

# Data Structures and Algorithms

## Lecture 8: Hard problems

Heikki Peura

[h.peura@imperial.ac.uk](mailto:h.peura@imperial.ac.uk)



# Previously

## Graphs recap:

- ▶ Weighted/unweighted graphs
- ▶ Dijkstra and BFS

## Plan for today:

- ▶ Lecture: Greedy algorithms and the knapsack problem
- ▶ Workshop
- ▶ 11:10am: Prize ceremony, parting words

# Designing algorithms is tricky!

**No single recipe** solves all our problems...

- ▶ ...but there are some useful principles

# Designing algorithms is tricky!

**No single recipe** solves all our problems...

- ▶ ...but there are some useful principles

We've used the **divide-and-conquer** paradigm

- ▶ Divide into smaller subproblems
- ▶ Eg binary search

# Designing algorithms is tricky!

**No single recipe** solves all our problems...

- ▶ ...but there are some useful principles

We've used the **divide-and-conquer** paradigm

- ▶ Divide into smaller subproblems
- ▶ Eg binary search

**But there are others:**

- ▶ Greedy algorithms (today)
- ▶ Dynamic programming (for you to discover...)

# Greedy algorithms

**Rough idea:** make **myopic** decisions iteratively

- ▶ Make a choice for immediate benefit without worrying about future consequences
- ▶ Attractive but dangerous

# Greedy algorithms

**Rough idea:** make **myopic** decisions iteratively

- ▶ Make a choice for immediate benefit without worrying about future consequences
- ▶ Attractive but dangerous

**Greedy algorithms are:**

- ▶ Easy to come up with
- ▶ Easy to analyse running time
- ▶ Difficult to show to be correct
- ▶ **DANGER:** a greedy choice is often **not** correct

# Knapsack problem

**Problem:** fill a bag with the most valuable items available.

**Input:**

- ▶ Set of  $n$  items, with values  $v_i$  and sizes  $w_i$  (integer)
- ▶ Capacity  $W$



# Knapsack problem

**Problem:** fill a bag with the most valuable items available.

**Input:**

- ▶ Set of  $n$  items, with values  $v_i$  and sizes  $w_i$  (integer)
- ▶ Capacity  $W$

**Output:** subset  $S$  of items that maximizes the sum of values subject to a capacity constraint:

- ▶  $\max \sum_{i \in S} v_i$
- ▶ subject to  $\sum_{i \in S} w_i \leq W$

# Knapsack problem applications



# Knapsack problem applications



# Knapsack problem applications



Many problems with **budget constraints** are versions of knapsack

- ▶ Selecting portfolios (eg projects to invest in)
- ▶ Lots of “operational” problems...

# Two-item example

## Items to pack

- ▶ **Shirt**: value 5, weight 5
- ▶ **Bottle**: value 10, weight 5

# Two-item example

## Items to pack

- ▶ **Shirt**: value 5, weight 5
- ▶ **Bottle**: value 10, weight 5

## Subsets:

- ▶  $\{\}, \{\text{Shirt}\}, \{\text{Bottle}\}, \{\text{Shirt}, \text{Bottle}\}$

# Two-item example

## Items to pack

- ▶ **Shirt**: value 5, weight 5
- ▶ **Bottle**: value 10, weight 5

## Subsets:

- ▶  $\{\}, \{\text{Shirt}\}, \{\text{Bottle}\}, \{\text{Shirt}, \text{Bottle}\}$

## Knapsack size limits feasible solutions

- ▶  $W < 5$ : can pick neither
- ▶  $5 \leq W < 10$ : neither or just one
- ▶  $W \geq 10$ : all subsets feasible

# Go through all possibilities?

## Input:

- ▶ Set of  $n$  items, with values  $v_i$  and sizes  $w_i$  (integer)
- ▶ Capacity  $W$

**Output:** subset  $S$  of items that maximizes the sum of values subject to capacity constraint:

- ▶  $\max \sum_{i \in S} v_i$
- ▶ subject to  $\sum_{i \in S} w_i \leq W$



# Go through all possibilities?

## Input:

- ▶ Set of  $n$  items, with values  $v_i$  and sizes  $w_i$  (integer)
- ▶ Capacity  $W$

**Output:** subset  $S$  of items that maximizes the sum of values subject to capacity constraint:

- ▶  $\max \sum_{i \in S} v_i$
- ▶ subject to  $\sum_{i \in S} w_i \leq W$

## Exhaustive (brute-force) search?

- ▶ Go through all subsets of  $\{1, 2, 3, \dots, n\}$
- ▶ Suppose we have 50 items:  $O(2^n)$  subsets...

