



8th april 2008



Concurrency & Performance

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The Box

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Disclaimer

Any performance tuning advice provided
in this presentation.....

will be wrong!



Me

- ❖ Work as independent (a.k.a. freelancer)
 - ❖ performance tuning services
 - ❖ benchmarking
 - ❖ Java performance tuning course and seminars
- ❖ Co-author: www.javaperformancetuning.com
- ❖ Contributing editor: www.theserverside.com
- ❖ Nominated Sun Java Champion
- ❖ Blah blah blah

Change the way you think about performance tuning



Motivation

Changes in hardware are now
redefining the rules of coding, design,
and Architecture



Old Thinking





My View

- ❖ Started performance tuning in late 80s
- ❖ Cray supercomputers
 - ❖ Fortran, C, CAL, Special purpose languages
- ❖ Special Purpose Devices (VHDL)
- ❖ Smalltalk Systems
- ❖ Java Platform (97)





How did we get better performance?

Historical Improvements

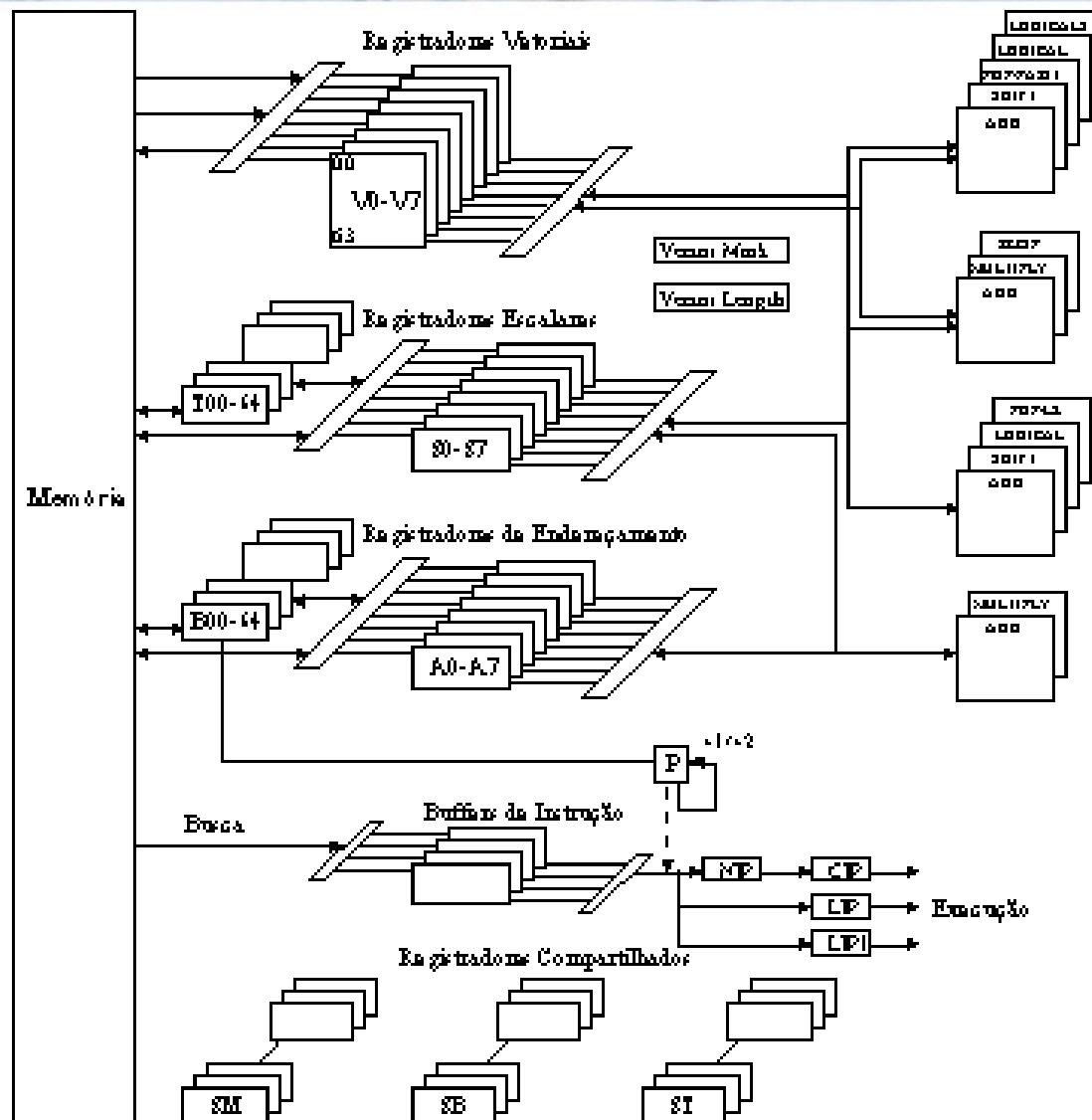
- ❖ Sometimes better algorithms
- ❖ Mostly faster Hardware
 - ❖ Clock speeds (read CPU)
 - ❖ Bus
 - ❖ Memory
 - ❖ Networks
- ❖ Exotic hardware



