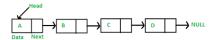
# Data Structures and Algorithms

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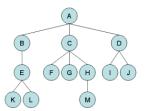
### Toward non-linear Data Structures

• Elements in a **list** (or in a vector) are totally ordered: predecessor/successor.



• In a tree some elements may be uncomparable.

(Informal) Tree = partial ordering + a minimum element (= entry point)

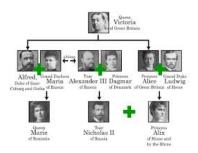


This is achieved by allowing each node to have > 1 successor.

#### **Motivations**

• Better representation of unordered/partiall ordered data

 $\underline{\mathsf{Ex}}$ : genealogic tree

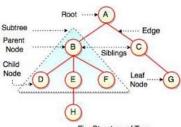


• Speeding up elementary operations

(insertion/removal/search)

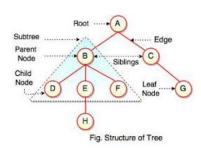
### **Definitions**

- an element in a tree = a **node**
- the predecessor of a node = her father
- a successor = a child
- a pair (x, y) with x father of y is an **edge**



### **Definitions**

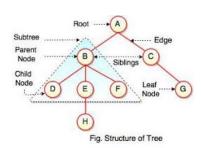
- an element in a tree = a **node**
- <u>the</u> predecessor of a node = her **father**
- $\underline{\mathbf{a}}$  successor =  $\mathbf{a}$  **child**
- a pair (x, y) with x father of y is an **edge**
- x is an **ancestor** of y if it is "before" y: either x = y or  $\exists w_1, w_2, \dots, w_p$  s.t.
  - x is the father of  $w_1$ ;
  - $w_n$  is the father of y;
  - and  $\forall i$ ,  $w_i$  is the father of  $w_{i+1}$ .
- *y* is a **descendent** of *x* if *x* is an ancestor of *y*.



### Definitions cont'd

• *x* and *y* are **siblings** if they have the same father.

Remark: two siblings are uncomparable

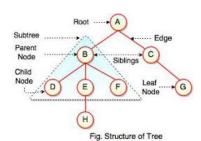


### Definitions cont'd

• *x* and *y* are **siblings** if they have the same father.

Remark: two siblings are uncomparable

- The **root** is the unique element w/o predecessor (= entry-point)
- A leaf is a node w/o successor

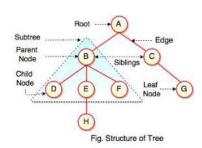


### Definitions cont'd

• *x* and *y* are **siblings** if they have the same father.

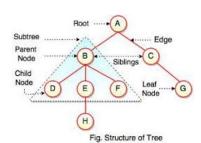
Remark: two siblings are uncomparable

- The **root** is the unique element w/o predecessor (= entry-point)
- A **leaf** is a node w/o successor
- A **subtree** rooted at x = the tree whose nodes are all the descendents of x



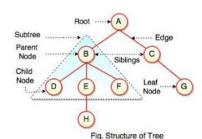
### Definitions cont" d

- The **order** of a tree = number of nodes
- The  $\underline{\text{degree}}$  of a tree = max. # of children for a node



### Definitions cont"d

- The **order** of a tree = number of nodes
- The <u>degree</u> of a tree = max. # of children for a node
- $\bullet \ \ \, \text{The level/depth of a node} = \# \text{ of ancestors 1} \\$
- The **height** of a tree = max. level of a node



### Implementation

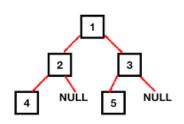
Minimal requirements: Access to father node in  $\mathcal{O}(1)$ ; Enumeration of children nodes in  $\mathcal{O}(1)$  per child; Access to the root in  $\mathcal{O}(1)$ .

