

Name: \_\_\_\_\_

There are 2 questions for 20 points. 15 minutes individually and 10 minutes of group time.

1. **Small-Step Substitution Semantics: Arithmetic Expressions with Binding.** Consider an arithmetic expression language with binding:

$$e ::= n \mid x \mid e_1 + e_2 \mid e_1 * e_2 \mid \mathbf{const} \ x = e_1; e_2$$

where  $n$  and  $x$  correspond to numbers (e.g., 0, 1, 42) and variable identifiers (e.g.,  $x$ ,  $y$ ), respectively. The values of this language are simply numbers  $n$ . The operators  $+$  and  $*$  are the usual arithmetic operators. The  $\mathbf{const} \ x = e_1; e_2$  expression binds a variable  $x$  to the value  $e_1$ , which is then in scope in expression  $e_2$ .

A one-step evaluation judgment for this language has the following form:  $e \longrightarrow e'$ . Informally, this judgment says “Closed expression  $e$  takes one step of evaluation to expression  $e'$ .” This judgment gives a small-step operational semantics for this language, which we define in this question.

- (a) 3 points Suppose that rules to evaluate expressions of the form  $e_1 + e_2$  are as follows:

$$\frac{n' = n_1 + n_2}{n_1 + n_2 \longrightarrow n'} \qquad \frac{e_1 \longrightarrow e'_1}{e_1 + e_2 \longrightarrow e'_1 + e_2} \qquad \frac{e_2 \longrightarrow e'_2}{e_1 + e_2 \longrightarrow e_1 + e'_2}$$

where the  $+$  in the first rule is bolded to emphasize that it is the mathematical plus.

What is stated about the order of evaluation for  $+$  in the above rules? Explain in 1–2 sentences.

- (b) 5 points Give rules for evaluating  $e_1 * e_2$  such that the evaluation short-circuits if  $e_1$  is 0.

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- (c) 4 points Give rules for evaluating **const**  $x = e_1; e_2$  expressions where first  $e_1$  must be evaluated to a value and then  $e_2$  is evaluated. Recall that  $x$  is in scope in  $e_2$  and refers to the value of  $e_1$ . You may use the notation  $e[e'/x]$  for the capture-avoiding substitution in  $e$  of  $e'$  for  $x$ .

2. **Big-Step Environment Semantics: Dynamic Scoping.** Consider the following JAVASCRIPTY expression:

**const**  $x = 1$ ; **const**  $g = (\text{function } (y) \ x); (\text{function } (x) \ g(2))(3)$

Recall that **function**  $p(x) \ e$  is an abbreviation for **function**  $p(x) \ \{\text{return } e\}$ .

- (a) 4 points What is the value of this expression under static scoping? Under dynamic scoping? Hint: this example expression, should look very familiar.

- (b) 4 points Recall that a derivation of a judgment is an application of inference rules arranged in a tree. Using the big-step operational semantics with dynamic scoping as in Lab 3 (see Figure 1), let us consider a derivation that specifies the value of the above expression. After the evaluation of the two top-level **const** bindings, there is one sub-derivation of the following form:

$$\frac{\mathcal{D}_1 \quad \mathcal{D}_2 \quad \mathcal{D}_3}{E_1 \vdash (\text{function } (x) \ g(2))(3) \Downarrow \boxed{\phantom{000}}} \text{CALL}$$

where  $E_1 \stackrel{\text{def}}{=} \cdot[x \mapsto 1][g \mapsto \text{function } (y) \ x]$  and with three sub-derivations  $\mathcal{D}_1$ ,  $\mathcal{D}_2$ , and  $\mathcal{D}_3$ . Derivations  $\mathcal{D}_1$  and  $\mathcal{D}_2$  are given as follows:

$$\mathcal{D}_1 \stackrel{\text{def}}{=} \frac{}{E_1 \vdash \text{function } (x) \ g(2) \Downarrow \text{function } (x) \ g(2)} \text{VAL} \qquad \mathcal{D}_2 \stackrel{\text{def}}{=} \frac{}{E_1 \vdash 3 \Downarrow 3} \text{VAL}$$

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Complete the derivation above by filling in the box above for the value of the expression and give the sub-derivation  $\mathcal{D}_3$  below. Hint: sub-derivation  $\mathcal{D}_3$  consists of applications of 4 inference rules.

$$\mathcal{D}_3 \stackrel{\text{def}}{=}$$

			$E \vdash e \Downarrow v$	
$\frac{\text{VAR}}{E \vdash x \Downarrow E(x)}$	$\frac{\text{VAL}}{E \vdash v \Downarrow v}$	$\frac{\text{CALL}}{E \vdash e_1 \Downarrow \mathbf{function}(x) e' \quad E \vdash e_2 \Downarrow v_2 \quad E[x \mapsto v_2] \vdash e' \Downarrow v'}{E \vdash e_1(e_2) \Downarrow v'}$		

Figure 1: Big-step operational semantics with dynamic scoping for JAVASCRIPTY (select rules).