

The background is a deep blue gradient with a subtle pattern of white dots. On the left side, there are several concentric circles and a large circular scale with degree markings from 140 to 260. Some circles have arrows indicating a clockwise direction. The overall aesthetic is technical and scientific.

K-NEAREST NEIGHBOR

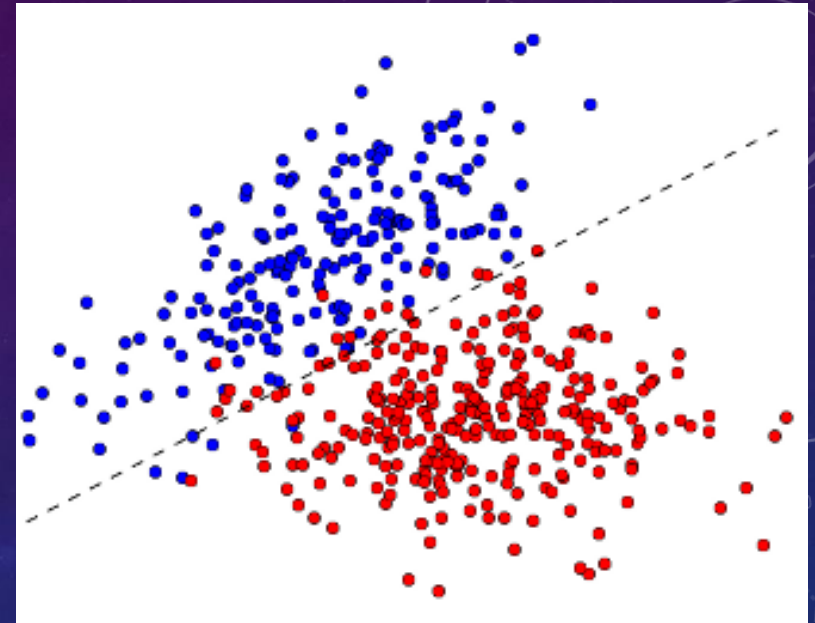
TAN PEI SENG

CONTENT COVERED

- The Definition and Properties of KNN
- The Working Principle of KNN
- Distance Function – Euclidean Distance & Manhattan Distance
- Example – Calculation
- Hyperparameter Tuning– How to choose K?
- Fine Tuning– Cross Validation
- Advantageous and Disadvantageous of KNN
- Applications of KNN

THE DEFINITION AND PROPERTIES OF KNN

- ❑ A powerful **classification** algorithm used in pattern recognition.
- ❑ K nearest neighbors stores all available cases and classifies new cases based on a **similarity measure** (e.g **distance function**)
- ❑ One of the **top data mining algorithms** used today.
- ❑ A **non-parametric** lazy learning algorithm (An Instance-based Learning method).



When we say a technique is **non-parametric**, it means that it does not make any assumptions on the underlying data distribution. In other words, the model structure is determined from the data. If you think about it, it's pretty useful, because in the "real world", most of the data does not obey the typical theoretical assumptions made (as in linear regression models, for example).

THE DEFINITION AND PROPERTIES OF KNN

Why is the KNN algorithm **lazy**?

- ❑ KNN is considered **lazy** because **no abstraction** occurs.
 - ❑ The **abstraction** and **generalization** processes are not part of it.
 - ❑ Using a strict definition of learning, in which the learner summarizes raw input into a model (equations, decision trees, clustering, if then rules), a **lazy learner is not really learning anything**.
 - ❑ Instead, it is only storing the training data, which takes very little time. **Classification**, however, is very slow.
 - ❑ This is unlike most classifiers in which training takes a long time, but classification is very fast.
- ❑ Lazy learning is known as an **instance-based learning**.
- ❑ An instance-based learners do **not build a model**, the method is said to be in a class of non-parametric learning methods- in that no parameters are learnt about the data.

THE WORKING PRINCIPLE OF KNN

- Tell me about your friends(*who your neighbors are*) and *I will tell you who you are.*