# Greedy approaches for knapsack problem

## Input:

- ▶ Set of  $n$  items, with values  $v_i$  and sizes  $w_i$
- ▶ Capacity  $W$

**Output:** subset  $S$  of items that maximizes the sum of values subject to capacity constraint:

- ▶  $\max \sum_{i \in S} v_i$
- ▶ subject to  $\sum_{i \in S} w_i \leq W$

**Greedy approaches?** — Pick myopically without worrying about future choices

# Greedy approaches for knapsack problem

## Input:

- ▶ Set of  $n$  items, with values  $v_i$  and sizes  $w_i$
- ▶ Capacity  $W$

**Output:** subset  $S$  of items that maximizes the sum of values subject to capacity constraint:

- ▶  $\max \sum_{i \in S} v_i$
- ▶ subject to  $\sum_{i \in S} w_i \leq W$

**Greedy approaches?** — Pick myopically without worrying about future choices

- ▶ **Highest-value** item first?

# Greedy approaches for knapsack problem

## Input:

- ▶ Set of  $n$  items, with values  $v_i$  and sizes  $w_i$
- ▶ Capacity  $W$

**Output:** subset  $S$  of items that maximizes the sum of values subject to capacity constraint:

- ▶  $\max \sum_{i \in S} v_i$
- ▶ subject to  $\sum_{i \in S} w_i \leq W$

**Greedy approaches?** — Pick myopically without worrying about future choices

- ▶ **Highest-value** item first?
- ▶ **Lowest-size** item first?

# Greedy approaches for knapsack problem

## Input:

- ▶ Set of  $n$  items, with values  $v_i$  and sizes  $w_i$
- ▶ Capacity  $W$

**Output:** subset  $S$  of items that maximizes the sum of values subject to capacity constraint:

- ▶  $\max \sum_{i \in S} v_i$
- ▶ subject to  $\sum_{i \in S} w_i \leq W$

**Greedy approaches?** — Pick myopically without worrying about future choices

- ▶ **Highest-value** item first?
- ▶ **Lowest-size** item first?
- ▶ Some easy way of **combining value and size**?

# Greedy algorithms for knapsack

## Greedy approaches?

- ▶ **Highest-value** item first?

# Greedy algorithms for knapsack

## Greedy approaches?

- ▶ **Highest-value** item first?

**Example:** capacity  $W = 20$ , three items with values  $v = [10, 10, 11]$ , weights  $w = [10, 10, 11]$ .

- ▶ Picking highest value first is **bad**...

# Greedy algorithms for knapsack

## Greedy approaches?

- ▶ **Highest-value** item first?
- ▶ **Lowest-size** item first?

**Example:** capacity  $W = 20$ , three items with values  $v = [10, 10, 11]$ , weights  $w = [10, 10, 11]$ .

- ▶ Picking highest value first is **bad**...



# Greedy algorithms for knapsack

## Greedy approaches?

- ▶ **Highest-value** item first?
- ▶ **Lowest-size** item first?

**Example:** capacity  $W = 20$ , three items with values  $v = [10, 10, 11]$ , weights  $w = [10, 10, 11]$ .

- ▶ Picking highest value first is **bad**...

**Example:** capacity  $W = 20$ , three items with values  $v = [10, 10, 50]$ , weights  $w = [10, 10, 11]$ .

- ▶ Picking lowest weight first is **bad**...

# Greedy algorithms for knapsack

## Greedy approaches?

- ▶ **Highest-value** item first?
- ▶ **Lowest-size** item first?