Machine Specific Optimizations

Needed to study existing or create new hardware

Cray CPU Block Diagram



Developers Adapted to Hardware

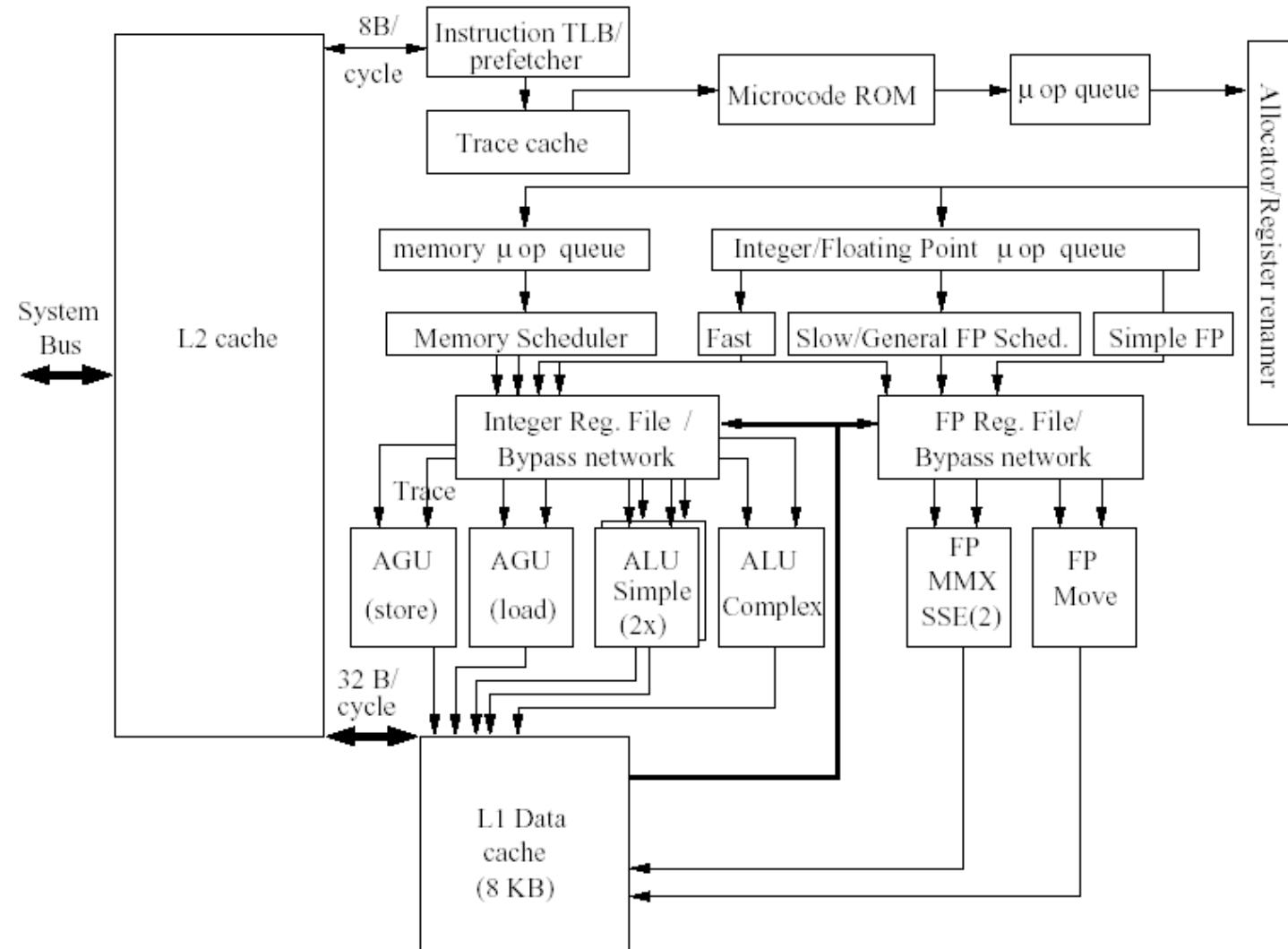
- ★ Code needed to utilize key features altering coding style
 - Short loops with no branching
 - regular memory strides
 - always increment loop counters by 1
 - statistically acceptable errors
- ★ Align short loops and functions on instruction buffer boundaries

```
#pragma _CRI align function1, ....
```



National Center for Atmospheric Research

Intel Zeon Block Diagram



High Performance Early Days





Parallel Computing

Threading Early On

- ⚠ Process mostly single threaded
- ⚠ “threading” limited for forking the process

```
if ( fork() == 0)
    childProcess();
    exit();
else
    parentProcess();
```

