# **Code Optimization and Linking**

15-213/18-213/15-513: Introduction to Computer Systems 12<sup>th</sup> Lecture, October 7, 2021

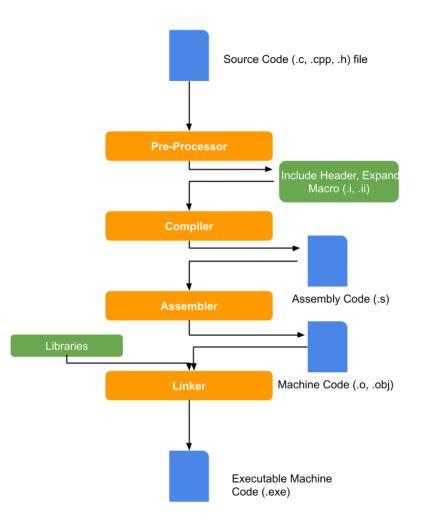
# **Today**

#### Basics of compiler optimization

- Principles and goals
- Some example optimizations
- Obstacles to optimization
- Linking: combining object files into programs
  - Symbols and symbol resolution
  - Relocation
  - Static libraries
- Quiz
- If we have time
  - Branch prediction
  - Dynamic libraries

### What does it mean to compile code?

- The CPU only understands machine code directly
- All other languages must be either
  - interpreted: executed by software
  - compiled: translated to machine code by software



### There's a story that starts like this:

Back in the Good Old Days,
when the term "software" sounded funny
and Real Computers were made out of drums
and vacuum tubes,
Pagl Programmers wrote in machine code

Real Programmers wrote in machine code.

Not FORTRAN. Not RATFOR. Not, even, assembly language.

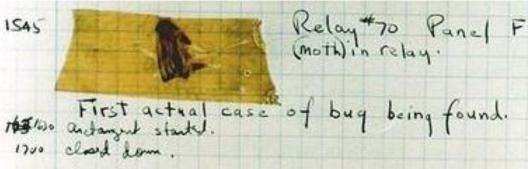
Machine Code.

Raw, unadorned, inscrutable hexadecimal numbers. Directly.

— "The Story of Mel, a Real Programmer"Ed Nather, 1983

#### Rear Admiral Grace Hopper

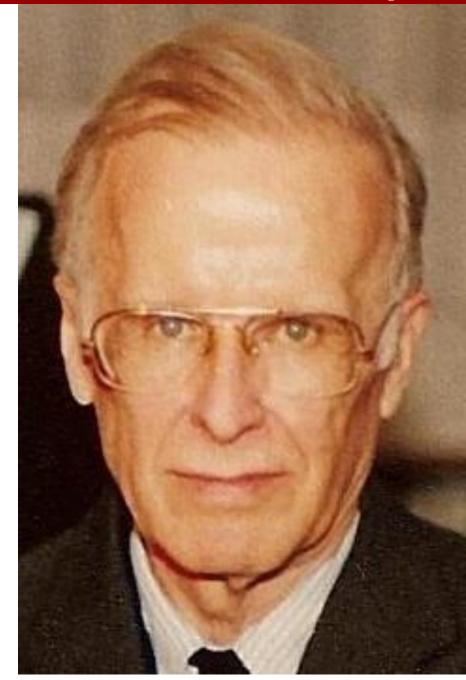
- Invented first compiler in 1951 (technically it was a linker)
- Coined "compiler" (and "bug")
- Compiled for Harvard Mark I
- Eventually led to COBOL (which ran the world for years)
- "I decided data processors ought to be able to write their programs in English, and the computers would translate them into machine code"





#### John Backus

- Led team at IBM invented the first commercially available compiler in 1957
- Compiled FORTRAN code for the IBM 704 computer
- FORTRAN still in use today for high performance code
- "Much of my work has come from being lazy. I didn't like writing programs, and so, when I was working on the IBM 701, I started work on a programming system to make it easier to write programs"



#### Fran Allen

- Pioneer of many optimizing compilation techniques
- Wrote a paper simply called "Program Optimization" in 1966
- "This paper introduced the use of graph-theoretic structures to encode program content in order to automatically and efficiently derive relationships and identify opportunities for optimization"
- First woman to win the ACM
   Turing Award (the "Nobel Prize of Computer Science")



### Goals of compiler optimization

#### Minimize number of instructions

- Don't do calculations more than once
- Don't do unnecessary calculations at all
- Avoid slow instructions (multiplication, division)

### Avoid waiting for memory

- Keep everything in registers whenever possible
- Access memory in cache-friendly patterns
- Load data from memory early, and only once

### Avoid branching

- Don't make unnecessary decisions at all
- Make it easier for the CPU to predict branch destinations
- "Unroll" loops to spread cost of branches over more instructions

### Limits to compiler optimization

#### Generally cannot improve algorithmic complexity

Only constant factors, but those can be worth 10x or more...

