LEC015 Max Coverage and Set Cover

VG441 SS2020

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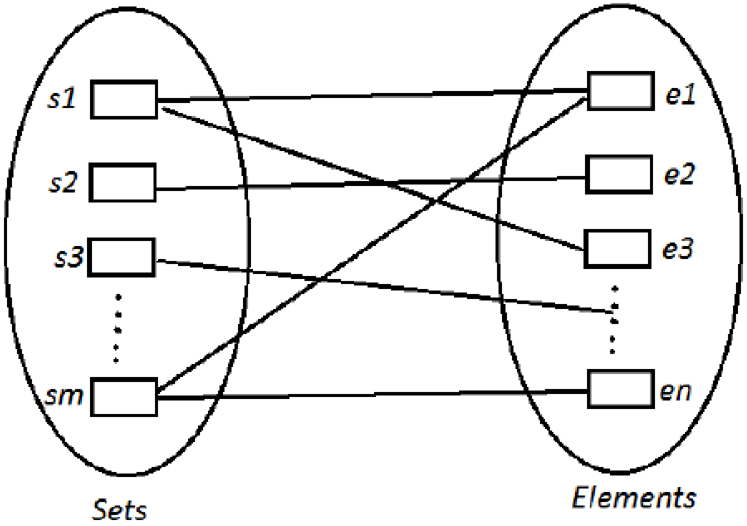
Maximum Coverage

- A universe of elements $V = \{e_1, \ldots, e_n\}$
- A list of (possibly overlapping) sets $\{S_i \subseteq V\}_{i=1}^{m}$
- A bound K

Objective:

We wish to find K sets $S'_1, \ldots, S'_K$ such that $\left|\bigcup_{i=1}^{K} S'_i\right|$ is maximized