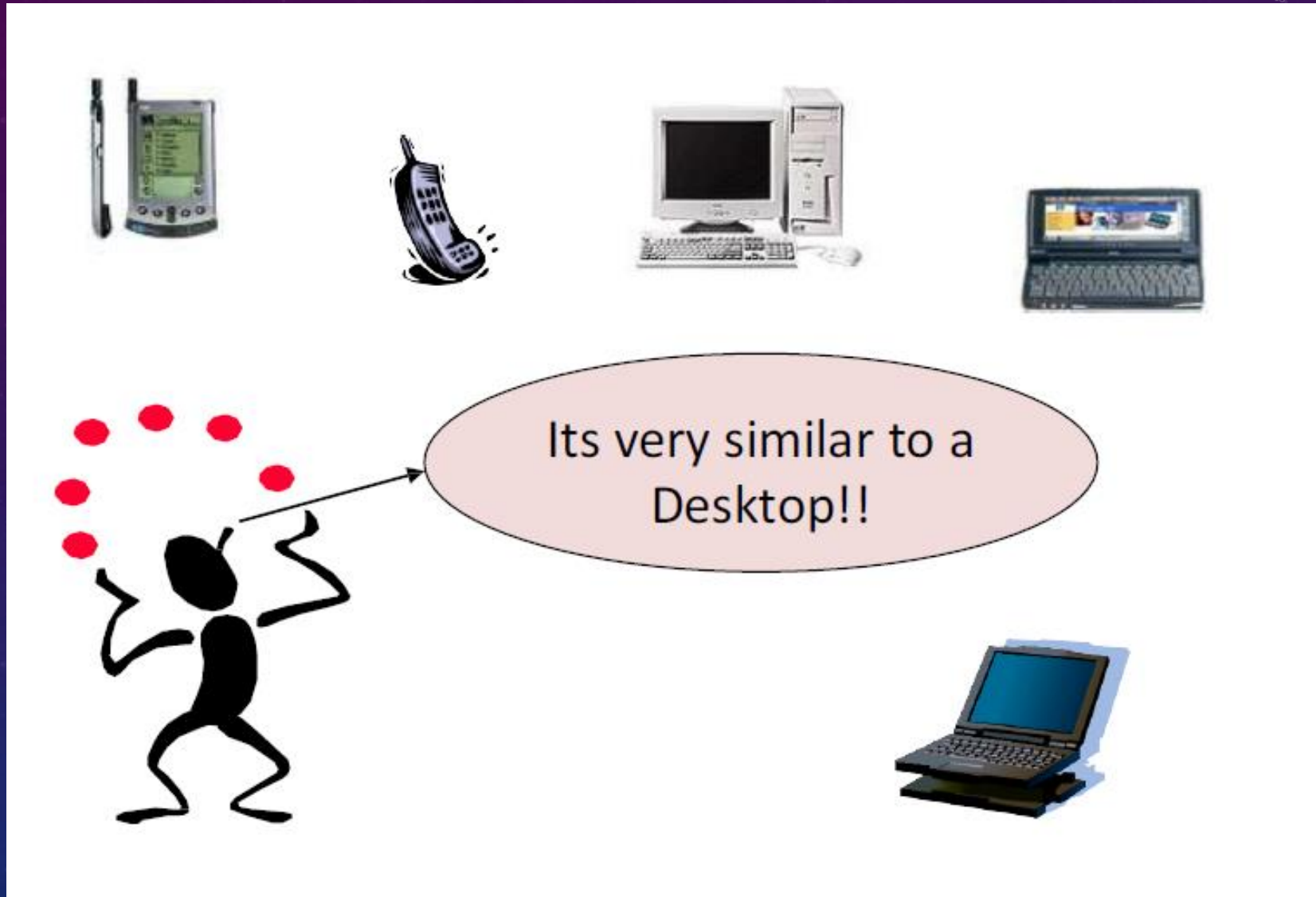
THE WORKING PRINCIPLE OF KNN

- It's how people judge by observing **our peers**.



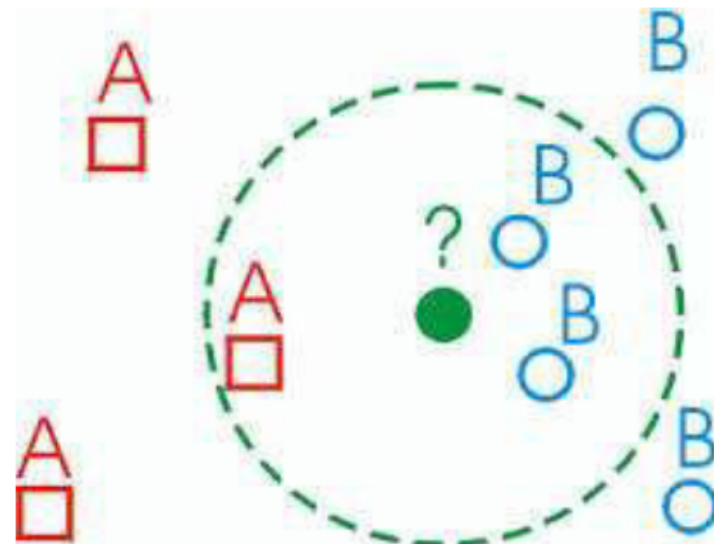
- We tend to **move** with people of **similar attributes** so does data.