### ■ Must not cause *any* change in program behavior

- Programmer may not care about "edge case" behavior, but compiler does not know that
- Exception: language may declare some changes acceptable

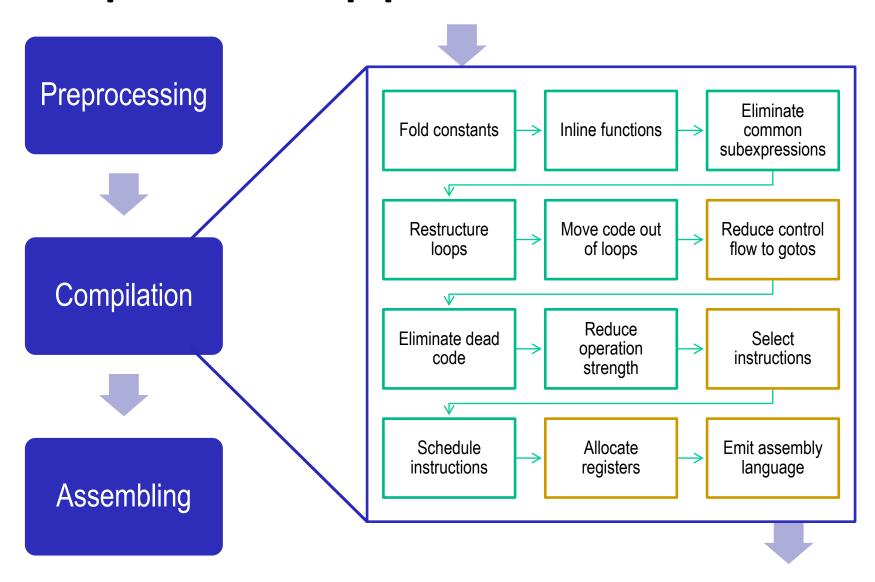
### Usually only analyze one function at a time

- Whole-program analysis is usually too expensive
- Exception: inlining merges many functions into one

#### Cannot anticipate run-time inputs

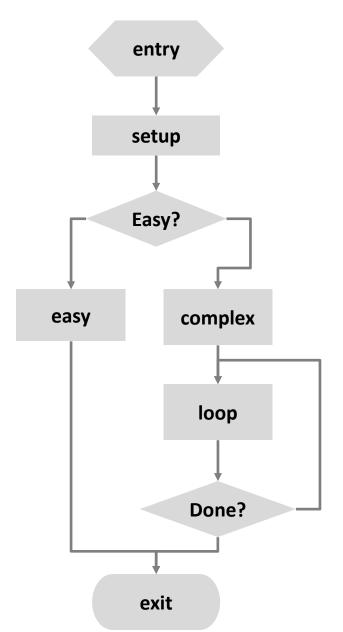
- "Worst case" performance can be just as important as "normal"
- Especially for code exposed to malicious input (e.g. network servers)

### **Compilation is a pipeline**



### Two kinds of optimizations

- Local optimizations work inside a single basic block
  - Constant folding, strength reduction, (local)CSE, ...
- Global optimizations process the entire control flow graph of a function
  - Loop nest optimization, code motion, (global)
     CSE, dead code elimination, ...



### **Constant Folding**

Do arithmetic in the compiler

```
long mask = 0xFF << 8; \rightarrow long mask = 0xFF00;
```

- Any expression with constant inputs can be folded
- Might even be able to remove library calls...

```
size_t namelen = strlen("Harry Bovik"); →
size_t namelen = 11;
```

### Strength reduction

Replace expensive operations with cheaper ones

```
long a = b * 5; \rightarrow long a = (b << 2) + b;
```

- Multiplication and division are the usual targets
- Multiplication is often hiding in memory access expressions

### Dead code elimination

Don't emit code that will never be executed

Don't emit code whose result is overwritten

$$x = 0;$$
  
  $x = 23;$ 

- These may look silly, but...
  - Can be produced by other optimizations
  - Assignments to x might be far apart

### **Common Subexpression Elimination**

Factor out repeated calculations, only do them once

# **Inlining**

### Copy body of a function into its caller(s)

- Can create opportunities for many other optimizations
- Can make code much bigger and therefore slower

```
int func(int y) {
int pred(int x) {
    if (x == 0)
                                   int tmp;
        return 0;
                                   if (y == 0) tmp = 0; else tmp = y - 1;
   else
                                   if (0 == 0) tmp += 0; else tmp += 0 - 1;
        return x - 1;
}
                                   if (y+1 == 0) tmp += 0; else tmp += (y + 1) - 1;
                                   return tmp;
int func(int y) {
    return pred(y)
         + pred(0)
         + pred(y+1);
```

## **Inlining**

### Copy body of a function into its caller(s)

- Can create opportunities for many other optimizations
- Can make code much bigger and therefore slower

```
int pred(int x) {
    if (x == 0)
        return 0;
    else
        return x - 1;
}
int func(int y) {
    return pred(y)
        + pred(0)
        + pred(y+1);
}
```

```
int func(int y) {
  int tmp;
  if (y == 0) tmp = 0; else tmp = y - 1;
  if (0 == 0) tmp += 0; else tmp += 0 - 1;
  if (y+1 == 0) tmp += 0; else tmp += (y + 1) - 1;
  return tmp;
}
```

**Always true** 

**Does nothing** 

Can constant fold

## **Inlining**

### Copy body of a function into its caller(s)

- Can create opportunities for many other optimizations
- Can make code much bigger and therefore slower

```
int func(int y) {
  int tmp;
  if (y == 0) tmp = 0; else tmp = y - 1;
  if (0 == 0) tmp += 0; else tmp += 0 - 1;
  if (y+1 == 0) tmp += 0; else tmp += (y + 1) - 1;
  return tmp;
}

int func(int y) {
  int tmp = 0;
  if (y != 0) tmp = y - 1;
  if (y != -1) tmp += y;
  return tmp;
}
```

### **Code Motion**

- Move calculations out of a loop
- Only valid if every iteration would produce same result

```
long j;
for (j = 0; j < n; j++)
    a[n*i+j] = b[j];

→
long j;
int ni = n*i;
for (j = 0; j < n; j++)
    a[ni+j] = b[j];</pre>
```

