

# Notes for Math 566 – Algebraic Combinatorics

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# Chapter 1

## Graph and Trees

### 1.1 Linear Algebra Preliminaries

Let  $M$  be a  $p \times p$  matrix with entries in  $\mathbb{C}$ . The eigenvalues  $\lambda_1, \lambda_2, \dots, \lambda_p$  are defined by

$$\det(t \operatorname{id} - M) = \prod_{i=1}^p (t - \lambda_i).$$

Taking coefficients of  $t^{p-1}$  on both sides we obtain

$$\operatorname{tr} M = \sum_k \lambda_k. \quad (1.1.1)$$

**Lemma 1.1.1.** *Let  $f(t) \in \mathbb{C}[t]$ . Then  $f(M)$  have eigenvalues  $f(\lambda_1), \dots, f(\lambda_p)$ .*

*Proof.* If  $M$  is diagonalizable, then the statement is clear:  $f(M)$  has the same eigenvectors as  $M$ , with eigenvalues  $f(\lambda_k)$ . Then use a continuity argument. (Diagonalizable matrices are dense.) Alternative proof: use Jordan's normal form. ■

Combining (1.1.1) with the lemma, we have

$$\operatorname{tr} M^\ell = \sum_k \lambda_k^\ell. \quad (1.1.2)$$

PROBLEM: [A solution is given in Stanley's textbook.] Let  $\alpha_1, \dots, \alpha_r$  and  $\beta_1, \dots, \beta_r$  be

nonzero complex numbers such that for *all* positive integer  $\ell$  we have

$$\alpha_1^\ell + \dots + \alpha_r^\ell = \beta_1^\ell + \dots + \beta_r^\ell.$$

Show that this implies that  $r = s$ , and that  $\alpha$ 's are a permutation of  $\beta$ 's.

In the majority of forthcoming applications,  $M$  is symmetric and real. Then it is diagonalizable, with real eigenvalues  $\lambda_1, \dots, \lambda_p$ .

## 1.2 Counting Walk

Let  $G$  be a graph on the vertex set  $\{1, \dots, p\}$ . (We allow loops and multiple edges.) Let  $M = A(G)$  be its adjacency matrix.

**OBSERVATION** The number of walks of length  $\ell$  from  $i$  to  $j$  is equal to  $(M^\ell)_{ij}$ .

In general, counting walks requires knowing the matrix  $M$  (equivalently, knowing both the eigenvalues  $\lambda_k$  and the corresponding eigenvectors). On the other hand, some enumerative information can be extracted from the eigenvalues alone:

**Proposition 1.2.1.** *The number of marked closed walks of length  $\ell$  is equal to  $\sum_{k=1}^p \lambda_k^\ell$ .*

Here "marked" means that the starting location is fixed, as is a particular instance of passing through it, in case we do it several times.

*Proof.* By the last observation, the number of marked closed walks of length  $\ell$  is equal to  $\text{tr } M^\ell$ , which equals to  $\sum_{k=1}^p \lambda_k^\ell$  by (1.1.2). ■

**Example 1.2.1.** Let  $G = K_p$ , the complete graph on  $p$  vertices. Let  $J$  denote the  $p \times p$  matrix all of whose entries are 1. Let  $I$  denote the  $p \times p$  identity matrix. Then  $A(G) = J - I$ . Obviously  $\text{rk } J = 1$  and  $\text{tr } J = p$ . Hence the eigenvalues of  $J$  are  $0, \dots, 0, p$ , and the eigenvalues of  $A(G) = J - I$  are  $-1, \dots, -1, p - 1$ .

**Corollary 1.2.1.** *There are  $(p - 1)^\ell + (-1)^\ell(p - 1)$  marked closed walks of length  $\ell$  in  $K_p$ .*

**NOTE** This is the number of  $(\ell + 1)$ -letter words in a  $p$ -letter alphabet in which no two consecutive letters are identical, and which begin and end by the same letter.

**PROBLEM** Show that the number of walks of length  $\ell$  between two distinct vertices in  $K_p$  differs by 1 from the number of closed walks of length  $\ell$  starting at a given vertex.

### 1.3 Eigenvalues of Adjacency Matrices

RECALL

$$\# \text{ of marked closed walks of length } \ell = \sum_{i=1}^p \lambda_i^\ell.$$

It can be used backwards: using counted walks to compute eigenvalues.