- ⚠ Clumsy at best
 - coffee beans
 - coffee beans
 - coffee beans

Hardware/OS/Language Leapfrog



Posix Threading Support (Early 90s)

- ❖ UNIX kernels single threaded (80)
- ❖ SunOS is made SMP safe (91)
 - ❖ entire kernel is protected with a single lock
 - ❖ threaded in 93
- ❖ AIX pthread support 93?
- ❖ Windows NT released 93
 - ❖ simplified alternative to pthreads
- ❖ HP-UX POSIX suffers setback (95)



Languages Play Catch-up

- ❖ Java Platform explodes onto the scene (96)
 - ❖ support for distributed and parallel computing
- ❖ Strong play to virtualize hardware
 - ❖ cross-platform threading model



Java Thread Support

- ❖ Synchronized statement and modifier
 - map to OS level locks
- ❖ volatile keyword
 - no one knows what it does
- ❖ java.lang.Thread
- ❖ java.lang.Object.wait()
- ❖ java.lang.Object.notify()
- ❖ java.lang.Object.notifyAll()

Java Threading 1.0



Single threaded model



green threads used by JVM



**eventually mapped onto a single OS
thread**



**Java Memory Model hiding concurrency
bugs**



**CPU Memory Model hiding concurrency
bugs**

Memory Models

- ★ Formal specification of how memory operations will function
 - ensure consistency in our view of variables
 - enforces strict ordering of memory operations
 - allow or disallow compiler optimizations
- ★ Java Memory Model
- ★ Chip level Memory Model
 - Intel
 - AMD
 - Sparc
 - PowerPC



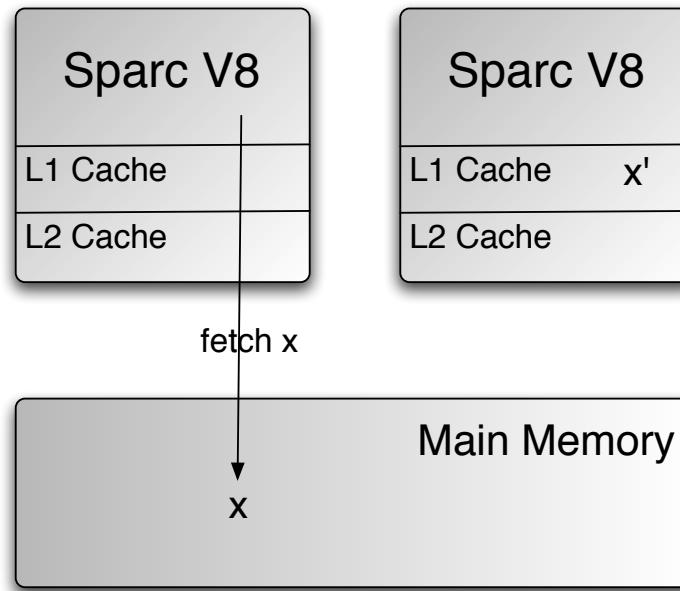
Hints of the Future



Beginning with J2SE 1.4.1, the Java HotSpot Server VM does not support operations on chips with Sparc V8 architecture

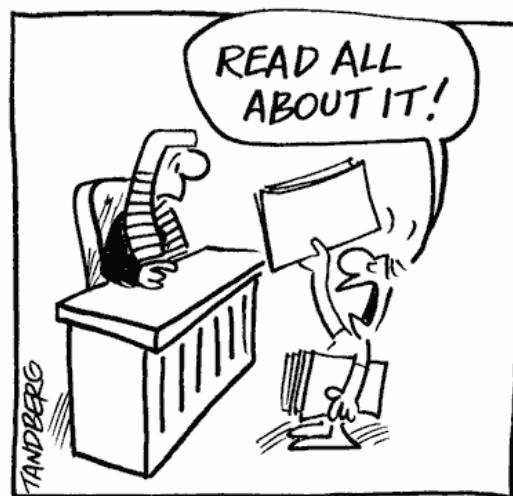
Hardware Plays Catch-up

- ★ Sparc V9 contain pseudo instructions to sync L1, L2 cache with main memory on multi-cpu machines