## **Loop Unrolling**

- Amortize cost of loop condition by duplicating body
- Creates opportunities for CSE, code motion, scheduling
- Prepares code for vectorization
- Can hurt performance by increasing code size

```
for (size_t i = 0; i < nelts; i++) {
    A[i] = B[i]*k + C[i];
}

for (size_t i = 0; i < nelts - 4; i += 4) {
    A[i] = B[i]*k + C[i];
    A[i+1] = B[i+1]*k + C[i+1];
    A[i+2] = B[i+2]*k + C[i+2];
    A[i+3] = B[i+3]*k + C[i+3];
}</pre>
```

## **Loop Unrolling**

- Amortize cost of loop condition by duplicating body
- Creates opportunities for CSE, code motion, scheduling
- Prepares code for vectorization
- Can hurt performance by increasing code size

```
for (size_t i = 0; i < nelts; i++) {
    A[i] = B[i]*k + C[i];
}

for (size_t i = 0; i < nelts - 4; i += 4) {
    A[i] = B[i]*k + C[i];
    A[i+1] = B[i+1]*k + C[i+1];
    A[i+2] = B[i+2]*k + C[i+2];
    A[i+3] = B[i+3]*k + C[i+3];
}</pre>
```

When would this change be incorrect?

## **Scheduling**

- Find the CPU something useful to do while it's waiting for memory, division unit, etc.
- Extremely machine-dependent, but here's a basic example:

```
for (size_t i = 0; i < nelts - 4; i += 4) {
    A[i ] = B[i ]*k + C[i ];
    A[i+1] = B[i+1]*k + C[i+1];
    A[i+2] = B[i+2]*k + C[i+2];
    A[i+3] = B[i+3]*k + C[i+3];
}

for (size_t i = 0; i < nelts - 4; i += 4) {
    B0 = B[i]; B1 = B[i+1]; B2 = B[i+2]; B3 = B[i+3];
    C0 = C[i]; C1 = C[i+1]; C2 = C[i+2]; C3 = B[i+3];
    A[i ] = B0*k + C0;
    A[i+1] = B1*k + C1;
    A[i+2] = B2*k + C2;
    A[i+3] = B3*k + C3;
}</pre>
```

## Scheduling

- Find the CPU something useful to do while it's waiting for memory, division unit, etc.
- Extremely machine-dependent, but here's a basic example:

```
for (size_t i = 0; i < nelts - 4; i += 4) {
    A[i ] = B[i ]*k + C[i ];
    A[i+1] = B[i+1]*k + C[i+1];
    A[i+2] = B[i+2]*k + C[i+2];
    A[i+3] = B[i+3]*k + C[i+3];
}

for (size_t i = 0; i < nelts - 4; i += 4) {
    B0 = B[i]; B1 = B[i+1]; B2 = B[i+2]; B3 = B[i+3];
    C0 = C[i]; C1 = C[i+1]; C2 = C[i+2]; C3 = B[i+3];
    A[i ] = B0*k + C0;
    A[i+1] = B1*k + C1;
    A[i+2] = B2*k + C2;
    A[i+3] = B3*k + C3;
}</pre>
```

When would *this* change be incorrect?

### **Memory Aliasing**

```
/* Sum rows of n X n matrix a
    and store in vector b */
void sum_rows1(double *a, double *b, long n) {
    long i, j;
    for (i = 0; i < n; i++) {
        b[i] = 0;
        for (j = 0; j < n; j++)
            b[i] += a[i*n + j];
    }
}</pre>
```

```
# sum_rows1 inner loop
.L4:

    movsd (%rsi,%rax,8), %xmm0  # FP load
    addsd (%rdi), %xmm0  # FP add
    movsd %xmm0, (%rsi,%rax,8)  # FP store
    addq $8, %rdi
    cmpq %rcx, %rdi
    jne .L4
```

- Code updates b [i] on every iteration
- Why couldn't compiler optimize this away?

### **Memory Aliasing**

```
/* Sum rows of n X n matrix a
    and store in vector b */
void sum_rows1(double *a, double *b, long n) {
    long i, j;
    for (i = 0; i < n; i++) {
        b[i] = 0;
        for (j = 0; j < n; j++)
            b[i] += a[i*n + j];
    }
}</pre>
```

```
double A[9] =
  { 0,   1,   2,
   4,   8,  16},
  32,  64, 128};

double B[3] = A+3;

sum_rows1(A, B, 3);
```

```
double A[9] =
  { 0,   1,   2,
   3,   22,  224},
  32,  64,  128};
```

#### Value of B:

```
init: [4, 8, 16]

i = 0: [3, 8, 16]

i = 1: [3, 22, 16]

i = 2: [3, 22, 224]
```

- Code updates **b**[i] on every iteration
- Must consider possibility that these updates will affect program behavior

### **Removing Aliasing**

```
/* Sum rows of n X n matrix a
    and store in vector b */
void sum_rows2(double *a, double *b, long n) {
    long i, j;
    for (i = 0; i < n; i++) {
        double val = 0;
        for (j = 0; j < n; j++)
            val += a[i*n + j];
        b[i] = val;
}</pre>
```

```
# sum_rows2 inner loop
.L10:
        addsd (%rdi), %xmm0  # FP load + add
        addq $8, %rdi
        cmpq %rax, %rdi
        jne .L10
```

Use a local variable for intermediate results

### **Removing Aliasing**

```
/* Sum rows of n X n matrix a
    and store in vector b */
void sum_rows3(double *restrict a, double *restrict b, long n) {
    long i, j;
    for (i = 0; i < n; i++) {
        b[i] = 0;
        for (j = 0; j < n; j++)
            b[i] += a[i*n + j];
    }
}</pre>
```

- Use restrict qualifier to tell compiler that a and b cannot alias
- Less reliable than using local variables