Greedy Algorithm

- The basic idea is to choose the set in each step which contains most of the uncovered elements

```
Input: $V$ (set of all elements); $S_1, \ldots, S_n; K$
Output: Approximate solution $A_1, \cdots, A_K$ $U = V$
for $i = 1, \ldots, K$ do
    Let $A_i$ be one of the sets $S_1, \ldots, S_n$ which maximizes $|A_i \cap U|$;
    $U = U \backslash A_i$;
end
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An Example

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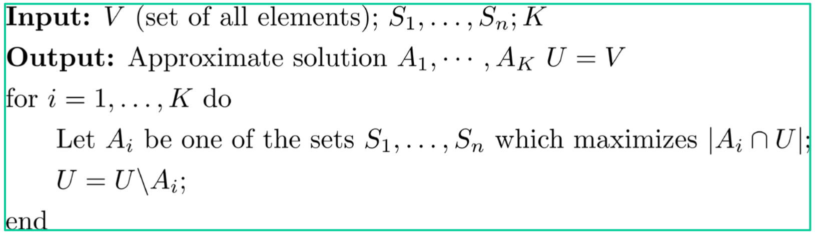

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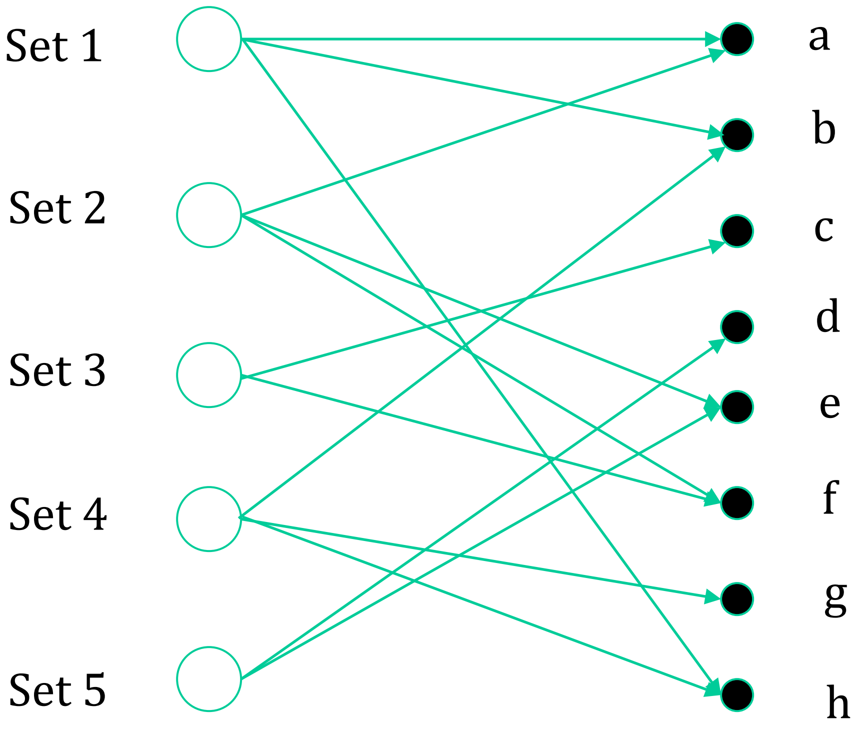

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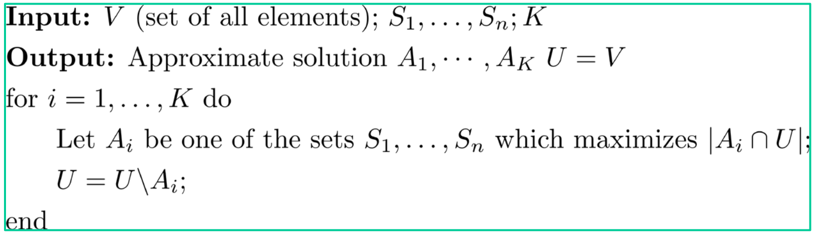

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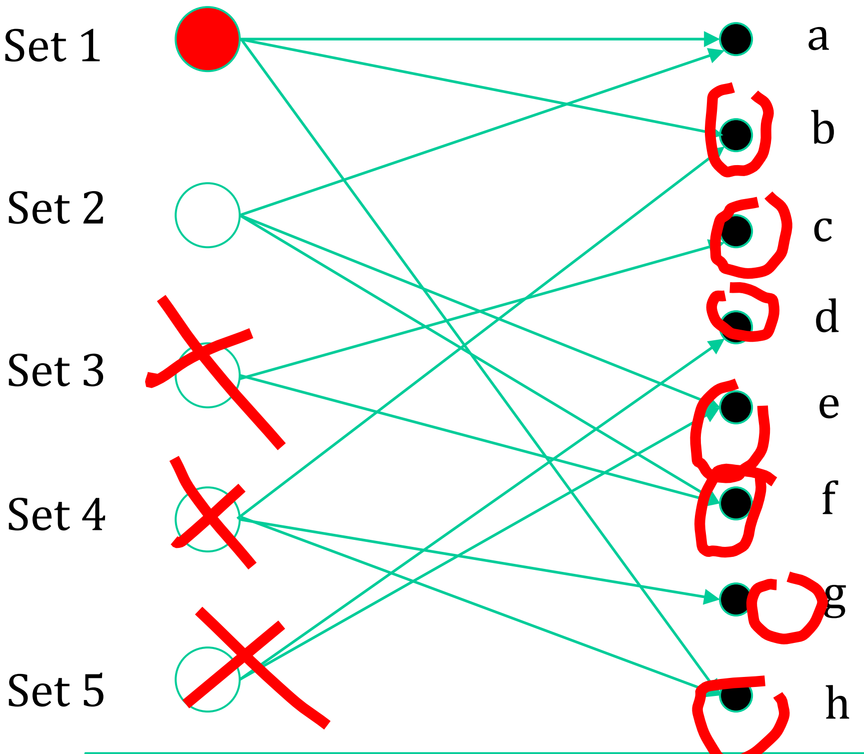

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Selected: Set 1, Covered Elements={a, b, h}

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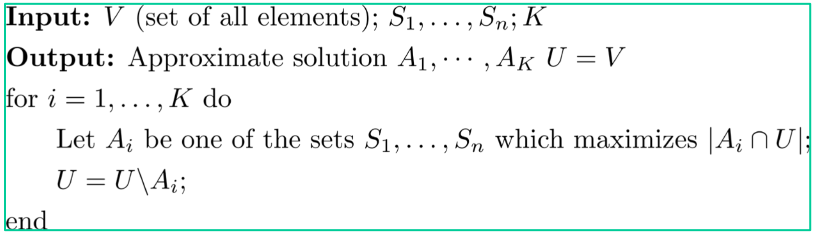

