## CSCI 570 - Fall 2016 - HW 7 solution

1. (Chapter 6, Exercise 10)

Solution:

- (a) Consider the following example: there are totally 4 minutes, the numbers of steps that can be done on the two machines in the 4 minutes are listed as follows (in time order):
  - Machine A: 2, 1, 1, 200
  - Machine B: 1, 1, 20, 100

The given algorithm will choose A then move, then stay on B for the final two steps. However, the optimal solution will stay on A for the four steps.

(b) An observation is that, in the optimal solution for the time interval from minute 1 to minute i, you should not move in minute i; because otherwise, you can keep staying on the machine where you are and get a better solution  $(a_i > 0)$  and  $b_i > 0$ . For the time interval from minute 1 to minute i, consider that if you are on machine A in minute i, you either (i) stay on machine A in minute i-1 or (ii) are in the process of moving from machine B to A in minute i-1. Now let  $OPT_A(i)$  represent the maximum value of a plan in minute 1 through i that ends on machine A, and define  $OPT_B(i)$  analogously for B. If case (i) is the best action to make for minute i-1, we have  $OPT_A(i) = a_i + OPT_A(i-1)$ ; otherwise, we have  $OPT_A(i) = a_i + OPT_B(i-2)$ . Thus, we have

$$OPT_A(i) = a_i + \max\{OPT_A(i-1), OPT_B(i-2)\}\$$
  
 $OPT_B(i) = b_i + \max\{OPT_B(i-1), OPT_A(i-2)\}\$ 

Then the algorithm is illustrated in Algorithm 1.

## Algorithm 1

- 1:  $OPT_A(0) = 0$
- 2:  $OPT_B(0) = 0$
- 3:  $OPT_A(1) = a_1$
- 4:  $OPT_B(1) = b_1$
- 5: **for** i from 2 to n **do**
- 6:  $OPT_A(i) = a_i + \max\{OPT_A(i-1), OPT_B(i-2)\}$
- 7: Record the action (either stay or move) in minute i-1 that achieves the maximum
- 8:  $OPT_B(i) = b_i + \max\{OPT_B(i-1), OPT_A(i-2)\}$
- 9: Record the action (either stay or move) in minute i-1 that achieves the maximum
- 10: end for
- 11: **return**  $\max\{OPT_A(n), OPT_B(n)\}$
- 12: Track back through the arrays  $OPT_A$  and  $OPT_B$  by checking the action records from minute n-1 to minute 1 to recover the optimal solution

It takes O(1) time to complete the operations in each iteration; there are O(n) iterations; the tracing backs takes O(n) time. Thus, the overall complexity is O(n).

## 2. (Chapter 6, Exercise 20)

Solution: Let the (i,h)-subproblem be the problem in which one wants to maximize one's grade on the first i courses, using at most h hours. Let OPT(i,h) be the maximum total grade that can be achieved for this subproblem. Then OPT(0,h)=0 for all h, and  $OPT(i,0)=\sum_{j=1}^i f_j(0)$ . Now, in the optimal solution to the (i,h) subproblem, one spends k hours on course i for some value of  $k \in \{0,1,...,h\}$ ; thus, we have

$$OPT(i,h) = \max_{0 \le k \le h} \{f_i(k) + OPT(i-1, h-k)\}$$

Then the algorithm is illustrated in Algorithm 2.

## Algorithm 2

```
1: for h from 1 to H do
      OPT(0, h) = 0
 3: end for
 4: OPT(1,0) = f_1(0)
 5: for i from 2 to n do
      OPT(i, 0) = f_i(0) + OPT(i - 1, 0)
 7: end for
 8: for i from 1 to n do
     for h from 1 to H do
 9:
        OPT(i,h) = \max_{0 \le k \le h} \{f_i(k) + OPT(i-1, h-k)\}
10:
        Record the k that results in the maximum, denoted as k^*(i,h)
11:
     end for
12:
13: end for
14: return OPT(n, H)
15: Having obtained the (n+1)\times (H+1) table with each entry filled with OPT(i,h);
   in order to produce the optimal distribution of time, track back from the (n +
   (1, H+1)th entry using \{k^*(i,h)\} until a boundary entry of the table is reached
```

The total time to fill in each entry OPT(i,h) is O(H), and there are nH entries, resulting in a total time of  $O(nH^2)$ . Tracking back according to the records takes O(n) time. Thus, the overall time is  $O(nH^2)$ .