**Example 1.3.1.** Let  $G = K_{n,m}$  a complete bipartite graph.

$$\# \text{ of marked closed works of length } \ell = \begin{cases} 0 & \ell = 2k + 1 \\ 2n^{\ell/2}m^{\ell/2} & \ell = 2k \end{cases} = (\sqrt{nm})^\ell + (-\sqrt{nm})^\ell$$

Problem  $\Rightarrow$  eigenvalues are  $\sqrt{nm}, -\sqrt{nm}, 0, \dots, 0$ .

PROBLEM Prove that, for  $G$  connected, the  $\text{diam}(G) < \#$  of distinct eigenvalues.

**Example 1.3.2.**  $K_p = 1 < 2, K_{n,m} = 2 < 3$ .

### 1.4 Inequalities for the Maximal Eigenvalue

**Definition 1.4.1.** Suppose  $G$  a graph with vertices  $= \{1, \dots, p\}$ . Let

$$\lambda_{\max} := \max_i |\lambda_i| = \max \lambda_i.$$

**Proposition 1.4.1.**

$$\lambda_{\max} \leq \max \deg(G)$$

*Proof.* For any vector  $X = (x_k) \in \mathbb{C}^p$ ,

$$\max_j |(A(G)X)_j| \leq \max \deg(G) \cdot \max_k |X_k|$$

Now suppose  $X$  is an eigenvector of  $A(G)$  with eigenvalue  $\lambda$ . Then

$$\max_j |(A(G)X)_j| = |\lambda| \max_k |X_k| \leq \max \deg(G) \cdot \max_k |X_k| \implies |\lambda| \leq \max \deg(G)$$

This holds for all eigenvalue  $\lambda_i$ , which proves our proposition. ■

ALTERNATE PROOF: by counting closed walks ( $\leq \sum \max \deg(G)^\ell$ .)

PROBLEM Prove that  $\lambda_{\max} \geq$  average degrees of the vertices of  $G$ .

HINT for symmetric real matrix  $M$  we have  $\lambda_{\max} = \max_{|x|=1} x^T M x$ .

**Corollary 1.4.1.** *# of closed walk of length  $\ell$  grows exponentially in  $\ell$  with a rate  $\geq$  average degree.*

## 1.5 Eigenvalue of Block Anti-diagonal Matrices

$$M = \begin{bmatrix} 0 & B \\ B^T & 0 \end{bmatrix} \in \mathbb{R}_{n+m}$$

**Lemma 1.5.1.** *The non-zero eigenvalues (called "singular values" of  $B$ ) of  $M$  are  $\pm\sqrt{\mu_i}$  where  $\mu_i$  are nonzero eigenvalues of  $B^T B$  with multiplicities.*

Note that  $B^T B$  is positive definite.

*Proof.* Let  $F_X(t) = \det(t \text{id}_p - X)$ .

$$\begin{bmatrix} t \text{id}_n & -B \\ -B^T & t \text{id}_m \end{bmatrix} \begin{bmatrix} \text{id}_n & B \\ 0 & t \text{id}_m \end{bmatrix} = \begin{bmatrix} t \text{id}_n & 0 \\ -B & -B^T B + t^2 \text{id}_m \end{bmatrix}$$

$$F_M(t) \cdot t^m = t^n F_{B^T B}(t^2)$$

and the claim follows ■

So now we are equipped to compute the eigenvalue of bipartite graphs.

**Example 1.5.1.** Suppose  $G = K_{n,m}$ ,  $B^T B$  is  $m \times m$  matrix with all entries being  $n$ . So the eigenvalues of  $B^T B = nm, 0, 0, \dots$ . So eigenvalues of  $A(G)$  is  $\sqrt{mn}, -\sqrt{mn}, 0, 0, \dots$

PROBLEM Let  $G$  to be the graph obtained by removing  $n$  disjoint edges from  $K_{n,n}$ . Find the eigenvalue of  $G$ .

**Example 1.5.2.** Let  $G$  be a  $2n$ -cycle.  $M_{2n} = A(G) = \begin{bmatrix} 0 & B \\ B^T & 0 \end{bmatrix}$ . The  $B^T B = 2I_n + M_n$  for an appropriate labeling.

So if the eigenvalue of  $n$ -cycle are  $\lambda_1, \dots, \lambda_n$ . Then the eigenvalues of  $2n$ -cycles are  $\pm\sqrt{\lambda_i + 2}$ .