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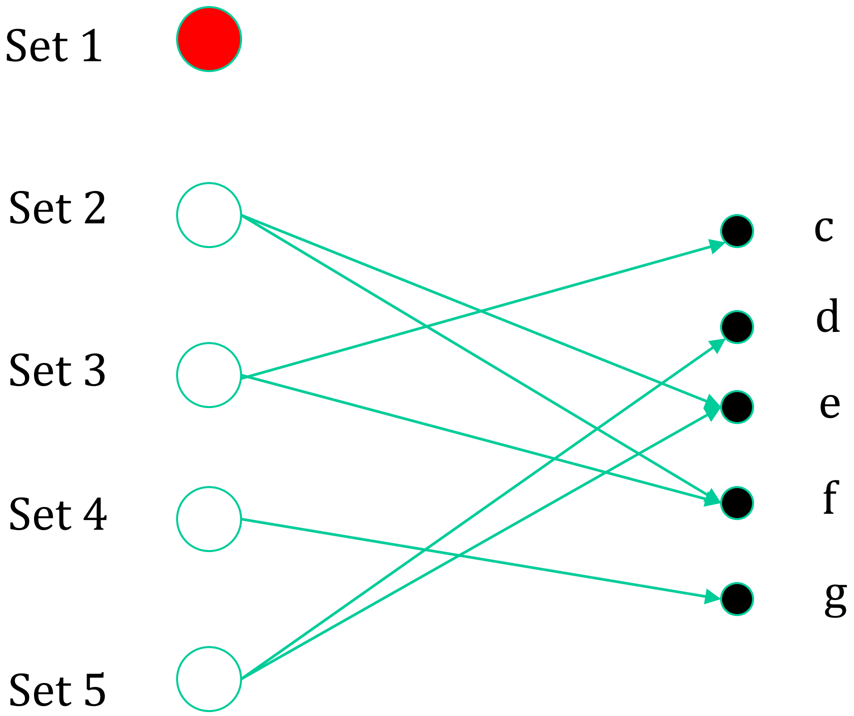

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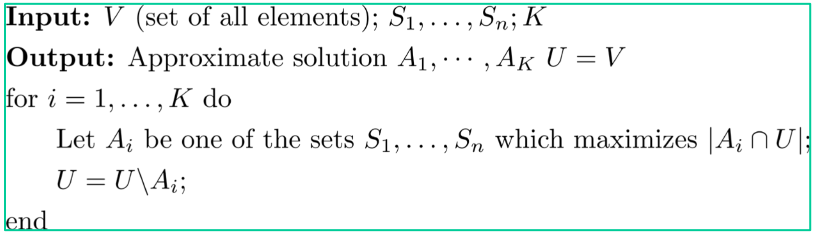

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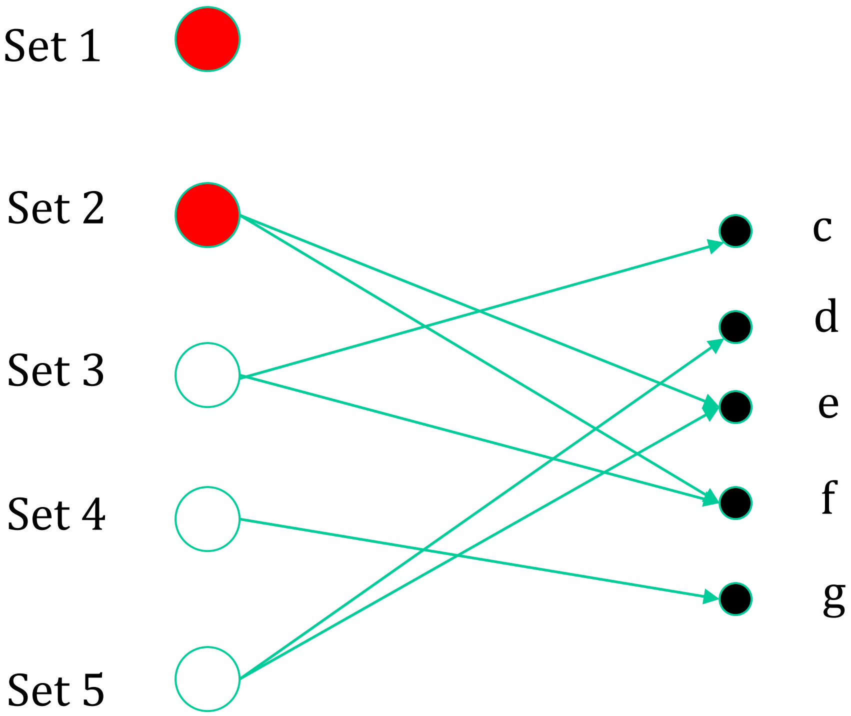

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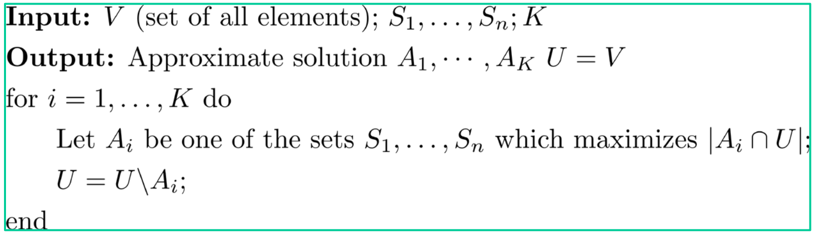

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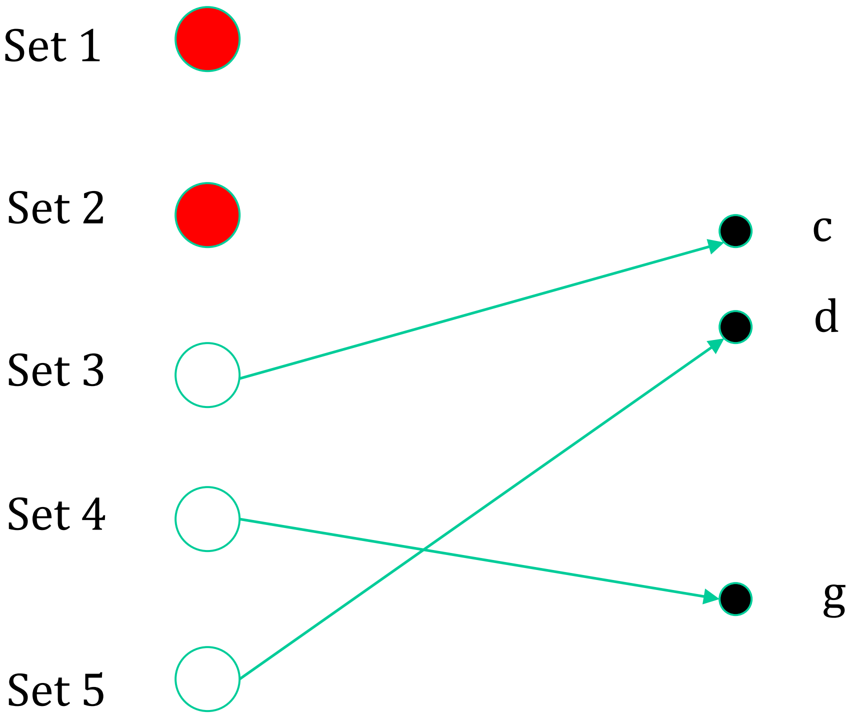

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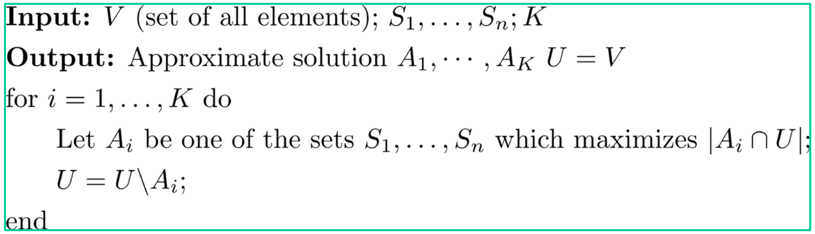

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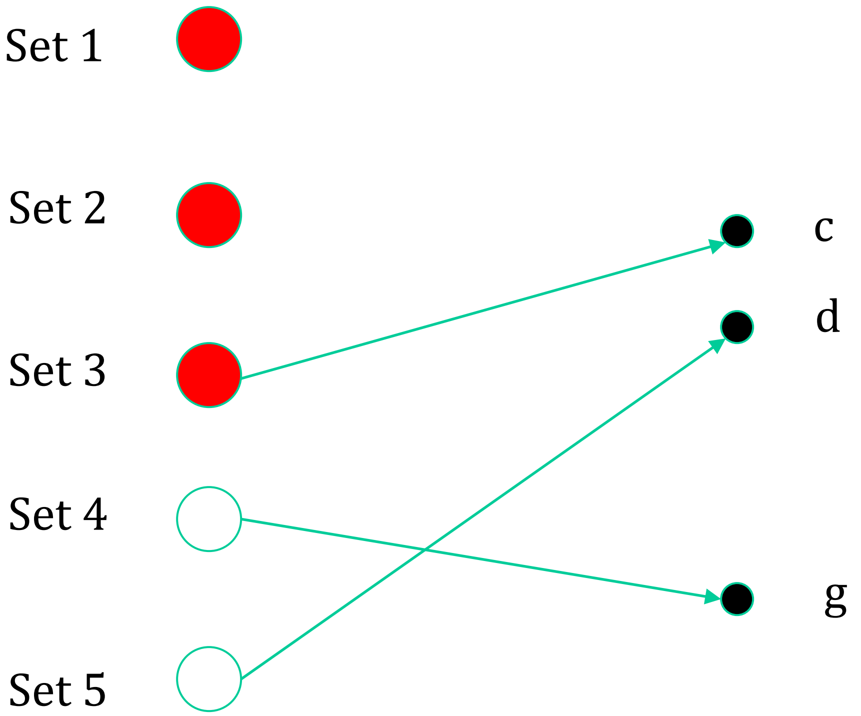


$K = 3$

Selected: Set 1, 2, 3, Covered Elements={a, b, h, e, f, c}

Greedy gives (1-1/e)-approx

Lemma 1: For all $i = 1, \dots, K$, $|A_i \cap U| = |C_i| - |C_{i-1}| \geq \frac{OPT - |C_{i-1}|}{K}$.

Proof: The number of elements covered in the optimal solution but not in the algorithm at the start of iteration i is $\geq OPT - |C_{i-1}|$. Let sets in the optimal solution be $S_1^*, \dots, S_K^*$. Let $U = V \backslash C_{i-1}$ Obviously,