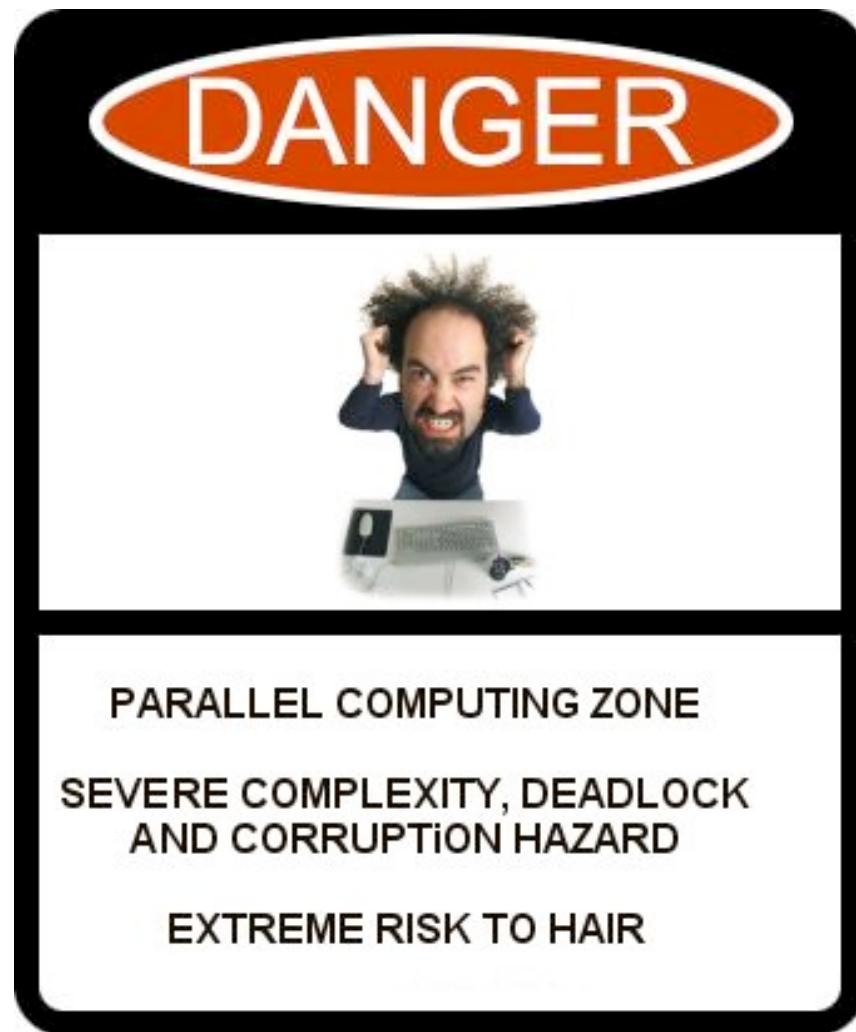


Hardware Acceleration Slows

- ⚠ Intel announces that focus will shift from clock speed to multi-core/hyperthreading
 - ⌚ multi-core Xeon processors ship late 2005
- ⚠ 2007, C|Net reports, Intel and Microsoft state that software needs to heed Moore's law



New Programming Environment



Kabutz: Law of Sudden Riches

- ⚠ We no longer have uni-processor systems to hide behind



- ⚠ Applications suddenly have more CPU
⚠ bigger problem for older 3rd party libraries

Dangers

- ⚠ All existing threading bugs start exposing themselves
- ⚠ We have to worry about
 - deadlock
 - live lock
 - thread stalls
 - race conditions
- ⚠ Lock contention
 - serialized execution
- ⚠ Strange behavior in clusters
- ⚠



Database Vendors React



Laptops go Multi-core

Late 2006, ~50% of Java performance course attendees show up with multi-core laptops



Multi-core is a fact of life

- ⚠ Developers must deal with concurrency
 - truly threaded applications are more the norm
 - Multi-core puts more pressure on
 - memory
 - I/O resources
 - shared variables
 - Databases?
- ⚠ Sharing is a big performance issue
 - points of serialization now hurt more than ever

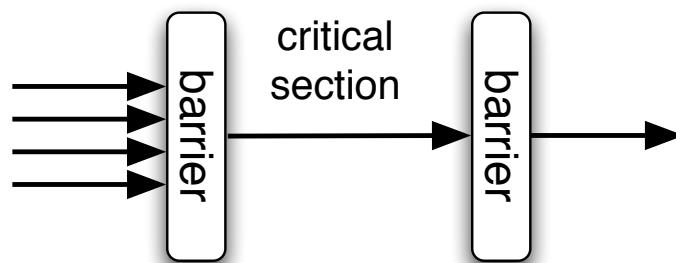






Little's Law

- ❖ Maths explaining the relationship between locking and throughput



$$\lambda = 1 / \mu$$

$$\mu = 10\text{ms}, \lambda = 100 \text{ tps}$$

$$\mu = 100\text{ms}, \lambda = 10 \text{ tps}$$

Amdahl's Law

💡 Maths to explain relationship between serialized execution and processor utilization

$$\frac{1}{F + (1 - F)}$$

- 👉 F → 0 number of utilized CPU → N
- 👉 F → 1 number of utilized CPU → 1





Serialized Execution



Amdahl's Law

⚠ Amdahl says; things work until we need to share (or otherwise cooperate)

- CPU

- both computational units and L1/L2 cache

- bus is locked too all other threads while in use

- memory/Java Heap (Garbage collection)

- I/O (disk, keyboard, console, files)

- network

- data in memory (locks)

Are You Awake?

