#### [CS203] Design and Analysis of Algorithms

Course Instructor: Dr. Dibyendu Roy
Scribed by: Prakhar Jain(202251099)

Autumn 2023-24
Lecture (Week 12)

### Introduction to Kruskal's Algorithm

- 1. **Objective:** Finds a minimal spanning tree for a connected, undirected graph.
- 2. **Greedy Approach:** Operates based on a greedy strategy, adding the edge with the lowest weight at each step.
- 3. **Safe Edge Selection:** Selects a safe edge by finding the edge with the lowest weight that connects two different trees in the growing forest.
- 4. **Connected Components:** Utilizes a disjoint-set data structure to maintain connected components (trees). Uses FIND-SET operation to determine whether two vertices belong to the same tree.
- 5. Union Operation: Merges two trees into one using the UNION procedure.
- 6. Algorithm Steps:
  - (a) Initializes an empty set and creates individual trees for each vertex.
  - (b) Examines edges in ascending order of weight.
  - (c) Checks whether the edge's endpoints belong to the same tree.
  - (d) If not, adds the edge to the set and merges the corresponding trees.
- 7. **Running Time:** Depends on the specific implementation of the disjoint-set data structure. Assumes disjoint-set-forest implementation with union-by-rank and path-compression heuristics. Time complexity is  $O(E \log V)$ , where E is the number of edges and V is the number of vertices.

#### 8. Algorithm Analysis:

- (a) Initializes set and creates trees in O(1) time.
- (b) Sorting edges takes  $O(E \log E)$  time.
- (c) Disjoint-set operations take  $O(E \log V)$  time.
- (d) Overall time complexity is  $O(E \log V)$ .
- 9. **Conclusion:** Kruskal's algorithm is a greedy approach that efficiently finds a minimal spanning tree by iteratively adding the lowest-weight edges. This ensures that the resulting spanning tree connects all vertices with the minimum possible total edge weight.

# MST-KRUSKAL(G, w)

```
1: A \leftarrow \emptyset
 2: for each vertex v \in G.V do
       Make-Set(v)
 4: end for
 5: Create a single list of edges in G.E
 6: Sort the list of edges into monotonically increasing order by weight w
 7: for each edge (u, v) taken from the sorted list in order do
       if FIND-Set(u) \neq FIND-Set(v) then
           A \leftarrow A \cup \{(u,v)\}
 9:
           Union(u, v)
10:
       end if
11:
12: end for
13: \mathbf{return}\ A
```

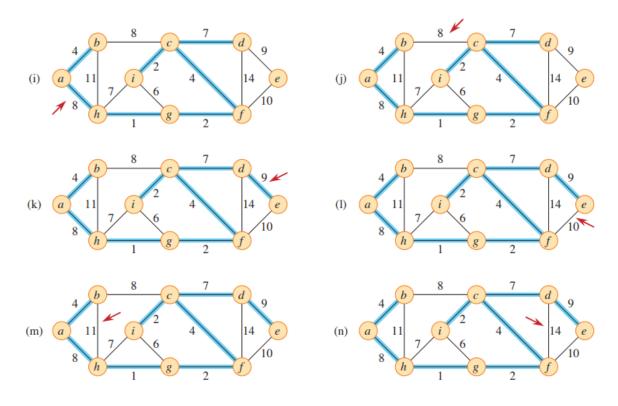


Figure 1: The execution of Kruskals algorithm on the graph

## Prim's Algorithm - Key Points

#### Algorithm Overview:

- Prim's algorithm is a greedy algorithm for finding a minimum spanning tree in a connected, undirected graph.
- It starts from an arbitrary root vertex and grows the minimum spanning tree until it spans all vertices.

#### Tree Growing Strategy:

- The edges in the set A always form a single tree throughout the algorithm's execution.
- ullet At each step, a light edge connecting A to an isolated vertex (one on which no edge of A is incident) is added.

#### **Greedy Nature:**

- The algorithm adds edges to the tree with the minimum possible weight at each step.
- This ensures that the edges added contribute the minimum amount possible to the tree's weight.

#### Procedure Description (MST-PRIM):

- 1. Maintain a min-priority queue Q of vertices not in the tree, based on a key attribute.
- 2. Attributes include key (minimum weight of any edge connecting the vertex to the tree) and  $v:\pi$  (parent of the vertex in the tree).
- 3. The algorithm implicitly maintains the set A as  $A = \{(v, v : \pi) \mid v \in V \setminus Q\}$ .

#### **Loop Invariant:**

- Prior to each iteration of the loop, A is correctly maintained as described above.
- Vertices in  $V \setminus Q$  are already in the minimum spanning tree.
- For vertices  $v \in Q$ , if  $v : \pi \neq NIL$ , then v : key < 1 and v : key is the weight of a light edge  $(v, v : \pi)$ .

#### Edge Selection and Update:

- Identify a vertex  $u \in Q$  incident on a light edge that crosses the cut  $(V \setminus Q, Q)$ .
- Add u to the tree  $(V \setminus Q)$ , adding the edge  $(u, u : \pi)$  to A.
- Update key and  $\pi$  attributes of vertices adjacent to u but not in the tree.
- DECREASE-KEY is called to inform the min-priority queue of the change in key.

