

# Algorithms and Complexity

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- IA Algorithm I,II
- IB Complexity, Computation

## Language and Automata

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$L \subseteq \Sigma^*, \forall x \in L, \text{ the length } n = |x|$

Reference: Formal Language and Automata

## Reduction

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Reduction of  $L_1 \rightarrow L_2$  is a computable function  $f : \Sigma_1^* \rightarrow \Sigma_2^*$  s.t.

- $\forall x \in \Sigma_1^*. f(x) \in L_2 \Leftrightarrow x \in L_1.$
- every string in  $L_1$  is only mapped by  $f$  to a string in  $L_2$ .
  - $f(x) \notin L_2 \Leftrightarrow x \notin L_1.$

Polynomial time reducible  $L_1 \leq_P L_2$ .

- the string  $f(x)$  produced by the reduction  $f$  on input  $x$ 
  - must be bounded in length by  $p(n)$ .

$g$  is polynomial function  $L_2 \rightarrow L_3$

- transitive as  $g \circ f$  is polynomial reduction  $L_1 \rightarrow L_3$
- closed under composition

Usage,

- $L_2$  is decidable  $\rightarrow L_1$  is decidable
  - by polynomial  $f(x) \in / \notin L_2$
- $L_1$  is not decidable  $\rightarrow L_2$  is not decidable
  - $L_1$ : Halting problem

## Complexity Class

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### Time Complexity

measures computation steps

#### P class

Polynomial  $p$  is of form  $n^k$ ,  $k$  is a constant  $O(1)$ .

$$L \in \mathcal{P} = \bigcup_{k=1}^{\infty} TIME(n^k) \iff$$

For all inputs  $x$ ,  $M$  (deterministic Turing machine)

- $M$  runs within polynomial  $p(|x|)$  time
- $\forall x \in L$ ,  $M$  outputs 1, otherwise 0.

### NP class

$$L \in \mathcal{NP} = \bigcup_{k=1}^{\infty} \text{NTIME}(n^k) \iff$$

For all inputs  $x \in L$ ,

i. Prover  $M$  (non-deterministic TM):  $x \rightarrow \text{certificate } c$ , where  $|c| < p(|x|)$

- solvable (an accepting computation) by prover  $M$  within polynomial  $p(|x|)$  time

ii. Verifier  $V$  (deterministic TM)

- $\exists c. |c| < p(|x|), (x, c)$  accepted by  $V$  running within polynomial  $p(|x|)$  time
- polynomially satisfiable / certificate of membership

### Complement

$$L \in \text{co-}\mathcal{NP} \iff \bar{L} = \Sigma^* \setminus L \in \mathcal{NP}$$

For all inputs  $x \in L$ ,

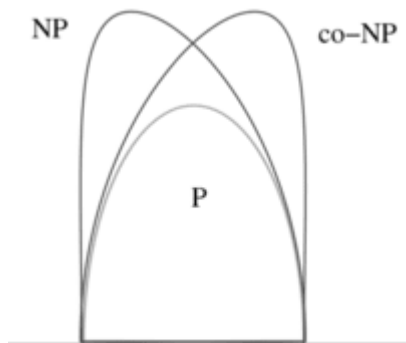
Verifier  $V$  (deterministic TM)

- $\exists c. (x, c)$  not accepted by  $V$  in polynomial  $p(|x|)$  time
- polynomially falsifiable / certificates of disqualification

### Relationship

$$\text{Unknown } \mathcal{NP} \stackrel{?}{=} \mathcal{P}$$

- Intersection  $P \subseteq NP \cap \text{co-NP}$



### Cook-Levin theorem

$$L \in \mathcal{NP}\text{-hard}$$

- if  $\forall A \in \mathcal{NP}, A \leq_P L$ .

$L \in \mathcal{NP}$ -complete

- if  $L \in \mathcal{NP}$  and  $\mathcal{NP}$ -hard.

## Space Complexity

measures size of work tape

### P class

$$PSPACE = \bigcup_{k=1}^{\infty} SPACE(n^k)$$

- languages decidable by a deterministic TM with polynomial workspace.