THE WORKING PRINCIPLE OF KNN

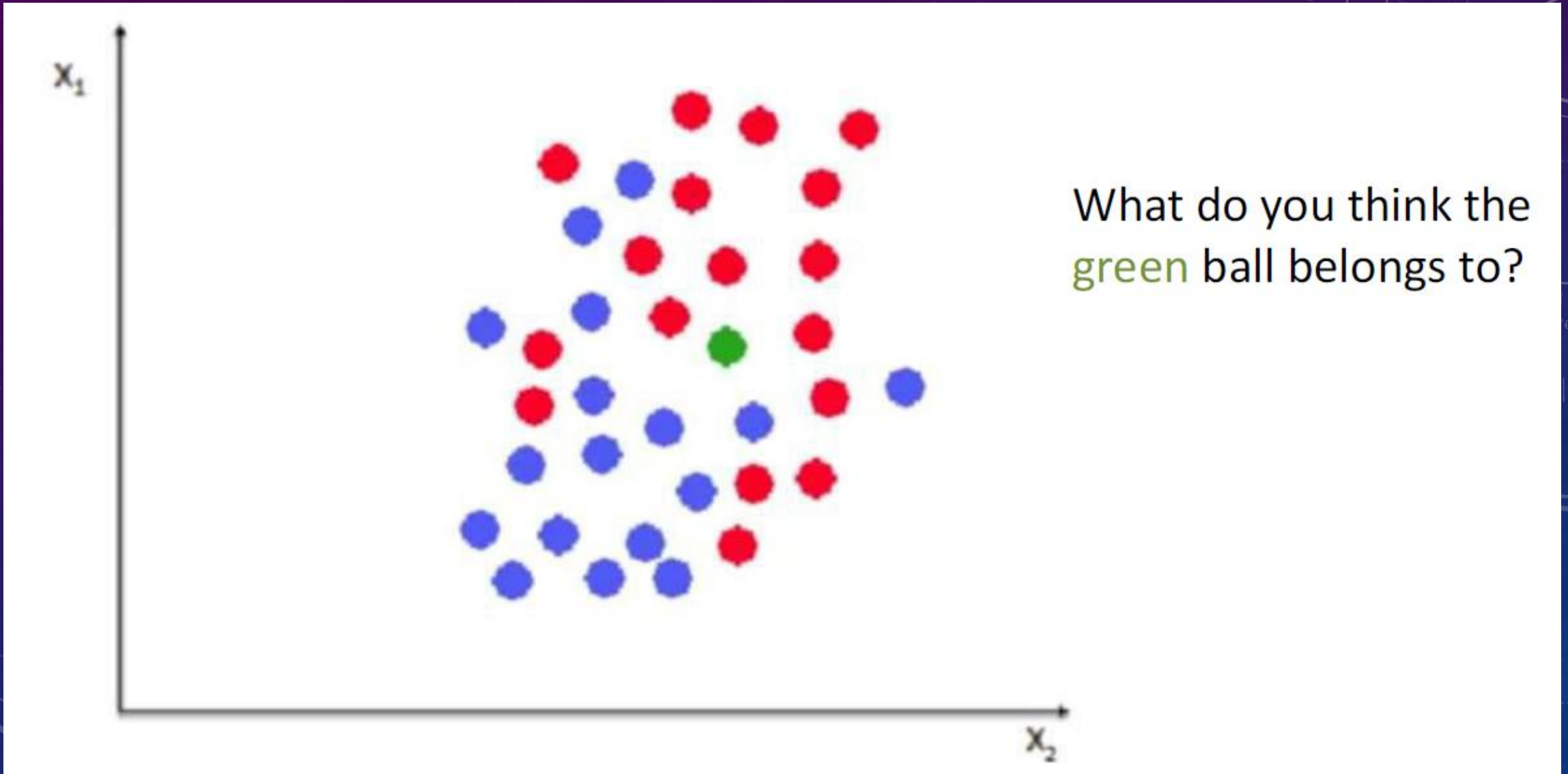


THE WORKING PRINCIPLE OF KNN

- ❑ An object (a **new instance**) is classified by a **majority votes** for its **neighbor** classes.
- ❑ The object is assigned to the most **common** class amongst its **K nearest neighbors**. (*measured by a distant function*)