⚠ L1/L2 caches can thrash

```
for ( int i = 0; i < matrix.length; i++ ) {  
    for ( int j = 0; j < matrix[i].length; j++ ) {  
        matrix[i][j] *= 2;
```

benches in 430ms

```
for ( int i = 0; i < matrix.length; i++ )  
    for ( int j = 0; j < matrix[i].length; j++ )  
        matrix[j][i] *= 2;
```

benches in 2750ms

Locking is Pessimistic

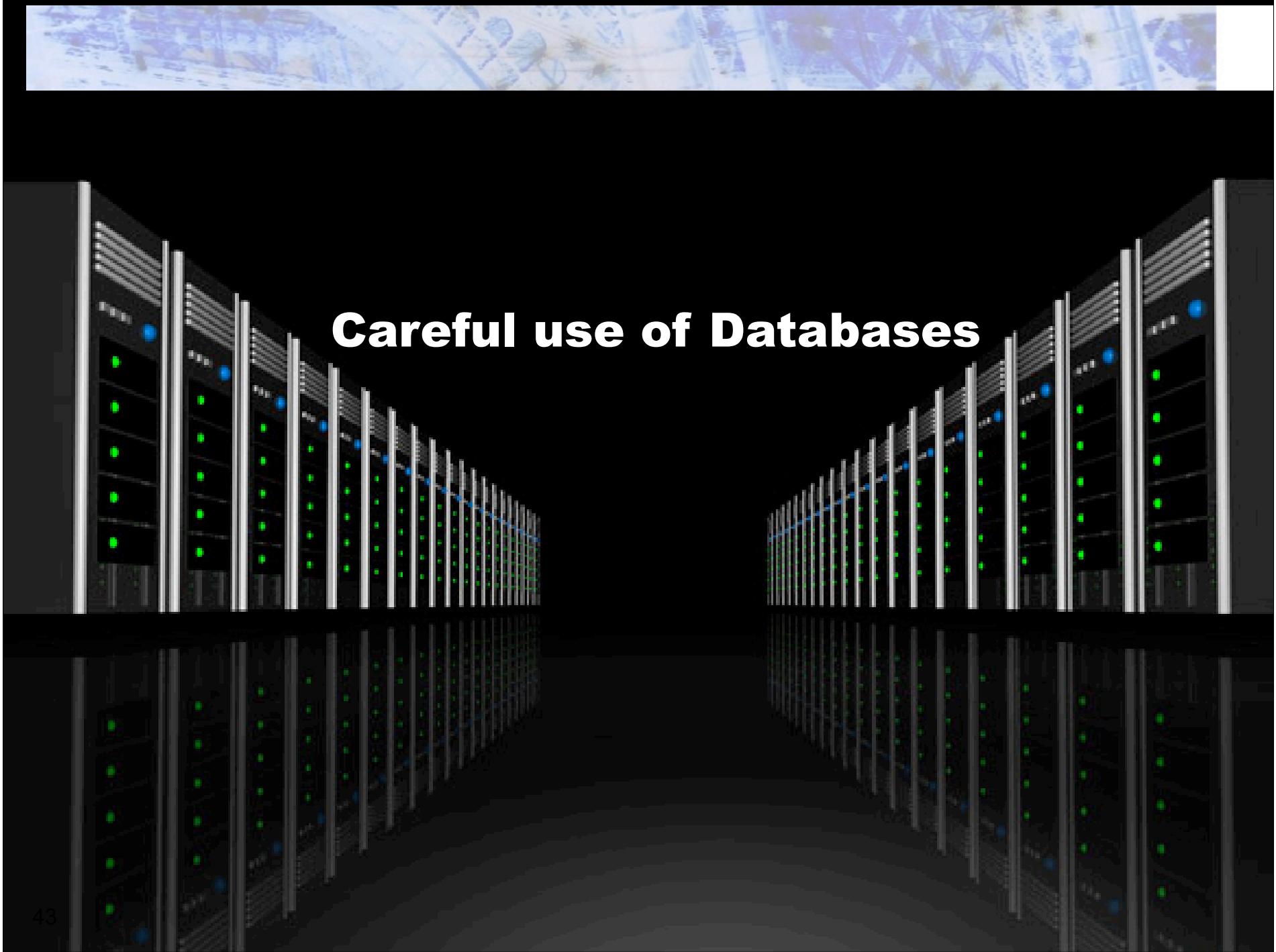


The glass is half full



Reducing Contention

- ❖ Share nothing designs
- ❖ Pipelined designed
 - ❖ messaging and mail boxes
- ❖ Minimize transactions
 - ❖ duration
 - ❖ numbers
- ❖ Minimize locking
 - ❖ Concurrency package
- ❖ Garbage collection
- ❖ Hotspot/JIT

