PeaPod - Requirements

Outlining the Requirements for a Design Submission to the NASA/CSA Deep Space Food Challenge

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1 Introduction

1.1 Purpose

The purpose of this document is to outline both the category requirements (Section 2.2) for a design submission to the NASA/CSA Deep Space Food Challenge (DSFC) [1] and the scoped requirements (Section 1.3) for the design being proposed by PeaPod Technologies Inc.: **PeaPod**.

The goal of the DSFC is for participants to "Create novel food production technologies or systems that require minimal inputs and maximize safe, nutritious, and palatable food outputs for long-duration space missions, and which have potential to benefit people on Earth." [2]

1.2 Framing Structure

This document achieves its purpose via "top-down" framing (Section 2), with each subsection's entries being derived from the entries of the previous¹.

- 2.1 Opportunity: A succinct scoped design statement.
- 2.2 Challenge Requirements: Categorical/unscoped requirements for *any* submission.
- 2.3 Stakeholders: Persons and groups in consideration.
- 2.4.1 High-Level Objectives (HLOs): Conceptual aims/"DfX" derived from Requirements and Stakeholders.
- 2.4.2 Low-Level Objectives (LLOs): Tactical goals derived from HLOs.
- 2.5 Metrics: Granular quantitative measures of design success, fit, utility, etc. derived from LLOs.
- **2.6 Constraints**: *Mandatory* requirements, minimums, and maximums (i.e. true/false, pass/fail) for the proposed design.
- 2.7 Criteria: *Graded* (i.e. points-based, more/less is better) requirements for the proposed design.

¹Each objective and metric has a numbered reference to the entry it was derived from (Stakeholder $\underline{\mathbf{1}}$: S1, High-Level Objective 8: HL8, etc.)

1.3 Scope and Justification

The three underlined criteria in the challenge statement in Section 1.1 have also helped to define the scope of the design:

- SC1. The longer the duration of the space mission (up to and including interplanetary travel and permanent colonization) the lesser the feasibility of resupply². The lesser the feasibility of resupply, and the more minimal the input (i.e. launch mass), the less food will be able to be packed at launch, thus the more the design will need to generate net-new food grown on-board during the mission.
- SC2. The minimization of inputs (launch mass), the minimization of other negative criteria such as growth time, design complexity, etc. and the maximization of safety (pathogenic and otherwise) means that food animal growth has been deemed not feasible, and is outside the scope of this document. Thus, the design should focus on <u>food-producing plant (or crop) growth³</u>.
- SC3. Spacecraft are not good crop growth systems (lack of water access, proper lighting and nutrition, etc.), thus the design should encompass a crop growth environment that:
 - SC3a. provides of all necessary crop growth inputs (water, nutrients, lighting, etc.);
 - SC3b. contains or otherwise encompasses a viable <u>crop growth environment</u> (temperature, humidity, gas concentrations, airflow, etc.);
 - SC3c. has control over all <u>parameters</u> of both a) and b) (environment parameters); these together are the (crop growth) environment conditions.
- SC4. To maximize safety (of both the crops and the crew) and redundancy, and to minimize inputs (required human interaction), the environment should be <u>automated and isolated</u> from the spacecraft cabin with regards to all environment conditions (thermally, water-tight, etc.) unless beneficial and efficient (i.e no loss).

²Minimal resupply is also listed as a constraint directly in the challenge details [2, 3].

³This is primarily an issue in-transit; for colonization, non-plant food production systems should definitely be considered.

- SC5. A greater degree of nutrition and palatability of food outputs implies a greater variety of crops (incl. leafy greens, fruits, root vegetables, legumes, etc.); as such the food production system should be able to generate a continuous and wide variety of environmental conditions such that virtually any food crops could be grown within.
- SC6. The demand for high crop variety, automation, parameter control, efficiency/input minimization (water, nutrients, footprint per crop), etc. implies the use of an <u>aeroponic crop</u> growth method [4].
- SC7. Output nutrient and yield maximization in a controlled-environment implies <u>environment</u> <u>parameter optimization</u>. This is best accomplished via data collection of both plant-growth and environment metrics and cross-growth-environment networking (data versatility, sharing, and machine intelligence).
- SC8. A solution focussed on palatability (focus on enjoyable crops) and variety (adaptability to many distinct environment requirements) is not suited to high caloric output. Most crops are simply not able of producing the required daily caloric output in the space allotted (Section 2.6). As such, the scope of our solution places far greater importance on output palatability and variety (both culinary and nutritional) as well as production of critical micronutrients as opposed to pure caloric yield⁴.

DSFC Phase 1 development, testing, and assessment is scoped to terrestrial/Earth-like operational constraints [2, 3]:

- Gravity (9.81 m/s^2) ;
- Ambient atmospheric pressure (101,325 Pa);
- Ambient atmospheric temperature (22 °C);
- Ambient atmospheric humidity (50 %RH);

 $^{^4}$ The solution "need not meet the full nutritional requirements of future crews, but can contribute significantly to, and integrate with, a comprehensive food system." [2]

1.4 Definitions

A number of useful definitions have emerged from the above scoping:

- 1. **(Plant Growth) Environment** The holistic environment with which the plant interacts over the course of its growth.
- 2. **(Plant Growth) Environment Parameters** The independent quantitative parameters defining the Environment. Inputs to the control system.
- 3. **(Plant) Growth System** Includes the physical enclosure isolating the plant and Environment from the surroundings, as well as any infrastructure required to implement the Environment Parameters and generate the Environment by controlling Environment conditions. Satisfies all requirements in this document.
- 4. **(Plant) Growth Metrics** The quantitative measures of plant growth, including yield mass, growth rate, compound concentrations (i.e. nutrients, flavour compounds), caloric density, etc.
- 5. **(Environment) Program** The to-date most optimized set of Environment Parameters for a given Crop Growth Metric (i.e. Program X maximizes yield mass for lettuce), implemented by the Crop Growth System.