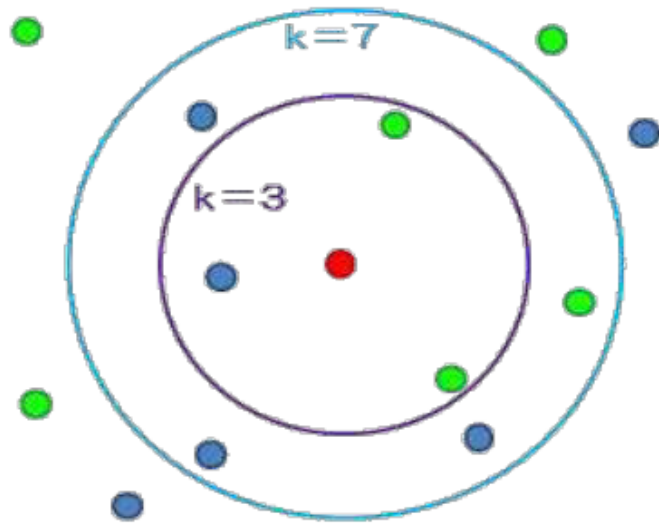


THE WORKING PRINCIPLE OF KNN



THE WORKING PRINCIPLE OF KNN

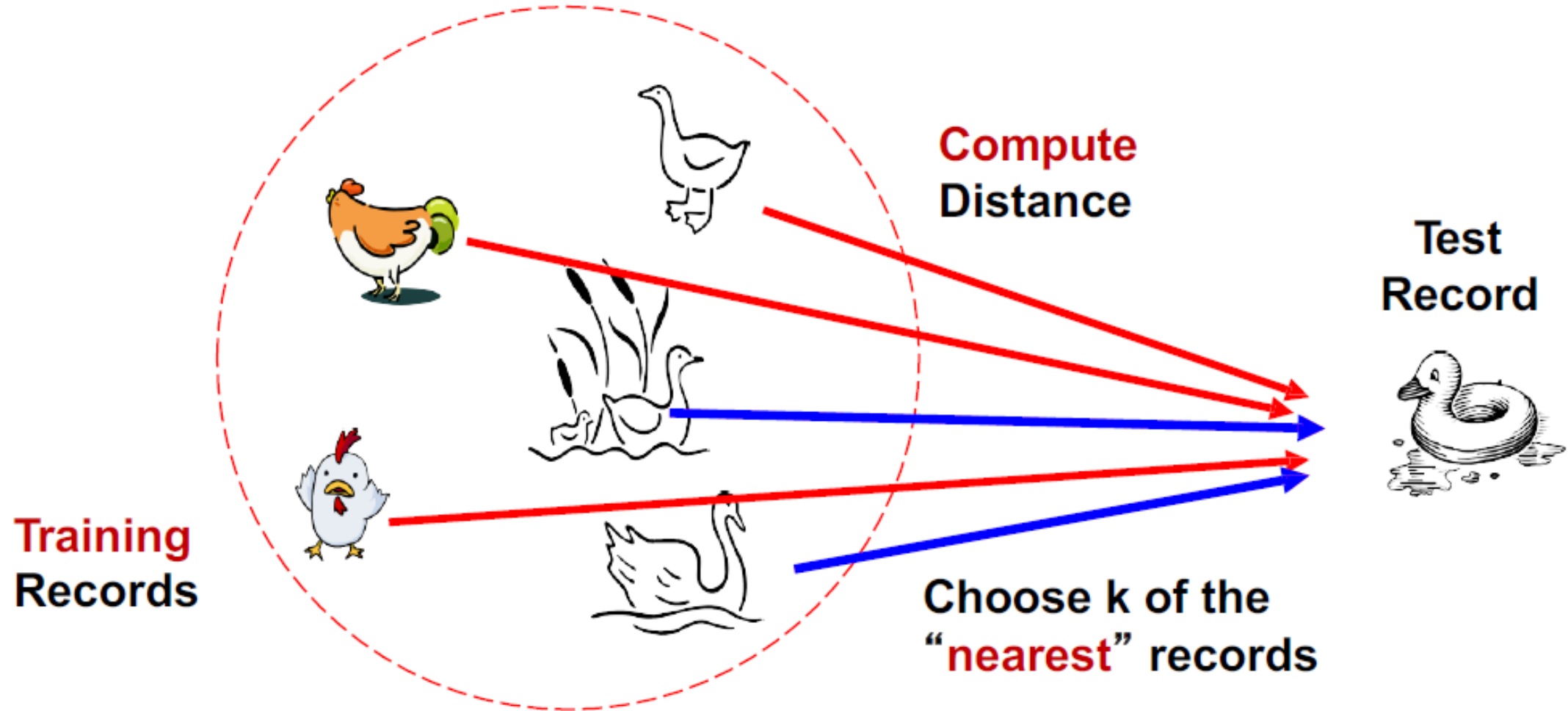
- K-Nearest Neighbor is considered a **lazy learning** algorithm that classifies data sets based on their **similarity** with **neighbors**.



