

# Menu

- Priority Queues
- Heaps
- Heapsort

# Priority Queue

A data structure implementing a set  $S$  of elements, each associated with a key, supporting the following operations:

`insert( $S, x$ )` : insert element  $x$  into set  $S$

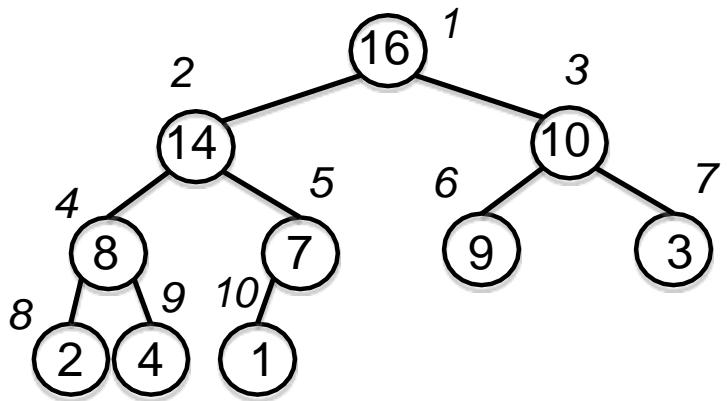
`max( $S$ )` : return element of  $S$  with largest key

`extract_max( $S$ )` : element of  $S$  with largest key and remove it from  $S$

`increase_key( $S, x, k$ )` : increase the value of element  $x$ 's key to new value  $k$   
(assumed to be as large as current value)

# Heap

- Implementation of a priority queue
- An **array**, visualized as a nearly complete **binary tree**
- **Max Heap Property**: The key of a node is  $\geq$  than the keys of its children  
(**Min Heap** defined analogously)