2 Framing

2.1 Opportunity

Design an automated and isolated aeroponic crop growth system for the Deep Space Food Challenge [1], able to generate any environment from a combination of independent environment parameters, with both environment and plant metric data collection.

2.2 Challenge Requirements

The following are the overall challenge requirements compiled from DSFC Applicant Guide details [2], the DSFC Phase 2 Instructions [3], and an excerpt from NASA-STD-3001: Section 7.1 Food and Nutrition [5]:

- R1. **Must** help fill food gaps for a *three-year* round-trip mission with *no resupply*:
 - (a) **Should** aim to produce food outputs that fulfill **all daily nutritional needs** for a crew of *four* (4) people;
 - (b) **Must** maintain food output *safety* and *nutrition* during *all phases* of the mission;
 - (c) **Must** output food that is *varied*, *palatable*, *and acceptable* to the crew for the *duration* of the mission;
 - (d) **Must** produce food outputs that require *no additional processing time*⁵;
- R2. **Should** improve the accessibility of food on Earth by enhancing local production; in particular, via production directly in urban centres and in remote and harsh environments;
- R3. **Must** aim to achieve the *greatest food output* with *minimal inputs* and *minimal waste*;
- R4. **Must** transmit *operational data and limited video* to a remote location, and be able to receive periodic *operational commands*;
- R5. Must operate under Earth-like conditions (See Section 1.3);

⁵It is assumed that fresh (or packaged unprepared) edible plant products are already prepared on existing space missions, and that this preparation meets this requirement.

2.3 Stakeholders

- S1. Food Product Consumers Palatability, output
- S2. NASA/CSA Stakeholders Feasability, input, optimization

2.4 Objectives

2.4.1 High-Level

	Food Output Suitability (S1, R1, R1a, RR1d, R2)	R1c,	HL4.	Time and Energy Efficiency (R2, R3)	(S1, S2, R1d,
	Environment Control, Automation, a Optimization (S2, R1b, R1d, R2, R3, R2, R3, R3, R3, R3, R3, R3, R3, R3, R3, R3		HL5.	Safety, Stability, Reliability ((S1, R1b, S2)
HL3.	Cross-Contamination (S1, S2, R1b, I	R2)	HL6.	Feasability	(S2, R2, R5)
2.4.2	Low-Level				
LL1.	Output Food Variety (H.	L1)	LL14.	High Degree of Automation	(HL4, HL3)
LL2.	Output Food Palatability (H	L1)	LL15.	Energy Efficiency	(HL4)
LL3.	Nutrient Output (HL1, H	L4)	LL16.	Water Usage	(HL4)
LL4.	Energy Output (HL1, H	L4)	LL17.	Germination Time	(HL4)
LL5.	Leaf-Zone Temperature Control (H	IL2,	LL18.	Growth Time	(HL4)
	HL4)		LL19.	Time-To-Harvest/-Reharvest	(HL4)
LL6.	Leaf-Zone Humidity Control (H	L2)	LL20.	Potential for Cross-Contamin	ation (HL3)
LL7.	Gas Concentration Control (H	L2)	LL21.	Environmental, Process Safet	y (HL5)
LL8.	Lighting Control (HL2, H	L4)	LL22.	Output Consumption Safety	(HL1, HL5)
LL9.	Insulation, Isolation (HL2, HL4, HL	L3))	LL23.	Reliability	(HL5)
LL10.	Air Circulation Control (HL2, H	L3)	LL24.	Input Stability	(HL5)
LL11.	Nutrient Solution Control (H	L2)	LL25.	Output Shelf Life	(HL5)
LL12.	Root-Zone Temperature Control (H	L2)	LL26.	Cost	(HL6)
LL13.	Germination Success (HL2, H	L4)	LL27.	Size	(HL6)