“K” stands for number of data **set** items that are considered for the classification.

Ex: Image shows classification for different k-values.

DISTANCE FUNCTIONS



DISTANCE FUNCTION – EUCLIDEAN DISTANCE

- *Euclidean* distance between two examples.
 - $X = [x_1, x_2, x_3, \dots, x_n]$
 - $Y = [y_1, y_2, y_3, \dots, y_n]$
 - The Euclidean distance between X and Y is defined as:

$$D(X, Y) = \sqrt{\sum_{i=1}^n (x_i - y_i)^2}$$

DISTANCE FUNCTION – EUCLIDEAN DISTANCE

- Euclidean Distance:

$$X = \langle x_1, x_2, \dots, x_n \rangle \quad Y = \langle y_1, y_2, \dots, y_n \rangle$$

$$\text{dist}((x, y), (a, b)) = \sqrt{(x - a)^2 + (y - b)^2}$$

Ex: Given $X = \{-2, 2\}$ & $Y = \{2, 5\}$

$$\begin{aligned} \text{Euclidean Distance} &= \text{dist}(X, Y) = (-2-2)^2 + (2-5)^2 \\ &= \text{dist}(X, Y) = (-4)^2 + (-3)^2 \\ &= \text{dist}(X, Y) = 16 + 9 \\ &= \text{dist}(X, Y) = 25 \\ &= \text{dist}(X, Y) = 5 \end{aligned}$$

DISTANCE FUNCTION – MANHATTAN DISTANCE

- For the **numeric** data let us consider some **distance measures**:

- **Manhattan Distance**:

$$X = \langle x_1, x_2, \dots, x_n \rangle \quad Y = \langle y_1, y_2, \dots, y_n \rangle$$

$$\text{dist}(X, Y) = |x_1 - y_1| + |x_2 - y_2| + \dots + |x_n - y_n|$$

- Ex: Given $X = \{1, 2\}$ & $Y = \{2, 5\}$

$$\begin{aligned} \text{Manhattan Distance} &= \text{dist}(X, Y) = |1 - 2| + |2 - 5| \\ &= 1 + 3 \\ &= 4 \end{aligned}$$

EXAMPLE – CALCULATION

- Consider the following data: $A = \{\text{weight, size}\}$
 $G = \{\text{Apple}(A), \text{Mangosteen}(M)\}$
- A neighbour gives a fruit to me. However, the fruit is wrapped nicely in a white, soft wrapping paper. Please help me to predict the **type of the fruit** with:
 - Weight: 373 g,
 - Size = 4 cm
 - Let us use **$k = 3$** nearest neighbors.

EXAMPLE – CALCULATION

Fill in the table to calculate KNN.

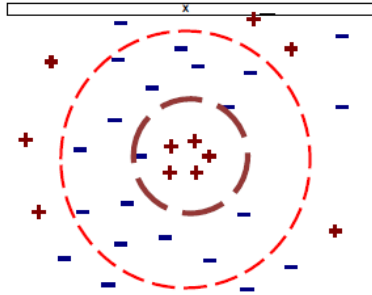
Fruit Type	Weight (g)	Size (cm)	Euclidean Distance	Rank Minimum Distance	Belongs to the neighborhood ?
Mangosteen	303	4			
Apple	378	5			
Mangosteen	298	3			
Mangosteen	277	4			
Apple	377	6			

Count of mangosteen neighborhood members = _____

Count of apple neighborhood members = _____

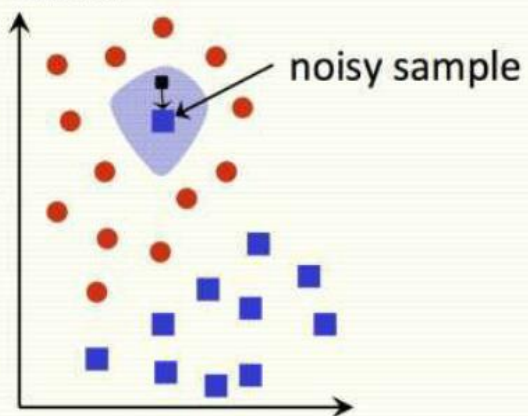
Class based on the majority vote, fruit that gets the most votes = _____

- If K is too small it is sensitive to noise points.
- Larger K works well. But too large K may include majority points from other classes.