### NP class

$$NSPACE = \bigcup_{k=1}^{\infty} NSPACE(n^k)$$

- languages decidable by a non-deterministic TM with polynomial workspace.

$$NL = NSPACE(\log n)$$

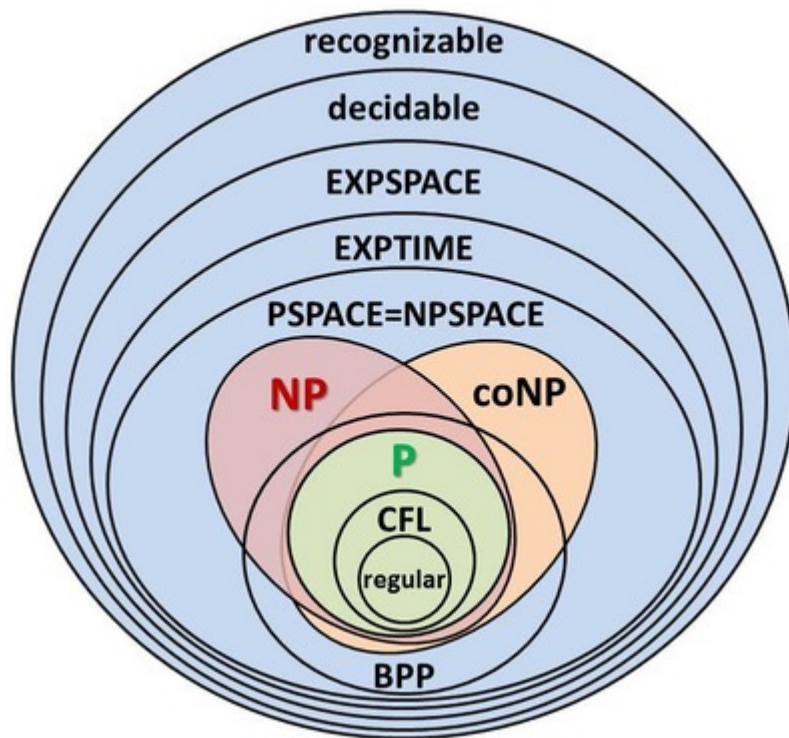
- languages recognisable by a non-deterministic TM with logarithmic workspace.

$$L \subseteq NL \subseteq \mathcal{P} \subseteq \mathcal{NP} \subseteq PSPACE \subseteq NPSPACE \subseteq EXP$$

- $L, P, PSPACE$  are all closed under complementation
- Unknown  $NL \stackrel{?}{=} L$
- Graph Reachability  $TIME = O(n^2)$

$$NSPACE(f(n)) \subseteq TIME(k^{\log n + f(n)})$$

- Backtracking  $\mathcal{NP}$  with  $PSPACE$
- Savitch's Theorem
  - Graph Reachability  $SPACE = O((\log n)^2)$
  - When  $f(n) = \Omega(\log n)$ ,  $NSPACE(f(n)) \subseteq SPACE(f(n)^2)$ ,  $PSPACE = NPSPACE$ .



## Hierarchy Theorem

### Time

For any constructible function  $f$  with  $f(n) \geq n$ ,  $TIME(f(n)) \subset TIME(f(2n + 1)^2)$  properly contain/subset.

### Space

For any pair of constructible functions  $f$  and  $g$ , with  $f = O(g)$  and  $g \neq O(f)$ , there is a language in  $SPACE(g(n))$  that is not in  $SPACE(f(n))$ .

# Lists of Algorithms

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- IA Algorithm I,II
- IB Complexity
- II Randomized Algorithm, BioInfo

Decision problem: output starts with ?.

- Negation of decision problem swaps the accept/reject state in TM
  - $SAT = rej, \bar{SAT} = acc$

Optimization problem: output starts with  $max / min$ .