2.5 Metrics

M1 Plant Species Variety (LL1) Y/N (per plant species) M2 Palatability of Output (LL2) 1-9 Hedonic (per crop) M3 Protein Output (LL3) g/kg body weight/crewmember (day M4 Protein Output Energy (LL3) kCal/day/crewmember (%TDEI) M5 Carbohydrate Output Energy (LL3) kCal/day/crewmember (%TDEI) M6 Lipid Output Energy (LL3) g/day/crewmember (%TDEI) M8 Ω-3 Fatty Acid Output (LL3) g/day/crewmember M9 Saturated Fat Output Energy (LL3) kCal/day/crewmember M9 Saturated Fat Output Energy (LL3) kCal/day/crewmember M10 Trans Fatty Acid Output (LL3) kCal/day/crewmember M11 Cholesterol Output (LL3) kCal/day/crewmember M12 Fiber Output (LL3) g/day/crewmember M13 Caloric Output (LL3) g/day/crewmember M14 Air Temperature Control Range (LL4) kCal/day/crewmember M15 Air Temperature Control Range (LL5) min, max °C M16	#	Metric		Units
M3 Protein Output (LL3) weight/crewmember/day weight/crewmember/day body weight/crewmember/day M4 Protein Output Energy (LL3) kCal/day/crewmember (%TDEI) M5 Carbohydrate Output Energy (LL3) kCal/day/crewmember (%TDEI) M6 Lipid Output Energy (LL3) kCal/day/crewmember (%TDEI) M7 Ω-6 Fatty Acid Output (LL3) g/day/crewmember M8 Ω-3 Fatty Acid Output (LL3) kCal/crewmember (%TDEI) M9 Saturated Fat Output Energy (LL3) kCal/crewmember M10 Trans Fatty Acids Output (LL3) kCal/crewmember M11 Cholesterol Output (LL3) mg/day/crewmember M12 Fiber Output (LL3) g/day/crewmember M13 Caloric Output (LL4) kCal/day/crewmember M14 Air Temperature Control Range (LL5) min, max °C M15 Air Temperature Control Rate (LL5) A°C/sec at each °C M16 Air Temperature Control Stability (LL5) ±°C at each °C M17 Air Humidity Control Range (LL6) min, max %RH M18 Air Humidity Control Range (LL6) Δ%RH/sec at each %RH M19 Air Humidity Control Stability (LL6) Δ%RH/sec at e	M1	Plant Species Variety	(LL1)	Y/N (per plant species)
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M11Cholesterol Output(LL3)mg/day/crewmemberM12Fiber Output(LL3)g/day/crewmemberM13Caloric Output(LL4)kCal/day/crewmemberM14Air Temperature Control Range(LL5)min, max °CM15Air Temperature Control Rate(LL5) Δ °C/sec at each °CM16Air Temperature Control Stability(LL5) \pm °C at each °CM17Air Humidity Control Range(LL6)min, max %RHM18Air Humidity Control Rate(LL6) Δ %RH/sec at each %RHM19Air Humidity Control Stability(LL6) \pm %RH at each %RHM20CO2 Supplementation Range(LL7)max ppm CO2M21CO2 Concentration Control Rate(LL7)ppm CO2/sec at each ppm CO2M22CO2 Concentration Control Stability(LL7) \pm ppm CO2 at each ppm CO2M23Light Spectrum Wavelength Range(LL8)min, max nmM24Light Spectrum PAR Match(LL8)% (each crop)M25Light Intensity Control Range(LL8) \pm µmol m-2 sec-1 at each nmM26Light Intensity Control Stability(LL8) \pm µmol m-2 sec-1 at each nmM27Light Loss, Capture by Surfaces(LL9)%M28Outside Light Penetration(LL9) π M29Heat Loss(LL9) \pm W at each °CM30Water Loss due to Leaks, Evaporation(LL9) π InM31Internal Circulation Airflow Control Range(LL10) π 3/minM32Gas Exchang	M9	Saturated Fat Output Energy	(LL3)	kCal/day/crewmember (%TDEI)
M12Fiber Output(LL3)g/day/crewmemberM13Caloric Output(LL4)kCal/day/crewmemberM14Air Temperature Control Range(LL5)min, max °CM15Air Temperature Control Rate(LL5)Δ°C/sec at each °CM16Air Temperature Control Stability(LL5)±°C at each °CM17Air Humidity Control Range(LL6)min, max %RHM18Air Humidity Control Rate(LL6)Δ%RH/sec at each %RHM19Air Humidity Control Stability(LL6)±%RH at each %RHM20CO₂ Supplementation Range(LL7)max ppm CO₂M21CO₂ Concentration Control Rate(LL7)ppm CO₂/sec at each ppm CO₂M22CO₂ Concentration Control Stability(LL7)±ppm CO₂ at each ppm CO₂M23Light Spectrum Wavelength Range(LL8)min, max nmM24Light Spectrum PAR Match(LL8)% (each crop)M25Light Intensity Control Range(LL8)min, max μmol m⁻² sec⁻¹ at each nmM26Light Intensity Control Stability(LL8)±μmol m⁻² sec⁻¹ at each nmM27Light Loss, Capture by Surfaces(LL9)%M28Outside Light Penetration(LL9)%M29Heat Loss(LL9)±W at each °CM30Water Loss due to Leaks, Evaporation(LL9)mL/hrM31Internal Circulation Airflow Control Range(LL10)min, max m³/minM32Gas Exchange due to Leaks(LL10)m³/minM33Maximum Intention	M10	Trans Fatty Acids Output	(LL3)	kCal/crewmember (%TDEI)
M13Caloric Output(LL4)kCal/day/crewmemberM14Air Temperature Control Range(LL5)min, max °CM15Air Temperature Control Rate(LL5)Δ°C/sec at each °CM16Air Temperature Control Stability(LL5)±°C at each °CM17Air Humidity Control Range(LL6)min, max %RHM18Air Humidity Control Rate(LL6)Δ%RH/sec at each %RHM19Air Humidity Control Stability(LL6)±%RH at each %RHM20CO₂ Supplementation Range(LL7)max ppm CO₂M21CO₂ Concentration Control Rate(LL7)ppm CO₂/sec at each ppm CO₂M22CO₂ Concentration Control Stability(LL7)±ppm CO₂ at each ppm CO₂M23Light Spectrum Wavelength Range(LL8)min, max nmM24Light Spectrum PAR Match(LL8)% (each crop)M25Light Intensity Control Range(LL8)min, max μmol m⁻²sec⁻¹ at each nmM26Light Intensity Control Stability(LL8)±μmol m⁻²sec⁻¹ at each nmM27Light Loss, Capture by Surfaces(LL9)%M28Outside Light Penetration(LL9)%M29Heat Loss(LL9)±W at each °CM30Water Loss due to Leaks, Evaporation(LL9)mL/hrM31Internal Circulation Airflow Control Range(LL10)min, max m³/minM32Gas Exchange due to Leaks(LL10)mi³/minM33Maximum Intentional Gas Exchange(LL10)mi³/minM34Nutrie	M11	Cholesterol Output	(LL3)	mg/day/crewmember
