

# Formal Languages

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Collection of interconnected topics.

## Reference

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Formal Languages

- IA Discrete Math
  - Regular Languages and finite automata
  - Pumping Lemma for Regular Languages
- IB Compiler Construction
  - CFG, PDA
- Formal Model of Language
  - Pumping Lemma for Language of CFG
  - Chomsky hierarchy
- Computation Theory
  - TM

## Notation

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Kleene star  $\star$

- the set of all strings that can be written as the concatenation of zero or more strings from  $A$ .
- finite set

Optional bracket  $[]$

- or write it in case split

## Definition

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String  $\omega \in T^*$ , over alphabet  $\Sigma$

- of length  $n \in \mathbb{N}$

Empty string  $\epsilon$ ,

- the unique string of length 0

## Language

Language  $L = \{\omega \mid \omega \in T^*\}$

## Grammar

Grammar  $G = \langle T, N, P, S \rangle$

- $T$  is a finite set of terminals/symbols, also known as alphabet  $\Sigma$
- $N$  is a finite set of Non-terminal/variables. also known as states  $Q$
- Require that  $T$  and  $N$  disjoint, i.e.  $\Sigma \cap Q = \emptyset$
- $P$  is Production rule
  - Regular  $P \in N \times T[N]$
  - CFG  $P \in N \times (T \cup N)^*$
  - CSG  $P \in (N \cup T)^* N (N \cup T)^* \times (N \cup T)^* (T \cup N)^* (N \cup T)^*$
  - Recursive enumerable  $P \in (N \cup T)^* \times (N \cup T)^*$
- $S \in N$  is Start symbol

## Relationship of Grammar and Language

Grammar	Language
Finite (Kleene star)	$\infty$
Structured	Flat lists of w
many Grammars	map to the same language

## Automata

Automata  $M = (Q, \Sigma, \delta, s, F)$

- $Q$  is a finite set of states
  - corresponds to Non-terminal (Grammar)
- $\Sigma$  is the finite set alphabet
  - the same Terminal (Grammar)
- Require that  $T$  and  $N$  disjoint, i.e.  $\Sigma \cap Q = \emptyset$
- $\delta$  is the transition relation
  - corresponds to Production rule (Grammar)
- $s \in Q$  is the start state, also known as  $q_0$
- $F \subset Q$  is the set of accepting states
- $L(M)$ : the language accepted by the automata

### (Non)Deterministic Finite Automata

NFA  $M = (Q, \Sigma, \delta, s, F)$

- $\delta \subset Q \times (\Sigma \cup \{\epsilon\}) \rightarrow Q$  transition relation
  - $(q, a, q')$  means  $q \xrightarrow{a} q'$

DFA  $M = (Q, \Sigma, \delta, s, F)$

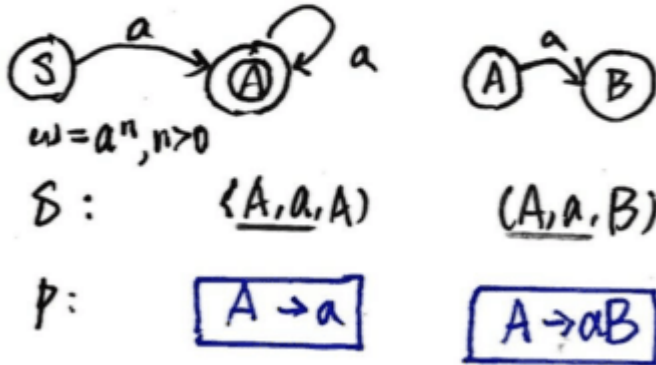
- $\delta \subset Q \times \Sigma \rightarrow Q$  transition relation
  - $(q, a, q')$  means  $q \xrightarrow{a} q'$

$$L(M) = \{\omega \in \Sigma^* \mid \exists q \in Q, s \xrightarrow{\omega} q\}$$

