, while the results for RRT are shown in Appendix A: Table 7. The experiment does not directly compare RRT with our proposed IMGBCRRT algorithm, as we are solely focusing on a learning-based approach. After training numerous models of IMGBCRRT, we proceeded with the experiment phase of this paper, this included the testing of our proposed algorithm. We performed testing on three different configurations of IMGBCRRT. The first configuration consisted of a static environment with static obstacles and static start/goal locations, which is labeled as IMGBCRRT SA, and is shown in Table 4. The second configuration consisted of a mixed environment with dynamic obstacles and static start/goal locations, which is labeled as IMGBCRRT SDO, and is shown in Table 5. The last configuration consisted of a dynamic environment with dynamic obstacles and dynamic start/goal locations, which is labeled as IMGBCRRT DA, and is shown in Table 6.

To properly evaluate the performance of IMGBCRRT, the experiment consisted of testing the three configurations of IMGBCRRT against the baseline BCRRT. The BCRRT configuration consisted of a static environment with static obstacles and static start/goal locations. The experiment was done over five iterations with the results of the metrics being collected during each iteration of the test. The mean and standard deviation of the metric results are provided in each results table as well as a box and whisker plot. The results of the baseline BCRRT compared to RRT reflect an expected outcome of BCRRT performing better overall than the RRT algorithm. The RRT provides a mean success rate of .79, a mean time of  $3.39 \pm 1.20$  s, and a mean expansions of  $744.48 \pm 208.45$ . While the BCRRT

provides a mean success rate of .90, a mean time of  $1.94 \pm 1.73$  s, and a mean number of expansions of  $436.48 \pm 375.33$ .

In regard to the results of the time metric, after performing a one-way ANOVA test it was determined that there was a significant difference of the average times between the four algorithms ( $F(3,16) = 5.022$ ,  $p < 0.05$ ,  $p = .0121$ ). A post-hoc analysis revealed that the times of IMGBCRRT SA ( $0.920 \pm 0.309$  s) were significantly lower compared to the times of BCRRT ( $1.943 \pm 1.730$  s,  $p < .005$ ) and IMGBCRRT DA ( $2.481 \pm 1.127$  s,  $p < .005$ ). In terms of number of expansions, we again performed a one-way ANOVA test and determined that there was a significant difference of the average number of expansions between the four algorithms ( $F(3,16) = 10.0397$ ,  $p < 0.05$ ,  $p = .0005$ ). A post-hoc analysis revealed that the number of expansion results of IMGBCRRT SA ( $22.095 \pm 84.503$ ) were significantly lower compared to the number of expansions from BCRRT ( $436.482 \pm 375.326$ ,  $p < .005$ ) and IMGBCRRT DA ( $420.768 \pm 275.030$ ,  $p < .005$ ). The results of the configuration testing show an increase of the average time and number of expansions when dynamic obstacles are used, though the success rate remains consistent at approximately .90. We can see from the results that the standard deviation (SD) seems rather large, this may be due to the small number of iterations that were used in testing, this can be addressed in future work by performing testing with a larger number of iterations. Due to the large SD values, we further analyzed the data by calculating the coefficient of variation (CV) for each of the results to determine the level of dispersion around the mean, shown in Appendix A: Table 8. Despite the large standard deviations seen in the results, we see a reasonable dispersion of mean time and number of expansions.

Table 3: Results from BCRRT Algorithm

Iteration	Success Rate	Mean Time(s)	Std. Dev Time	Mean Expansions	Std. Dev Expansions
1	.89	1.9586	1.8819	440.6875	378.6503
2	.91	2.0340	1.7459	442.09	372.4981
3	.92	1.6560	1.4974	439.7563	375.8521
4	.90	2.0366	1.8273	428.4387	376.2023
5	.89	2.0287	1.6985	431.4369	373.4285
AVG	.902	1.9428	1.7302	436.4819	375.3263

Table 4: Results from IMGBCRRT SA Algorithm

Iteration	Success Rate	Mean Time(s)	Std. Dev Time	Mean Expansions	Std. Dev Expansions
1	1.0	.8916	.2051	19.8276	66.0555
2	1.0	.8958	.1310	18.4576	63.0348
3	1.0	.8971	.1238	16.7414	54.4690
4	1.0	.9432	.4144	25.1466	101.5863
5	.98	.9743	.6745	30.3017	137.3698
AVG	.996	.9204	.3097	22.0950	84.5031

Table 5: Results from IMGBCRRT SDO Algorithm

Iteration	Success Rate	Mean Time(s)	Std. Dev Time	Mean Expansions	Std. Dev Expansions
1	1.0	1.4602	.8591	196.7556	194.4713
2	.92	2.6790	1.8534	374.2079	313.2433
3	.73	4.3425	1.8081	696.5349	293.5065
4	.98	1.8653	1.6107	208.3444	290.2712
5	.98	1.6502	1.0182	222.1038	249.5101
AVG	.922	2.3994	1.4299	339.5893	268.2005

Table 6: Results from IMGBCRRT DA Algorithm

Iteration	Success Rate	Mean Time(s)	Std. Dev Time	Mean Expansions	Std. Dev Expansions
1	.99	2.2373	.7730	283.5508	181.8569
2	.94	2.7234	1.1715	564.7465	246.4456
3	.81	2.2974	1.4021	360.9149	378.8530
4	.91	1.4934	1.0860	257.0303	303.3063
5	.86	3.6526	1.2020	637.5954	264.6904
AVG	.9020	2.4808	1.1269	420.7676	275.0304