$$\bigcup_{i=1}^{K} (S_i^* \cap U) = \left(\bigcup S_i^* \right) \backslash C_{i-1}.$$

This implies that

$$\sum_{i=1}^{K} \left| S_i^* \bigcap U \right| \geq \left| \bigcup_{i=1}^{K} \left(S_i^* \bigcap U \right) \right| \geq OPT - |C_{i-1}|,$$

which further implies

$$\max_{i=1,\dots,K} \left| S_i^* \bigcap U \right| \geq \frac{OPT - |C_{i-1}|}{K}.$$

By definition, $|A_i \bigcap U| \geq \max_i |S_i^* \bigcap U|$ and we are done.

Continued

Lemma 1: For all $i = 1, \dots, K$, $|A_i \cap U| = |C_i| - |C_{i-1}| \geq \frac{OPT - |C_{i-1}|}{K}$.

Lemma 2: $|C_i| \geq \frac{OPT}{K} \sum_{j=0}^{i-1} (1 - 1/K)^j$ for all $i = 1, \dots, K$.

Proof: Prove by induction. The base case $i = 1$ is trivial as the first choice $A_1 = C_1$ has at least OPT/K elements by Lemma 1.

For the inductive step, suppose i holds, and we want to prove that it holds for $i + 1$:

$$\begin{aligned} |C_{i+1}| &\geq |C_i| + \frac{OPT - |C_i|}{K} \\ &= \frac{OPT}{K} + (1 - 1/K)\,|C_i| \\ &\geq \frac{OPT}{K} \sum_{j=0}^{i} (1 - 1/K)^j. \end{aligned}$$