Regular  $\leftrightarrow$  DFA

$$Q = \{A, B\}$$

$$\Sigma = \{a\}$$



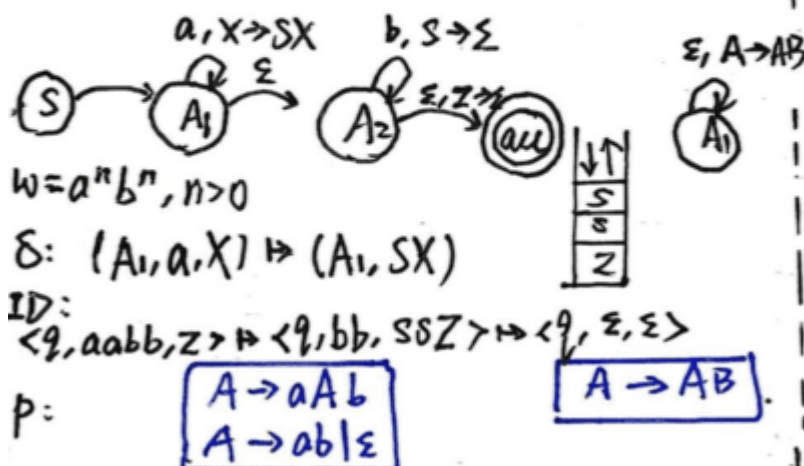
### PushDown Automata

$$PDA M = (Q, \Sigma, \Gamma, \delta, s, Z, F)$$

- $\delta \subset Q \times (\Sigma \cup \{\epsilon\}) \times \Gamma \rightarrow Q \times \Gamma^*$  transition relation
  - $\delta(q, a, X) = (q', \beta)$ 
    - $q \xrightarrow{a} q'$  and replace top of stack  $X$  with  $\beta$
- $\Gamma$  is a finite set which is called the **stack** alphabet
- $Z \in \Gamma$  is the initial stack symbol
- $L(M) = \{\omega \in \Sigma^* \mid \exists q \in Q, ID = \langle s, \omega, Z \rangle \rightarrow^+ ID' = \langle q, \epsilon, \epsilon \rangle\}$ 
  - The initial content  $\omega$  is said to be accepted by  $M$  if it eventually halts in a state from  $F$
  - with Instantaneous Description (ID) above.

CFG  $\leftrightarrow$  PDA

$$\Gamma = \{S, Z\}$$



## Turing Machine

$$\text{TM } M = (Q, \Sigma, \delta, s, F)$$

- $\Sigma = \{\sqcup, \triangleright\} \cup \Sigma'$  is the finite set of **tape** alphabet symbols
  - $\sqcup$  the blank symbol
    - the only symbol allowed to occur on the tape infinitely often at any step during the computation
  - $\triangleright$  left endmarker
    - the left end marker  $\triangleright$  is never overwritten and  $M$  always move Right.
  - $\Sigma' = \Sigma - \{\sqcup, \triangleright\}$  the finite set of input symbols, to be checked
    - allow to appear in the initial tape contents.
- $\delta \subset Q \times \Sigma \rightarrow Q \times \Sigma \times \{L, R, S\}$  transition relation
  - $\delta(q, a) = (q', b, u)$ 
    - $q \xrightarrow{a} q'$  and overwrite the current symbol from  $a$  to  $b$ , update the next head movement.
  - $\delta(q, \triangleright) = (q', \triangleright, R)$ 
    - the left endmarker  $\triangleright$  is never overwritten and header always moves Right.
- $L(M) = \{\omega \in \Sigma' \mid \exists q \in \{acc, rej\}, c_0 = \langle s, \triangleright, u \rangle \rightarrow^+ c' = \langle q, \omega, u' \rangle\}$ 
  - The initial content  $\omega$  is said to be accepted by  $M$  if it eventually halts in a state from  $F$
  - with configuration above.

$CSG \leftrightarrow \text{Turing } M.$

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$\Sigma = \{\triangleright, a, b, c, \sqcup, x, y, z\}$

$\triangleright$	$a$	$a$	$b$	$b$	$c$	$c$	$\sqcup$
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$c_0 = \langle s, \triangleright, u \rangle \quad w = a^n b^n c^n$

↑

$\triangleright$	$x$	$a$	$y$	$b$	$z$	$c$	$\sqcup$
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$\triangleright$	$x$	$x$	$y$	$y$	$z$	$z$	$\sqcup$
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↑

$\delta: (s, \triangleright) \mapsto (q, \triangleright, R) \quad c' = \langle q', aabbcc, u' \rangle$

$P:$  
 $cb \rightarrow bc$   
 $bb \rightarrow bb$

## Pumping Lemma

### Regular Language

For Regular Language  $L$ ,

$\exists k \in \mathbb{Z}^+, \forall \omega \in L$  with  $|\omega| \geq k$ , can be written as a concatenation of three strings  $\omega = u_1 v u_2$ , satisfying

- $|v| \geq 1$  ( $v \neq \epsilon$ )
- $|u_1 v| \leq k$

$\forall n \in \mathbb{N}, u_1 v^n u_2 \in L \square$ .

