



Filing ID #10039420

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Denny Heck
Status: Former Member
State/District: WA10

FILING INFORMATION

Filing Type: Terminated Filer Report
Filing Year: 2021
Filing Date: 01/28/2021

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
AMERICAN FUNDS ⇒ FBO - R.D. HECK ⇒ Growth Fund of America 529F1 [5P] LOCATION: WA DESCRIPTION: Sale		None	Capital Gains, Dividends	Not Applicable	<input checked="" type="checkbox"/>
AMERICAN FUNDS ⇒ FBO - R.D. HECK ⇒ Growth Fund of America-529F2 [5P] LOCATION: WA DESCRIPTION: Purchase		\$100,001 - \$250,000	Capital Gains, Dividends	Not Applicable	<input checked="" type="checkbox"/>
AMERICAN FUNDS ⇒ FBO - R.D. HECK ⇒ Washington Mutual investors Fund 529F1 [5P] LOCATION: WA DESCRIPTION: Total sale of all shares. Balance is \$0 at 12/31/20.		None	Capital Gains, Dividends	Not Applicable	<input checked="" type="checkbox"/>
AMERICAN FUNDS ⇒ FBO - R.D. HECK ⇒ Washington Mutual investors Fund 529F2 [5P] LOCATION: WA DESCRIPTION: Purchase		\$50,001 - \$100,000	Capital Gains, Dividends	Not Applicable	<input checked="" type="checkbox"/>
AMERICAN FUNDS ⇒ FBO Ashton B Owens ⇒ Growth Fund of America 529F1 [5P] LOCATION: WA		None	Capital Gains, Dividends	Not Applicable	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Purchased and sold in 2020.					
AMERICAN FUNDS ⇒ FBO Ashton B Owens ⇒ Growth Fund of America-529F2 [5P]		\$1,001 - \$15,000	Capital Gains, Dividends	Not Applicable	<input checked="" type="checkbox"/>
LOCATION: WA DESCRIPTION: Purchase					
AMERICAN FUNDS ⇒ FBO Ashton B Owens ⇒ Washington Mutual investors Fund 529F1 [5P]		\$1,001 - \$15,000	Capital Gains, Dividends	Not Applicable	<input checked="" type="checkbox"/>
LOCATION: WA DESCRIPTION: Purchase Sale					
AMERICAN FUNDS ⇒ FBO Ashton B Owens ⇒ Washington Mutual investors Fund 529F2 [5P]		\$1,001 - \$15,000	Capital Gains, Dividends	Not Applicable	<input checked="" type="checkbox"/>
LOCATION: WA DESCRIPTION: Purchase					
AMERICAN FUNDS ⇒ FBO M. HECK ⇒ Growth Fund of America 529F1 [5P]		None	Capital Gains, Dividends	Not Applicable	<input checked="" type="checkbox"/>
LOCATION: WA DESCRIPTION: Sale					
AMERICAN FUNDS ⇒ FBO M. HECK ⇒ Growth Fund of America-529F2 [5P]		\$100,001 - \$250,000	Capital Gains, Dividends	Not Applicable	<input checked="" type="checkbox"/>
LOCATION: WA DESCRIPTION: Purchase					
AMERICAN FUNDS ⇒ FBO M. HECK ⇒ Washington Mutual Investors Fund 529F1 [5P]		None	Capital Gains, Dividends	Not Applicable	<input checked="" type="checkbox"/>
LOCATION: WA DESCRIPTION: Sale					
AMERICAN FUNDS ⇒ FBO M. HECK ⇒ Washington Mutual investors Fund 529F2 [5P]		\$50,001 - \$100,000	Capital Gains, Dividends	Not Applicable	<input checked="" type="checkbox"/>
LOCATION: WA					
Bruin Development L.L.C, 50% Interest [OL]		\$50,001 - \$100,000	None		<input type="checkbox"/>
LOCATION: Olympia, WA, US DESCRIPTION: Commercial building, ownership/rental					
CHARLES SCHWAB-CASH ACCT ⇒ CHARLES SCHWAB-CASH ACCT ⇒ Charles Schwab Cash Acct- Cash/Bank Sweeps/CDs [BA]	JT	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
CHARLES SCHWAB-IRA ⇒ Charles Schwab 6067 Cash [BA]		\$15,001 - \$50,000	Interest	Not Applicable	<input type="checkbox"/>
CHARLES SCHWAB-IRA ⇒ Comcast Corporation - Class A (CMCSA) [ST]		\$100,001 - \$250,000	Dividends	Not Applicable	<input type="checkbox"/>