# Prim's Algorithm

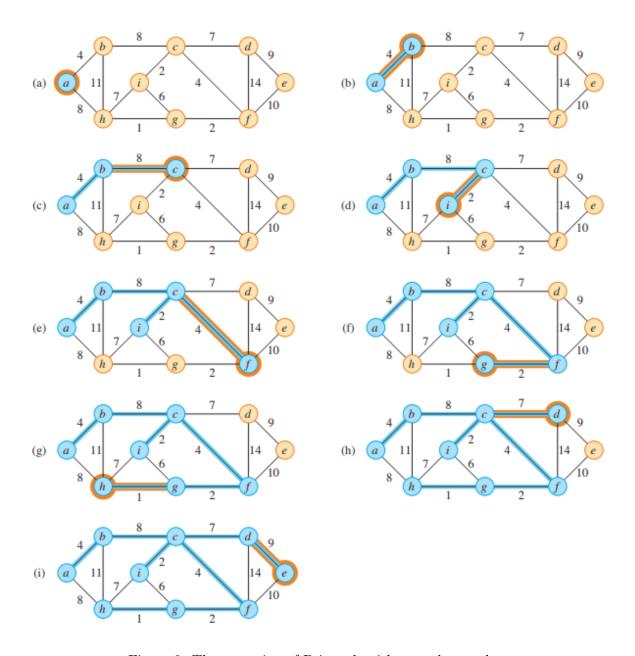


Figure 2: The execution of Prims algorithm on the graph

```
Input: Graph G = (V, E), edge weights w : E \to \mathbb{R}, and a root vertex r \in V.
```

```
Output: Minimum Spanning Tree A.
 1: procedure MST-PRIM(G, w, r)
        for each vertex u \in G : V do
 3:
            u: key \leftarrow 1
            u: \pi \leftarrow \mathrm{NIL}
 4:
 5:
        end for
        r: key \leftarrow 0
 6:
        Q \leftarrow \{\}
 7:
        for each vertex u \in G : V do
 8:
            INSERT(Q, u)
 9:
        end for
10:
11:
        while Q \neq \{\} do
            u \leftarrow \text{Extract-Min}(Q)

ightharpoonup Add u to the tree
12:
            for each vertex v \in G : Adj(u) do
13:
                if v \in Q and w(u, v) < v : key then
14:
                    v: \pi \leftarrow u
15:
                    v: key \leftarrow w(u, v)
16:
                    DECREASE-KEY(Q, v, w(u, v))
17:
                end if
18:
            end for
19:
20:
        end while
21:
        return A
22: end procedure
```

# Shortest Path Algorithm using BFS

**Input:** Graph G and a source vertex start.

```
Output: Shortest distances from start to all other vertices.
 1: procedure ShortestPathBFS(G, start)
       dist \leftarrow \text{Dictionary to store shortest distances from } start
```

```
visited \leftarrow Set to keep track of visited vertices
3:
4:
       queue \leftarrow Queue data structure
       for each vertex v in G.vertices do
5:
           dist[v] \leftarrow \infty
6:
       end for
7:
       dist[start] \leftarrow 0
8:
       visited.add(start)
```

10: queue.enqueue(start) while queue is not empty do 11:

 $u \leftarrow queue.dequeue()$ 12: for each neighbor v of u do 13: if v is not in visited then 14: visited.add(v)15:  $dist[v] \leftarrow dist[u] + 1$ 16:

17: queue.enqueue(v)end if 18:

end for 19: end while 20:  ${f return}\ dist$ 

22: end procedure

21:

 $\triangleright$  Shortest distances from start

# Bellman-Ford Algorithm for Shortest Paths with Negative Cycle Detection

**Input:** Graph G with edge weights and a source vertex start.

Output: Shortest paths from *start* to all other vertices or indication of a negative cycle.

```
1: procedure BellmanFord(G, start)
       dist \leftarrow \text{Dictionary to store shortest distances from } start
       prev \leftarrow \text{Dictionary to store previous vertices in the shortest paths}
 3:
 4:
       for each vertex v in G.vertices do
           dist[v] \leftarrow \infty
 5:
           prev[v] \leftarrow None
 6:
       end for
 7:
       for i \leftarrow 1 to |G.\text{vertices}| - 1 do
 8:
           for each edge (u, v) in G edges do
 9:
               if dist[u] + weight(u, v) < dist[v] then
10:
                   dist[v] \leftarrow dist[u] + weight(u, v)
11:
12:
                   prev[v] \leftarrow u
               end if
13:
           end for
14:
       end for
15:
       for each edge (u, v) in G edges do
16:
           if dist[u] + weight(u, v) < dist[v] then
17:
               return "Negative cycle detected"
18:
           end if
19:
       end for
20:
       return \ dist, prev
                                                                ▷ Shortest distances and previous vertices
21:
22: end procedure
```

# Dijkstra's Algorithm for Shortest Paths

**Input:** Graph G with non-negative edge weights and a source vertex start.

Output: Shortest paths from *start* to all other vertices.

```
1: procedure DIJKSTRA(G, start)
        dist \leftarrow \text{Dictionary to store shortest distances from } start
        prev \leftarrow \text{Dictionary to store previous vertices in the shortest paths}
 3:
 4:
        Q \leftarrow \text{Priority queue}
        for each vertex v in G.vertices do
 5:
            dist[v] \leftarrow \infty
 6:
            prev[v] \leftarrow None
 7:
            Q.insert(v, dist[v])
 8:
        end for
 9:
10:
        dist[start] \leftarrow 0
        while Q is not empty do
11:
            u \leftarrow Q.\text{extractMin}()
12:
            for each vertex v adjacent to u do
13:
                 if Q.\text{contains}(v) and dist[u] + \text{weight}(u, v) < dist[v] then
14:
                     dist[v] \leftarrow dist[u] + weight(u, v)
15:
                     prev[v] \leftarrow u
16:
17:
                     Q.decreaseKey(v, dist[v])
                 end if
18:
            end for
19:
20:
        end while
21:
        return dist, prev

▷ Shortest distances and previous vertices

22: end procedure
```