## Number Theory

$input \in \mathbb{N}, n := \#(bits\mathbb{B})$

| Algorithm       | Input                      | Output  | Complexity               | Note                    |
|-----------------|----------------------------|---|--------------------------|-------------------------|
| Euclid's algo   | $(x, y)$                   | $?x = 1$  | $O(\log x + \log y)$     | in #bits                |
| Prime/COMPOSITE | $1\{0, 1\}^*$              | Prime or Factor   | $O(\sqrt{x})$            | in #bits                |
| Knapsack        | $I = (v_i, w_i), W_M, V_m$ | $? \exists I' \subseteq I. W \leq W_M$<br>$\wedge V \geq V_m$ | $\mathcal{NP}$ -complete | $X3C <_p Knapsack$      |
| Schedule        | /                          | /   | $\mathcal{NP}$ -complete | $Knapsack <_p Schedule$ |
| Integer LP      | $\sum_i a_i x_i \leq b$    | $?x_i \in \{0, 1\}$   | $\mathcal{NP}$ -complete | CNF-SAT $<_p$           |

## Boolean / nCNF

Variables  $X = \{x_1, x_2, \dots\}$

Expression  $\phi : X$ ,

- CNF  $\phi \equiv C_1 \wedge \dots \wedge C_m$
- 3CNF  $\phi'$ 
  - each clause  $C_i$  is  $\leq 3$  literals disjunction
  - $\phi$  conversion to  $\phi'$  in P.

Assignment  $T : X \rightarrow \mathbb{B}$

- CVP,  $l : X \rightarrow \mathbb{B} \cup \{\wedge, \vee, \neg\}$

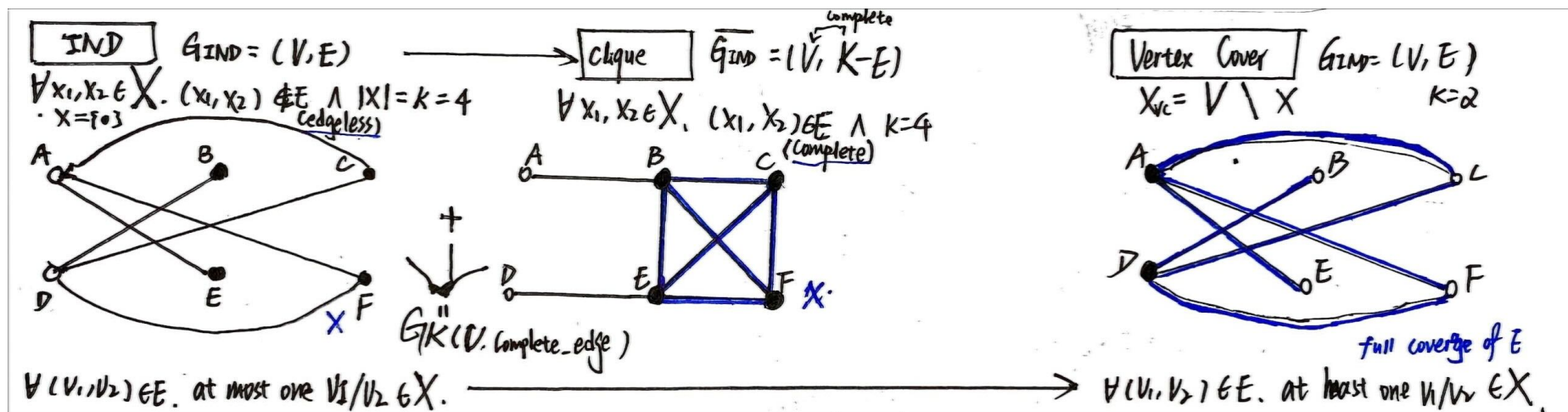
| Algorithm  | Input         | Output                            | Complexity               | Note   |
|------------|---------------|-----------------------------------|--------------------------|--|
| Evaluation | $\phi, T$     | $? \mathbb{T}$ .                  | $O(n^2)$                 | <i>each rule</i> $O(n)$<br>remove one variable   |
| <b>SAT</b> | $\phi$        | $? \exists T. T(X) = \mathbb{T}$  | $O(2^n n^2)$             | $(\# T); \mathcal{NP}$ -complete   |
| VAL        | $\phi$        | $? \forall T. T(X) = \mathbb{T}$  | $O(2^n n^2)$             | $\neg \phi_{SAT}$ [negate both IO]<br><i>co-NP</i> -complete   |
| CVP        | <i>DiG</i>    | <i>Circuit Value</i> $\mathbb{B}$ | $\mathcal{P}$            | linear T by topological sort   |
| CNF-SAT    | $\phi_{CNF}$  | Same as SAT                       | $\mathcal{NP}$ -complete | $SAT <_p CNF - SAT$  |
| 3SAT       | $\phi_{3CNF}$ | Same as SAT                       | $\mathcal{NP}$ -complete | $C_{CNF-SAT} = (l_1 \vee l_2 \dots \vee l_k)$<br>$= (l_1 \vee l_2 \vee n) \wedge (\neg n \vee l_3 \dots \vee l_k)$ |