CHARLES SCHWAB-IRA ⇒ DFA GLOBAL EQUITY PORT [MF]		\$15,001 - \$50,000	Dividends	Not Applicable	<input type="checkbox"/>
CHARLES SCHWAB-IRA ⇒ First Eagle Global Fund [MF]		\$100,001 - \$250,000	Dividends	Not Applicable	<input type="checkbox"/>
CHARLES SCHWAB-IRA ⇒ Invesco Oppenheimer Global Opportunity [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	Not Applicable	<input checked="" type="checkbox"/>
CHARLES SCHWAB-IRA ⇒ Johnson & Johnson (JNJ) [ST]		\$100,001 - \$250,000	Dividends	Not Applicable	<input type="checkbox"/>
CHARLES SCHWAB-IRA ⇒ Nike, Inc. (NKE) [ST]		\$15,001 - \$50,000	Dividends	Not Applicable	<input type="checkbox"/>
CHARLES SCHWAB-IRA ⇒ OakMark Intl FD INV [MF]		\$15,001 - \$50,000	Dividends	Not Applicable	<input type="checkbox"/>
CHARLES SCHWAB-IRA ⇒ Procter & Gamble Company (PG) [ST]		\$50,001 - \$100,000	Dividends	Not Applicable	<input type="checkbox"/>
CHARLES SCHWAB-IRA ⇒ Schwab Value Advantage MMF [BA]		\$15,001 - \$50,000	Interest	Not Applicable	<input type="checkbox"/>
CHARLES SCHWAB-IRA ⇒ Starbucks Corporation (SBUX) [ST]		\$250,001 - \$500,000	Dividends	Not Applicable	<input type="checkbox"/>
CHARLES SCHWAB-JT ⇒ Alphabet Inc. - Class A (GOOGL) [ST] DESCRIPTION: Purchase	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
CHARLES SCHWAB-JT ⇒ Avanos Medical, Inc. (AVNS) [ST] DESCRIPTION: Name change of stock. FKA: Halyard Health Inc.	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
CHARLES SCHWAB-JT ⇒ Charles Schwab JT Cash [BA]	JT	\$50,001 - \$100,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
CHARLES SCHWAB-JT ⇒	JT	\$50,001 -	Dividends	\$5,001 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Chevron Corporation (CVX) [ST]		\$100,000		\$15,000	
DESCRIPTION: Dividends					
CHARLES SCHWAB-JT ⇒ Costco Wholesale Corporation (COST) [ST]	JT	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Dividends					
CHARLES SCHWAB-JT ⇒ DFA INTL CORP EQTY PORT [MF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
CHARLES SCHWAB-JT ⇒ DFA INTL SUSTAINABILITY [MF]	JT	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
CHARLES SCHWAB-JT ⇒ DFA US LARGE CAP VALUE [MF]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
CHARLES SCHWAB-JT ⇒ DFA US SMALL CAP PORT [MF]	JT	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
CHARLES SCHWAB-JT ⇒ DFA US SUSTAINABILITY [MF]	JT	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
CHARLES SCHWAB-JT ⇒ Enerplus Corporation (ERF) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
CHARLES SCHWAB-JT ⇒ GlaxoSmithKline PLC (GSK) [ST]	JT	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
CHARLES SCHWAB-JT ⇒ Heritage Financial Corporation (HFWA) [ST]	JT	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
CHARLES SCHWAB-JT ⇒ Invesco QQQ Trust [EF]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
CHARLES SCHWAB-JT ⇒ Ishares Morningstar LRG CAP [EF]	JT	\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
CHARLES SCHWAB-JT ⇒ Kimberly-Clark Corporation (KMB) [ST]	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
CHARLES SCHWAB-JT ⇒ Microsoft Corporation (MSFT) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
CHARLES SCHWAB-JT ⇒ Nustar Energy L.P. Common Units (NS) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
CHARLES SCHWAB-JT ⇒ RealNetworks, Inc. (RNWK) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