3. Given a sequence  $\{a_1, a_2, ..., a_n\}$  of n numbers, describe an  $O(n^2)$  algorithm to find the longest monotonically increasing sub-sequence.

Solution: Let  $l_i$  denote the length of the longest monotonically increasing sub-sequence that ends with  $a_i$  ( $l_1 = 1$ ). Compute the sequences  $S_i, S_{ij}$  using the following recurrences.

- Initialize  $S_1 = a_1$ .
- For  $1 \le j < i$ , if  $a_j > a_i$  then  $S_{ij} = a_i$ . Otherwise,  $S_{ij}$  is set to  $\{S_j \text{ concatenated with } a_i\}$ .
- $S_i$  is set to the longest sequence among all the sequences  $S_{ij}$ ,  $1 \le j < i$ .

Claim: The length of  $S_i$ ,  $l(S_i) = l_i$ .

Assume otherwise. Let k be the smallest index such that  $l(S_k) < l_k$ . Let  $O_k$  be a sequence of length  $l_i$  ending with  $a_k$ . Let  $a_j$  be the second last element of the sequence  $O_k$ . As j < k,  $l_j = l(S_j)$ 

$$l(S_k) < l_k = l_j + 1 \Rightarrow l(S_j) < l_j$$

This is a contradiction as j < k and k is the smallest index such that  $l(S_k) < l_k$ . Thus our claim is true. Clearly the longest monotonically increasing sub-sequence is by definition the longest of the sequences  $S_i$ ,  $1 \le i \le n$ . 4. You are given n points  $\{(x_1, y_1), (x_2, y_2), ..., (x_n, y_n)\}$  on the real plane. They have been sorted from left to right and no two points have the same x-coordinate. That means  $x_1 < x_2 < ... < x_n$ .

A bitonic tour is defined as follows. The tour starts from  $(x_1, y_1)$ , goes through some intermediate points and reaches  $(x_n, y_n)$ . Then it goes back to  $(x_1, y_1)$  through every one of the rest of the points. All points except  $(x_1, y_1)$  are thus visited exactly once. Further, from  $(x_1, y_1)$  to  $(x_n, y_n)$ , you have to keep going right at every step. Similarly, you have to keep going left from  $(x_n, y_n)$  to  $(x_1, y_1)$ . Describe an  $O(n^2)$  algorithm to compute the shortest bitonic tour.

Solution: Let  $p_j = (x_j, y_j)$  denote the  $j^{th}$  point. A shortest bitonic tour can be thought of as a cycle where the vertices are points. Edges connect the points if they are visited one after another. Consider the shortest bitonic tour on the first i points. Observe that such a tour must contain an edge  $(p_k, p_i)$  with k < i - 1. For k < i - 1, a shortest bitonic tour on the points  $p_1, \dots, p_i$  that contains  $(p_k, p_i)$  must be a shortest bitonic tour on the points  $p_1, \dots, p_{k+1}$  minus the edge  $(p_k, p_{k+1})$  plus the edge  $(p_k, p_i)$  and plus the path  $\{(p_{k+1}, p_{k+2}), \dots (p_{i-1}, p_i)\}$ . Consequently k can be chosen such that we end up with the shortest bitonic tour on  $p_1, \dots, p_i$ .

The fact that the subproblem on  $p_1, \dots, p_{k+1}$  exhibits the optimal substructure property can be proved by a simple replacement strategy. Suppose we have an optimal solution on  $p_1, \dots, p_i$  points which uses a solution on  $p_1, \dots, p_{k+1}$  and is not optimal. Now by replacing the non-optimal solution on the  $p_1, \dots, p_{k+1}$  points by an optimal solution into the " $p_1, \dots, p_i$ " problem, we obtain a solution which is better than the optimal solution on  $p_1, \dots, p_i$ , resulting in a contradiction.

Let OPT(i) be the length of the shortest bitonic tour on the first i points, we can write the following recursion.

$$OPT(i) = \min_{1 \leq k \leq i-2} \left\{ OPT(k+1) + D(k+1,i) + d(k,i) - d(k,k+1) \right\}$$

for all  $3 \le i \le n$ , where

$$d(i,j) = \sqrt{(x_i - x_j)^2 + (y_i - y_j)^2}$$
$$D(i,j) = \sum_{k=i}^{j-1} d(k,k+1)$$

The base cases are:  $OPT(1) = 0, OPT(2) = 2 \times d(1,2)$ . OPT(n) is the length of the shortest bitonic tour.

Each step of the iterations costs O(n) and we need to compute n values in total, so the total running time is  $O(n^2)$ .