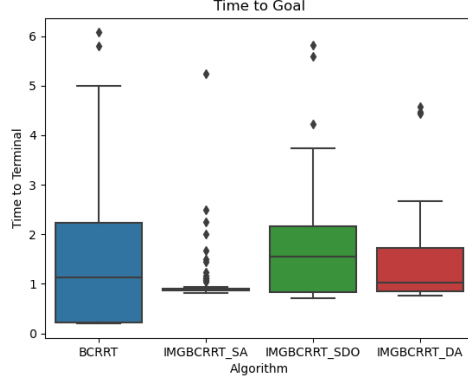


Figure 1: Time to reach goal

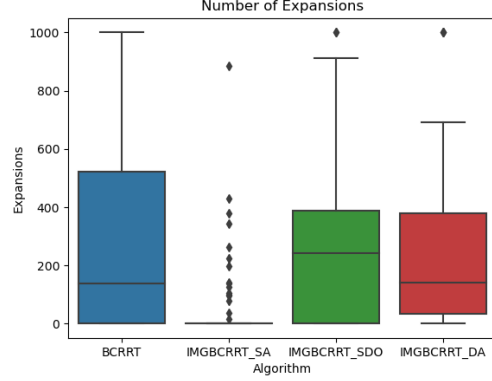


Figure 2: Number of nodes expanded

## 9 Future Work

In this paper we showed that a behavior cloning assisted RRT algorithm (IMGBCRRT) can be built without domain specific encoding of states that does not perform significantly worse than a baseline BCRRT (albeit in a simple domain). More work needs to be done in the future to validate these results in more domains. Additionally more research needs to be performed to optimize the convolutional layers of the IMGBCRRT model for much more complex domains. It can be expected that potential domains may not have colors and shapes as conducive to convolutional feature detection as the ones we were able to build here. In such domains custom preprocessing layers will need to be built or the convolutional layers will need to be highly tuned and potentially heavily trained.

## References

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- [6] Max Zuo, Logan Schick, Matthew Gombolay, and Nakul Gopalan. Efficient exploration via first-person behavior cloning assisted rapidly-exploring random trees. 2022.

## A Appendix: Supplementary Material

Table 7: Metrics from RRT Algorithm

Iteration	Success Rate	Mean Time(s)	Std. Dev Time	Mean Expansions	Std. Dev Expansions
1	.79	3.6179	1.2385	745.3562	211.5847
2	.81	3.5861	1.1871	752.03	202.2828
3	.79	2.9090	1.0498	751.5603	209.2586
4	.77	3.4672	1.2880	734.6838	213.4561
5	.79	3.3586	1.2298	738.7589	205.6531
AVG	.79	3.3878	1.1986	744.4778	208.4471

Anova: Single Factor  
Time Metric

### SUMMARY

Groups	Count	Sum	Average	Variance
BCMeanTime	5	9.7139	1.94278	0.026749642
IMGSAMeanTime	5	4.60197	0.92039465	0.001348837
IMGSDOMeanTime	5	11.9972	2.399435116	1.395574176
IMGDAMeanTime	5	12.4042	2.480836491	0.624969667

### ANOVA

Source of Variation	SS	df	MS	F	P-value	F crit
Between Groups	7.71559514	3	2.571865047	5.021598976	0.012161068	3.238871517
Within Groups	8.194569288	16	0.512160581			
Total	15.91016443	19				

Figure 3: ANOVA test results of time metric

Anova: Single Factor

### SUMMARY

Groups	Count	Sum	Average	Variance
BCMeanEXP	5	2182.41	436.48188	37.50116089
IMGSAMeanEXP	5	110.475	22.09496552	30.92387197
IMGSDOMeanEXP	5	1697.95	339.5893156	45009.53456
IMGDAMeanEXP	5	2103.84	420.7675886	29241.22677

### ANOVA

Source of Variation	SS	df	MS	F	P-value	F crit
Between Groups	559605.072	3	186535.024	10.03966987	0.000582466	3.238871517
Within Groups	297276.7454	16	18579.79659			
Total	856881.8174	19				

Figure 4: ANOVA test results of number of expansions metric

Table 8: Coefficient of Variation (CV)

Algorithm	CV Time(s)	CV Expansions
RRT	0.3544	.2800
BCRRT	.8916	.8600
IMBCRRT SA	.3292	3.7147
IMBCRRT SDO	.6354	.9527
IMBCRRT DA	.4884	.7445

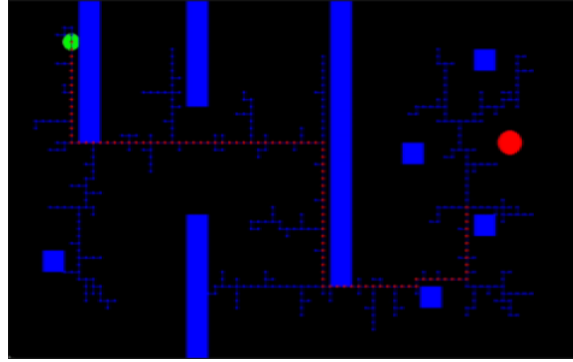


Figure 5: Expansion of IMBCRRT SA

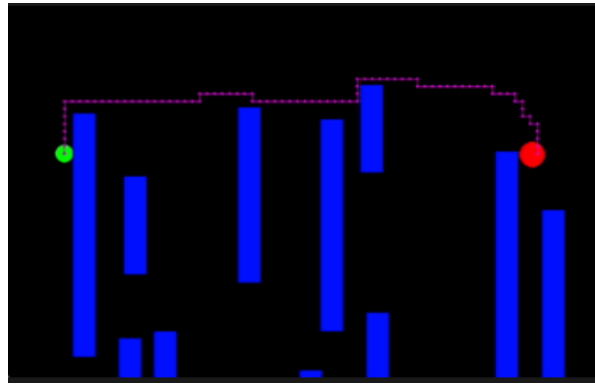


Figure 6: Expansion of IMBCRRT SDO