

Due November 8st (Friday), 11:59 pm

Formatting: Each problem should begin on a new page. When submitting in Gradescope, try to assign pages to problems from the rubric as much as you can. Make sure you write all your group members' names. For the full policy on assignments, consult the syllabus.

1. (15 pts.)

Suppose we have an optimal prefix code on a set  $C = \{0, 1, \dots, n-1\}$  of characters and we wish to transmit this code using as few bits as possible. Show how to represent any optimal prefix code on  $C$  using only  $2n - 1 + n \lceil \log n \rceil$  bits. (Hint: Use  $2n - 1$  bits to specify the structure of the tree, as discovered by a walk of the tree.)

2. (15 pts.)

Generalize Huffman's algorithm to ternary codewords (i.e., codewords using the symbols 0, 1, and 2), and prove that it yields optimal ternary codes.

3. (20 pts.)

You are consulting for a trucking company that does a large amount of business shipping packages between New York and State College. The volume is high enough that they have to send a number of trucks each day between the two locations. Trucks have a fixed limit of  $W$  on the maximum amount of weight they are allowed to carry. Boxes arrive at the New York station one by one, and each package  $i$  has a weight  $w_i$ . The trucking station is quite small, so at most one truck can be at the station at any time. Company policy requires that boxes are shipped in the order they arrive; otherwise, a customer might get upset upon seeing a box that arrived after he made it to State College faster. At the moment the company is using a simple greedy algorithm for packing; they pack boxes in the order they arrive, and whenever the next box does not fit, they send the truck on its way.

But they wonder if they might be using too many trucks, and they want your opinion on whether the situation can be improved. Here is how they are thinking. Maybe one could decrease the number of trucks needed by sometime sending off a truck that was less full, and in this way allow the next few trucks to be better packed.

Prove that, for a given set of boxes with specified weights, the greedy the algorithm currently in use actually minimizes the number of trucks that are needed. Your proof should follow the type of analysis we used for the Interval Scheduling Problem: it should establish the optimality of this greedy packing algorithm by identifying a measure under which it "stays ahead" of all other solutions.