**Example:** capacity  $W = 20$ , three items with values  $v = [10, 10, 11]$ , weights  $w = [10, 10, 11]$ .

- ▶ Picking highest value first is **bad**...

**Example:** capacity  $W = 20$ , three items with values  $v = [10, 10, 50]$ , weights  $w = [10, 10, 11]$ .

- ▶ Picking lowest weight first is **bad**...

Some easy way of **combining value and size**?

- ▶ Eg **sort items by unit weight**  $v_i/w_i$  and pick them in this order

# Greedy algorithm for knapsack

## Greedy algorithm:

- ▶ Sort items in decreasing bang-for-buck  $v_i/w_i$
- ▶ Pick items until capacity full

# Greedy algorithm for knapsack

## Greedy algorithm:

- ▶ Sort items in decreasing bang-for-buck  $v_i/w_i$
- ▶ Pick items until capacity full

## Running time?

- ▶ Sorting?
- ▶ Loop?

# Greedy algorithm for knapsack

## Greedy algorithm:

- ▶ Sort items in decreasing bang-for-buck  $v_i/w_i$
- ▶ Pick items until capacity full

## Running time?

- ▶ Sorting?
- ▶ Loop?
- ▶ Total  $O(n \log n)$

# Is the algorithm correct?

**Example:** capacity  $W=510$ , three items with values  $v = [10, 10, 500]$ , weights  $w = [10, 10, 501]$ .

- ▶ Greedy picking is **bad**...

# Is the algorithm correct?

**Example:** capacity  $W=510$ , three items with values  $v = [10, 10, 500]$ , weights  $w = [10, 10, 501]$ .

- ▶ Greedy picking is **bad**...

It would be optimal if we could **divide items into fractions**

# Is the algorithm correct?

**Example:** capacity  $W=510$ , three items with values  $v = [10, 10, 500]$ , weights  $w = [10, 10, 501]$ .

- ▶ Greedy picking is **bad**...

It would be optimal if we could **divide items into fractions**

Here we would need a different approach to find correct solution — dynamic programming

- ▶ Exploit problem structure to loop through all items and possible capacities
- ▶ Correct solution,  $O(nW)$  time (“pseudo-polynomial”)



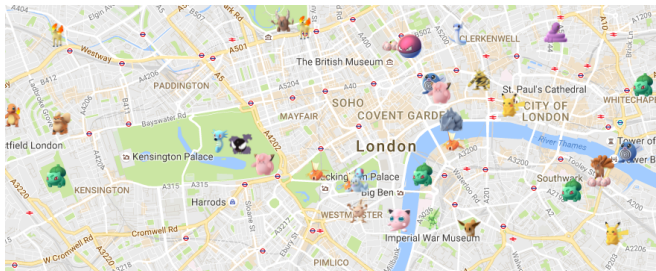
# Many important problems are intractable

**Tractable problem** = Solvable in polynomial time  $O(n^k)$  for some  $k$

# Many important problems are intractable

**Tractable problem** = Solvable in polynomial time  $O(n^k)$  for some  $k$

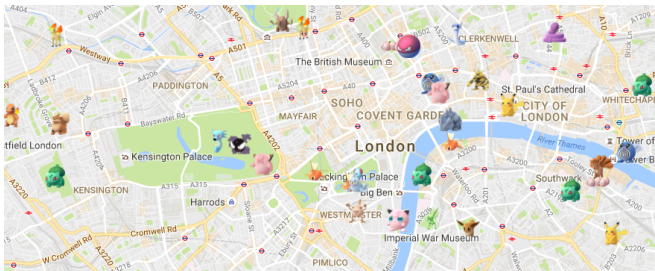
Finding the **shortest route to a Pikachu nest** (Dijkstra's algorithm) vs.  
finding the **shortest tour of all Pokemon nests**



# Many important problems are intractable

**Tractable problem** = Solvable in polynomial time  $O(n^k)$  for some  $k$

Finding the **shortest route to a Pikachu nest** (Dijkstra's algorithm) vs.  
finding the **shortest tour of all Pokemon nests**