CHARLES SCHWAB-JT ⇒ Schwab Muni Money Fund [MF] DESCRIPTION: Sale	JT	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
CHARLES SCHWAB-JT ⇒ Walt Disney Company (DIS) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
CHARLES SCHWAB-MASON LAKE ⇒ Cash account [MF]	JT	\$1 - \$1,000	None		<input type="checkbox"/>
CHARLES SCHWAB-MASON LAKE ⇒ DFA US Core Equity 1 Port [MF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
CHARLES SCHWAB-VICTOR ⇒ 3M Company (MMM) [ST]	SP	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
CHARLES SCHWAB-VICTOR ⇒ Bristol-Myers Squibb Company (BMY) [ST]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
CHARLES SCHWAB-VICTOR ⇒ Charles Schwab 0604 Cash [BA] DESCRIPTION: Cash account, Bank Sweeps	SP	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
CHARLES SCHWAB-VICTOR ⇒ Charles Schwab 0604 MMF [BA] DESCRIPTION: Government money market fund	SP	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
CHARLES SCHWAB-VICTOR ⇒ ClearBridge Energy Midstream Opportunity Fund Inc. (EMO) [ST] DESCRIPTION: CBA ClearBridge American Energy merged into EMO ClearBridge Energy Midstream on 11/20/18.	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
CHARLES SCHWAB-VICTOR ⇒ DFA INTL CORE EQTY PORT [MF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
CHARLES SCHWAB-VICTOR ⇒ DFA INTL SUSTAINABILITY [MF]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
CHARLES SCHWAB-VICTOR ⇒ DFA US SUSTAINABILITY [MF] DESCRIPTION: Dividends reinvested	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
CHARLES SCHWAB-VICTOR ⇒ Duke Energy Corporation (DUK) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
CHARLES SCHWAB-VICTOR ⇒ Enbridge Inc (ENB) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
CHARLES SCHWAB-VICTOR ⇒ First Eagle Global Fund [MF] DESCRIPTION: Long Term Capital Gain	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
CHARLES SCHWAB-VICTOR ⇒ General Electric Company (GE) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
CHARLES SCHWAB-VICTOR ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
CHARLES SCHWAB-VICTOR ⇒ Microsoft Corporation (MSFT) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
CHARLES SCHWAB-VICTOR ⇒ Nacogdoches TX 3.5% 30 [GS]	SP	\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
CHARLES SCHWAB-VICTOR ⇒ PACCAR Inc. (PCAR) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
CHARLES SCHWAB-VICTOR ⇒ Tekla Healthcare Investors (HQH) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
CHARLES SCHWAB-VICTOR ⇒ Unilever PLC (UL) [ST]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
CHARLES SCHWAB-VICTOR ⇒ WA ST HLTH CARE FA 5% 42 [GS]	SP	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
CHARLES SCHWAB-VICTOR ⇒ WA ST HLTH CARE FA 5% 44 [GS]	SP	\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Congressional Federal Credit Union [BA]		\$50,001 - \$100,000	Interest	None	<input type="checkbox"/>
Everglades Estates LP [OL] LOCATION: Ft. Mohave, AZ, US DESCRIPTION: Limited partner business activity = Commercial building rentals	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Fruci Family LLC, 13% Interest [OL]	SP	\$500,001 - \$1,000,000	Rent	None	<input type="checkbox"/>
LOCATION: Spokane, WA, US DESCRIPTION: LLC Member, Commercial Building, ownership rentals.					