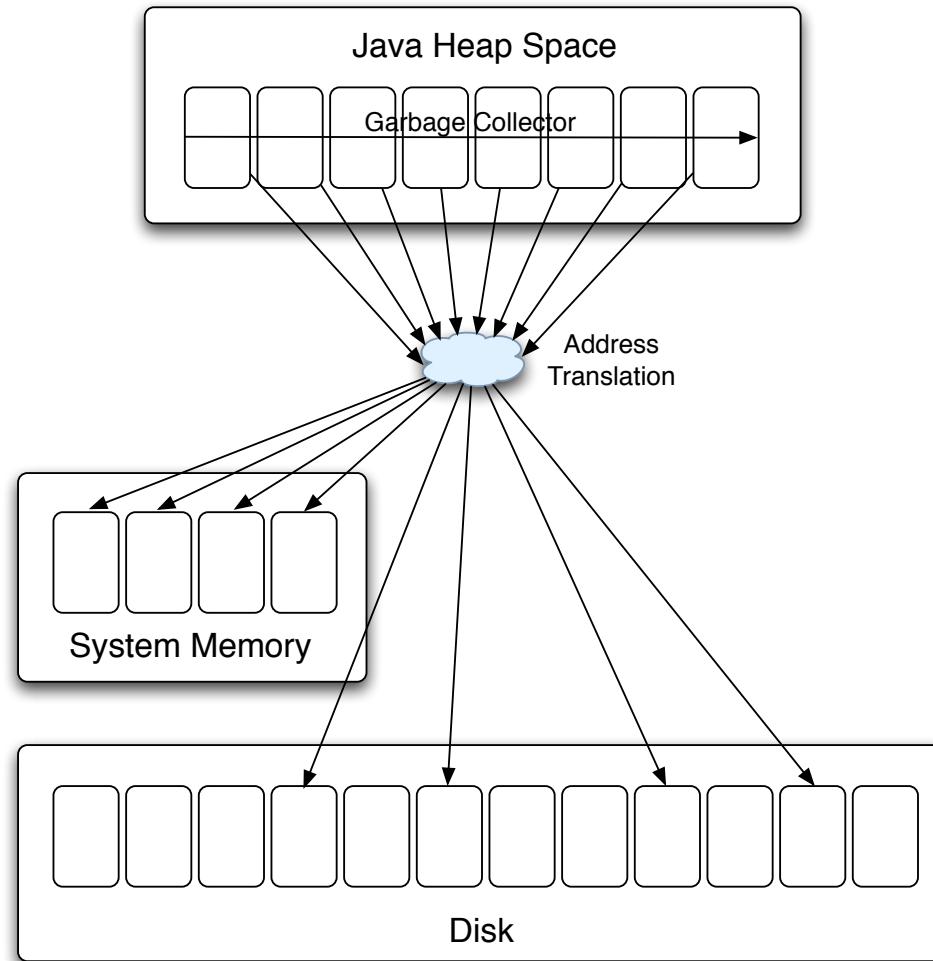


Careful use of Databases

Automated Memory Management

- ⚠ GC is “stop-the-world”
 - GC needs exclusive access to Java heap
 - all application threads must be paused
 - point of serialization in your application
- ⚠ GC is CPU intensive
 - application pause time tied to clock speed
- ⚠ An improperly configured Java heap hinders performance
 - Too small => too frequent, risk OOME
 - Too large => long pause times

Law of Leaky Abstractions



Keeping Friends Close

- ▲ Large page support now on all platforms
 - keeps related objects on the same page
 - helps avoid TLB misses (expensive to resolve)
 - lock pages into RAM
 - Solaris support is up to 256m (depending on class of machine)
 - Linux/Windows is up to 4m



Garbage Collection

- ❖ 1.5 parallel becomes default
 - ❖ consider using concurrent
- ❖ 1.6 support escape analysis
 - ❖ references that remain local can be dealt with more efficiently



More to Come?

- ★ Dominate chip architecture is cache-coherent non-uniform memory access (NUMA)
 - local access is very quick
 - remote access is much slower
 - encourages thread/core affinity
 - mitigates L1/L2 cache coherency issues
 - reduces contention on bus and remote memory

Garbage Collection Improvements

- ▲ GC/JVM allocations aware of NUMA
 - localized allocations GC'd faster
 - localized allocations stay remain in CPU cache
 - enabled using -XX:useNUMA (1.6 Update 2)
 - Solaris is simple
 - Windows and Linux require more complex configuration
- ▲ <http://java.sun.com/javase/technologies/hotspot/largememory.jsp>

Locking

- ❖ Acquiring a lock is expensive
 - maybe
- ❖ Vast majority of locks are not contended
- ❖ RDB vendors have known for more than 20 years, locking kills performance
 - what can we learn from RDBs



Optimizations

- ❖ Use observations to guide optimizations
- ❖ Relax constraints
- ❖ Throughput vs. fairness
- ❖ Cache to avoid using expensive resources

Hardware to Reduce Contention

❖ Transactional Memory

- ❑ looks more like an optimistic transaction
- ❑ lock defines “transactional region”
- ❑ allows all threads simultaneous access
- ❑ hardware watches for write-write conflict
- ❑ thread rollback and memory repair



Software Improvements

- ❖ JSE 5.0 provides a laundry list of improvements aimed at reducing contention
 - atomic variables
 - improved volatile
 - java.util.concurrent (JSR 166)
- ❖ semantically richer concurrency
 - Collections with copy on write semantics
 - ConcurrentHashMap
 - ReentrantLock
 - ReadWriteLock



Choice

Which is best?