M14Air Temperature Control Range(LL5)min, max °CM15Air Temperature Control Rate(LL5)Δ°C/sec at each °CM16Air Temperature Control Stability(LL5)±°C at each °CM17Air Humidity Control Range(LL6)min, max %RHM18Air Humidity Control Rate(LL6)Δ%RH/sec at each %RHM19Air Humidity Control Stability(LL6)±%RH at each %RHM20CO₂ Supplementation Range(LL7)max ppm CO₂M21CO₂ Concentration Control Rate(LL7)ppm CO₂/sec at each ppm CO₂M22CO₂ Concentration Control Stability(LL7)±ppm CO₂ at each ppm CO₂M23Light Spectrum Wavelength Range(LL8)min, max nmM24Light Spectrum PAR Match(LL8)% (each crop)M25Light Intensity Control Range(LL8)min, max μmol m⁻² sec⁻¹ at each nmM26Light Intensity Control Stability(LL8)±μmol m⁻² sec⁻¹ at each nmM27Light Loss, Capture by Surfaces(LL9)%M28Outside Light Penetration(LL9)%M29Heat Loss(LL9)±W at each °CM30Water Loss due to Leaks, Evaporation(LL9)mL/hrM31Internal Circulation Airflow Control Range(LL10)min, max m³/minM32Gas Exchange due to Leaks(LL10)m³/minM33Maximum Intentional Gas Exchange(LL10)m³/minM34Nutrient Sol'n Delivery Control Range(LL11)min, max mL/sec <td>M12</td> <td>Fiber Output</td> <td>(LL3)</td> <td>g/day/crewmember</td>	M12	Fiber Output	(LL3)	g/day/crewmember
M15Air Temperature Control Rate(LL5) Δ° C/sec at each $^{\circ}$ CM16Air Temperature Control Stability(LL5) \pm° C at each $^{\circ}$ CM17Air Humidity Control Range(LL6)min, max %RHM18Air Humidity Control Rate(LL6) Δ° RH/sec at each %RHM19Air Humidity Control Stability(LL6) \pm° RH at each %RHM20CO2 Supplementation Range(LL7)max ppm CO2M21CO2 Concentration Control Rate(LL7)ppm CO2/sec at each ppm CO2M22CO2 Concentration Control Stability(LL7) \pm ppm CO2 at each ppm CO2M23Light Spectrum Wavelength Range(LL8)min, max mmM24Light Spectrum PAR Match(LL8)% (each crop)M25Light Intensity Control Range(LL8)min, max μ mol m $^{-2}$ sec $^{-1}$ at each nmM26Light Intensity Control Stability(LL8) $\pm \mu$ mol m $^{-2}$ sec $^{-1}$ at each nmM27Light Loss, Capture by Surfaces(LL9)%M28Outside Light Penetration(LL9)%M29Heat Loss(LL9) \pm W at each $^{\circ}$ CM30Water Loss due to Leaks, Evaporation(LL9)min, max m 3 minM31Internal Circulation Airflow Control Range(LL10)min, max m 3 minM33Maximum Intentional Gas Exchange(LL10)min, max mL/secM35Nutrient Sol'n Delivery Control Range(LL11) Δ mL/sec 2 at each mL/sec	M13	Caloric Output	(LL4)	kCal/day/crewmember
M16 Air Temperature Control Stability (LL5) ±°C at each °C M17 Air Humidity Control Range (LL6) min, max %RH M18 Air Humidity Control Rate (LL6) Δ%RH/sec at each %RH M19 Air Humidity Control Stability (LL6) ±%RH at each %RH M20 CO ₂ Supplementation Range (LL7) max ppm CO ₂ M21 CO ₂ Concentration Control Rate (LL7) ppm CO ₂ /sec at each ppm CO ₂ M22 CO ₂ Concentration Control Stability (LL7) ±ppm CO ₂ at each ppm CO ₂ M23 Light Spectrum Wavelength Range (LL8) min, max nm M24 Light Spectrum PAR Match (LL8) % (each crop) M25 Light Intensity Control Range (LL8) min, max μmol m ⁻² sec ⁻¹ at each nm M26 Light Intensity Control Stability (LL8) ±μmol m ⁻² sec ⁻¹ at each nm M27 Light Loss, Capture by Surfaces (LL9) % M28 Outside Light Penetration (LL9) % M29 Heat Loss (LL9) ±W at each °C M30 Water Loss due to Leaks, Evaporation (LL9) mL/hr M31 Internal Circulation Airflow Control Range (LL10) min, max m³/min M32 Gas Exchange due to Leaks (LL10) m³/min M33 Maximum Intentional Gas Exchange (LL11) min, max mL/sec M35 Nutrient Sol'n Delivery Control Range (LL11) min, max mL/sec	M14	Air Temperature Control Range	(LL5)	min, max °C
M17Air Humidity Control Range(LL6)min, max %RHM18Air Humidity Control Rate(LL6)Δ%RH/sec at each %RHM19Air Humidity Control Stability(LL6)±%RH at each %RHM20CO2 Supplementation Range(LL7)max ppm CO2M21CO2 Concentration Control Rate(LL7)ppm CO2/sec at each ppm CO2M22CO2 Concentration Control Stability(LL7)±ppm CO2 at each ppm CO2M23Light Spectrum Wavelength Range(LL8)min, max nmM24Light Spectrum PAR Match(LL8)% (each crop)M25Light Intensity Control Range(LL8)min, max μmol m-2 sec-1 at each nmM26Light Intensity Control Stability(LL8)±μmol m-2 sec-1 at each nmM27Light Loss, Capture by Surfaces(LL9)%M28Outside Light Penetration(LL9)%M29Heat Loss(LL9)±W at each °CM30Water Loss due to Leaks, Evaporation(LL9)mL/hrM31Internal Circulation Airflow Control Range(LL10)min, max m³/minM32Gas Exchange due to Leaks(LL10)m³/minM33Maximum Intentional Gas Exchange(LL10)m³/minM34Nutrient Sol'n Delivery Control Range(LL11)min, max mL/secM35Nutrient Sol'n Delivery Control Rate(LL11)ΔmL/sec² at each mL/sec	M15	Air Temperature Control Rate	(LL5)	Δ°C/sec at each °C
M18Air Humidity Control Rate(LL6) $\Delta\%$ RH/sec at each $\%$ RHM19Air Humidity Control Stability(LL6) $\pm\%$ RH at each $\%$ RHM20CO2 Supplementation Range(LL7)max ppm CO2M21CO2 Concentration Control Rate(LL7)ppm CO2/sec at each ppm CO2M22CO2 Concentration Control Stability(LL7) \pm ppm CO2 at each ppm CO2M23Light Spectrum Wavelength Range(LL8)min, max nmM24Light Spectrum PAR Match(LL8) $\%$ (each crop)M25Light Intensity Control Range(LL8)min, max μ mol m-2 sec-1 at each nmM26Light Intensity Control Stability(LL8) $\pm \mu$ mol m-2 sec-1 at each nmM27Light Loss, Capture by Surfaces(LL9) $\%$ M28Outside Light Penetration(LL9) $\%$ M29Heat Loss(LL9) $\#$ M30Water Loss due to Leaks, Evaporation(LL9) $\#$ M31Internal Circulation Airflow Control Range(LL10)min, max m³/minM32Gas Exchange due to Leaks(LL10) $m³/min$ M33Maximum Intentional Gas Exchange(LL10) $m³/min$ M34Nutrient Sol'n Delivery Control Range(LL11) min , max mL/secM35Nutrient Sol'n Delivery Control Rate(LL11) Δ mL/sec² at each mL/sec	M16	Air Temperature Control Stability	(LL5)	±°C at each °C