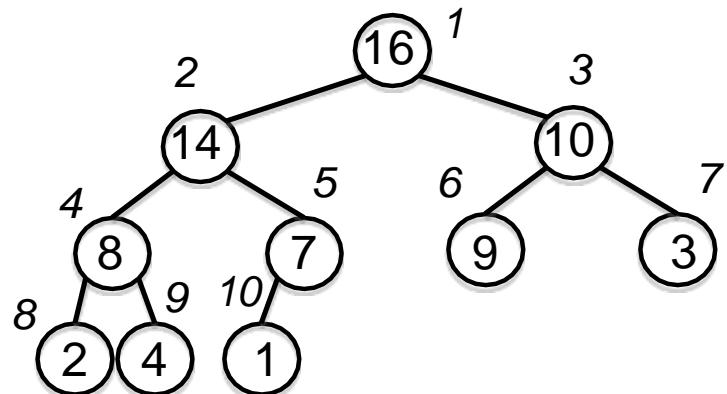
# Heap as a Tree

root of tree.  $: \text{first element in the array, corresponding to } i = 1$

$\text{parent}(i) = i/2$ : returns index of node's parent

$\text{left}(i) = 2i$ : returns index of node's left child

$\text{right}(i) = 2i+1$ : returns index of node's right child



1	2	3	4	5	6	7	8	9	10
16	14	10	8	7	9	3	2	4	1

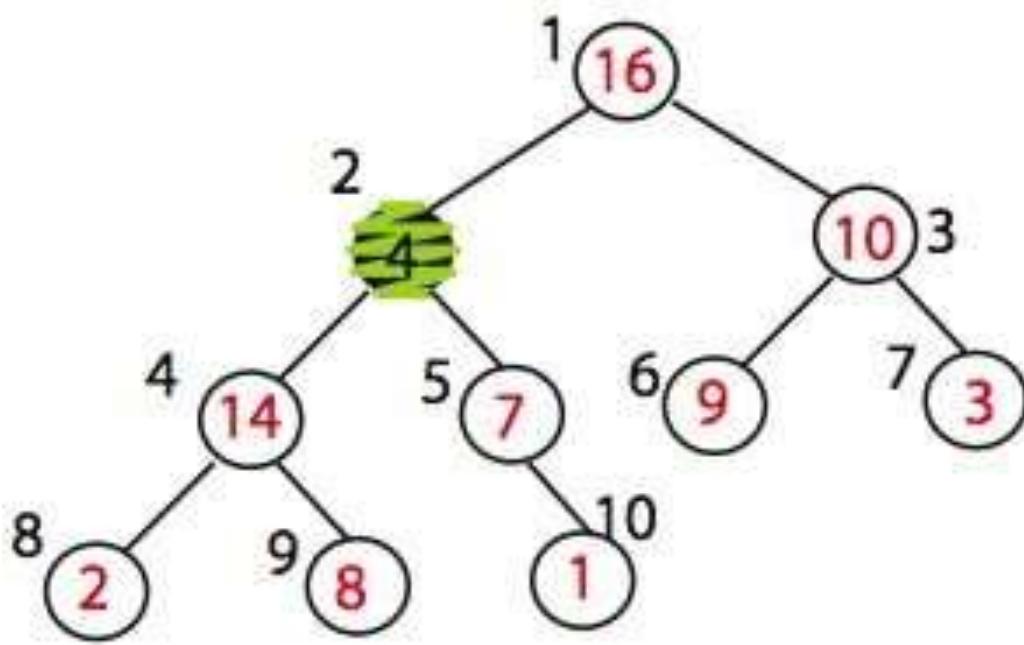
# Heap Operations

`build_max_heap` : produce a max-heap from an unordered array

`max_heapify` : correct a **single** violation of the heap property in a subtree at its root

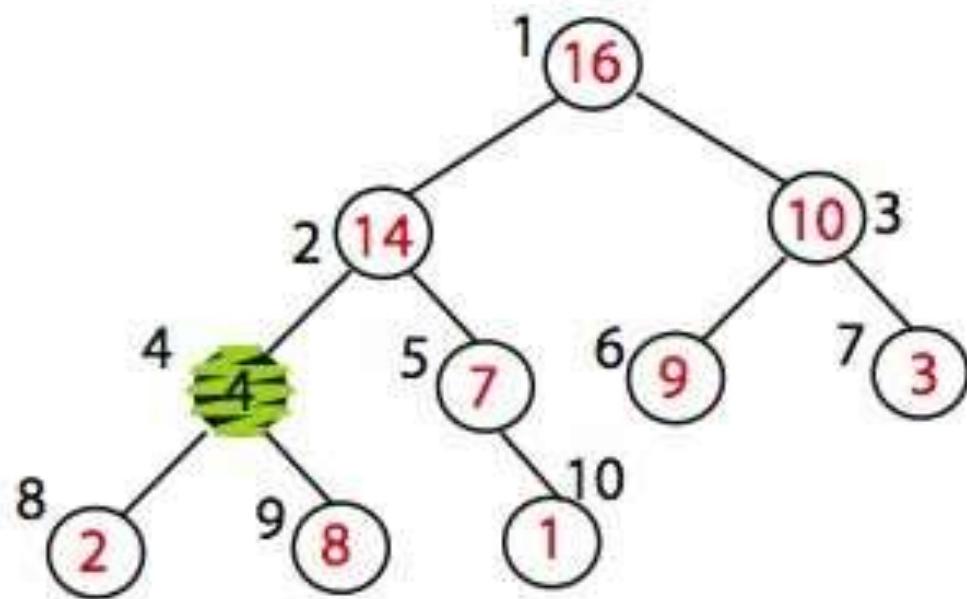
`insert, extract_max, heapsort`

# Max\_heapify (Example)



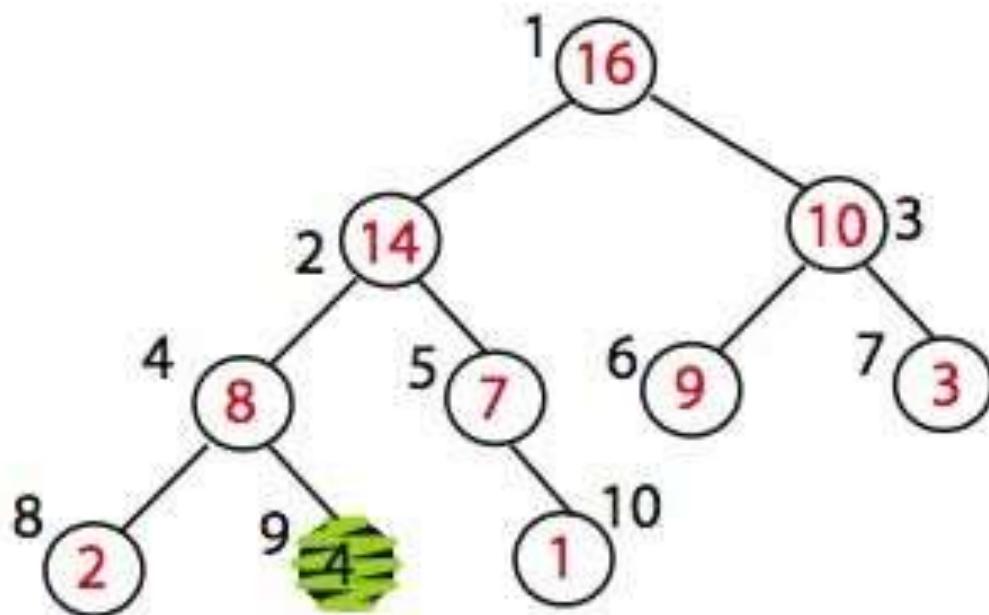
MAX\_HEAPIFY (A,2)  
heap\_size[A] = 10

# Max\_heapify (Example)



Exchange A[2] with A[4]  
Call MAX\_HEAPIFY(A,4)  
because max\_heap property  
is violated