### **Removing Aliasing**

```
subroutine sum_rows4(a, b, n)
    implicit none
    integer, parameter :: dp = kind(1.d0)
    real(kind=dp), dimension(:), intent(in) :: a
    real(kind=dp), dimension(:), intent(out) :: b
    integer, intent(in) :: n
    integer :: i, j
    do i = 1,n
        b(i) = 0
        do j = 1,n
        b(i) = b(i) + a(i*n + j)
        end
    end
end
```

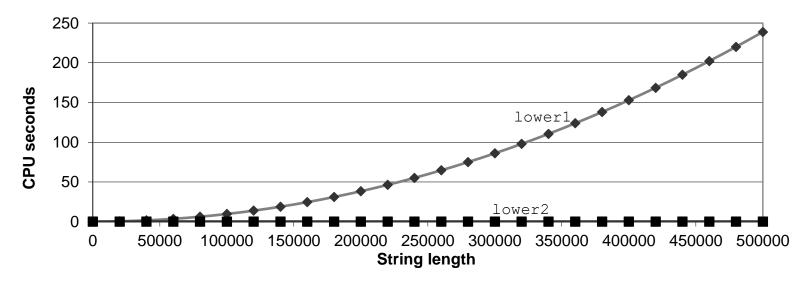
```
# sum_rows4 inner loop
.L5:
        addsd (%rdi), %xmm0  # FP load + add
        addq $8, %rdi
        cmpq %rax, %rdi
        jne .L5
```

- Use Fortran
- Array parameters in Fortran are assumed not to alias

### When the compiler can't move something

```
void lower1(char *s)
{
    size_t i;
    for (i = 0; i < strlen(s); i++)
        if (s[i] >= 'A' && s[i] <= 'Z')
        s[i] -= ('A' - 'a');
}</pre>
```

```
void lower2(char *s)
{
    size_t i, n = strlen(s);
    for (i = 0; i < n; i++)
        if (s[i] >= 'A' && s[i] <= 'Z')
        s[i] -= ('A' - 'a');
}</pre>
```



# **Today**

- Basics of compiler optimization
  - Principles and goals
  - Some example optimizations
  - Obstacles to optimization
- Linking: combining object files into programs
  - Symbols and symbol resolution
  - Relocation
  - Static libraries
- Quiz
- If we have time
  - Branch prediction
  - Dynamic libraries

### **Example C Program**

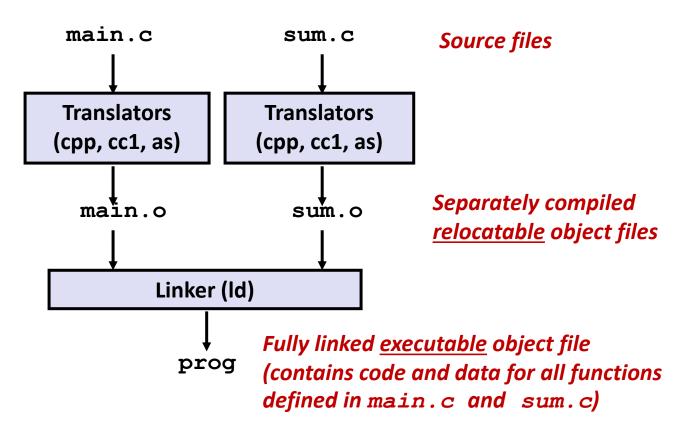
```
int sum(int *a, int n);
int array[2] = {1, 2};
int main(int argc, char** argv)
{
   int val = sum(array, 2);
   return val;
}
```

```
int sum(int *a, int n)
{
   int i, s = 0;

   for (i = 0; i < n; i++) {
       s += a[i];
   }
   return s;
}</pre>
```

# Linking

- Programs are translated and linked using a compiler driver:
  - linux> gcc -Og -o prog main.c sum.c
  - linux> ./prog



### What Do Linkers Do?

### Step 1: Symbol resolution

Programs define and reference symbols (global variables and functions):

```
void swap() {...} /* define symbol swap */
swap(); /* reference symbol swap */
int *xp = &x; /* define symbol xp, reference x */
```

- Symbol definitions are stored in object file (by assembler) in symbol table.
  - Symbol table is an array of entries
  - Each entry includes name, size, and location of symbol.
- During symbol resolution step, the linker associates each symbol reference with exactly one symbol definition.

### Symbols in Example C Program

#### **Definitions**

```
int sum(int *a, int n),
int array[2] = {1, 2};
int main(int argc, char** argv)
{
   int val = sum(array, 2);
   return val;
}
```

```
int sum(int *a, int n)
{
   int i, s = 0;

   for (i = 0; i < n; i++) {
       s += a[i];
   }
   return s;
}</pre>
```

Reference

## **Linker Symbols**

- Every object file m has a table of symbols it defines or needs.
- Three types:
- Global definitions
  - Symbols defined by m that can be referenced by other files.
  - In C, non-static functions and global variables.

#### Local definitions

- Symbols that are defined by m but cannot be referenced by other files.
- In C, functions and global variables defined with static.
- Local linker symbols are not local program variables

#### External references

- Symbols that m uses but does not define.
- These must be defined by some other module.

# **Symbol Resolution**

```
???
                                   int sum(int *a, int n)
int sum(int *a, int n);
int array[2] = {1, 2};
                                       int i, s = 0;
int main(int argc, char** argv)
                                       for (i = 0; i < n; i++) {
                                           s += a[i];
    int val = sum(array, 2);
    return val;
                                       return s;
                       main.c
                                                           sum.c
```

### **Relocation Entries**

```
int array[2] = {1, 2};
int main(int argc, char**
argv)
{
   int val = sum(array, 2);
   return val;
}
```

```
0000000000000000 <main>:
  0: 48 83 ec 08
                                    $0x8,%rsp
                              sub
  4: be 02 00 00 00
                                    $0x2,%esi
                             mov
                                    $0x0, %edi  # %edi = &array
  9: bf 00 00 00 00
                             mov
                      a: R X86 64 32 array
                                                  # Relocation entry
       e8 00 00 00 00
                              callq 13 < main + 0x13 > \# sum()
  e:
                      f: R X86 64 PC32 sum-0x4 # Relocation entry
 13: 48 83 c4 08
                              add
                                    $0x8,%rsp
 17:
    c3
                              retq
                                                              main.o
```

# Symbol Identification

Which of the following names will be in the symbol table of symbols.o?