Fruci Family LLC, 20% Interest [OL]	SP	\$500,001 - \$1,000,000	Rent	None	<input type="checkbox"/>
LOCATION: Spokane, WA, US DESCRIPTION: LLC Member, Commercial building ownership/rentals.					
Galaxy Cinemas Northwest LLC, 2% Interest [OL]		\$100,001 - \$250,000	Rent	\$2,501 - \$5,000	<input type="checkbox"/>
LOCATION: Monroe, WA, US DESCRIPTION: Partnership owning commercial building leases.					
Loan to Campaign - Heck for Congress, 100% Interest [OT]		\$250,001 - \$500,000	None		<input type="checkbox"/>
DESCRIPTION: Loan to Campaign					
Vanguard 403(B) Services [PE]	SP	\$100,001 - \$250,000	Dividends	Not Applicable	<input type="checkbox"/>
DESCRIPTION: Dividend re-investments					
WA Dept. of Retirement Systems [PE]		Undetermined	Defined Benefit	\$15,001 - \$50,000	<input type="checkbox"/>
WA. Dept. of Retirement Systems [PE]	SP	Undetermined	Defined Benefit	\$15,001 - \$50,000	<input type="checkbox"/>
WSECU - Cash, Checking, Savings [BA]	JT	\$250,001 - \$500,000	Interest	\$1 - \$200	<input type="checkbox"/>
WSECU - Certificate of Deposit [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
AMERICAN FUNDS ⇒ FBO - R.D. HECK ⇒ Growth Fund of America-529F1 [5P]		10/30/2020	S	\$50,001 - \$100,000	<input type="checkbox"/>
LOCATION: WA					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
AMERICAN FUNDS ⇒ FBO - R.D. HECK ⇒ Growth Fund of America-529F2 [5P] LOCATION: WA		10/30/2020	P	\$50,001 - \$100,000	
AMERICAN FUNDS ⇒ FBO - R.D. HECK ⇒ Washington Mutual investors Fund 529F1 [5P] LOCATION: WA DESCRIPTION: Sale		10/30/2020	S	\$50,001 - \$100,000	<input type="checkbox"/>
AMERICAN FUNDS ⇒ FBO - R.D. HECK ⇒ Washington Mutual investors Fund 529F2 [5P] LOCATION: WA		10/30/2020	P	\$50,001 - \$100,000	
AMERICAN FUNDS ⇒ FBO Ashton B Owens ⇒ Growth Fund of America 529F1 [5P] LOCATION: WA		06/12/2020	P	\$1,001 - \$15,000	
AMERICAN FUNDS ⇒ FBO Ashton B Owens ⇒ Growth Fund of America 529F1 [5P] LOCATION: WA DESCRIPTION: Sale		10/30/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
AMERICAN FUNDS ⇒ FBO Ashton B Owens ⇒ Growth Fund of America-529F2 [5P] LOCATION: WA		10/30/2020	P	\$1,001 - \$15,000	
AMERICAN FUNDS ⇒ FBO Ashton B Owens ⇒ Washington Mutual investors Fund 529F1 [5P] LOCATION: WA		06/12/2020	P	\$1,001 - \$15,000	
AMERICAN FUNDS ⇒ FBO Ashton B Owens ⇒ Washington Mutual investors Fund 529F1 [5P] LOCATION: WA		10/30/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
AMERICAN FUNDS ⇒ FBO Ashton B Owens ⇒ Washington Mutual investors Fund 529F2 [5P] LOCATION: WA		10/30/2020	P	\$1,001 - \$15,000	