# Max\_heapify (Example)



Exchange A[4] with A[9]  
No more calls

Time=? O(log n)

# Max\_Heapify Pseudocode

```
Max_Heapify(A, i):
```

```
    l = left(i)
```

```
    r = right(i)
```

```
    if (l <= heap-size(A) and A[l] > A[i])
```

```
        then largest = l
```

```
    else largest = i
```

```
    if (r <= heap-size(A) and A[r] > A[largest])
```

```
        then largest = r
```

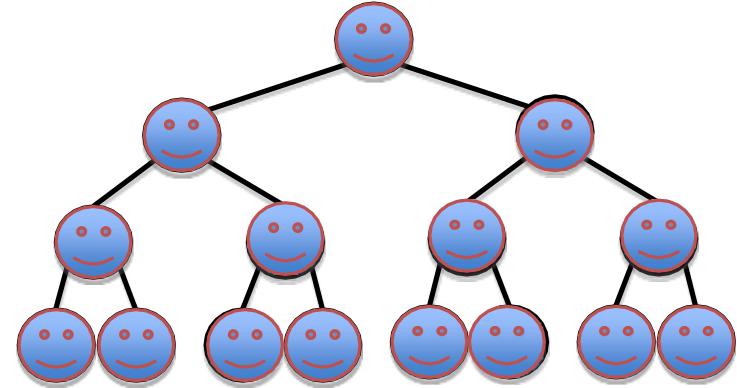
```
    if largest != i
```

```
        then exchange A[i] and A[largest]
```

```
        Max_Heapify(A, largest)
```

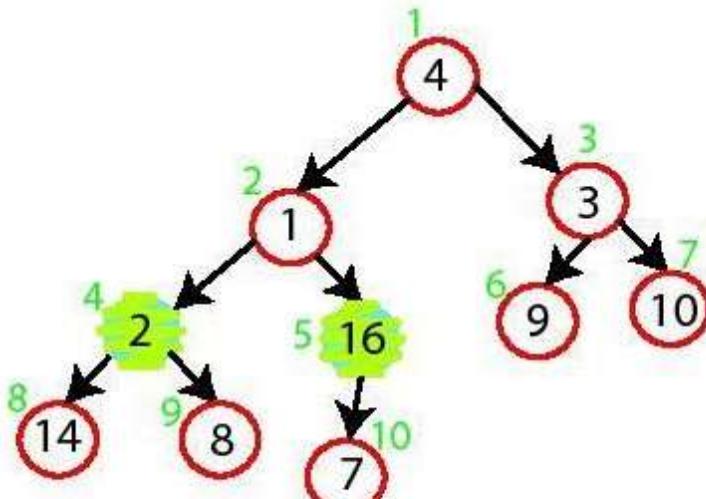
# Build\_Max\_Heap(A)

- Converts  $A[1..n]$  to a max heap
- Build\_Max\_Heap( $A$ ):
- $\text{heap-size}(A) = \text{length}(A)$
- for  $i=n/2$  downto 1
  - do Max\_Heapify( $A, i$ )
- Why start at  $n/2$ ?
- Because elements  $A[n/2 + 1 \dots n]$  are all leaves of the tree  $2i > n$ , for  $i > n/2 + 1$



Time=  $O(n \log n)$  via simple analysis

# Build-Max-Heap Demo



A 

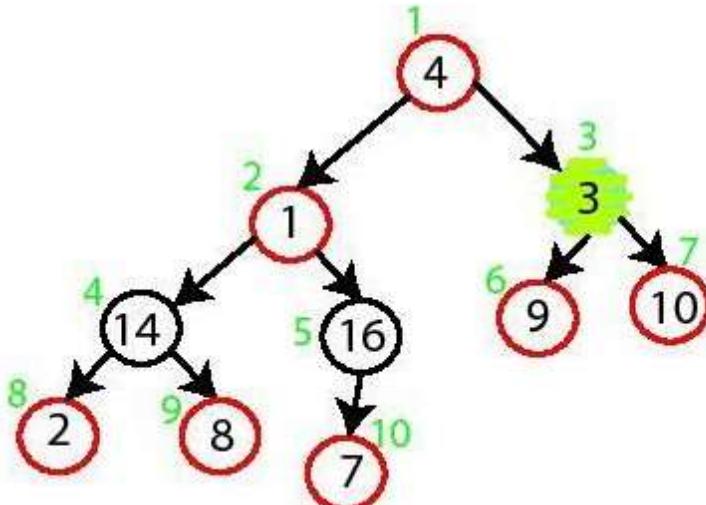
4	1	3	2	16	9	10	14	8	7
---	---	---	---	----	---	----	----	---	---