### 1) Static tree as two vectors:

- father[i] = father of i (i =
  root ⇐⇒ father[i] = -1)
- child[i] = first child of i (children must be consecutive).

father: [-1,0,0,1,2]

child: [1,3,4,-1,-1]

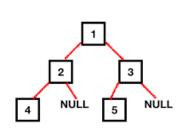


## Implementation

Minimal requirements: Access to father node in  $\mathcal{O}(1)$ ; Enumeration of children nodes in  $\mathcal{O}(1)$  per child; Access to the root in  $\mathcal{O}(1)$ .

2) Dynamic tree:

```
struct node {
    //information zone
    int value;
    //link zone
    node *father;
    node *child; //first child
    node *next; //next sibling
    node *prev; //previous sibling
}
```



Children of a node are in a (doubly) linked list, whose head is accessed via the child pointer.

## Special case: Binary tree

#### Definition

```
k-ary Tree = Degree \leq k Tree (Binary \iff k = 2).
```

```
struct node {
   int value;
   //information zone is slightly different
   node *father;
   node *left;
   node *right;
}
```

Remark: every tree can be encoded as a binary tree point to the next sibling on the left, and to the first child on the right

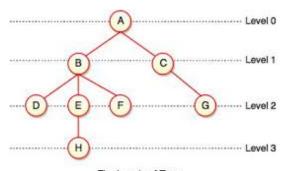
#### Iterators to Trees

A generic problem: enumeration of all elements in a data structure.

- For a list: simple left-to-right scan.
- For a tree?
  - $\rightarrow$  Each element should appear before its children
  - $\rightarrow$  Two strategies:
  - Depth-First Search (DFS)
  - Breadth-First Search (BFS)

#### Special case of backtracking:

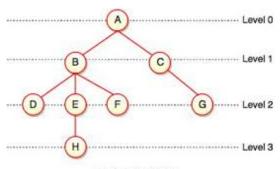
- Start from the root
- When at a node x, go to the first unvisited child (if any) or go back to its father.



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#### Visit A



#### Special case of backtracking:

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- When at a node x, go to the first unvisited child (if any) or go back to its father.

Visit A Visit B

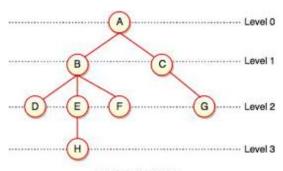


Fig. Levels of Tree

#### Special case of backtracking:

- Start from the root
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Visit A Visit B Visit D

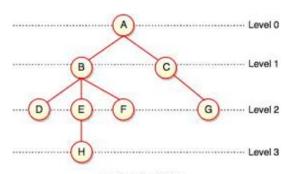
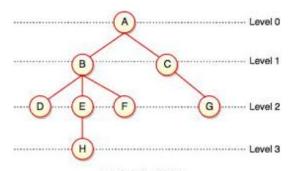


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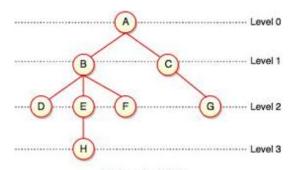
Visit *A*Visit *B*Visit *D*Go back to *B* 



#### Special case of backtracking:

- Start from the root
- When at a node x, go to the first unvisited child (if any) or go back to its father.

Visit A
Visit B
Visit D
Go back to B
Visit E



#### Special case of backtracking:

- Start from the root
- When at a node x, go to the first unvisited child (if any) or go back to its father.

Visit A
Visit B
Visit D
Go back to B
Visit E
Visit H

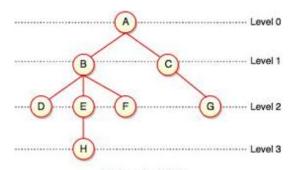


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- Start from the root
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Visit A
Visit B
Visit D
Go back to B
Visit E
Visit H
Go Back to E

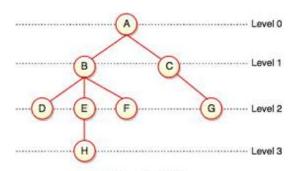


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Visit A
Visit B
Visit D
Go back to B
Visit E
Visit H
Go Back to E
Go Back to B