## 1.6 Eigenvalues of Circulant Matrices

**Definition 1.6.1.** A circulant matrix is of the form

$$M = \begin{bmatrix} s_0 & s_1 & s_2 & \dots & s_{p-1} \\ s_{p-1} & s_0 & s_1 & \dots & s_{p-2} \\ \vdots & & & & \\ s_1 & s_2 & s_3 & \dots & s_0 \end{bmatrix}.$$

**Lemma 1.6.1.**  $M$  has eigenvalues

$$\lambda_k = \sum_{j=0}^{p-1} s_j e^{\frac{2\pi i}{p} jk}, k = 0, 1, \dots, p-1.$$

Notice that

$$\lambda_k = \sum_{j=0}^{p-1} s_j e^{\frac{2\pi i}{p} jk} = s\left(e^{\frac{2\pi i}{p} k}\right) \quad p\text{-th root of unity.}$$

where

$$s(x) = \sum_{j=0}^{p-1} s_j x^j.$$

*Proof.* Let

$$T = \begin{bmatrix} 0 & 1 & 0 & \dots & 0 \\ 0 & 0 & 1 & \dots & 0 \\ \vdots & & & & \\ 1 & 0 & 0 & \dots & 0 \end{bmatrix}$$

We have that the eigenvalues of  $T$  and  $p$ -th roots of unity and characteristic polynomial is  $t^p - 1$ .

Key observation:  $M = s(T)$ . ■

**Definition 1.6.2.** A graph  $G$  is circulant if  $A(G)$  is circulant, for some choice of vertex labeling.

**Corollary 1.6.1.** The eigenvalue of  $p$ -cycle are

$$2 \cos\left(\frac{2\pi k}{p}\right), k = 0, 1, \dots, p-1.$$

*Proof.* By Lemma 1.6.1, we have that

$$\lambda_k = e^{\frac{2\pi i}{p}k} + e^{\frac{2\pi i}{p}(p-1)k} = e^{\frac{2\pi i k}{p}} + e^{-\frac{2\pi i k}{p}} = 2 \cos\left(\frac{2\pi k}{p}\right). \quad \blacksquare$$

*Remark.* This formula is consistent with the formula linking the eigenvalues of a  $2n$ -cycle and an  $n$ -cycle: if  $2 \cos \alpha = \lambda$ , then  $2 \cos \frac{\alpha}{2} = \pm \sqrt{2 + \lambda}$ .

**PROBLEM** Find the eigenvalues of the graph obtains by removing  $n$  disjoint edges from  $K_{2n}$ .

## 1.7 Eigenvalues of Cartesian Products

**Definition 1.7.1.** Suppose  $G, H$  are graphs with no loops. Define graph  $G \times H$  where

$$V(G \times H) = \{(g, h) : g \in V(G), h \in V(H)\},$$

and we have two kinds of edges:

- $(g, h) - (g', h)$  for  $g - g'$
- $(g, h) - (g, h')$  for  $h - h'$

**Example 1.7.1.** 1. Grid graph = path  $\times$  path

2. Discrete annulus (cylinder) = cycle  $\times$  path

3. Discrete torus = cycle  $\times$  cycle

4.  $n$ -cube graph

**Proposition 1.7.1.** If  $G$  has eigenvalues  $\lambda_1, \lambda_2, \dots$ ,  $H$  has eigenvalues  $\mu_1, \mu_2, \dots$ . Then  $G \times H$  has eigenvalues  $\lambda_i + \mu_j$  for any pair  $i, j$ .

*Proof 1.* (Tensor product)  $V_G, V_H$  are vector spaces formally spanned by vertices of  $G, H$ . Take  $u = \sum \alpha_g g \in V_G, v = \sum \beta_h h \in V_H$ . We have

$$u \otimes v = \sum_{g, h} \alpha_g \beta_h (g, h) \in V_{G \times H}.$$

The

$$A(G \times H)(u \otimes v) = (A(G)u) \otimes v + u \otimes (A(H)v)$$



Suppose  $u, v$  are eigenvectors i.e.  $A(G)u = \lambda u, A(H)v = \mu v$ . Then we get

$$A(G \times H)(u \otimes v) = \lambda u \otimes v + u \otimes \mu v = (\lambda + \mu)(u \otimes v). \quad \blacksquare$$