Monitoring

```
public void run() {  
    boolean detected = false;  
    while ( running) {  
        if ( ( counter < 0) || (counter > 2)) {  
            if ( ! detected) {  
                System.out.println( "Corrupted " + counter);  
                detected = true;  
            }  
        }  
    }  
}
```



Mutator

```
private int counter = 0;

Runnable mutator = new Runnable() {
    public void run() {
        long localCount = 0;
        while ( running) {
            counter++;
            counter--;
            localCount++;
        }
        addToLocalCount( localCount);
    }
};
```

Volatile

```
volatile private int counter = 0;

Runnable mutator = new Runnable() {
    public void run() {
        long localCount = 0;
        while ( running) {
            counter++; counter--; localCount++;
        }
        addToTotalCount( localCount);
    }
};
```



Doubly Synchronized

```
// Instance based counter
private int counter = 0;

// Runnable block
Runnable mutator = new Runnable() {
    public void run() {
        long localCount = 0;
        while ( running ) {
            synchronized( this ) { counter++; }
            synchronized(this) { counter--; }
            localCount++;
        }
        addToLocalCount( localCount );
    }
};
```



Synchronized

```
// Instance based counter
private int counter = 0;

// Runnable block
Runnable mutator = new Runnable() {
    public void run() {
        long localCount = 0;
        while ( running) {
            synchronized {
                counter++; counter--;
            }
            localCount++;
        }
        addToLocalCount( localCount);
   }};
}
```



Doubly Reentrant Lock

```
// Instance based counter
private int counter = 0;
private ReentrantLock lock;

// Runnable block
try {
    lock.lock();
    counter++;
} finally { lock.unlock(); }
try {
    lock.lock();
    counter--;
} finally { lock.unlock(); }
```

Reentrant Lock

```
// Instance based counter
private int counter = 0;
private ReentrantLock lock;

// Runnable block
try {
    lock.lock();
    counter++;
    counter--;
} finally {
    lock.unlock();
}
```

AtomicInteger

```
// Instance based counter
private AtomicInteger counter;

// Runnable block
while ( running ) {
    counter.incrementAndGet();
    counter.decrementAndGet();
    localCount++;
}
```

Results

Bench	Counter
Not Thread Safe	750526139
Volatile	333765152
Double Synchronized	28829033
Synchronized	28799357
Double Locked	28966764
Locked	28830148
AtomicInteger	203393689

JDK 1.5.0_10, Intel 3.4 Ghz Hyper-threaded, Window XP



Locking

java.util.concurrent.locks.Lock

- Allows you to park threads
 - for a specific amount of time including forever

ReentrantLock

- comes with a fairness setting

ReentrantReadWriteLock

- support multiple readers
- writer blocks all access

Compare and Set

- ❖ Atomic primitive wrappers rely on CAS
 - ❖ unsynchronized thread safe type
 - ❖ good for atomic operations
- ❖ CAS is used to support thread safe lock-free algorithms
 - ❖ needs support from the hardware

cas mem_addr, old_value, new_value



Coming Soon?

- ★ Cliff Click's lock-less concurrent HashTable
 - still a research project
 - extremely complex implementation
 - allows race conditions to determine state in the supporting state-machine
 - relies on CAS
- ★ FIFO, LIFO?



JVM Improvements

- ★ JSE 6.0 adds to the list of features that can reduce contention
 - spin-waits (adaptive spinning)
 - lock coarsening
 - lock elision (with escape analysis)
 - biased locking
 - altered notify semantics (less lock jamming)



Does any of this stuff actually work?

How Good is Escape Analysis

⚠ Bench devised by Jeroen Borgers (Xebia)

```
public String concatBuffer( String s1, String s2, String s3) {  
    StringBuffer sb = new StringBuffer();  
    sb.concat( s1);  
    sb.concat( s2);  
    sb.concat( s3);  
    return sb.toString();  
}
```

```
public String concatBuilder( String s1, String s2, String s3) {  
    StringBuilder sb = new StringBuilder();  
    sb.concat( s1);  
    sb.concat( s2);  
    sb.concat( s3);  
    return sb.toString();  
}
```

Lock Overhead

Benchmark	StringBuffer	StringBuilder	%Overhead
Baseline	7896	2760	186%
Escape Analysis	7875	2756	185%
Elimination	4068	2739	48%
Biased	5489	2843	93%
Escape, Elimination	4078	2813	45%
Escape, Biased	5500	2849	93%
Elimination, Biased	4718	2812	68%
Escape, Elimination, Biased	4740	2828	68%



The Future is Clear

- ❖ Processors will contain
 - ❖ many more cores
- ❖ Memory will be segmented
 - ❖ local segments as part of a global space
- ❖ Applications will continue need to be hardware aware
- ❖ Languages improvements
 - ❖ could closures offer better expression of parallelism?
 - ❖ Totally new language?