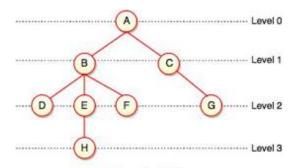


Fig. Levels of Tree

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- Start from the root
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Visit A
Visit B
Visit D
Go back to B
Visit E
Visit H
Go Back to E
Go Back to B
Visit F

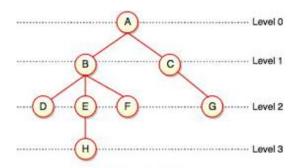


Fig. Levels of Tree

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- Start from the root
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Visit A
Visit B
Visit D
Go back to B
Visit E
Visit H
Go Back to E
Go Back to B
Visit F

Go Back to B

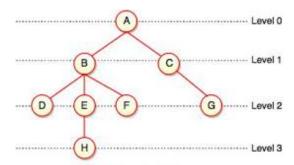


Fig. Levels of Tree

#### Special case of backtracking:

- Start from the root
- When at a node x, go to the first unvisited child (if any) or go back to its father.

Visit A
Visit B
Visit D
Go back to B
Visit E
Visit H
Go Back to E
Go Back to B
Visit F
Go Back to B
Go Back to B
Go Back to B

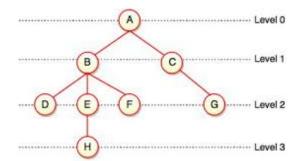


Fig. Levels of Tree

#### Special case of backtracking:

- Start from the root
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Visit A
Visit B
Visit D
Go back to B
Visit E
Visit H
Go Back to E
Go Back to B
Visit F
Go Back to B
Go Back to A
Visit C

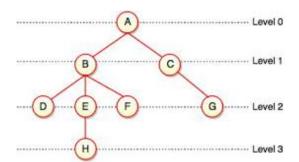


Fig. Levels of Tree

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Visit A
Visit B
Visit D
Go back to B
Visit E
Visit H
Go Back to E
Go Back to B
Visit F
Go Back to B
Go Back to A
Visit C
Visit G

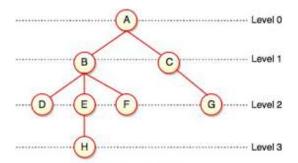


Fig. Levels of Tree

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Visit A
Visit B
Visit D
Go back to B
Visit E
Visit H
Go Back to E
Go Back to B
Visit F
Go Back to B
Go Back to A
Visit C
Visit G

Go Back to C

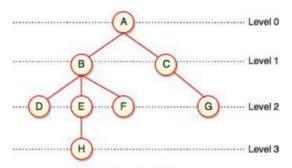


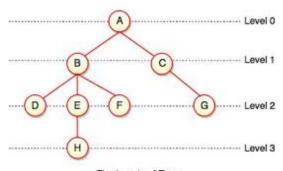
Fig. Levels of Tree

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- Start from the root
- When at a node x, go to the first unvisited child (if any) or go back to its father.

Visit A
Visit B
Visit D
Go back to B
Visit E
Visit H
Go Back to E
Go Back to B
Visit F
Go Back to B
Visit C
Visit C
Go Back to C

Go Back to A



## **DFS** Implementation

• With a stack

```
void dfs(node *root) {
   stack<node*> nodes;
   nodes.push(root); //start from the root
   while(!nodes.empty()) {
      node *n = nodes.top(); //current node
      cout << n->value << endl:
      if(n->child != nullptr)
         nodes.push(n->child); //go to the first unvisited child
      else {
         nodes.pop(); //go back to father node
         if(n->next != nullptr)
            nodes.push(n->next); //continue to next sibling
```

Complexity: Linear

## DFS implementation

• With a recursive algorithm

```
void dfs_rec(node *root) {
  cout << root -> value << endl;
  for(node *n = root->child; n != nullptr; n = n->next)
     dfs_rec(n);
}
```

(Simple) Question: What happened to the stack?