M19Air Humidity Control Stability(LL6)±%RH at each %RHM20CO2 Supplementation Range(LL7)max ppm CO2M21CO2 Concentration Control Rate(LL7)ppm CO2/sec at each ppm CO2M22CO2 Concentration Control Stability(LL7)±ppm CO2 at each ppm CO2M23Light Spectrum Wavelength Range(LL8)min, max nmM24Light Spectrum PAR Match(LL8)% (each crop)M25Light Intensity Control Range(LL8)min, max μmol m⁻² sec⁻¹ at each nmM26Light Intensity Control Stability(LL8)±μmol m⁻² sec⁻¹ at each nmM27Light Loss, Capture by Surfaces(LL9)%M28Outside Light Penetration(LL9)%M29Heat Loss(LL9)±W at each °CM30Water Loss due to Leaks, Evaporation(LL9)mL/hrM31Internal Circulation Airflow Control Range(LL10)min, max m³/minM32Gas Exchange due to Leaks(LL10)m³/minM33Maximum Intentional Gas Exchange(LL10)m³/minM34Nutrient Sol'n Delivery Control Range(LL11)min, max mL/secM35Nutrient Sol'n Delivery Control Range(LL11)ΔmL/sec² at each mL/sec	M17	Air Humidity Control Range	(LL6)	min, max %RH
M20CO2 Supplementation Range(LL7)max ppm CO2M21CO2 Concentration Control Rate(LL7)ppm CO2/sec at each ppm CO2M22CO2 Concentration Control Stability(LL7)±ppm CO2 at each ppm CO2M23Light Spectrum Wavelength Range(LL8)min, max nmM24Light Spectrum PAR Match(LL8)% (each crop)M25Light Intensity Control Range(LL8)min, max μmol m-2 sec-1 at each nmM26Light Intensity Control Stability(LL8)±μmol m-2 sec-1 at each nmM27Light Loss, Capture by Surfaces(LL9)%M28Outside Light Penetration(LL9)%M29Heat Loss(LL9)±W at each °CM30Water Loss due to Leaks, Evaporation(LL9)mL/hrM31Internal Circulation Airflow Control Range(LL10)min, max m³/minM32Gas Exchange due to Leaks(LL10)m³/minM33Maximum Intentional Gas Exchange(LL10)m³/minM34Nutrient Sol'n Delivery Control Range(LL11)min, max mL/secM35Nutrient Sol'n Delivery Control Rate(LL11)ΔmL/sec² at each mL/sec	M18	Air Humidity Control Rate	(LL6)	Δ%RH/sec at each %RH
M21 CO ₂ Concentration Control Rate (LL7) ppm CO ₂ /sec at each ppm CO ₂ M22 CO ₂ Concentration Control Stability (LL7) ±ppm CO ₂ at each ppm CO ₂ M23 Light Spectrum Wavelength Range (LL8) min, max nm M24 Light Spectrum PAR Match (LL8) % (each crop) M25 Light Intensity Control Range (LL8) min, max μmol m ⁻² sec ⁻¹ at each nm M26 Light Intensity Control Stability (LL8) ±μmol m ⁻² sec ⁻¹ at each nm M27 Light Loss, Capture by Surfaces (LL9) % M28 Outside Light Penetration (LL9) % M29 Heat Loss (LL9) ±W at each °C M30 Water Loss due to Leaks, Evaporation (LL9) mL/hr M31 Internal Circulation Airflow Control Range (LL10) min, max m³/min M32 Gas Exchange due to Leaks (LL10) m³/min M33 Maximum Intentional Gas Exchange (LL10) m³/min M34 Nutrient Sol'n Delivery Control Range (LL11) min, max mL/sec M35 Nutrient Sol'n Delivery Control Rate (LL11) ΔmL/sec ² at each mL/sec	M19	Air Humidity Control Stability	(LL6)	±%RH at each %RH
M22CO2 Concentration Control Stability(LL7)±ppm CO2 at each ppm CO2M23Light Spectrum Wavelength Range(LL8)min, max nmM24Light Spectrum PAR Match(LL8)% (each crop)M25Light Intensity Control Range(LL8)min, max μmol m-2 sec-1 at each nmM26Light Intensity Control Stability(LL8)±μmol m-2 sec-1 at each nmM27Light Loss, Capture by Surfaces(LL9)%M28Outside Light Penetration(LL9)%M29Heat Loss(LL9)±W at each °CM30Water Loss due to Leaks, Evaporation(LL9)mL/hrM31Internal Circulation Airflow Control Range(LL10)min, max m³/minM32Gas Exchange due to Leaks(LL10)m³/minM33Maximum Intentional Gas Exchange(LL10)m³/minM34Nutrient Sol'n Delivery Control Range(LL11)min, max mL/secM35Nutrient Sol'n Delivery Control Rate(LL11)ΔmL/sec² at each mL/sec	M20	CO ₂ Supplementation Range	(LL7)	max ppm CO ₂
M23Light Spectrum Wavelength Range(LL8)min, max nmM24Light Spectrum PAR Match(LL8)πin, max μmol m²sec¹ at each nmM25Light Intensity Control Range(LL8)min, max μmol m²sec¹ at each nmM26Light Intensity Control Stability(LL8)±μmol m²sec¹ at each nmM27Light Loss, Capture by Surfaces(LL9)%M28Outside Light Penetration(LL9)%M29Heat Loss(LL9)±W at each °CM30Water Loss due to Leaks, Evaporation(LL9)mL/hrM31Internal Circulation Airflow Control Range(LL10)min, max m³/minM32Gas Exchange due to Leaks(LL10)m³/minM33Maximum Intentional Gas Exchange(LL10)m³/minM34Nutrient Sol'n Delivery Control Range(LL11)min, max mL/secM35Nutrient Sol'n Delivery Control Rate(LL11)ΔmL/sec² at each mL/sec	M21	CO ₂ Concentration Control Rate	(LL7)	ppm CO ₂ /sec at each ppm CO ₂
M24Light Spectrum PAR Match(LL8)% (each crop)M25Light Intensity Control Range(LL8)min, max μmol m-2 sec-1 at each nmM26Light Intensity Control Stability(LL8)±μmol m-2 sec-1 at each nmM27Light Loss, Capture by Surfaces(LL9)%M28Outside Light Penetration(LL9)%M29Heat Loss(LL9)±W at each °CM30Water Loss due to Leaks, Evaporation(LL9)mL/hrM31Internal Circulation Airflow Control Range(LL10)min, max m³/minM32Gas Exchange due to Leaks(LL10)m³/minM33Maximum Intentional Gas Exchange(LL10)m³/minM34Nutrient Sol'n Delivery Control Range(LL11)min, max mL/secM35Nutrient Sol'n Delivery Control Rate(LL11)ΔmL/sec² at each mL/sec	M22	CO ₂ Concentration Control Stability	(LL7)	±ppm CO ₂ at each ppm CO ₂