## Graph Theory

$G : (V, E)$ , Directed Acyclic Graph *DiAG*, Undirected Graph *UnG*,

Node / Vertex  $v \in V$ , the number of nodes  $n = |V|$

| Algorithm       | Input                             | Output  | Complexity                                       | Note                                |
|-----------------|-----------------------------------|---|--|-------------------------------------|
| Reachability    | $DiG, v_1, v_2$                   | $? \exists p.path(v_1 \rightarrow v_2).$  | $O(n^2); S(n)$<br>$\mathcal{NL}$ -complete       | marked V, neighbours                |
| HAMiltonian     | $G$                               | $? \exists cycle.path(v_1 \rightarrow all!v_i \rightarrow v_1)$   | $O(n!)$<br>$\mathcal{NP}$ -complete              | $3SAT <_p HAM$                      |
| TSP             | $G, C : V \times V \rightarrow N$ | order/enum for V<br>HAM with min Cost   | $O(n!)/O(2^n n^2)$<br>$\mathcal{NP}$ -complete   | $\Omega(n \log n)$<br>$HAM <_p TSP$ |
| Isomorphism     | $G_1, G_2$                        | $? \exists f.(v_1, v_2) \in E_1 \Leftrightarrow f(v_1), f(v_2) \in E_2$   | $O(n!)$  | all possible bijections             |
| k-colourability | $G$                               | assignment of colours   | $k = 2, \mathcal{P}$<br>$\mathcal{NP}$ -complete | $3SAT <_p 3color$                   |
| INDependent Set | $UnG, k =  X $                    | $? \exists X \subseteq V. \forall x_i. (x_1, x_2) \notin E$<br>or $\forall (v_1, v_2) \in E.$<br>$v_1, v_2$ at most one $\in X$ . | $\mathcal{NP}$ -complete                         | $3SAT <_p IND$                      |
| Clique          | $UnG, k =  X $                    | $? \exists X \subseteq V. \forall x_i. (x_1, x_2) \in E$  | $\mathcal{NP}$ -complete                         | $\bar{G}_{IND}, X_{IND}$            |
| Vertex Cover    | $UnG, k =  X $                    | $? \exists X \subseteq V. \forall (v_1, v_2) \in E.$<br>$v_1 \in X \vee v_2 \in X$ (at least 1)                                   | $\mathcal{NP}$ -complete                         | $G_{IND}, V - X_{IND}$              |



## Set Theory

| Algorithm             | Input                               | Output   | Complexity               | Note   |
|-----------------------|-------------------------------------|--|--------------------------|--|
| Bipartite             | $B, G, M \subseteq B \times G$      | $\exists M'. \forall b \in B, g \in G. (b, g) \in M'$<br>and pairwise disjoint | $\mathcal{P}$            |  |
| 3D Matching           | $X, Y, Z, M$                        | similar as above   | $\mathcal{NP}$ -complete | $3SAT <_p 3DM$   |
| exAct Cover by 3-Sets | $U(3n), S(3) \subset \mathbb{P}(U)$ | $\exists S^*$ pairwise disjoint<br>and full coverage                           | $\mathcal{NP}$ -complete | $U =_{3DM} X \cup Y \cup Z$  |
| Set Cover             | $U, S \subset \mathbb{P}(U), n$     | $\exists S^*$ full coverage  | $\mathcal{NP}$ -complete | $(U_{X3C}, S_{X3C}, \frac{ U_{X3C} }{3})$<br>$E(G_{VC}), E(v_i)   \deg(v) > 0$ |