*Proof 2.* (Marked closed walk) Walk in  $G \times H \xrightarrow{1-1}$  a shuffle of marked closed walks in  $G \& H$ .

$$\begin{aligned} & \# \text{ of closed walks of length } \ell \text{ in } G \times H \\ &= \sum_k \binom{\ell}{k} \sum_i \lambda_i^k \sum_j \mu_j^{\ell-k} \\ &= \sum_i \sum_j \sum_k \binom{\ell}{k} \lambda_i^k \mu_j^{\ell-k} \\ &= \sum_{i,j} (\lambda_i + \mu_j)^\ell \end{aligned}$$

This set of numbers are unique by problem in lecture 1, so they must be the eigenvalues of  $G \times H$ . ■

PROBLEM Take a  $3 \times 3$  grid, find the number of marked closed walks of length  $\ell$ .

PROBLEM Direct problem of 8-cycle and  $K_2$ .

$n$ -CUBE GRAPH:

$$(K_2)^n = \underbrace{K_2 \times K_2 \times \cdots \times K_2}_{n \text{ times}}.$$

**Example 1.7.2.** When  $n = 3$ , we have a 3-D cube:

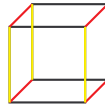


Figure 1.1: Cube graph  $K_2 \times K_2 \times K_2$

$K_2$  has adjacency matrix  $A(K_2) = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$  with eigenvalues  $\pm 1 \implies$  eigenvalues of  $(K_2)^n$  are

$$\lambda = \underbrace{\pm 1 \pm 1 \pm \cdots \pm 1}_{n \text{ times}}.$$

**Proposition 1.7.2.** The eigenvalues of  $(K_2)^n$  are of the form  $n - 2k$  where  $k = 0, 1, \dots, n$ , each

with multiplicities  $\binom{n}{k}$  i.e. the number of marked closed walks of length  $\ell$  in the  $n$ -cube graph is

$$\sum_{k=0}^n \binom{n}{k} (n-2k)^\ell$$

which is 0 when  $\ell$  is odd.

## 1.8 Random Walks

Let  $G$  be a regular graph of degree  $d$  on  $p$  vertices.

**Example 1.8.1.**  $G = (K_2)^n$  is regular with  $d = n$ .

A simple random walk on  $G$  originating at a vertex  $v$  is a random walk with equal probabilities for each adjacent vertices.

$$\begin{aligned} & \mathbb{P}(\text{walk is back at } v \text{ after } \ell \text{ steps}) \\ &= \frac{1}{d^\ell} \# \{ \text{marked closed walks of length } \ell \text{ originating from } v \} \\ &= d^{-\ell} p^{-1} \sum_1^p \lambda_i^\ell. \end{aligned}$$

assuming that  $\text{Aut}(G)$  acts transitively on vertices.

Notice that an arbitrary regular  $G$  does not necessarily have that condition, but the converse is true.

**Example 1.8.2.** The probability that a simple random walk on  $(K_2)^n$  returns to its origin after  $\ell$  steps is

$$\frac{1}{n^\ell 2^n} \sum_{k=0}^n \binom{n}{k} (n-2k)^\ell$$

## Chapter 2

# Tilings, Spanning Trees, and Electric Networks

### 2.1 Domino Tilings ("Dimers")

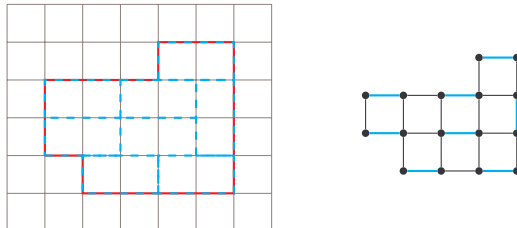
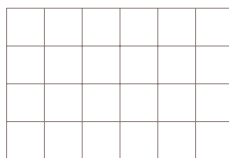


Figure 2.1: An example of domino tiling and perfect matching in its dual graph

A domino tiles decompose part of grids into  $1 \times 2$  rectangles.

Think of it another way: the "dual graph" where squares are vertices, and there exists an edge between two vertices iff the corresponding squares shares an edge. A tiling is a perfect matching between these vertices.

Special case:  $m \times n$  rectangular boards



Without loss of generality, assume that  $n$  is even. We denote the answer as  $T(m, n)$

The dual graph  $G$  is  $m$ -chain  $\times$   $n$ -chain. Notice that  $G$  is bipartite.