#### symbols.c:

```
int incr = 1;
static int foo(int a) {
  int b = a + incr;
  return b;
int main (int argc,
         char* argv[]) {
 printf("%d\n", foo(5));
  return 0;
```

#### Names:

- incr
- foo
- argc
- argv
- main
- printf
- "%d\n"

Can find this with readelf: linux> readelf -s symbols.o

# **Local Symbols**

#### ■ Local non-static C variables vs. local static C variables

- Local non-static C variables: stored on the stack
- Local static C variables: stored in either .bss or .data

```
static int x = 15;
int f() {
    static int x = 17;
    return x++;
int q() {
    static int x = 19;
    return x += 14;
int h() {
    return x += 27;
        static-local.c
```

Compiler allocates space in .data for each definition of x

Creates local symbols in the symbol table with unique names, e.g., x, x . 1721 and x . 1724.

# What if you mess up?

```
int x=7;
p1() {}
```

```
extern int x;
p2() {}
```

Correct program.

Only one definition of x, p1, p2

```
int x=7;
p1() {}
```

```
int x=0;
p1() {}
```

Link error: two definitions of x and p1

```
int x;
p1() {}
```

Compiler-dependent. Might be considered either one or two definitions of **x**.

```
int x=7;
int y=5;
p1() {}
```

```
extern double x;
p2() {}
```

Undefined behavior. No link error. Writes to **x** in **p2** may overwrite **y**!

```
extern void p1();
p2() { p1(); }
```

Undefined behavior. No link error. Call to p1 may crash!

Linker checks for two definitions of one symbol. Linker *does not* check types of references.

### **Type Mismatch Example**

```
double x = 3.14;

mismatch-variable.c
```

- Compiles without any errors or warnings
- What gets printed?

```
-bash-4.2$ ./mismatch
4614253070214989087
```

# **Detecting the Type Mismatch Example**

```
#include "mismatch.h"
double x = 3.14;

mismatch-variable.c
```

Now we get an error ... from the compiler, not the linker.

```
mismatch-variable.c:3:8: conflicting types for 'x' mismatch.h:1:17: previous declaration of 'x'
```

# Rules for avoiding type mismatches

- Avoid global variables as much as possible
- Use static as much as possible
- Declare everything that's not static in a header file
  - Make sure to include the header file everywhere it's relevant
  - Including the files that define those symbols
- Always put extern on declarations in header files
  - Unnecessary but harmless for function declarations
  - Avoids the quirky behavior of extern-less global variables
- Always write (void) when a function takes no args
  - extern void no\_args(void);
  - Leaving out the void means "I'm not saying what argument list this function takes." Turns off argument type checking!

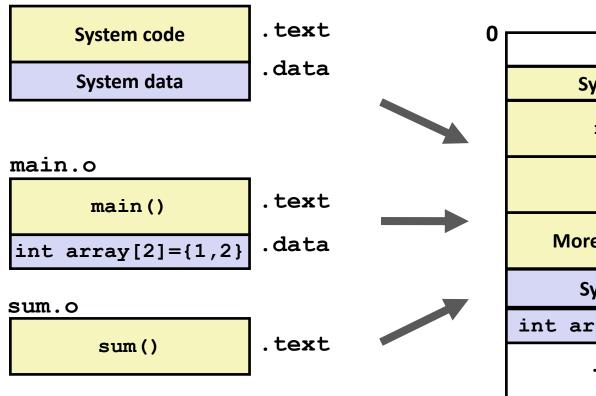
# What Do Linkers Do? (cont'd)

- Step 2: Relocation
  - Merges separate code and data sections into single sections
  - Relocates symbols from their relative locations in the .o files to their final absolute memory locations in the executable.
  - Updates all references to these symbols to reflect their new positions.

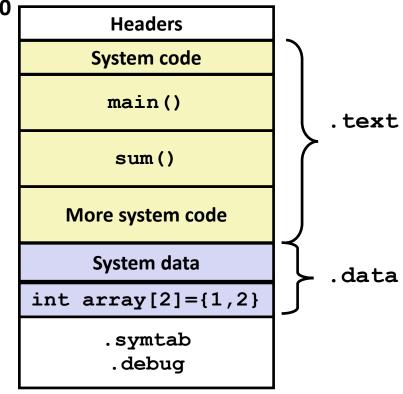
# **Linking Example**

# **Step 2: Relocation**

#### **Relocatable Object Files**



#### **Executable Object File**



### Relocated .text section

```
00000000004004d0 <main>:
 4004d0:
                48 83 ec 08
                                         $0x8,%rsp
                                  sub
 4004d4:
                be 02 00 00 00
                                         $0x2,%esi
                                  mov
                                         $0x601018, %edi # %edi = &array
 4004d9:
               bf 18 10 60 00
                                  mov
 4004de:
                e8 05 00 00 00
                                         4004e8 <sum>
                                                          # sum()
                                  callq
 4004e3:
               48 83 c4 08
                                         $0x8,%rsp
                                  add
 4004e7:
                c3
                                  reta
00000000004004e8 <sum>:
 4004e8:
                b8 00 00 00 00
                                                $0x0, %eax
                                        mov
               ba 00 00 00 00
                                                $0x0,%edx
 4004ed:
                                        mov
                                                4004fd < sum + 0x15 >
 4004f2:
                eb 09
                                        jmp
 4004f4:
               48 63 ca
                                        movslq %edx,%rcx
                03 04 8f
 4004f7:
                                        add
                                               (%rdi,%rcx,4),%eax
 4004fa:
               83 c2 01
                                        add
                                               $0x1, %edx
 4004fd:
                39 £2
                                               %esi,%edx
                                        cmp
 4004ff:
                7c f3
                                               4004f4 < sum + 0xc >
                                        il
 400501:
                f3 c3
                                        repz retq
```