M25Light Intensity Control Range(LL8) \min , $\max \mu \mod m^{-2} \sec^{-1}$ at each nmM26Light Intensity Control Stability(LL8) $\pm \mu \mod m^{-2} \sec^{-1}$ at each nmM27Light Loss, Capture by Surfaces(LL9)%M28Outside Light Penetration(LL9)%M29Heat Loss(LL9) $\pm W$ at each °CM30Water Loss due to Leaks, Evaporation(LL9) mL/hr M31Internal Circulation Airflow Control Range(LL10) \min , $\max m^3/\min$ M32Gas Exchange due to Leaks(LL10) m^3/\min M33Maximum Intentional Gas Exchange(LL10) m^3/\min M34Nutrient Sol'n Delivery Control Range(LL11) \min , $\max mL/\sec$ M35Nutrient Sol'n Delivery Control Rate(LL11) $\Delta mL/\sec^2$ at each mL/\sec	M23	Light Spectrum Wavelength Range	(LL8)	min, max nm
M26Light Intensity Control Stability(LL8)±μmol m⁻²sec⁻¹ at each nmM27Light Loss, Capture by Surfaces(LL9)%M28Outside Light Penetration(LL9)%M29Heat Loss(LL9)±W at each °CM30Water Loss due to Leaks, Evaporation(LL9)mL/hrM31Internal Circulation Airflow Control Range(LL10)min, max m³/minM32Gas Exchange due to Leaks(LL10)m³/minM33Maximum Intentional Gas Exchange(LL10)m³/minM34Nutrient Sol'n Delivery Control Range(LL11)min, max mL/secM35Nutrient Sol'n Delivery Control Rate(LL11)ΔmL/sec² at each mL/sec	M24	Light Spectrum PAR Match	(LL8)	% (each crop)
M27Light Loss, Capture by Surfaces(LL9)%M28Outside Light Penetration(LL9)%M29Heat Loss(LL9)±W at each °CM30Water Loss due to Leaks, Evaporation(LL9)mL/hrM31Internal Circulation Airflow Control Range(LL10)min, max m³/minM32Gas Exchange due to Leaks(LL10)m³/minM33Maximum Intentional Gas Exchange(LL10)m³/minM34Nutrient Sol'n Delivery Control Range(LL11)min, max mL/secM35Nutrient Sol'n Delivery Control Rate(LL11)ΔmL/sec² at each mL/sec	M25	Light Intensity Control Range	(LL8)	
M28Outside Light Penetration(LL9)%M29Heat Loss(LL9)±W at each °CM30Water Loss due to Leaks, Evaporation(LL9)mL/hrM31Internal Circulation Airflow Control Range(LL10)min, max m³/minM32Gas Exchange due to Leaks(LL10)m³/minM33Maximum Intentional Gas Exchange(LL10)m³/minM34Nutrient Sol'n Delivery Control Range(LL11)min, max mL/secM35Nutrient Sol'n Delivery Control Rate(LL11)ΔmL/sec² at each mL/sec	M26	Light Intensity Control Stability	(LL8)	±μmol m ⁻² sec ⁻¹ at each nm
M29Heat Loss(LL9)±W at each °CM30Water Loss due to Leaks, Evaporation(LL9)mL/hrM31Internal Circulation Airflow Control Range(LL10)min, max m³/minM32Gas Exchange due to Leaks(LL10)m³/minM33Maximum Intentional Gas Exchange(LL10)m³/minM34Nutrient Sol'n Delivery Control Range(LL11)min, max mL/secM35Nutrient Sol'n Delivery Control Rate(LL11)ΔmL/sec² at each mL/sec	M27	Light Loss, Capture by Surfaces	(LL9)	%
 M30 Water Loss due to Leaks, Evaporation (LL9) mL/hr M31 Internal Circulation Airflow Control Range (LL10) min, max m³/min M32 Gas Exchange due to Leaks (LL10) m³/min M33 Maximum Intentional Gas Exchange (LL10) m³/min M34 Nutrient Sol'n Delivery Control Range (LL11) min, max mL/sec M35 Nutrient Sol'n Delivery Control Rate (LL11) ΔmL/sec² at each mL/sec 	M28	Outside Light Penetration	(LL9)	%
 M31 Internal Circulation Airflow Control Range (LL10) min, max m³/min M32 Gas Exchange due to Leaks (LL10) m³/min M33 Maximum Intentional Gas Exchange (LL10) m³/min M34 Nutrient Sol'n Delivery Control Range (LL11) min, max mL/sec M35 Nutrient Sol'n Delivery Control Rate (LL11) ΔmL/sec² at each mL/sec 	M29	Heat Loss	(LL9)	±W at each °C
M32Gas Exchange due to Leaks(LL10)m³/minM33Maximum Intentional Gas Exchange(LL10)m³/minM34Nutrient Sol'n Delivery Control Range(LL11)min, max mL/secM35Nutrient Sol'n Delivery Control Rate(LL11)ΔmL/sec² at each mL/sec	M30	Water Loss due to Leaks, Evaporation	(LL9)	
M33Maximum Intentional Gas Exchange(LL10)m³/minM34Nutrient Sol'n Delivery Control Range(LL11)min, max mL/secM35Nutrient Sol'n Delivery Control Rate(LL11)ΔmL/sec² at each mL/sec	M31	Internal Circulation Airflow Control Range	(LL10)	min, max m ³ /min
 M34 Nutrient Sol'n Delivery Control Range (LL11) min, max mL/sec M35 Nutrient Sol'n Delivery Control Rate (LL11) ΔmL/sec² at each mL/sec 	M32	Gas Exchange due to Leaks	(LL10)	m ³ /min
M35 Nutrient Sol'n Delivery Control Rate (LL11) ΔmL/sec² at each mL/sec	M33	Maximum Intentional Gas Exchange	(LL10)	m ³ /min
	M34	Nutrient Sol'n Delivery Control Range	(LL11)	•
	M35	Nutrient Sol'n Delivery Control Rate	(LL11)	ΔmL/sec ² at each mL/sec
M36 Nutrient Sol'n Delivery Control Stability (LL11) ±mL/sec at each mL/sec	M36	Nutrient Sol'n Delivery Control Stability	(LL11)	±mL/sec at each mL/sec
M37 Nutrient Concentrations Control Range (LL11) min, max ppm (each nutrient)	M37	Nutrient Concentrations Control Range	(LL11)	min, max ppm (each nutrient)
M38 Nutrient Concentrations Control Rate (LL11) Δppm/sec at each ppm (each nutr.)	M38	Nutrient Concentrations Control Rate	(LL11)	Δppm/sec at each ppm (each nutr.)
M39 Nutrient Concentrations Control Stability (LL11) ±ppm at each ppm (each nutrient)	M39	Nutrient Concentrations Control Stability	(LL11)	±ppm at each ppm (each nutrient)