The first inequality is by Lemma 1, and the last inequality is from inductive hypothesis.

Finally…

Lemma 2: $|C_i| \geq \frac{OPT}{K} \sum_{j=0}^{i-1} (1 - 1/K)^j$ for all $i = 1, \ldots, K$.

Proof of Theorem:

$$\begin{aligned} |C_K| &\geq \frac{OPT}{K} \sum_{j=0}^{K-1} (1 - 1/K)^j \\ &= \frac{OPT}{K} \frac{1 - (1 - 1/K)^K}{1 - (1 - 1/K)} \\ &= OPT \left(1 - (1 - 1/K)^K\right) \\ &\geq (1 - 1/e) OPT, \end{aligned}$$

where the first inequality is from Lemma 2, and the last inequality is from the fact that

$$(1 - 1/K)^K \leq (e^{-1/K})^K = 1/e,$$

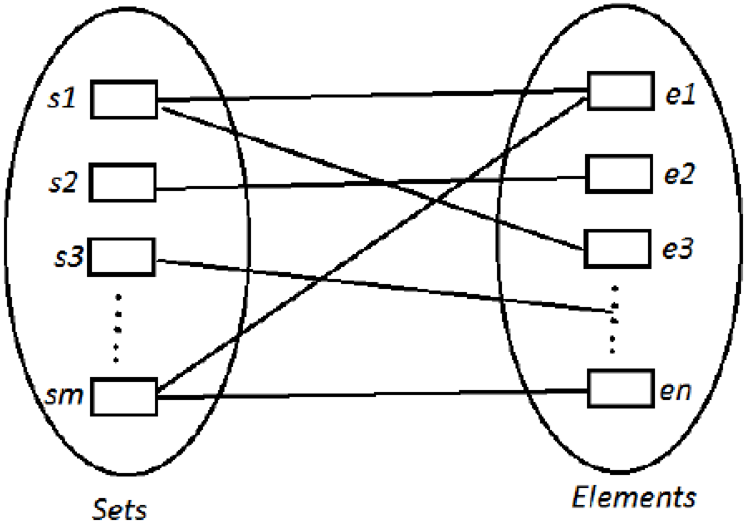
which is obtained from $1 + x \leq e^x$ for all $x \in R$.

Set Cover Problem

- A universe of elements $V = \{e_1, \ldots, e_n\}$
- A list of (possibly overlapping) sets $\{S_i \subseteq V\}_{i=1}^{m}$

Objective:

We wish to cover all elements with minimum number of sets



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Algorithm 1: Greedy Algorithm for Set Cover Problem