#### callq instruction uses PC-relative addressing for sum():

0x4004e8 = 0x4004e3 + 0x5

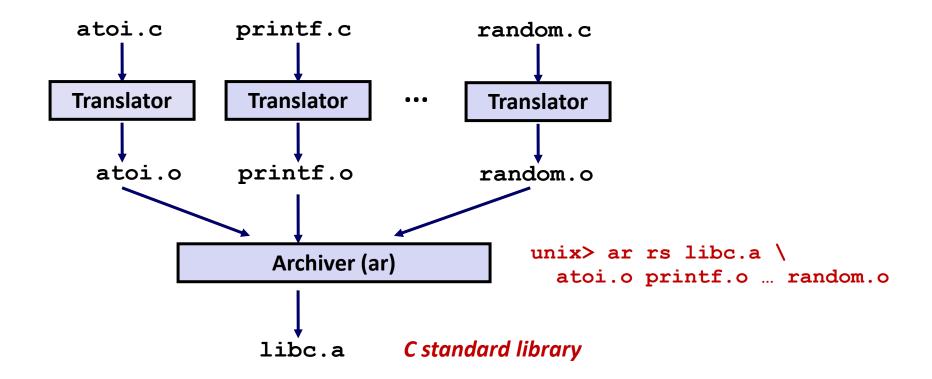
### Libraries: Packaging a Set of Functions

- How to package functions commonly used by programmers?
  - Math, I/O, memory management, string manipulation, etc.
- Awkward, given the linker framework so far:
  - Option 1: Put all functions into a single source file
    - Programmers link big object file into their programs
    - Space and time inefficient
  - Option 2: Put each function in a separate source file
    - Programmers explicitly link appropriate binaries into their programs
    - More efficient, but burdensome on the programmer

### **Old-Fashioned Solution: Static Libraries**

- Static libraries (.a archive files)
  - Concatenate related relocatable object files into a single file with an index (called an archive).
  - Enhance linker so that it tries to resolve unresolved external references by looking for the symbols in one or more archives.
  - If an archive member file resolves reference, link it into the executable.

# **Creating Static Libraries**



- Archiver allows incremental updates
- Recompile function that changes and replace .o file in archive.

### **Commonly Used Libraries**

#### libc.a (the C standard library)

- 4.6 MB archive of 1496 object files.
- I/O, memory allocation, signal handling, string handling, data and time, random numbers, integer math

#### libm. a (the C math library)

- 2 MB archive of 444 object files.
- floating point math (sin, cos, tan, log, exp, sqrt, ...)

```
% ar -t /usr/lib/libc.a | sort
...
fork.o
...
fprintf.o
fpu_control.o
fputc.o
freopen.o
fscanf.o
fseek.o
fstab.o
...
```

```
% ar -t /usr/lib/libm.a | sort
...
e_acos.o
e_acosf.o
e_acosh.o
e_acoshf.o
e_acoshl.o
e_acosl.o
e_asin.o
e_asinf.o
e_asinf.o
e_asinf.o
```

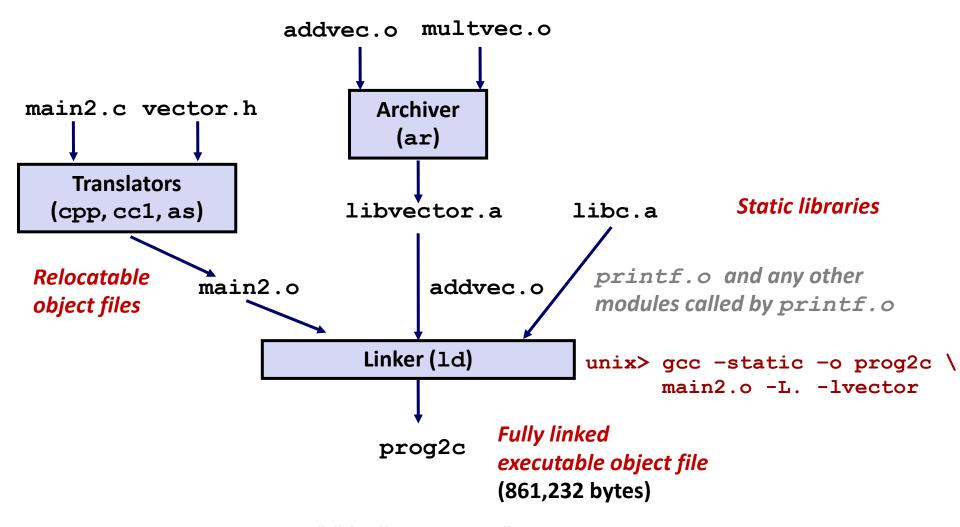
# Linking with Static Libraries

```
#include <stdio.h>
#include "vector.h"
int x[2] = \{1, 2\};
int y[2] = \{3, 4\};
int z[2];
int main(int argc, char**
argv)
{
    addvec(x, y, z, 2);
    printf("z = [%d %d] \n",
           z[0], z[1]);
    return 0;
                    main2.c
```