2.5 Metrics (Cont'd)

#	Metric		Units
M40	Nutrient Sol'n Temp. Control Range	(LL12)	min, max °C
M41	Nutrient Sol'n Temp. Control Rate	(LL12)	°C/sec at each °C
M42	Nutrient Sol'n Temp Control Stability	(LL12)	±°C at each °C
M43	Germination Success Rate	(LL13)	%
M44	Time Requirement - Maintenance	(LL14)	hrs/week
M45	Time Requirement - Setup	(LL14)	hrs
M46	Energy Efficiency - Power vs. kCal	(LL15)	%
M47	Necessary Water Waste per Day	(LL16)	L/day
M48	Initial Water Requirement	(LL16)	L
M49	Reharvest Period - Fruiting Crops	(LL19)	days (each crop)
M50	Germination Time	(LL17)	hours (each crop)
M51	Time to Harvest	(LL18)	days from planting (each crop)
M52	Potential for Contamination - Germination	(LL20)	% (each event)
M53	Potential for Contamination - Planting	(LL20)	% (each event)
M54	Potential for Contamination - Harvest	(LL20)	% (each event)
M55	Use of Hazardous Compounds	(LL21)	Y/N
M56	Cleaning Hazards	(LL21)	Y/N
M57	Physical, Chemical, Bio Hazards	(LL21)	Y/N
M58	Consumption Safety	(LL22)	%
M59	Loss of Functionality Over 3 Years	(LL23)	%
M60	Input Lifetime while Safe, Useful	(LL24)	Days
M61	Output Shelf Life while Safe, Quality	(LL25)	Days
M62	Cost	(LL26)	CAD
M63	Outer Dimensions	(LL27)	m (W, D, H)
M64	Outer Volume	(LL27)	m^3
M65	Power Consumption	(LL27)	W
M66	Mass	(LL27)	kg