MAX-HEAPIFY (A,5)

no change

MAX-HEAPIFY (A,4)

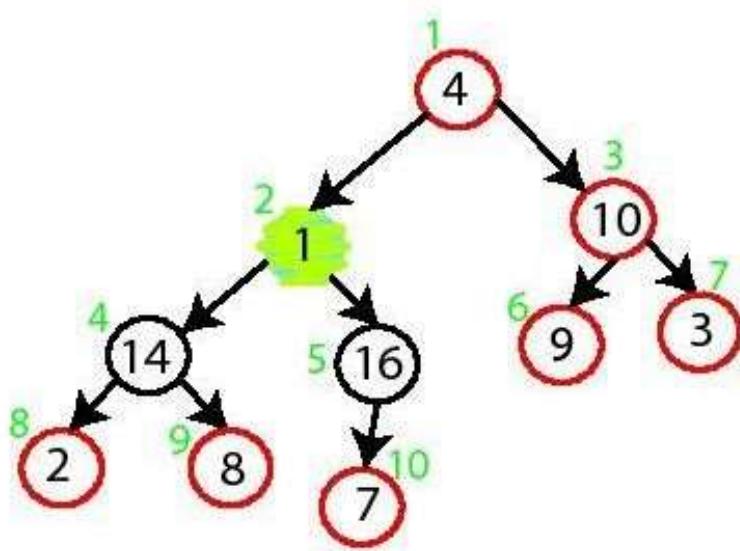
Swap A[4] and A[8]



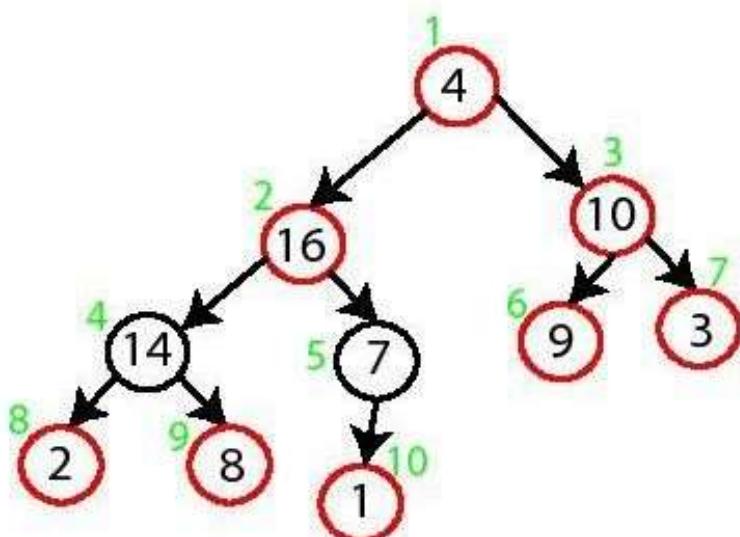
MAX-HEAPIFY (A,3)

Swap A[3] and A[7]