#### libvector.a

```
void addvec(int *x, int *y,
            int *z, int n) {
    int i;
    for (i = 0; i < n; i++)
        z[i] = x[i] + y[i];
}
                           addvec.c
void multvec(int *x, int *y,
             int *z, int n)
{
    int i;
    for (i = 0; i < n; i++)
        z[i] = x[i] * y[i];
}
                         multvec.c
```

### **Linking with Static Libraries**



"c" for "compile-time"

# **Using Static Libraries**

#### Linker's algorithm for resolving external references:

- Scan .o files and .a files in the command line order.
- During the scan, keep a list of the current unresolved references.
- As each new .o or .a file, obj, is encountered, try to resolve each unresolved reference in the list against the symbols defined in obj.
- If any entries in the unresolved list at end of scan, then error.

#### Problem:

- Command line order matters!
- Moral: put libraries at the end of the command line.

```
unix> gcc -static -o prog2c -L. -lvector main2.o
main2.o: In function `main':
main2.c:(.text+0x19): undefined reference to `addvec'
collect2: error: ld returned 1 exit status
```

### **Quiz Time!**

Check out:

https://canvas.cmu.edu/courses/24383/quizzes/67220

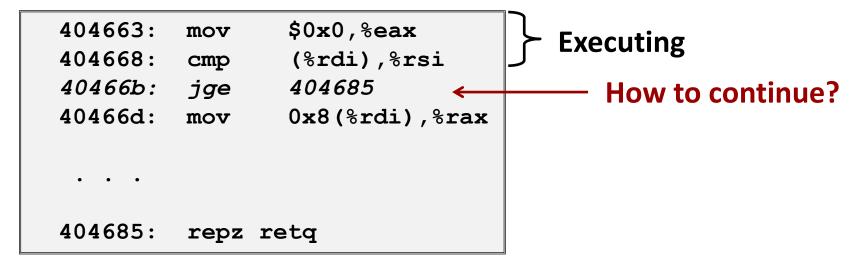
### If we have time...

- Branch prediction
- Dynamic libraries

#### What About Branches?

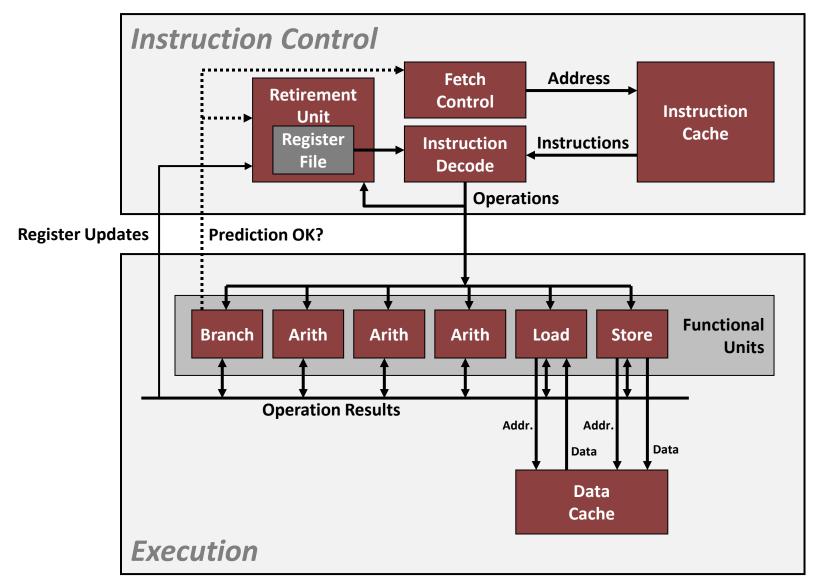
#### Challenge

Instruction Control Unit must work well ahead of Execution Unit to generate enough operations to keep EU busy



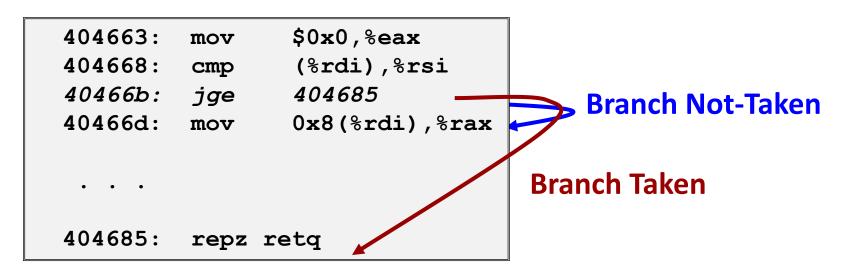
 When encounters conditional branch, cannot reliably determine where to continue fetching

### **Modern CPU Design**



#### **Branch Outcomes**

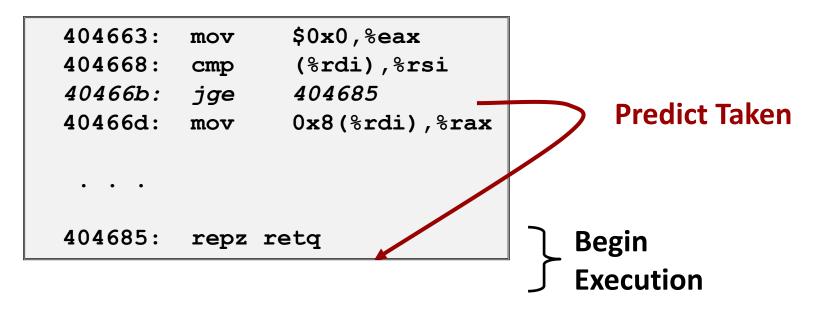
- When encounter conditional branch, cannot determine where to continue fetching
  - Branch Taken: Transfer control to branch target
  - Branch Not-Taken: Continue with next instruction in sequence
- Cannot resolve until outcome determined by branch/integer unit