**Disproof**  $L$  is not regular: i.e negation of the above

$\forall k \geq 1, \exists \omega \in L$  with  $|\omega| \geq k$ , such that no matter how  $\omega$  is split into three strings  $\omega = u_1 v u_2$ , satisfying

- $|v| \geq 1$  ( $v \neq \epsilon$ )
- $|u_1 v| \leq k$

$\exists n \in \mathbb{N}, u_1 v^n u_2 \notin L \square$ .

### Context Free

For Language  $L$  of CFG,

$\exists k \in \mathbb{Z}^+, \forall \omega \in L$  with  $|\omega| \geq k$  can be written as a concatenation of three strings  $\omega = u_1 v_1 u_2 v_2 u_3$ , satisfying

- $|v_1 v_2| \geq 1$  ( $v_1 \neq \epsilon$  and  $v_2 \neq \epsilon$ )
- $|v_1 u_2 v_2| \leq k$
- $\forall n \in \mathbb{N}, u_1 v_1^n u_2 v_2^n u_3 \in L$ .

**Disproof**  $L$  is not CFG: i.e negation of the above

$\forall k \in \mathbb{Z}^+, \exists \omega \in L$  with  $|\omega| \geq k$  such that no matter how  $\omega$  is split into strings  $\omega = u_1 v_1 u_2 v_2 u_3$ , satisfying

- $|v_1 v_2| \geq 1$  ( $v_1 \neq \epsilon$  and  $v_2 \neq \epsilon$ )
- $|v_1 u_2 v_2| \leq k$
- $\exists n \in \mathbb{N}, u_1 v_1^n u_2 v_2^n u_3 \notin L \square$ .

## Chomsky hierarchy

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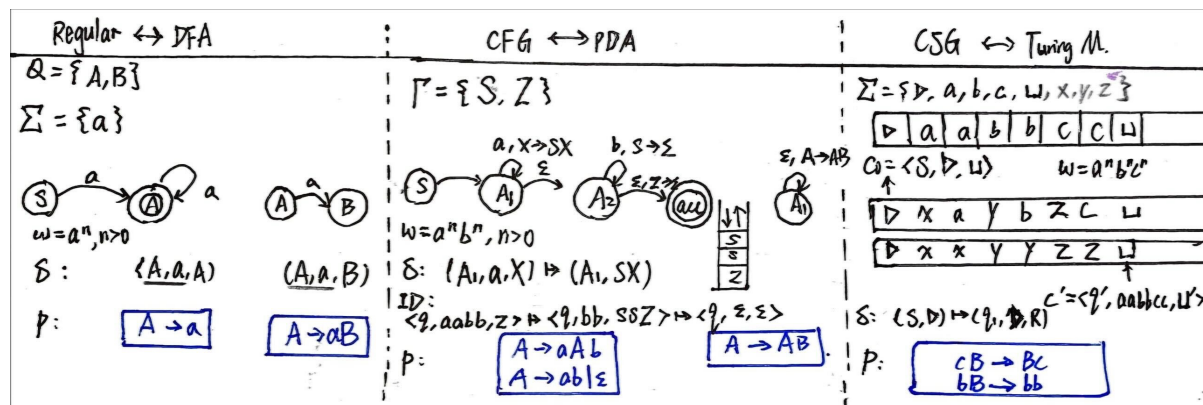
The lower Automata/Grammar is strictly stronger than all the upper.

Notation:

- $a \in T$ 
  - denotes single terminal
- $A \in N$ 
  - denotes single Non-terminal

- $\alpha, \beta, \gamma \in (N \cup T)^*$ 
  - denotes string of finite terminals and/or Non-terminals

Gra	Languages	Automata	Production rules	Example	Usage
T-3	Regular Language	DFA ( $Q, \Sigma, \delta, s, F$ )	$A \rightarrow a$ $A \rightarrow aB$ (right)	$L = \{a^n \mid n > 0\}$	Lexer
T-2	Context-free	$\infty$ -stack PDA ( $Q, \Sigma, \Gamma, \delta, s, Z, F$ )	$A \rightarrow \alpha$	$L = \{a^n b^n \mid n > 0\}$	General Parser
T-1	Context-sensitive	Linear-bounded Turing Machine	$\alpha A \beta \rightarrow \alpha \gamma \beta$	$L = \{a^n b^n c^n \mid n > 0\}$	Specific Parser
T-0	Recursively enumerable	Turning Machine ( $Q, \Sigma, \delta, s, F$ )	$\gamma \rightarrow \alpha$	$L = \{\omega\}$ that TM Halt	



(Adapted from wiki)