AMERICAN FUNDS ⇒ FBO M. HECK ⇒ Growth Fund of America 529F1 [5P] LOCATION: WA DESCRIPTION: Sale		10/30/2020	S	\$50,001 - \$100,000	<input type="checkbox"/>
AMERICAN FUNDS ⇒ FBO M. HECK ⇒		10/30/2020	P	\$50,001 -	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Growth Fund of America-529F2 [5P] LOCATION: WA				\$100,000	
AMERICAN FUNDS ⇒ FBO M. HECK ⇒ Washington Mutual investors Fund 529F1 [5P] LOCATION: WA		10/30/2020	S	\$50,001 - \$100,000	<input type="checkbox"/>
AMERICAN FUNDS ⇒ FBO M. HECK ⇒ Washington Mutual investors Fund 529F2 [5P] LOCATION: WA		10/30/2020	P	\$50,001 - \$100,000	
CHARLES SCHWAB-CASH ACCT ⇒ Charles Schwab Cash Acct- Cash/Bank Sweeps/CDs [BA]	JT	07/2/2020	S	\$250,001 - \$500,000	<input type="checkbox"/>
CHARLES SCHWAB-CASH ACCT ⇒ CHARLES SCHWAB-CASH ACCT ⇒ Charles Schwab Cash Acct- Cash/Bank Sweeps/CDs [BA]	JT	05/8/2020	S	\$100,001 - \$250,000	<input type="checkbox"/>
CHARLES SCHWAB-IRA ⇒ First Eagle Global Opptys A [MF]		12/1/2020	P	\$1,001 - \$15,000	
CHARLES SCHWAB-IRA ⇒ Invesco Oppenheimer Global Opportunity [MF]		12/15/2020	P	\$1,001 - \$15,000	
CHARLES SCHWAB-JT ⇒ Schwab Muni Money Fund [BA]	JT	07/6/2020	S	\$250,001 - \$500,000	<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	ALASKA AIR VISA	12/31/20	CREDIT CARD	\$10,000 - \$15,000
JT	WSECU - Mortgage	11/2011	HOME MORTGAGE	\$250,001 - \$500,000
	CAPITAL HILL TOWER HOUSING COOPERATIVE INC.	07/28/17	MORTGAGE - DC COOP	\$100,001 - \$250,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
1973	Myself & The State of Washington	Participation in Retirement Program
1988	Spouse and the State of Washington	Participation in Retirement Program.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none">◦ AMERICAN FUNDS LOCATION: WA DESCRIPTION: 529 College Savings Plan◦ AMERICAN FUNDS ⇒ FBO - R.D. HECK LOCATION: WA DESCRIPTION: FBO - R.D. HECK◦ AMERICAN FUNDS ⇒ FBO Ashton B Owens LOCATION: WA◦ AMERICAN FUNDS ⇒ FBO M. HECK LOCATION: WA DESCRIPTION: FBO M. HECK◦ CHARLES SCHWAB-CASH ACCT (100% Interest) (Owner: JT) LOCATION: OLYMPIA, WA, US DESCRIPTION: CD ACCOUNT◦ CHARLES SCHWAB-CASH ACCT ⇒ CHARLES SCHWAB-CASH ACCT (100% Interest) (Owner: JT) LOCATION: US DESCRIPTION: PURCHASE TIME CERTIFICATES◦ CHARLES SCHWAB-IRA◦ CHARLES SCHWAB-JT (100% Interest) (Owner: JT) LOCATION: OLYMPIA, WA, US◦ CHARLES SCHWAB-MASON LAKE (100% Interest) (Owner: JT) LOCATION: OLYMPIA, WA, US◦ CHARLES SCHWAB-VICTOR (100% Interest) (Owner: SP)

LOCATION: OLYMPIA, WA, US

DESCRIPTION: Formerly held in Morgan Stanley

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Denny Heck , 01/28/2021