#### **Branch Prediction**

#### Idea

- Guess which way branch will go
- Begin executing instructions at predicted position
  - But don't actually modify register or memory data



# **Branch Prediction Through Loop**

```
Assume
401029:
         vmulsd
                 (%rdx),%xmm0,%xmm0
40102d:
         add
                 $0x8,%rdx
                                           vector length = 100
401031:
                 %rax,%rdx
         cmp
                              i = 98
401034:
                 401029
         jne
                                           Predict Taken (OK)
401029:
         vmulsd
                 (%rdx),%xmm0,%xmm0
40102d:
         add
                 $0x8,%rdx
401031:
                 %rax,%rdx
         cmp
                              i = 99
                 401029
401034:
         jne
                                           Predict Taken
                                           (Oops)
401029:
         vmulsd
                 (%rdx),%xmm0,%xmm0
40102d:
         add
                 $0x8,%rdx
                                                           Executed
                                           Read
401031:
                 %rax,%rdx
         cmp
                                           invalid
                              i = 100
401034:
         jne
                 401029
                                           location
401029:
         vmulsd
                 (%rdx),%xmm0,%xmm0
                                                            Fetched
40102d:
         add
                 $0x8,%rdx
401031:
                 %rax,%rdx
         cmp
                              i = 101
401034:
                 401029
         jne
```

# **Branch Misprediction Invalidation**

```
Assume
401029:
         vmulsd (%rdx),%xmm0,%xmm0
40102d:
          add
                 $0x8,%rdx
                                           vector length = 100
401031:
                 %rax,%rdx
          cmp
                              i = 98
401034:
                 401029
          jne
                                           Predict Taken (OK)
401029:
         vmulsd (%rdx),%xmm0,%xmm0
40102d:
          add
                 $0x8,%rdx
401031:
                 %rax,%rdx
          cmp
                              i = 99
                 401029
401034:
          jne
                                           Predict Taken
                                           (Oops)
401029:
         vmulsd (%rdx), %xmm0, %xmm0
40102d:
                 $0x8,%rdx
          add
401031:
                 %rax,%rdx
          cmp
                              i = 100
401034:
                 401029
          ine
                                               Invalidate
401029:
         vmulsd (%rdx).%xmm0.%xmm0
401024.
          add
                 SOv8 grdy
401031 •
                 gray grdy
          CMP
401034 •
                 101029
         ine
```

### **Branch Misprediction Recovery**

```
401029:
         vmulsd
                 (%rdx), %xmm0, %xmm0
40102d:
                 $0x8,%rdx
         add
                                  i = 99
                                             Definitely not taken
401031:
         cmp
                 %rax,%rdx
401034:
         jne
                 401029
401036:
                 401040
         jmp
                                                Reload
         vmovsd %xmm0, (%r12)
401040:
```

#### Performance Cost

- Multiple clock cycles on modern processor
- Can be a major performance limiter

#### **Branch Prediction Numbers**

- Default behavior:
  - Backwards branches are often loops so predict taken
  - Forwards branches are often if so predict not taken
- Predictors average better than 95% accuracy
  - Most branches are already predictable.
- Annual branch predictor contests at top Computer
   Architecture conferences
  - https://www.jilp.org/jwac-2/program/JWAC-2-program.htm
  - Winner: 34.1 mispredictions per kilo-instruction (!)

### **Getting High Performance**

- Good compiler and flags
- Don't do anything sub-optimal
  - Watch out for hidden algorithmic inefficiencies
  - Write compiler-friendly code
    - Watch out for optimization blockers: procedure calls & memory references
  - Look carefully at innermost loops (where most work is done)

#### Tune code for machine

- Exploit instruction-level parallelism
- Avoid unpredictable branches
- Make code cache friendly

#### **Modern Solution: Shared Libraries**

#### Static libraries have the following disadvantages:

- Duplication in the stored executables (every function needs libc)
- Duplication in the running executables
- Minor bug fixes of system libraries require each application to explicitly relink
  - Rebuild everything with glibc?
  - https://security.googleblog.com/2016/02/cve-2015-7547-glibcgetaddrinfo-stack.html

#### Modern solution: shared libraries

- Object files that contain code and data that are loaded and linked into an application dynamically, at either load-time or run-time
- Also called: dynamic link libraries, DLLs, .so files

# **Shared Libraries (cont.)**

- Dynamic linking can occur when executable is first loaded and run (load-time linking)
  - Common case for Linux, handled automatically by the dynamic linker (ld-linux.so)
  - Standard C library (libc.so) usually dynamically linked
- Dynamic linking can also occur after program has begun (run-time linking)
  - In Linux, this is done by calls to the dlopen () interface
    - Distributing software
    - High-performance web servers
    - Runtime library interpositioning
- Shared library routines can be shared by multiple processes
  - More on this when we learn about virtual memory

# What dynamic libraries are required?

- .interp section
  - Specifies the dynamic linker to use (i.e., ld-linux.so)
- .dynamic section
  - Specifies the names, etc of the dynamic libraries to use
  - Follow an example of prog

```
(NEEDED) Shared library: [libm.so.6]
```

- Where are the libraries found?
  - Use "ldd" to find out:

```
unix> ldd prog
linux-vdso.so.1 => (0x00007ffcf2998000)
libc.so.6 => /lib/x86_64-linux-gnu/libc.so.6 (0x00007f99ad927000)
/lib64/ld-linux-x86-64.so.2 (0x00007f99adcef000)
```