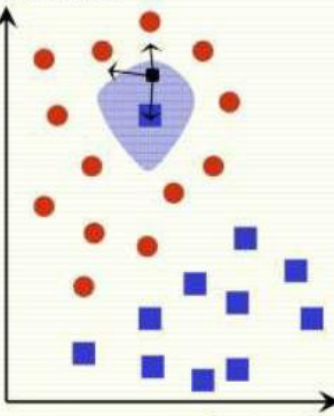
- Rule of thumb is $K < \sqrt{n}$, n is number of examples.

1 NN



every example in the blue shaded area will be misclassified as the blue class

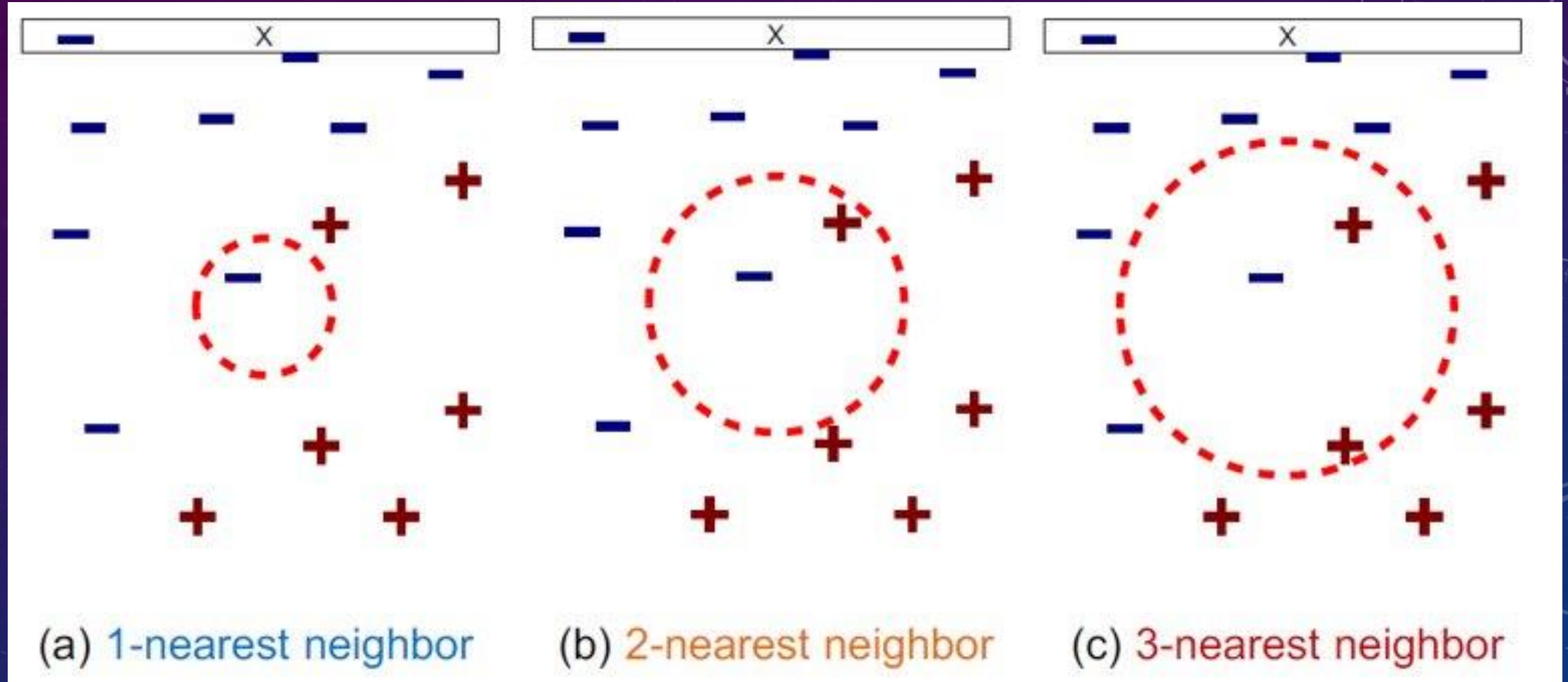
3 NN



every example in the blue shaded area will be classified correctly as the red class