$M = A(G)$  has the form  $\begin{bmatrix} 0 & B \\ B^T & 0 \end{bmatrix}$  given appropriate labeling of vertices where  $B$  is a square matrix.

CLAIM  $T(m, n) = \text{the permanent of matrix } B$ .

Permanents do not have nice properties, thus they are hard to calculate. In order to better calculate the permanent of  $B$ , let  $\tilde{B}$  obtained from  $B$  by replacing the 1's by corresponding to vertical tiles by  $i$ 's where  $i^2 = -1$ .

**Proposition 2.1.1.**  $T(m, n) = \text{per}(B) = \pm \det(\tilde{B})$ .

**Lemma 2.1.1** (exercise). *Any two domino tilings of a rectangular board are related to each other via "flips" of the form (two horizontal  $\leftrightarrow$  two vertical)*

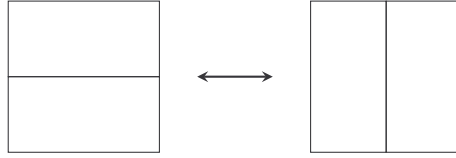


Figure 2.2: Example of a flip

*Proof of Prop.* This is equivalent to all nonzero terms in  $\det(\tilde{B})$  are equal and are  $\pm 1$ . The latter claim follows from the former, since the all-horizontal tiling contributes  $\pm 1$ .

Then it is enough to show that the contributions of two tilings that differ by a flip are equal to each other.

It means swapping two diagonal entries, thus change the sign of permutation, but one of them is  $1^2$  while the other being  $i^2$ , so the result does not change.  $\blacksquare$

Now we can use some linear algebra to calculate the determinant.

Denote  $\tilde{M} = \begin{bmatrix} 0 & \tilde{B} \\ \tilde{B}^T & 0 \end{bmatrix}$ . Then  $\det(\tilde{M}) = \pm(\det(\tilde{B}))^2 = \pm(T(m, n))^2$ .

OBSERVATION We have

$$M = \text{id}_m \otimes A_n + A_m \otimes \text{id}_n,$$

where  $A_n, A_m$  are adjacency matrices of chain graphs. Similarly,

$$\tilde{M} = \text{id}_m \otimes A_n + iA_m \otimes \text{id}_n,$$

since  $\tilde{M}$  obtained by vertical tile with  $i$ 's. Hence the eigenvalues of  $\tilde{M}$  are  $\lambda_i + i\mu_k$ .

Now we only need to find the eigenvalues of chain graph. For a  $n$ -chain, we have

$$A_n = \begin{bmatrix} 0 & 1 & 0 & 0 & \dots & 0 \\ 1 & 0 & 1 & 0 & \dots & 0 \\ 0 & 1 & 0 & 1 & \dots & 0 \\ \vdots & & & & & \\ 0 & 0 & 0 & \dots & 1 & 0 \end{bmatrix}$$

**Proposition 2.1.2.** *The eigenvalues of  $A_n$  are*

$$\lambda_k = 2 \cos \left( \frac{k\pi}{n+1} \right) \quad \text{for } k = 1, \dots, n.$$

*Proof.* An eigenvector  $u = (u_1, \dots, u_n)^T$  of  $A_n$  associated with eigenvalue  $\lambda$  satisfies

$$u_{j-1} + u_{j+1} = \lambda u_j, \quad 1 \leq j \leq n$$

with the convention that  $u_0 = u_{n+1} = 0$ .

A divine revelation: recall that

$$\sin \alpha + \sin \beta = 2 \cos \frac{\beta - \alpha}{2} \sin \frac{\alpha + \beta}{2}.$$

This suggest taking

$$u_j = \sin \left( \frac{\pi k j}{n+1} \right) \quad \text{for } j = 1, \dots, n.$$

with eigenvalue

$$\lambda_k = 2 \cos \left( \frac{k\pi}{n+1} \right).$$

■

**Example 2.1.1.**

$$n = 3, \det(t \text{id} - A_3) = t^3 - 2t = t(t - \sqrt{2})(t + \sqrt{2}).$$

So the eigenvalues are

$$\lambda_1 = \sqrt{2} = 2 \cos \left( \frac{1\pi}{4} \right), \lambda_2 = 0 = 2 \cos \left( \frac{2\pi}{4} \right), \lambda_3 = -\sqrt{2} = 2 \cos \left( \frac{3\pi}{4} \right).$$