```
Data: A universe $\{e_1, \dots e_n\}$, a family $S = \{S_1, \dots S_m\}$.
/* $U$ is a set of uncovered elements.                                */
$U = \{e_1, \dots e_n\}$;
while $U \neq \emptyset$, iteration $i$ = 1, 2, ... $l$ do
    pick $A_i = \arg\max_{j=1,\dots m} |S_j \cap U|$
    $U \leftarrow U \backslash A_i$
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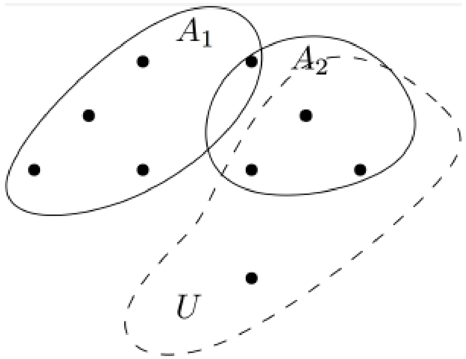


Analysis

- The max coverage lemma works for any *l* iterations

Lemma: If C_i denotes the set of covered elements at the end of iteration i and C^* denotes the maximum coverage using k sets, then

$$|C_i| \geq \frac{C^*}{k} \sum_{j=0}^{i-1} \left(1 - \frac{1}{k}\right)^j, \quad \forall i = 1, \ldots \ell$$

Analysis

Theorem: The greedy algorithm is $(1+\ln(n))$ -approximation for Set Cover problem.