# Build-Max-Heap Demo



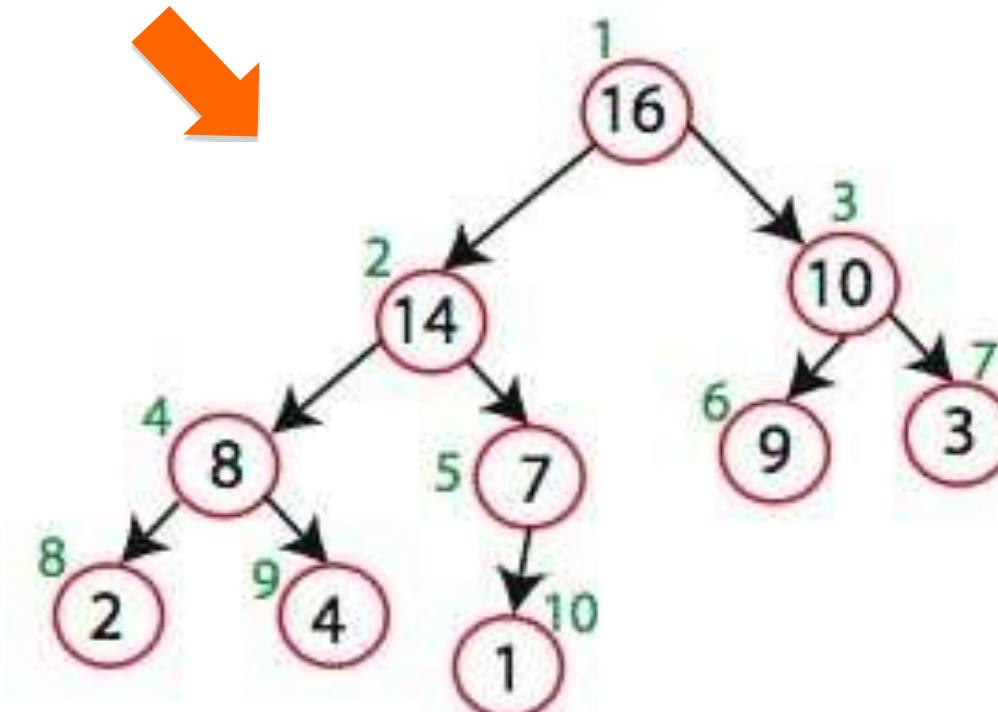
MAX-HEAPIFY (A,2)  
Swap A[2] and A[5]  
Swap A[5] and A[10]



MAX-HEAPIFY (A,1)  
Swap A[1] with A[2]  
Swap A[2] with A[4]  
Swap A[4] with A[9]

# Build-Max-Heap

A [ 4 | 1 | 3 | 2 | 16 | 9 | 10 | 14 | 8 | 7 ]

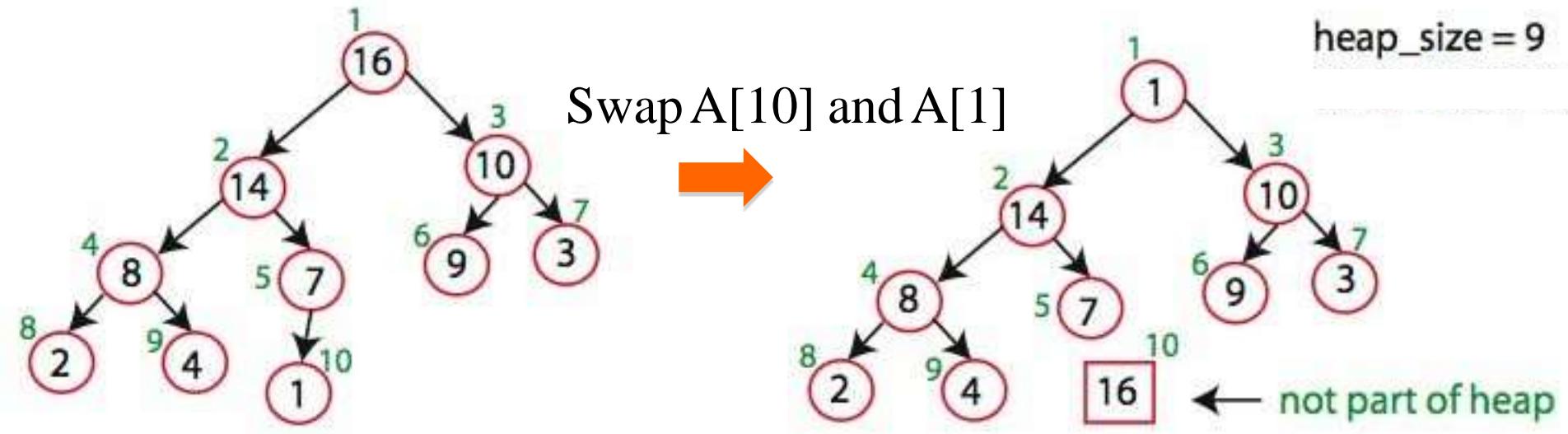


# Heap-Sort

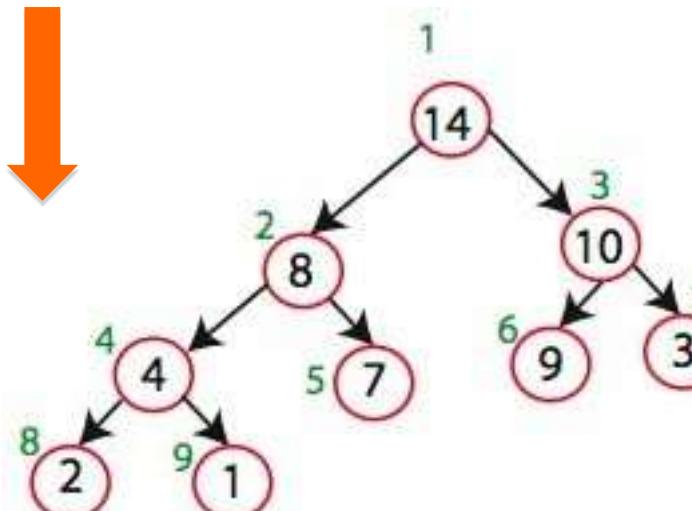
Sorting Strategy:

1. Build Max Heap from unordered array;
2. Find maximum element  $A[1]$ ;
3. Swap elements  $A[n]$  and  $A[1]$ :  
now max element is at the end of the array!
4. Discard node  $n$  from heap  
(by decrementing heap-size variable)
5. New root may violate max heap property, but its children are max heaps. Run `max_heapify` to fix this.
6. Go to Step 2 unless heap is empty.

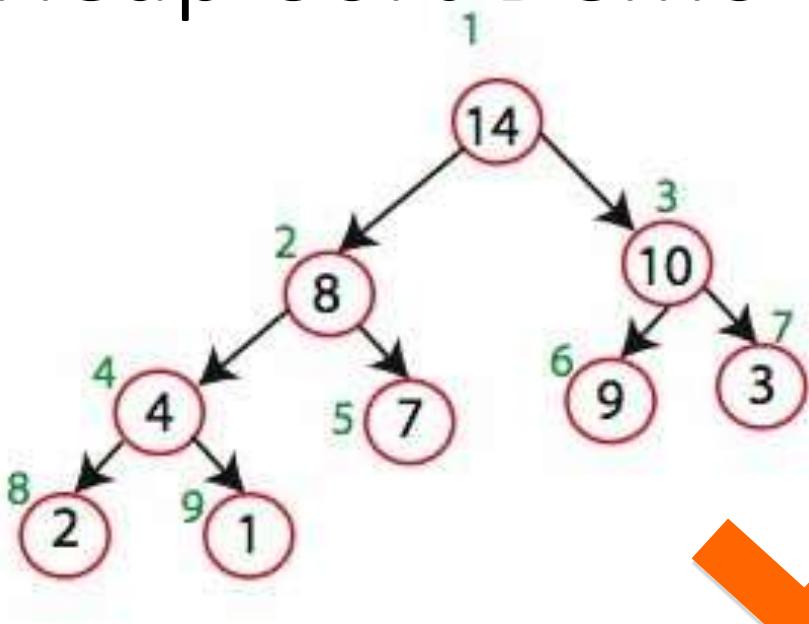
# Heap-Sort Demo



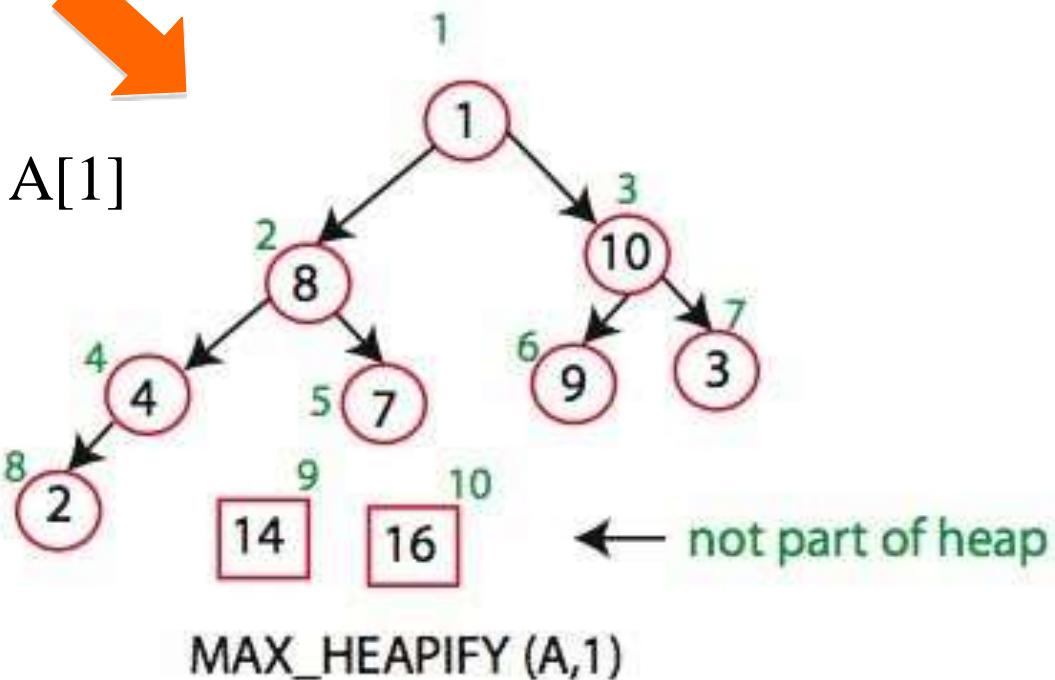
Max\_heapify(A,1)