Now

$$\begin{aligned}
\det \tilde{M} &= \prod_{j=1}^n \prod_{k=1}^m \left( 2 \cos \frac{j\pi}{n+1} + i 2 \cos \frac{k\pi}{m+1} \right) \\
&= \prod_{j=1}^{n/2} \prod_{k=1}^m \left( 2 \cos \frac{j\pi}{n+1} + i 2 \cos \frac{k\pi}{m+1} \right) \left( 2 \cos \frac{(n+1-j)\pi}{n+1} + i 2 \cos \frac{k\pi}{m+1} \right) \\
&= \prod_{j=1}^{n/2} \prod_{k=1}^m \left( 2 \cos \frac{j\pi}{n+1} + i 2 \cos \frac{k\pi}{m+1} \right) \left( -2 \cos \frac{j\pi}{n+1} + i 2 \cos \frac{k\pi}{m+1} \right) \\
&= \pm \prod_{j=1}^{n/2} \prod_{k=1}^m \left( 4 \cos^2 \frac{j\pi}{n+1} + 4 \cos^2 \frac{k\pi}{m+1} \right)
\end{aligned}$$

**Theorem 2.1.1** (P.Kasteleyn, M.Fisher, H.N.V.Temperley, 1961). *When  $m$  is even,*

$$T(m, n) = \prod_{j=1}^{n/2} \prod_{k=1}^{m/2} \left( 4 \cos^2 \frac{j\pi}{n+1} + 4 \cos^2 \frac{k\pi}{m+1} \right).$$

*When  $m$  is odd,*

$$T(m, n) = \prod_{j=1}^{n/2} 2 \cos \frac{j\pi}{n+1} \prod_{k=1}^{(m-1)/2} \left( 4 \cos^2 \frac{j\pi}{n+1} + 4 \cos^2 \frac{k\pi}{m+1} \right).$$

**Example 2.1.2.** For  $n = m = 8$ , we get  $T(8, 8) = 12,988,816 = 3604^2$ .

**PROBLEM\*** For any positive integer  $a \in \mathbb{Z}_{>0}$ ,  $T(4a, 4a)$  is a perfect square,  $T(4a-2, 4a-2)$  is twice a perfect square.

Asymptotics of  $T(n, n)$ : reasonable to expect  $T(n, n) \sim e^{cn^2}$ .

We take the natural log of  $T(n, n)$ :

$$\begin{aligned}
\frac{\ln T(n, n)}{n^2} &= \frac{1}{n^2} \sum_{k=1}^{n/2} \sum_{j=1}^{n/2} \ln \left( 4 \cos^2 \frac{\pi k}{n+1} + 4 \cos^2 \frac{\pi j}{n+1} \right) \\
&\sim \frac{1}{\pi^2} \sum \sum \left( \frac{\pi}{n+1} \right)^2 \ln \left( 4 \cos^2 \frac{\pi k}{n+1} + 4 \cos^2 \frac{\pi j}{n+1} \right)
\end{aligned}$$

Notice that the right hand side is a Riemann sum of the function  $\ln(4 \cos^2 x + 4 \cos^2 y)$ .

So the sum approaches to

$$\frac{1}{\pi^2} \int_0^{\pi/2} \int_0^{\pi/2} \ln(4 \cos^2 x + 4 \cos^2 y) dx dy = \frac{K}{\pi}$$

where  $K$  is Catalan's constant. As of today, it is not known whether it is irrational, nor transcendental.

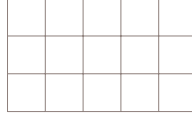
So we have  $T(n, n) \approx 1.34^{n^2}$ .

Another way to define Catalan's constant:

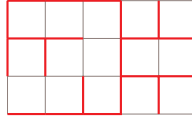
$$K = \beta(2) = \sum_{n=0}^{\infty} \frac{(-1)^n}{(2n+1)^2} = \frac{1}{1^2} - \frac{1}{3^2} + \frac{1}{5^2} - \frac{1}{7^2} + \dots$$

## 2.2 Spanning Tree in Grid Graphs

Suppose a grid graph  $G$ :



We can keep some edges and discard others to obtain a connected acyclic subgraph of  $G$  (which is a spanning tree).



