

# HE, PENGFEI

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## EDUCATION

- **Michigan State University,**
  - Ph.D. in Computer Science and Engineering. Advisor: Dr. Jiliang Tang Sep 2022 - Aug 2026 (expected)
  - Ph.D. in Statistics and Probability. Dr. Yuehua Cui and Dr. Haolei Weng Sep 2020 - Aug 2025 (expected)
  - Research Interest: **Adversarial attack and model robustness. Trustworthy LLMs and diffusion models. Causal Inference. LLM-based agent.**
- **University of Wisconsin-Madison,**
  - M.Sc. in Statistics, Department of Statistics Sep 2019 - May 2020
- **Nankai University,**
  - B.Sc. in Statistics, School of Mathematics Sep 2015 - May 2019

## RESEARCH EXPERIENCES

### Sharpness-aware Data Poisoning Attack

- Data poisoning attacks are perturbations injected into the dataset so that trained model will perform poorly. Existing attacks are developed for one or a few victim models, and have poor transferability across different victim models and training algorithms.
- We develop a new attacking method (SAPA), inspired by the loss landscape sharpness, to search for poisoning samples that can maximize the poisoning effect on the most robust victim model. Our method is shown as a general strategy that can be combined with existing attacks and improve their performance on various models and real datasets like Cifar10 and ImageNet.

### Data Poisoning on In-context Learning

- In-context learning (ICL) is a powerful ability that emerged from large language models (LLMs). However, ICL suffers from a high risk against potential data poisoning attacks.
- We develop the first practical data poisoning attack against ICL to evaluate the robustness of commonly used LLMs. We add perturbations to the demonstration examples to distort the hidden states extracted from LLMs to interrupt its prediction.

### Spectral Analysis on Multi-Manifold Clustering

- Multi-Manifold Clustering (MMC) aims to retrieve the multi-manifold structure underlying a given Euclidean data set. The challenge is that manifolds are usually intersected and have different dimensions.
- We investigate the sufficient conditions on similarity of graphs to ensure their corresponding graph Laplacians capturing the correct geometric information when solving the MMC problem. We provide high probability error bounds for the spectral approximation of a tensorized Laplacian on manifolds with a suitable graph Laplacian built from the observations.

## PUBLICATIONS

- Pengfei He, Han Xu, Jie Ren, Yingqian Cui, Charu C. Aggarwal, Jiliang Tang. *Sharpness-Aware Data Poisoning Attack*, International Conference on Learning Representations (ICLR), 2024, **Spotlight 5%**.
- Pengfei He, Nicolas Garcia Trillo, Chenghui Li. *Large Sample Spectral Analysis of Graph-based Multi-manifold Clustering*. Journal of Machine Learning Research (JMLR), 2023.
- Han Xu, Pengfei He, Jie Ren, Yuxuan Wan, Zitao Liu, Jiliang Tang. *Probabilistic Categorical Adversarial Attack & Adversarial Training*, In the Proceedings of 40th International Conference on Machine Learning (ICML), 2023.
- Pengfei He, Haochen Liu, Xiangyu Zhao, Jiliang Tang. *PROPN: Personalized Probabilistic Strategic Parameter Optimization in Recommendations*. 31st ACM International Conference on Information & Knowledge Management (CIKM), 2022.
- Pengfei He, Yingqian Cui, Han Xu, Hui Liu, Makoto Yamada, Jiliang Tang, Yue Xing. *Towards the Effect of Examples on In-Context Learning: A Theoretical Case Study*. M3L and SFLLM Workshop NeurIPS 2024.
- Pengfei He, Han Xu, Yue Xing, Jie Ren, Yingqian Cui, Shenglai Zeng, Jiliang Tang, Makoto Yamada, Mohammad Sabokrou. *Confidence-driven Sampling for Backdoor Attacks*. ArXiv.
- Pengfei He, Han Xu, Yue Xing, Makoto Yamada, Jiliang Tang. *Data Poisoning for In-context Learning*. ArXiv.
- Pengfei He, Yuping Lin, Han Xu, Yue Xing, Makoto Yamada, Hui Liu, Jiliang Tang. *Towards Understanding Jailbreak Attacks in LLMs: A Representation Space Analysis*. EMNLP 2024
- Shenglai Zeng, Yixin Li, Jie Ren, Yiding Liu, Han Xu, Pengfei He, Yue Xing, Shuaiqiang Wang, Jiliang Tang, Dawei Yin. *Exploring Memorization in Fine-tuned Language Models*. ACL 2024.
- Shenglai Zeng, Jiankun Zhang, Pengfei He, Yiding Liu, Yue Xing, Han Xu, Jie Ren, Yi Chang, Shuaiqiang Wang, Dawei Yin, Jiliang Tang. *The Good and The Bad: Exploring Privacy Issues in Retrieval-Augmented Generation (RAG)*. ACL 2024.
- Yingqian Cui, Jie Ren, Pengfei He, Jiliang Tang, Yue Xing. *Superiority of Multi-Head Attention in In-Context Linear Regression*. ArXiv.
- Han Xu, Jie Ren, Pengfei He, Shenglai Zeng, Yingqian Cui, Amy Liu, Hui Liu, Jiliang Tang. *On the Generalization of Training-based ChatGPT Detection Methods*. EMNLP 2024.

## PROFESSIONAL EXPERIENCES

- Visiting research scholar at Okinawa Institute of Science and Technology (OIST), May 2023 - Jul 2023, Dec 2023 - present  
Research Intern at Alibaba Group (U.S.) Inc., June 2024 - Sept 2024

## **AWARDS**

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- Awarded the Professor James Stapleton Prize in Statistics, Michigan State University, Fall 2021.
- Travel Grant. Michigan State University, Graduate School, 2023, 2024.
- KDD-2022 Student Registration Award.
- CIKM-2022 Student Registration Award.

## **OTHER EXPERIENCE**

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- Serve as PC Member: AAAI-2022, AAAI-2023, KDD-2023, SDM-2023, ICDM-2023, PAKDD-2023, AAAI-2024, WWW-2024, KDD-2024, SDM-2024, ACL-2024, EMNLP-2024, ICML-2024, NeurIPS-2024, ICLR-2025, SDM-2025, AISTAT-2025
- Serve as Journal Reviewer: Journal of the American Statistical Association (JASA), Transactions on Knowledge and Data Engineering (TKDE), Transactions on Knowledge Discovery from Data (TKDD), Transactions on Machine Learning Research (TMLR)
- Serve as SPC for TKDD
- Serve as conference volunteers: KDD-2022

## **PROFESSIONAL SKILLS**

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- **Programming languages:** Python, R, MATLAB.
- **Softwares and systems:** Pytorch, Tensorflow, Numpy, Pandas, Sklearn, Git, Docker, Linux.
- **AI skills:** Large language models (Llama, Vicuna, Mixtral, Pythia, Gemma, GPT), computer vision (Vision Transformer, ResNet), data science, data visualization.