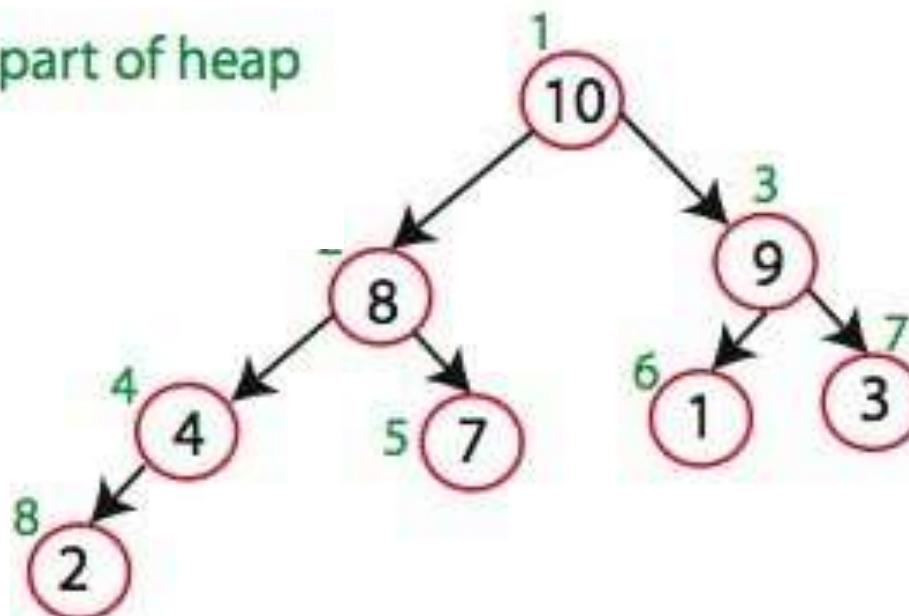
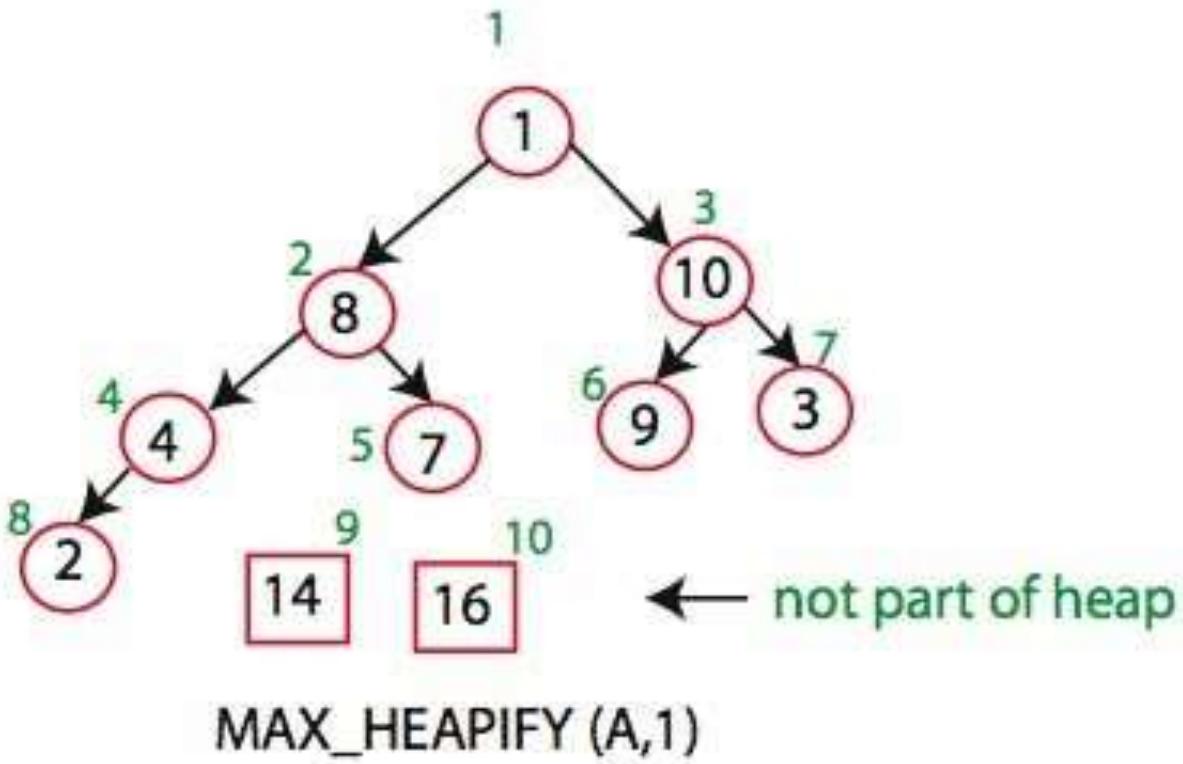
# Heap-Sort Demo