**Theorem 2.2.1** (H.N.V. Temperley, 1974). *Consider a rectangular board of odd size  $(2k-1) \times (2\ell-1)$  with one corner removed. The number of domino tilings of the board is equal to the number of spanning trees in the  $k \times \ell$  grid.*

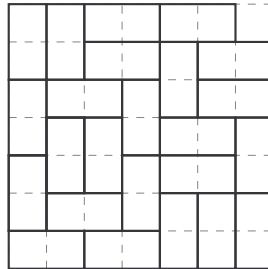


Figure 2.3: A domino tiling satisfying the condition

*Proof.* Find a bijection between domino tilings and spanning trees.

PROBLEM Prove that Temperley's map showed in Figure 2.4 produces a tree.

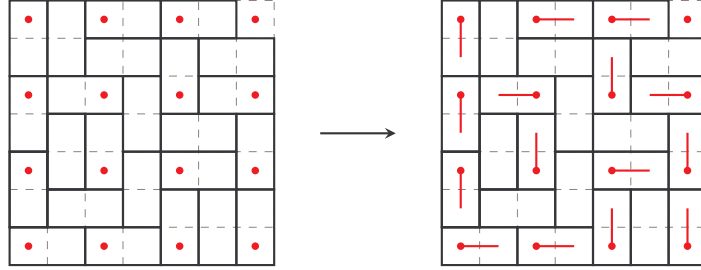


Figure 2.4: Converting domino tiling into trees

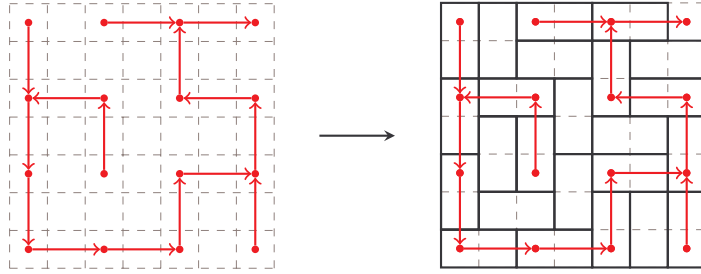


Figure 2.5: Converting domino tiling into trees

Now we have a forward map. We also need to obtain the inverse map from spanning trees to domino tiling. Fixing a border point as the root of the tree, we can make the tree a directed graph and add domino tiles accordingly. ■

**Corollary 2.2.1.**

$$\# \text{ of spanning trees in a } k \times \ell \text{ grid} \approx \left( e^{\frac{4K}{\pi}} \right)^{k\ell} \approx 3.21^{k\ell}.$$

PROBLEM Prove that the number of domino tilings (if exist) of an odd-by-odd rectangle with a boundary box removed doesn't depend on which box we removed.

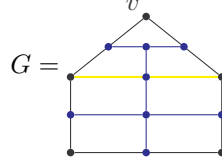
## 2.3 Spanning Trees of Planar Graphs

Suppose  $P$  is a polygon,  $G$  a polygonal subdivision of  $P$ . Define  $H$  by adding midpoints and extra vertex in each bounded face and adding edges to connect them.

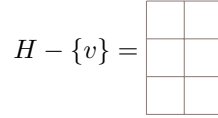


**PROBLEM** Show that the number of spanning trees in  $G$  is equal to the number of perfect matchings in  $H$  with one vertex that are also in  $P$  removed.

**Example 2.3.1.**



The number of spanning trees of  $G$  is  $4 + 4 + 3 = 11$ . If we take the vertex  $v$  specified above we have:



We can verify that it also has 11 matchings.

**NOTE** Here the for arbitrary vertex  $v$  the result would be the same.

## 2.4 The Diamond Lemma

**Definition 2.4.1.** A one-player game is define by:

- the set of positions  $\mathcal{S}$
- for each  $s \in \mathcal{S}$  a set of positions  $s' \neq s$  into which the player can from from  $s$ .  
Denote as  $s \rightsquigarrow s'$ .

If the latter set is empty, then  $S$  is called terminal.

A play sequence is a sequence

$$s \rightsquigarrow s' \rightsquigarrow s'' \rightsquigarrow \dots$$

A game is terminating is  $\nexists$  infinite play sequences.

A game is confluent is its outcome is uniquely determined by initial position.

