

INT102 Lec 3 Divide and Conquer

Recursive Binary Search

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BINARY-SEARCH-RECURSIVE(A, v, low, high)
1  if low > high return false
2  mid =  $\lfloor (low + high) / 2 \rfloor$ 
3  if v > A[mid]
4      return BINARY-SEARCH-RECURSIVE(A, v, mid + 1, high)
5  else if v < A[mid]
6      return BINARY-SEARCH-RECURSIVE(A, v, low, mid - 1)
7  else return mid
```

Time Complexity of binary search algorithm on n numbers:

$$T(n) = \begin{cases} 1 & \text{if } n = 1, \\ T(n/2) + 1 & \text{otherwise.} \end{cases}$$

We call this formula a recurrence.

Recurrence

A recurrence is an equation or inequality that describes a function in terms of its value on smaller inputs.

To solve a recurrence is to derive asymptotic bounds on the solution.

Substitution Method

1. Make a guess (for example: $T(n) \leq 2 \log n$)

Prove statement by mathematic induction:

Assume true for all $n' < n$ [Assume $T(n/2) \leq 2 \log(n/2)$]

$$\begin{aligned} T(n) &= T(n/2) + 1 \\ &\leq 2 \log(n/2) + 1 \\ &= 2(\log n - 1) + 1 \\ &< 2 \log n, \end{aligned}$$

it follows that $\log(n/2) = \log n - \log 2$.

Hence $T(n) = O(\log n)$.

Example:

Prove that $T(n) = \begin{cases} 1 & \text{if } n = 1, \\ 2T(n/2) + 1 & \text{otherwise.} \end{cases}$ is $O(n)$.

Guess: $T(n) \leq 2n - 1$,

Assume true for all $n' < n$ s.t.

$$\begin{aligned} T(n) &= 2T(n/2) + 1 \\ &\leq 2(2 * (n/2) - 1) + 1 \\ &= 2n - 2 + 1 \\ &= 2n - 1, \end{aligned}$$

Hence, $T(n) = O(n)$.

Example 2:

Prove that $T(n) = \begin{cases} 1 & \text{if } n = 1, \\ 2T(n/2) + n & \text{otherwise.} \end{cases}$ is $O(n \log n)$.

Guess: $T(n) \leq 2n \log n$

Assume true for all $n' < n$ s.t.

$$\begin{aligned} T(n) &= 2T(n/2) + n \\ &\leq 2(2(n/2) \log(n/2)) + n \\ &= 2n(\log n - 1) + n \\ &= 2n \log n - 2n + n \\ &\leq 2n \log n, \end{aligned}$$

Hence $T(n) = O(n \log n)$.

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Depending on recurrence we observe:

$$\begin{array}{ll} T(n) = T(n/2) + \Theta(1), & T(n) = O(\log n); \\ T(n) = 2T(n/2) + \Theta(1), & T(n) = O(n); \\ T(n) = 2T(n/2) + \Theta(n), & T(n) = O(n \log n). \end{array}$$

Merge Sort

MERGE-SORT($A[0 \dots n-1]$)

```

1  if  $n > 1$  then
2      copy  $A[0 \dots \lfloor n/2 \rfloor - 1]$  to  $B[0 \dots \lfloor n/2 \rfloor - 1]$ 
3      copy  $A[\lfloor n/2 \rfloor \dots n-1]$  to  $C[0 \dots \lfloor n/2 \rfloor - 1]$ 
4      MERGE-SORT( $B[0 \dots \lfloor n/2 \rfloor - 1]$ )
5      MERGE-SORT( $C[0 \dots \lfloor n/2 \rfloor - 1]$ )
6      MERGE( $B, C, A$ )

```

$$\begin{aligned} T_{\text{MergeSort}}(n) &= \Theta(1) + \Theta(n/2) + \Theta(n/2) + T_{\text{MergeSort}}(\lfloor n/2 \rfloor) + T_{\text{MergeSort}}(\lfloor n/2 \rfloor) + T_{\text{Merge}}(n) \\ &= 2 T_{\text{MergeSort}}(n/2) + \Theta(n) \\ &= \Theta(n \log n) \end{aligned}$$

MERGE($B[0 \dots p-1], C[0 \dots q-1], A[0 \dots p+q-1]$)

```

1  Set  $i = 0, j = 0, k = 0$ 
2  while  $i < p$  and  $j < q$  do
3      if  $B[i] \leq C[j]$  then    set  $A[k] = B[i]$ , and  $i = i + 1$ 
4      else                    set  $A[k] = C[j]$ , and  $j = j + 1$ 
5       $k = k + 1$ 
6  if  $i == p$  then    copy  $C[j \dots q-1]$  to  $A[k \dots p+q-1]$ 
7  else              copy  $B[i \dots p-1]$  to  $A[k \dots p+q-1]$ 

```

$$T_{\text{Merge}}(n) = \Theta(n)$$

Time Complexity of Merge Sort

It costs $\Theta(n)$ time to MERGE, plus two $T_{\text{MergeSort}}(n/2)$ recursive calls of MERGE-SORT, giving the following recurrence:

$$T(n) = \begin{cases} 1 & \text{if } n = 1, \\ 2T(n/2) + \Theta(n) & \text{otherwise,} \end{cases}$$

hence, $T(n) = O(n \log n)$.

The master method for solving recurrences:

Master Theorem:

Let $a \geq 1$ and $b \geq 1$ be constants, let $f(n)$ be a function, and let $T(n)$ be defined on the non negative integers by the recurrence:

$$T(n) = aT(n/b) + f(n),$$

where n/b can be interpreted as either $\lfloor x/b \rfloor$ and $\lceil x/b \rceil$.

Then $T(N)$ has the following asymptotic bounds:

1. If $f(n) = O(n^{\log_b a - \epsilon})$ for some constant $\epsilon > 0$, then $T(n) = \Theta(n^{\log_b a})$.
2. If $f(n) = \Theta(n^{\log_b a})$, then $T(n) = \Theta(n^{\log_b a} \lg n)$.
3. If $f(n) = \Omega(n^{\log_b a + \epsilon})$ for some constant $\epsilon > 0$, then $T(n) = \Theta(f(n))$.

$T(n) = T(n/2) + \Theta(n)$:

Let $a = 1, b = 2, f(n) = \Theta(n)$, then $\log_b a = \log_2 1 = 0$.

If we pick $\epsilon = 1$, then $f(n) = \Omega(n^{\log_b a + \epsilon}) = \Omega(n^{0+1})$.

Hence using Master's Theorem, it follows that $T(n) = \Theta(f(n)) = \Theta(n)$.