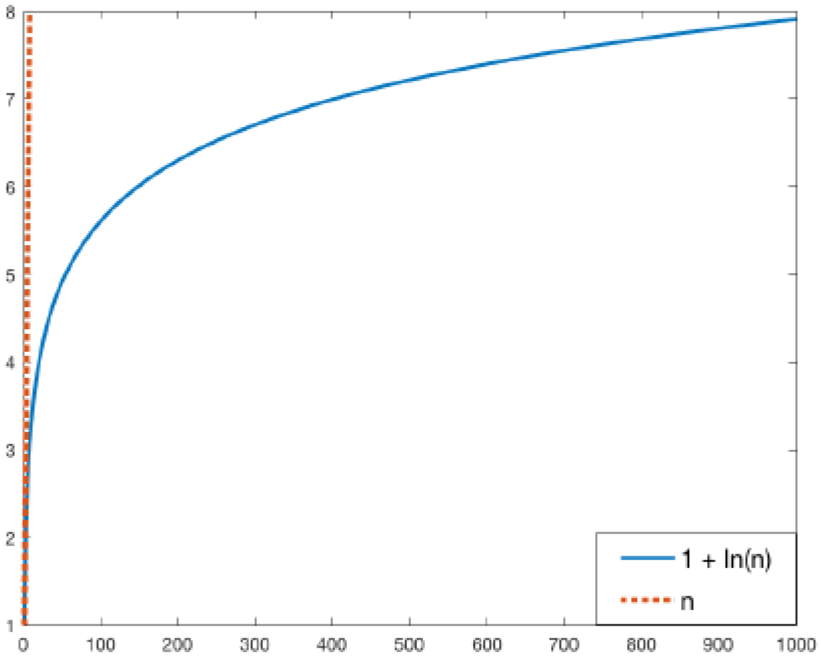
Proof: Suppose $k = OPT($ set cover $)$. since set cover involves covering all elements, we know that the max-coverage with k sets is $C^* = n$. Our goal is to find the approximation ratio α so that $ALG($ set cover $) = \ell \leq \alpha k$. We apply Lemma at the second last iteration, i.e. $i = \ell - 1$

$$\begin{cases} |C_{\ell-1}| \leq n-1 \\ |C_{\ell-1}| \geq \frac{n}{k} \sum_{j=0}^{l-2} \left(1-\frac{1}{k}\right)^j = \frac{n}{k} \frac{1-\left(1-\frac{1}{k}\right)^{\ell-1}}{\frac{1}{k}} = n\left(1-\left(1-\frac{1}{k}\right)^{\ell-1}\right) \geq n\left(1-e^{-\frac{\ell-1}{k}}\right) \end{cases}$$

The first inequality is because the uncovered set must contain at least one element, otherwise the algorithm would have stopped before. The second inequality is from Lemma and the fact that $1+x \leq e^x$ for any $x \in (-\infty, \infty)$. From inequalities, we have $ne^{-\frac{\ell-1}{k}} \geq 1$. We can take logarithm on both sides and find the approximation ratio $\alpha \leq 1 + \ln n$ as claimed.

Discussion

While $\alpha = 1 + \ln(n)$ is not a constant factor, it is still a reasonably good approximation ratio because it grows slowly with the input size n (refer to figure 3). Actually, one cannot get any better approximation algorithm for the Set Cover problem unless $\mathbf{P} = \mathbf{NP}$



Discussion

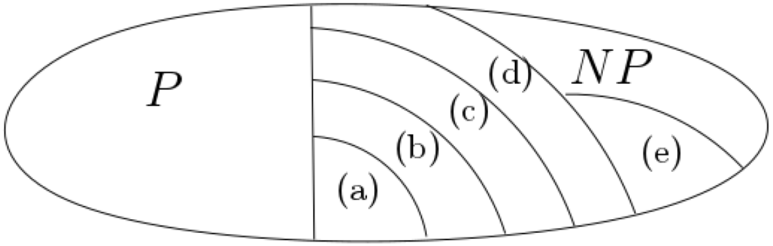


Figure 4: Taxonomy of NP problems (minimization) according to the form of α.

(a) $\alpha = 1 + \epsilon$ with running time of $n^{1/\epsilon}, \epsilon > 0$. e.g. PTAS for the Knapsack problem.

(b) α is constant factor, e.g. k-Center Problem, Maximum Coverage, TSP.

(c) $\alpha = 1 + \log(n)$. e.g. Set Cover problem.

(d) $\alpha = 1 + \log^2(n)$.

(e) α is linear in n.