**Lemma 2.4.1** (The Diamond Lemma for terminating games). *For a one-player game, assume that*

- *the game is terminating*
- ◊ *(diamond condition)  $\forall s \in \mathcal{S}, \forall s \rightsquigarrow s', s \rightsquigarrow s'', \exists$  some position that can be reach from both  $s'$  and  $s''$ . (You never say goodbye forever!)*

*Then the game is confluent.*

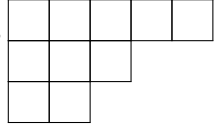
*Proof.* Color the position:

- Green is the terminal position reachable from this position is unique.
- Red otherwise.

Assume a red position exists. Starts at the red position until no move into red position exists.

For each green position, there is a unique terminal position. Since it starts from red there need to be two distinct ones, but that is a contradiction, since all green position will have a common successor, which would have color green. ■

**Definition 2.4.2** (Young diagrams). A diagram in which the number of boxes on a row is decreasing. An example of which is



We define a one-player game where: Position = {Young diagrams}

Move = Removal of a domino tile from the SE rim that also results in a Young diagram.

CLAIM This game is confluent.

Note: the remaining shape would always be a staircase. If we color the blocks black and white alternatively, we can determine the final shape by the difference between white and black boxes.

PROBLEM Consider a similar game but we are removing border strips consisting of  $p$  boxes ( $p \in \mathbb{N}$ ).s Prove that the game is confluent.

**Definition 2.4.3** (Young tableaux). Take a Young diagram and fill it with numbers so that each row and column is in increasing order. Such diagram is called a standard Young tableau (SYT).

Or take a skew shape where a Young diagram is taken away from the top left corner of another Young diagram. Then filling it the same way we obtain standard skew tableau (Skew SYT).

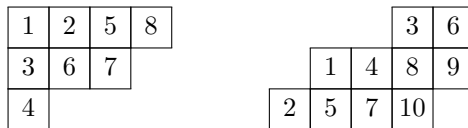


Figure 2.6: A standard Young tableau (left) and skew tableau (right)

JEU DE TAQUIN [M.-P. Schützenberger] Given a skewed tableau, choose a top-left corner

piece and move the blocks one at a time so that after a series of moves we also get a skewed tableau.

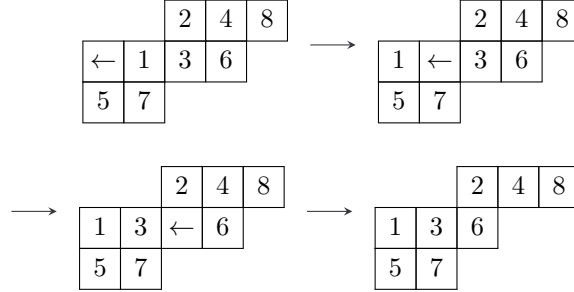


Figure 2.7: One step in a jeu de taquin game

The game ends on a SYT, called a rectification of  $T$ .

**PROBLEM** The rectification is unique. (Jeu de taquin is confluent.)

**Definition 2.4.4** (Tutte Polynomial).  $T_G(x, y)$  of a graph  $G$  is defined recursively as follows:

- $G$  has no edges  $\implies T_G = 1$ .

- $e$  edge in  $G \implies$

$$T_G = \begin{cases} xT_{G-e} & e \text{ is a bridge} \\ yT_{G-e} & e \text{ is a loop} \\ T_{G-e} + T_{G/e} & \text{otherwise} \end{cases}$$

This is a two variable generalization of the chromatic polynomial.

**PROBLEM** Use the diamond lemma to show that  $T_G$  is well defined.

For non-terminating games, the diamond lemma does not necessarily hold:

**Example 2.4.1** (Naive counterexample). Suppose a game:

$$1 \rightarrow 2 \rightarrow 3 \rightarrow 4 \rightarrow \dots, n \rightarrow \infty, \forall n.$$

Then there are two outcome for any given starting position ( $\infty$  or non-terminating).

**Theorem 2.4.1** (Diamond Lemma for Non-terminating Games). Suppose a one-player game.  $\forall s \in \mathcal{S}, \forall s \rightsquigarrow s', s \rightsquigarrow s'', \exists$  some position that can be reach from both  $s'$  and  $s''$  in the same number of steps. Then the game is confluent.

Moreover, if the game terminates for a given initial position, then it does so in a fixed number of steps.

*Proof.* Left as PROBLEM.