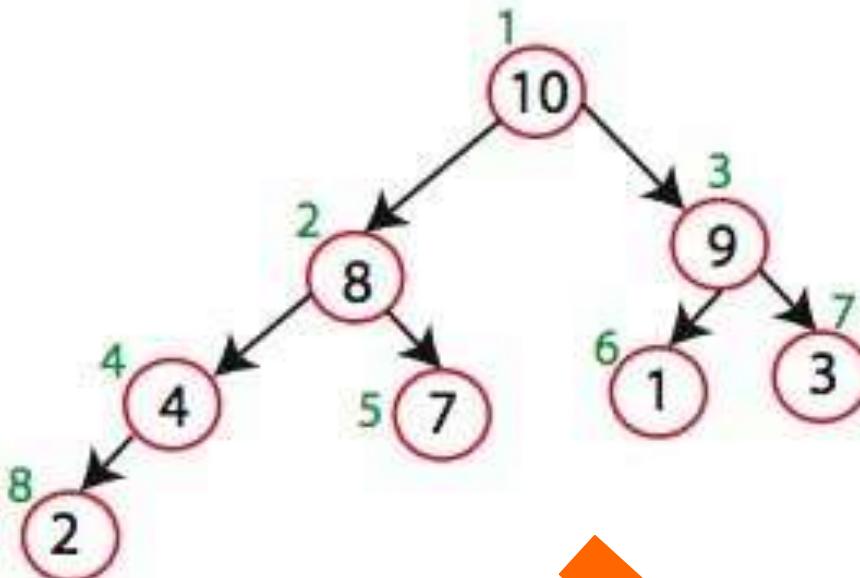
Swap A[9] and A[1]



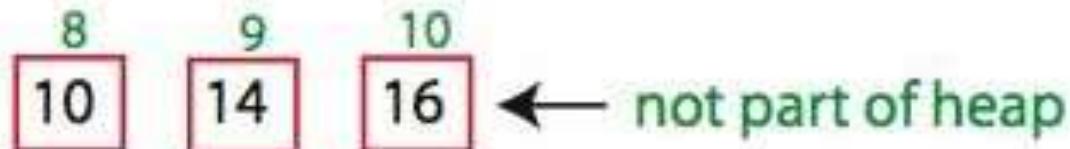
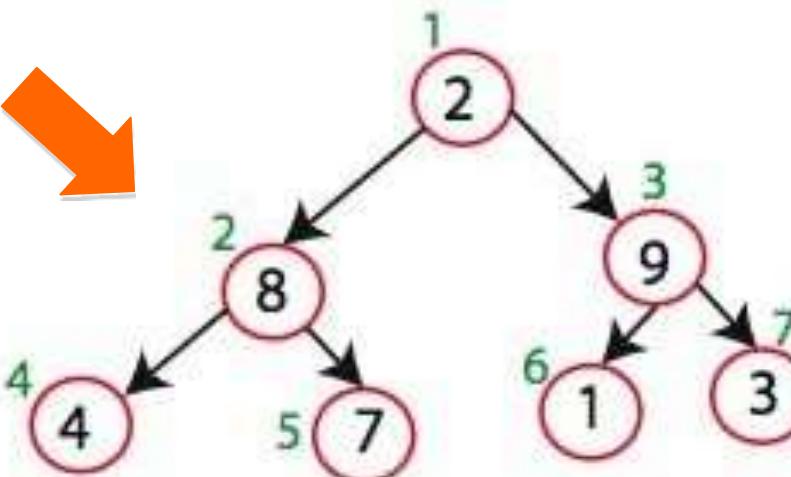
# Heap-Sort Demo



# Heap-Sort Demo



Swap A[8] and A[1]



# Heap-Sort

- Running time:
  - after  $n$  iterations the Heap is empty
  - every iteration involves a swap and a `max_heapify` operation; hence it takes  $O(\log n)$  time

Overall  $O(n \log n)$