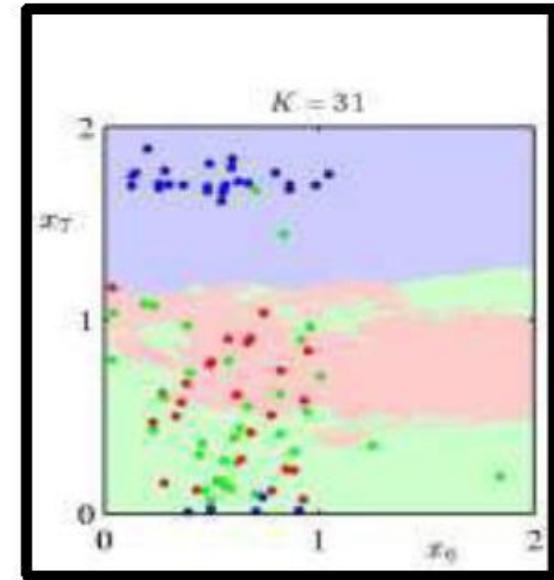
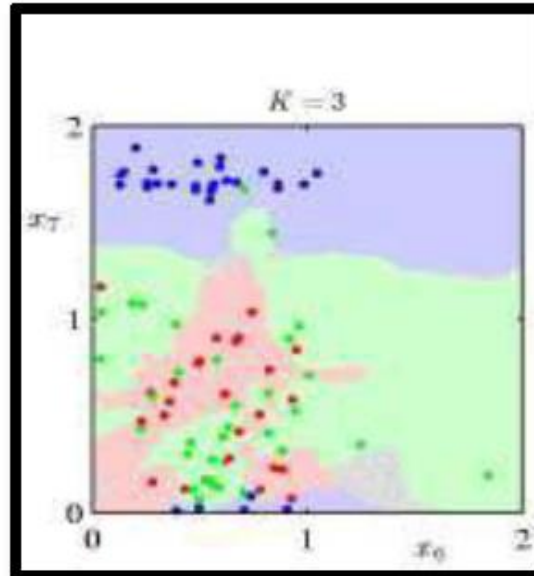
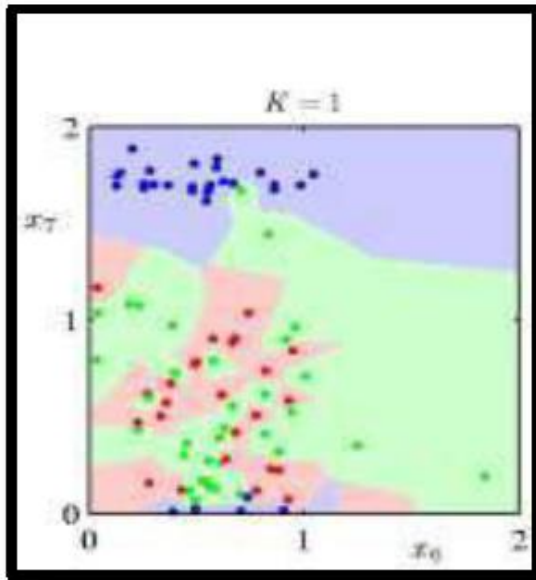
HYPERPARAMETER TUNING – HOW TO CHOOSE K ?