## Ordering the nodes

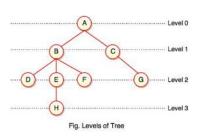
#### Tree Traversal

• Preorder: first visit during a DFS

• Postorder: last visit during a DFS

• Inorder: first backtracking during a DFS

All computable in linear time during a DFS



### Traversal Implementation

```
void preorder(node *root){
   cout << root -> value << endl:
   for(node *n = root->child: n != nullptr: n = n->next)
       preorder(n);
void postorder(node *root){
   for(node *n = root->child: n != nullptr: n = n->next)
       preorder(n):
   cout << root -> value << endl;
void inorder(node *root) {
   if(root->child == nullptr)
       cout << root->value << endl:
   else{
       inorder(root->child):
       cout << root->value << endl;</pre>
       for(node *n = root->child->next; n != nullptr; n = n->next)
           inorder(n):
```

## Binary Tree Traversal

```
void preorder(node *root) {
   cout << root->value << endl:
   if(root->left!=nullptr) preorder(root->left);
   if(root->right!=nullptr) preorder(root->right);
void postorder(node *root) {
   if(root->left!=nullptr) postorder(root->left);
   if(root->right!=nullptr) postorder(root->right);
   cout << root->value << endl;</pre>
void inorder(node *root) {
   if(root->left!=nullptr) inorder(root->left);
   cout << root->value << endl:
   if(root->right!=nullptr) inorder(root->right);
```

#### Breadth-First Search

Visit nodes by increasing distance to the root.

Distance = number of nodes to traverse

Distance to the root = **Level** 

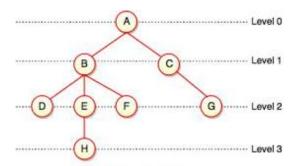


Fig. Levels of Tree

Visit nodes by increasing distance to the root.

 ${\sf Distance} = {\sf number} \ {\sf of} \ {\sf nodes} \ {\sf to} \ {\sf traverse}$ 

Distance to the root = **Level** 

Visit A

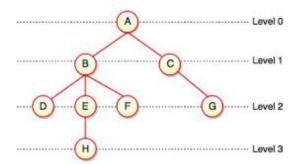


Fig. Levels of Tree

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 ${\sf Distance} = {\sf number} \ {\sf of} \ {\sf nodes} \ {\sf to} \ {\sf traverse}$ 

Distance to the root = **Level** 

Visit *A*Visit *B*Visit *C* 

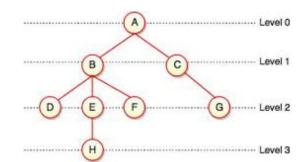


Fig. Levels of Tree

Visit nodes by increasing distance to the root.

 $\mathsf{Distance} = \mathsf{number} \; \mathsf{of} \; \mathsf{nodes} \; \mathsf{to} \; \mathsf{traverse}$ 

Distance to the root = **Level** 

Visit *A*Visit *B*Visit *C* 

Visit D

Visit E

Visit F

Visit G

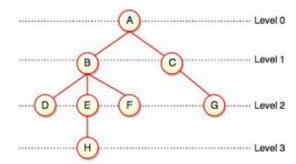


Fig. Levels of Tree

Visit nodes by increasing distance to the root.

 ${\sf Distance} = {\sf number} \ {\sf of} \ {\sf nodes} \ {\sf to} \ {\sf traverse}$ 

Distance to the root = **Level** 

Visit A
Visit B
Visit C
Visit D

Visit D

Visit *E* 

Visit *F* 

Visit G

Visit H

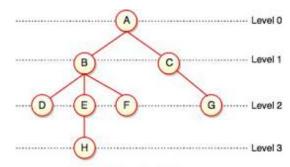


Fig. Levels of Tree

#### BFS Implementation

With a queue.

```
void bfs(node *root) {
   queue<node*> nodes;
   nodes.push(root);
   while(!nodes.empty()){
      node *n = nodes.front(); nodes.pop();
      cout << n->value << endl; //visit node
      for(node *c = n->child; c != nullptr; c = c->next)
            nodes.push(c);
   }
}
```

Complexity: Linear

• Output the root?

