CE7455: Deep Learning for Natural Language Processing

Assignment # 3

Assignment Logistics

- **Submission Due:** 6 April 2022 (before 2:30 pm)
- **Submission:** Send it to ce7455.assignments@gmail.com with the subject "A3-YourStudentID".
- This is an **individually-graded assignment**. Although you can discuss with your peers, you have to submit your own assignment (following the university guideline).
- Softcopy submission: A CE7455-A3-StudentID.zip file containing the following files and folder should be submitted: (i) Report.PDF, (ii) Readme.txt, (ii) SourceCode.
  - (i) Report.PDF should contain the written part.
  - (ii) Readme.txt should include instructions to run the code and explanations of sample output obtained from your code.
  - (iii) SourceCode folder should contain all your source code. The libraries should NOT be included in the softcopy submission to minimize the file size. You can also submit a **Jupyter notebook.**

1 Question One [50 marks]

The Transformer [12] has been the dominant encoder/decoder architecture for many NLP tasks in recent years. With the access to larger GPU/TPU memory and its distributed computational capability, researchers have trained transformers with millions [9, 4, 8, 3], billions [2, 1, 10, 7] and trillions [5] of parameters. Some work [13, 6, 14] has been done on scaling the self/cross attention further. However, the basic structure of the model widely remains same. A taxonomy of the different modifications of the transformer architecture is shown in Figure 2 for those interested.

In this assignment, we will dive deep into the original transformer architecture proposed in [12]. In general, the transformer utilizes self/cross-attention with multiple heads and combines them via a regular feed-forward network. In the original base transformer, the basic hyperparameters are,

- Number of layers, $N = 6$
- Feature dimension for each token, $d_{model} = 512$

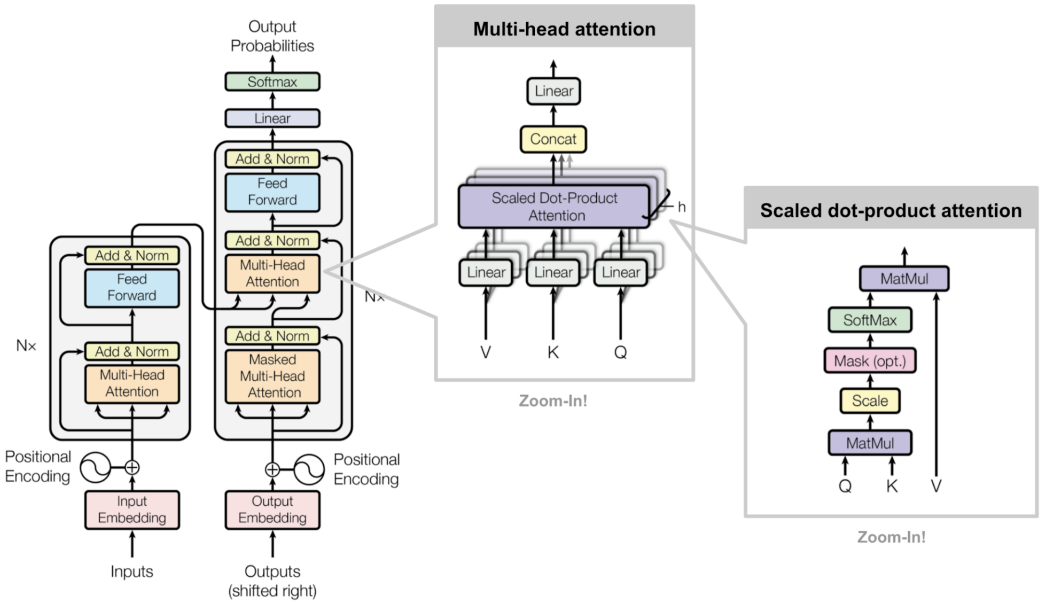

Figure 1: Transformer Architecture [12]

- Number of heads, $h = 8$
- Key and value representation size, $d_k = \frac{d_{model}}{h} = 64$
- Hidden representation size of the feed-forward layer, $ffn\_dim = 1024$

Answer the following questions:

(a) Assume you have only one layer ($N = 1$) in the transformer.

- Calculate the total number of parameters in the transformer encoder layer based on the remaining default hyper-parameters mentioned above.
- Now increase the number of layers one by one up to 12 and show how the number of parameters increases compared to the number of layers N.
- For each of the previous calculations, increase the token representation size of d_{model} from 512 to 1024 and 2048, and show how the number of parameters increases compared to the number of layers.
- Discuss how the total number of parameters changes with respect to the hyper-parameter values based on your experiments.
- Implementation guide : You can use *torch.nn.TransformerEncoderLayer* to implement the transformer layer and calculate the number of parameters.

(b) Do you agree/disagree with the following statement (provide necessary arguments to support your stand):

"Multi-head attention works as an ensemble of heads in the transformer architecture."

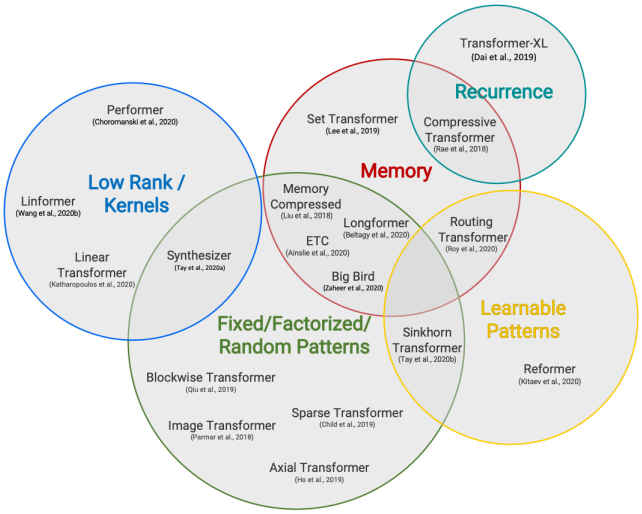

Figure 2: Taxonomy of Efficient Transformer Architectures. [11]

(c) How is the decoder training and decoder inference different in the transformer architecture, compared to recurrent models like LSTM/GRU?

(d) How does the transformer architecture capture sequence information despite having no sequential probability calculation like RNN?

(e) Follow the example code here. You will find a *TransformerModel* class in the *model.py* file which implements the *TransformerEncoder* class from the *torch.nn* library. You can also use the *Huggingface* library to train a language model. For training a language model with *Huggingface*, follow the tutorial here. We recommend writing the transformer encoder or decoder from scratch following the tutorial from Alexander Rush and integrating it into the project. Apart from that you may also look into popular library implementations (fairseq, OpenNMT-py, huggingface) and borrow different modules from there to implement your Transformer architecture.

- Train the word language model with **wikitext** (data is given in the repository). Train the model for 10 epochs. Select the best model based on development set perplexity. Report the perplexity of the test set.
- You may perform hyper-parameter search on the model based on the hyper-parameters (N, d_{model}, h, d_k, ffn_dim) discussed above in the assignment.
- What happens when you do not perform scaling (no $\sqrt{d_k}$ in the softmax in equation 1 in [12]) in the attention head? Report the effect of scaling on perplexity.

Note: If you are using a third party library for implementing the *Transformer* model (*i.e. Huggingface*), be careful about the model parameters. You may have to handle the parameters differently in different libraries.

References

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