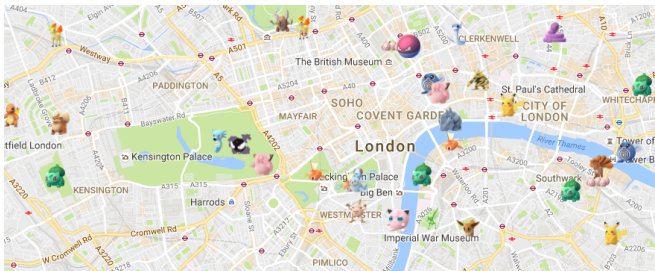


Example of intractability: **traveling salesperson problem** (TSP)

# Many important problems are intractable

**Tractable problem** = Solvable in polynomial time  $O(n^k)$  for some  $k$

Finding the **shortest route to a Pikachu nest** (Dijkstra's algorithm) vs.  
finding the **shortest tour of all Pokemon nests**



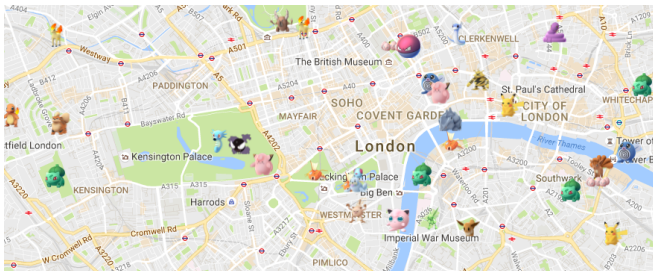
Example of intractability: **traveling salesperson problem** (TSP)

- ▶ **Input:** undirected graph with non-negative edge costs

# Many important problems are intractable

**Tractable problem** = Solvable in polynomial time  $O(n^k)$  for some  $k$

Finding the **shortest route to a Pikachu nest** (Dijkstra's algorithm) vs. finding the **shortest tour of all Pokemon nests**



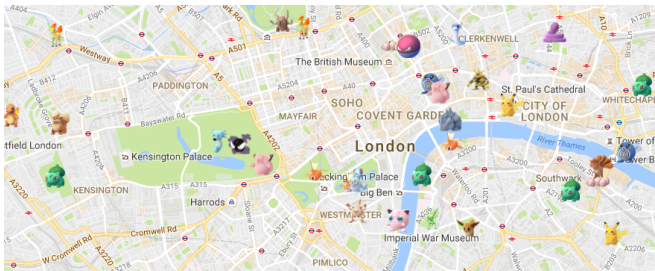
Example of intractability: **traveling salesperson problem** (TSP)

- ▶ **Input:** undirected graph with non-negative edge costs
- ▶ **Goal:** find minimum cost tour visiting every node

# Many important problems are intractable

**Tractable problem** = Solvable in polynomial time  $O(n^k)$  for some  $k$

Finding the **shortest route to a Pikachu nest** (Dijkstra's algorithm) vs. finding the **shortest tour of all Pokemon nests**



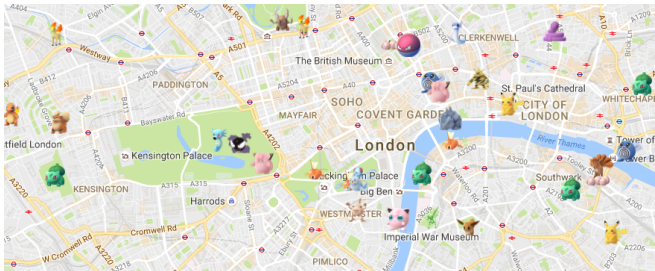
Example of intractability: **traveling salesperson problem** (TSP)

- ▶ **Input:** undirected graph with non-negative edge costs
- ▶ **Goal:** find minimum cost tour visiting every node
- ▶ **Conjecture:** no polynomial-time algorithm

# Many important problems are intractable

**Tractable problem** = Solvable in polynomial time  $O(n^k)$  for some  $k$

Finding the **shortest route to a Pikachu nest** (Dijkstra's algorithm) vs. finding the **shortest tour of all Pokemon nests**



Example of intractability: **traveling salesperson problem** (TSP)

- ▶ **Input:** undirected graph with non-negative edge costs
- ▶ **Goal:** find minimum cost tour visiting every node
- ▶ **Conjecture:** no polynomial-time algorithm
- ▶ Many other important problems too...