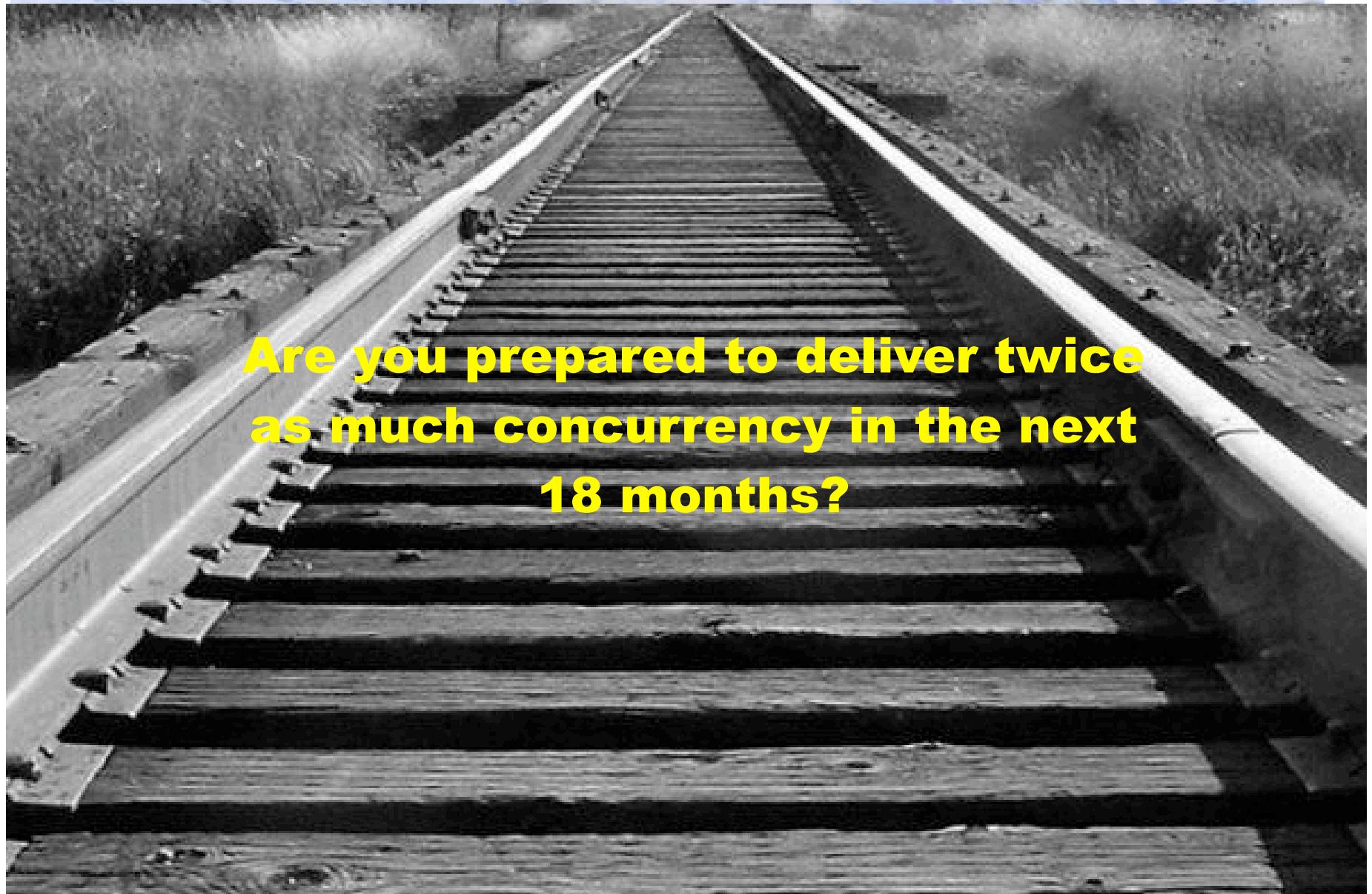


The Future is Clear

Operating systems and hardware are being optimized to better support virtual machines

Contention is Under Siege

pessimistic == Improving Concurrency \Rightarrow optimistic



**Are you prepared to deliver twice
as much concurrency in the next
18 months?**



Thanks for your attention



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