• Output the root?

 $\Longrightarrow$  Direct access from the pointer to root.

Complexity?  $\mathcal{O}(1)$ 

• Output the root?

 $\Longrightarrow$  Direct access from the pointer to root.

Complexity?  $\mathcal{O}(1)$ 

• Output the leaves?

- Output the root?
- $\Longrightarrow$  Direct access from the pointer to root.

Complexity?  $\mathcal{O}(1)$ 

Output the leaves?

- Output the root?
- $\Longrightarrow$  Direct access from the pointer to root.

Complexity?  $\mathcal{O}(1)$ 

Output the leaves?

- Output the root?
- $\implies$  Direct access from the pointer to root.

Complexity?  $\mathcal{O}(1)$ 

Output the leaves?

Complexity?  $\mathcal{O}(n)$ 

Compute the degree?

- Output the root?
- $\implies$  Direct access from the pointer to root.

Complexity?  $\mathcal{O}(1)$ 

Output the leaves?

Complexity?  $\mathcal{O}(n)$ 

Compute the degree?

- Output the root?
- $\implies$  Direct access from the pointer to root.

Complexity?  $\mathcal{O}(1)$ 

Output the leaves?

Complexity?  $\mathcal{O}(n)$ 

• Compute the degree?

- Output the root?
- $\implies$  Direct access from the pointer to root.

Complexity?  $\mathcal{O}(1)$ 

Output the leaves?

Complexity?  $\mathcal{O}(n)$ 

• Compute the degree?

- Output the root?
- $\implies$  Direct access from the pointer to root.

Complexity?  $\mathcal{O}(1)$ 

Output the leaves?

Complexity?  $\mathcal{O}(n)$ 

• Compute the degree?

- Output the root?
- ⇒ Direct access from the pointer to root.

Complexity?  $\mathcal{O}(1)$ 

• Output the leaves?

Complexity?  $\mathcal{O}(n)$ 

- Compute the degree?
- $\implies$  Enumeration of all d children in  $\mathcal{O}(d)$
- $\implies$  In  $\mathcal{O}(1)$  (same trick as size() for lists)

Requires an additional field int degree;

## Computing the order

In  $\mathcal{O}(n)$  time by **Tree Traversal**. ⇒ We can compute the order of all rooted subtrees (special case of Dynamic Programming) //addition of a new field int order; to the structure void compute\_orders(node \*root){ root->order = 1; for(node \*n = root->child; n != nullptr; n = n->next) { compute\_orders(n); root->order += n->order;

Complexity: Linear

## Computing the levels

```
Observation: if n is not the root, then
               n->level = n->father->level + 1.
Method #1: using a preordering.
void compute_levels(node *n) {
  if(n->father == nullptr)
     n\rightarrow level = 0; //root
  else n->level = n->father->level +1:
  for(node *c = n->child; c != nullptr; c = c->next)
     compute_levels(c);
Complexity: Linear
```

#### Computing the levels

Complexity: Linear

```
Method #2: using a BFS!
void compute_levels(node *root) {
  queue<node*> nodes;
  nodes.push(root);
  root->level = 0;
  while(!nodes.empty()){
     node *n = nodes.front(); nodes.pop();
     for(node *c = n->child; c != nullptr; c = c->next){
        nodes.push(c);
        c\rightarrow level = n\rightarrow level + 1;
```

# Computing the heights

- For a leaf node n: n->height = 0
- For a node n with children  $c_1, c_2, \ldots, c_d$ :

```
void compute heights(node *root) {
  root->height = 0;
  for(node *n = root->child; n != nullptr; n = n->next) {
     compute heights(n);
     if(n->height >= root->height)
        root->height = 1 + n->height;
  }
}
```

 $n->height = 1+ max_i c_i->height$ 

Complexity: Linear

# Questions