2.6 Constraints

Metric	Constraint Ju	stification
M2	≥ 6.0	[2, 3]
M3	≈ 0.27g	[2, 3, 5]
M4	≤11.67% TDEI	[2, 3, 5]
M5	50-55% TDEI	[2, 3, 5]
M6	25-35% TDEI	[2, 3, 5]
M7	≈14g	[2, 3, 5]
M8	1.1-1.6g	[2, 3, 5]
M9	<7% TDEI	[2, 3, 5]
M10	<1% TDEI	[2, 3, 5]
M11	<300mg	[2, 3, 5]
M12	21-38g	[2, 3, 5]
M14	Min < 15°C, Max > 30°C	(SC5)
M17	Min < 20 %RH, Max > 90 %RH	(SC5)
M20	>1000ppm (≈600 above ambient)	(SC5)
M23	Min < 300nm (Near-UV), Max > 800nm (Near-IR)	(SC5, SC7)
M24	≥ 95% match	(SC5)
M25	Min = 0, Max ≥ typical horticulture	(SC5, SC7)
M31	$Min = 0 \text{ m}^3/\text{min}, \text{ Max} \ge 2 \text{ m}^3/\text{min}$	(SC5, SC7)
M34	Min = 0, Max ≥ max plant requirement	(SC5, SC7)
M40	Min < 10°C, Max > 25°C	(SC5)
M37	Min = 0, Max ≥ max plant requirement	(SC5, SC7)
M44	4 hrs/week	[2]
M59	≤10%	[2]
M60	≥3 years (1095 days)	[2]
M63	Fits through 1.07m x 1.90m doorway; W<1.829m, D<2.438m, H<2.591m	[2]
M64	$\leq 2 \text{ m}^3$	[2]
M65	Avg. <1500W; Peak < 3000W	[2]

2.7 Criteria

Metric	Criteria	Justification
M1	Should Maximize	(R1c, R3)
M13	Should Maximize	(R1, R1a, R3)
M15	Should Maximize	(SC5, SC7)
M16	Should Minimize	(SC5, SC7)
M18	Should Maximize	(SC5, SC7)
M19	Should Minimize	(SC5, SC7)
M21	Should Maximize	(SC5, SC7)
M22	Should Minimize	(SC5, SC7)
M26	Should Minimize	(SC5, SC7)
M27	Should Minimize	(R3)
M28	Should Minimize	(SC5)
M29	Should Minimize	(R3)
M30	Should Minimize	(R1b)
M32	Should Minimize	(R3)
M33	Should Maximize	(SC5, SC7)
M35	Should Maximize	(SC5, SC7)
M36	Should Minimize	(SC5, SC7)
M41	Should Maximize	(SC5, SC7)
M42	Should Minimize	(SC5, SC7)
M38	Should Maximize	(SC5, SC7)
M39	Should Minimize	(SC5, SC7)
M43	Should Maximize	(R1, R1b)
M45	Should Minimize	(S1)
M46	Should Maximize	(R3)
M47	Should Maximize	(R3)
M48	Should Minimize	(R3)
M49	Should Minimize	(R1b, R3)
M50	Should Minimize	(R1b)
M51	Should Minimize	(R1b)
M52	Should Minimize	(R1b)
M53	Should Minimize	(R1b)
M54	Should Minimize	(R1b)
M55	Should Avoid, Mitigate	(R1b)
M56	Should Avoid, Mitigate	(R1b)
M57	Should Avoid, Mitigate	(R1b)
M58	Should Avoid, Mitigate	(R1b)
M61	Should Maximize	[2]
M62	Could Minimize	(S2)
M66	Should Minimize	(R3)

3 Reference Designs

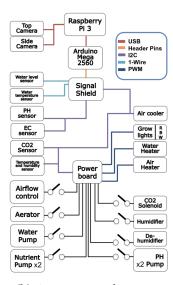
3.1 Open Agriculture Initiative - Personal Food Computer

The Open Agriculture Initiative (OpenAG) is a project launched by the MIT Media Lab with the goal to "Build open resources to enable a global community to accelerate digital agricultural innovation."

One of their primary developments was an open-source controlled-environment agriculture microgreenhouse, the Personal Food Computer. The PFC controls all environmental growing parameters and collects data during the growth cycle. Data can be collected by users and shared between members of the open-source community. This allows for the creation of reproducible "climate recipes" where other devices with similar abilities can reliably generate the same environment and attain the same plant growth results.



(a) Assembled PFC v1.



(b) Component diagram.

Figure 1: From [6].

One of the design's major flaws is in its implementation. Despite the claim that the PFC focusses on SC3 and SC5, in practice, it failed to meet R3 [7]. In addition, the PFC utilizes Deep Water Culture (DWC) hydroponics [6], as opposed to aeroponics, resulting in a lowered water efficiency.

The PFC is also much more focussed on SC3 and SC5 than R1 and R1a, meaning that they valued optimization and data collection over bulk yield of food outputs. This shows in that their design did not account for scalability of output [8].

However, the array of sensors included in the design (both plant-growth and environmental) as well as the principle of plant phenomenology optimization is informative in meeting R3 and their attempts can serve as a basis for understanding SC3 and SC5 [9].

Attempted: LL1, LL2 (via SC5), LL5. LL6, LL8, LL10, LL11, LL14

Did Not Consider: LL4, LL9, LL13, LL15, LL16, LL17, LL18, LL19, LL20

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