# My problem is intractable!

## What can you do?

1. There may be **tractable special cases** (small knapsack DP)



# My problem is intractable!

## What can you do?

1. There may be **tractable special cases** (small knapsack DP)
2. Get an **approximate solution using heuristics** — fast but not “correct” (next slide)

# My problem is intractable!

## What can you do?

1. There may be **tractable special cases** (small knapsack DP)
2. Get an **approximate solution using heuristics** — fast but not “correct” (next slide)
3. Solve in exponential time but try to **improve on brute force** (large knapsack DP)

# Greedy knapsack heuristic

Greedy knapsack was **incorrect** but very fast:  $O(n \log n)$

**Greedy algorithm:**

- ▶ Sort items in decreasing bang-for-buck  $v_i/w_i$
- ▶ For each item, pick the item until reach total  $W$

# Greedy knapsack heuristic

Greedy knapsack was **incorrect** but very fast:  $O(n \log n)$

**Greedy algorithm:**

- ▶ Sort items in decreasing bang-for-buck  $v_i/w_i$
- ▶ For each item, pick the item until reach total  $W$

**How bad is it?**

- ▶ **Example:** capacity  $W=510$ , three items with values  $v = [10, 10, 500]$ , weights  $w = [10, 10, 501]$ .

# Greedy knapsack heuristic

Greedy knapsack was **incorrect** but very fast:  $O(n \log n)$

**Greedy algorithm:**

- ▶ Sort items in decreasing bang-for-buck  $v_i/w_i$
- ▶ For each item, pick the item until reach total  $W$

**How bad is it?**

- ▶ **Example:** capacity  $W=510$ , three items with values  $v = [10, 10, 500]$ , weights  $w = [10, 10, 501]$ .
- ▶ “Worst-case scenario”: leave out (a single) extremely valuable object that would fit into knapsack

## Better greedy knapsack heuristic

Modified algorithm: pick **either** the greedy solution **or** the most valuable item

# Better greedy knapsack heuristic

**Modified algorithm:** pick **either** the greedy solution **or** the most valuable item

- ▶ Sort items in decreasing  $v_i/w_i$
- ▶ For each item, pick the item until reach total  $W$
- ▶ Return either greedy solution or the most valuable item, whichever is better

# Better greedy knapsack heuristic

**Modified algorithm:** pick **either** the greedy solution **or** the most valuable item

- ▶ Sort items in decreasing  $v_i/w_i$
- ▶ For each item, pick the item until reach total  $W$
- ▶ Return either greedy solution or the most valuable item, whichever is better

**Claim:** This gets at least 50% of maximum possible value.



# Better greedy knapsack heuristic

**Modified algorithm:** pick **either** the greedy solution **or** the most valuable item

- ▶ Sort items in decreasing  $v_i/w_i$
- ▶ For each item, pick the item until reach total  $W$
- ▶ Return either greedy solution or the most valuable item, whichever is better

**Claim: This gets at least 50% of maximum possible value.**

- ▶ “Worst-case scenario”: greedy leaves out two extremely valuable objects that would both fit into knapsack
- ▶ Still pick the better of them: at least 50%
- ▶ Often items are small  $\rightarrow$  the greedy choice cannot leave out many of them

# Review

## Some problems are inherently intractable

- ▶ Knapsack, traveling salesperson
- ▶ Greedy algorithms, approximations and heuristics can help

## Workshop after the break

- ▶ Knapsack and fantasy football

## 11am: Parting words

- ▶ Hacker Challenge
- ▶ What next?

# Workshop

## Workshop zip file on the Hub

- ▶ HTML instructions
- ▶ At some point, you'll need the `.py`-file with skeleton code (open in Spyder)

## 11am: Parting words

- ▶ Hacker Challenge
- ▶ What next?