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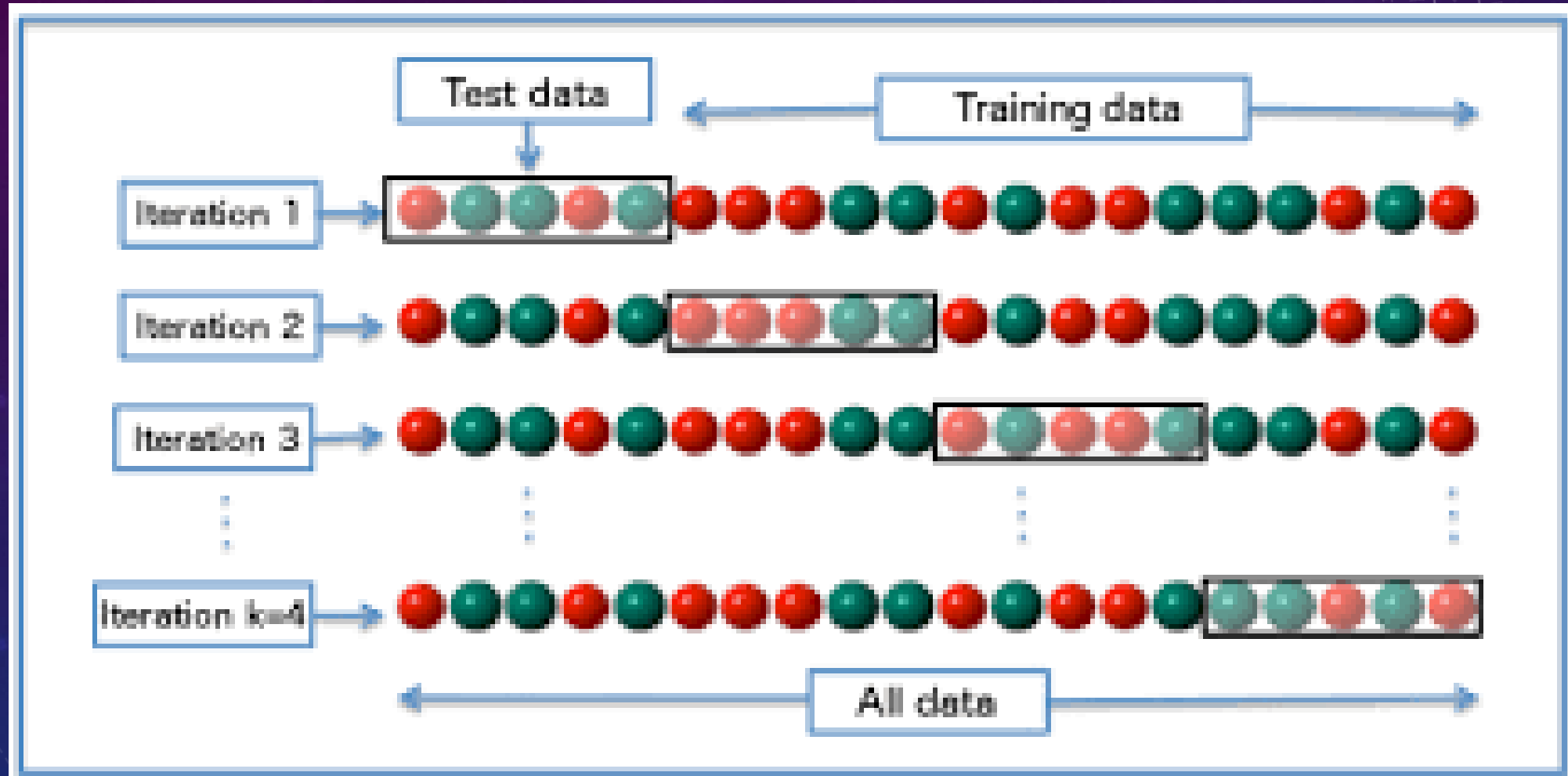
- Clearly, k has an impact on the classification. Can you guess?



HYPERPARAMETER TUNING – HOW TO CHOOSE k ?

- When k is small, single instances matter; bias is small, variance is large (undersmoothing): **High complexity**
- As k increases, we **average over more instances** and variance decreases but **bias increases** (oversmoothing): **Low complexity**
- Cross-validation is used to **finetune k** .

FINE TUNING – CROSS VALIDATION



ADVANTAGES & DISADVANTAGES OF KNN

Advantages

- Can be applied to the data from **any distribution**
 - for example, data does not have to be separable with a linear boundary
- Very **simple** and intuitive
- Good classification if the number of samples is **large enough**

Disadvantages

- Dependent on **K value** – maybe tricky
- **Test stage** is computationally expensive
- No training stage, all the work is done during the **test stage**
- This is actually the opposite of what we want.
 - Usually we can afford **training step to take a long time**, but we want **fast test** step
- Need large number of samples for accuracy

APPLICATIONS OF KNN

A few Applications and Examples of KNN

- Credit ratings—collecting financial characteristics vs. comparing people with similar financial features to a database. By the very nature of a credit rating, people who have similar financial details would be given similar credit ratings. Therefore, they would like to be able to use this existing database to predict a new customer's credit rating, without having to perform all the calculations.
- Should the bank give a loan to an individual? Would an individual default on his or her loan? Is that person closer in characteristics to people who defaulted or did not default on their loans?
- In political science—classing a potential voter to a “will vote” or “will not vote”, or to “vote Democrat” or “vote Republican”.
- More advance examples could include handwriting detection (like OCR), image recognition and even video recognition.

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TOOLS THAT HELP YOU TO LEARN MORE

- Azure for Student
 - <https://azure.microsoft.com/en-us/free/students/>
- Microsoft Learn
 - <https://docs.microsoft.com/en-us/learn/>
- Azure Machine Learning Documentation
 - <https://docs.microsoft.com/en-us/azure/machine-learning/>
- Other Python Libraries
 - Scikit-Learn - <https://scikit-learn.org/stable/>
 - Matplotlib - <https://matplotlib.org/tutorials/index.html>
- Others Learning Path
 - Machine Learning on AWS - <https://aws.amazon.com/machine-learning/>
 - Udemy or Coursera
 - Join competition such as Imagine Cup

THANK YOU