



The Analytic Class Number formula & The Weak Mordell-Weil Theorem

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Abstract

This paper is developed based on brief notes of what I have prepared for the Algebraic Number Theory Seminar in 2018 spring semester. The first section shows a complete proof of a weak form of analytic class number formula and introduces some results and concepts to prove the formula completely using Tate's theory. The second section exhibits the weak Mordell-Weil theorem but beforehand introduces concepts and notions from the theory of elliptic curves. Many facts are shown without proof due to its complexity and in many cases I consult to references.

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1 The Analytic Class Number Formula

In this section we will use tools from analysis to provide an explicit formula for the class number of a number field. The main idea and process follows the article [1].

1.1 Basic Notations And Definitions in Number Theory

- K : number field of degree $n = [K : \mathbb{Q}]$ with ring of integers \mathcal{O}_K .
- D_K : Discriminant of K , i.e., if $\{\alpha_1, \dots, \alpha_n\}$ is the integral basis of K . $D_K = d_K(\alpha_1, \dots, \alpha_n) = |\det(\sigma_i(\alpha_j)_{1 \leq i, j \leq n})|^2$.
- \mathcal{I}_K : The set of all non-zero integral ideals of K .
- \mathcal{C}_K : The class group of K . $h_K = |\mathcal{C}_K|$
- r_1 : The number of real embeddings, denoted as $\sigma_1, \dots, \sigma_{r_1}$.
- r_2 : The number of pairs of complex embeddings, denoted as $\sigma_{r_1+1}, \bar{\sigma}_{r_1+1}, \dots, \sigma_{r_1+r_2}, \bar{\sigma}_{r_1+r_2}$.
- \mathcal{O}_K^\times : The group of units of K . By Dirichlet's Theorem, $\mathcal{O}_K^\times \cong W_K \times \mathbb{Z}^r$, where $r = r_1 + r_2 - 1$. Let $\{\epsilon_1, \dots, \epsilon_r\}$ be the generators of the free abelian subgroup. W_K is the group of roots of unity of K . Its size is denoted as ω_K .
- Define a group homomorphism $l : \mathcal{O}_K^\times \rightarrow \mathbb{R}^{r_1+r_2}$ as $\alpha \mapsto (\lambda_i \log |\sigma_i(\alpha)|)$,
where $\lambda_i = \begin{cases} 1, & 1 \leq i \leq r_1 \\ 2, & r_1 + 1 \leq i \leq r_1 + r_2. \end{cases}$ Let $l(\epsilon_i) = (y_{i,1}, \dots, y_{i,r_1+r_2})$, then $R(\epsilon_1, \dots, \epsilon_r) := |\det(y_{i,j})_{1 \leq i, j \leq r}|$, which is independent of the choice of the basis, denoted as R_K .
- (Dedekind zeta function) For any number field K , define for $s > 1$:

$$\zeta_K(s) = \sum_{\mathfrak{a} \in \mathcal{I}_K} N(\mathfrak{a})^{-s} \quad \text{where } N(\mathfrak{a}) = N_{K/\mathbb{Q}}(\mathfrak{a}) = |\mathcal{O}_K/\mathfrak{a}| \text{ is the norm of an ideal.}$$

The main result we will prove is the following theorem.

Theorem 1.1. $\zeta_K(s)$ converges for all $s \in \mathbb{C}$ with $\operatorname{Re}(s) > 1$, and

$$\lim_{s \rightarrow 1^+} (s-1)\zeta_K(s) = \frac{2^{r_1}(2\pi)^{r_2} R_K h_K}{\omega_K |D_K|^{\frac{1}{2}}}$$

Remark 1.1. Notice that it is a weak form of the analytic class number formula. As soon as analytical continuation of $\zeta_K(s)$ to the whole complex plane is completed, which shows that $s = 1$ is the only simple pole of $\zeta_K(s)$, then its residue coincides with the limit.

At the first place, it is rather confusing what range the summation actually covers. A more basic question can be asked like this: how does integral ideals distribute geometrically in \mathcal{I}_K and how can we calculate it.

1.2 Sketch of Proof And Some Elementary Examples

Split the sum as

$$\zeta_K(s) = \sum_{A \in \mathcal{C}_K} \left(\sum_{\mathfrak{a} \in A \cap \mathcal{I}_K} N(\mathfrak{a})^{-s} \right).$$

And define $f_A(s) = \sum_{\mathfrak{a} \in A \cap \mathcal{I}_K} N(\mathfrak{a})^{-s}$. We will evaluate each $\lim_{s \rightarrow 1^+} (s-1)f_A(s)$ separately. First, we give an observation: Take $0 \neq \mathfrak{b}$ integral ideal such that $\mathfrak{b} \in A^{-1}$, then $\forall \mathfrak{a} \in A \cap \mathcal{I}_K$, $\mathfrak{a}\mathfrak{b}$ is principal, i.e., $\mathfrak{a}\mathfrak{b} = (\alpha)$ for some $\alpha \in K^\times$. On the other hand, any principal ideal (α) with $(\alpha) \subseteq \mathfrak{b}$, there exists an integral ideal $\mathfrak{a} \in A$, such that $\mathfrak{a}\mathfrak{b} = (\alpha)$ since \mathcal{O}_K is a Dedekind domain. Then multiplication by \mathfrak{b} gives a bijection between integral ideals in A and principal ideals divisible by \mathfrak{b} . And $\alpha\mathcal{O}_K = \alpha\mathcal{O}_K \Leftrightarrow \alpha^{-1}\beta \in \mathcal{O}_K^\times$. Thus it

shows that there is a 1-1 correspondence between the set $\{A \cap \mathcal{I}_K\}$ and the set $\{\alpha \in K^\times \mid \alpha \mathcal{O}_K \subseteq \mathfrak{b}\}/\mathcal{O}_K^\times$. For the convenience of notation, in the following contexts, we fix an integral ideal $\mathfrak{b} \in A^{-1}$ and define

$$\mathcal{A}_{\mathfrak{b}} = \{\alpha \in K^\times \mid \alpha \mathcal{O}_K \subseteq \mathfrak{b}\}/\mathcal{O}_K^\times.$$

Notice that $\alpha^{-1}\beta \in \mathcal{O}_K^\times$ implies $N(\alpha) = N(\beta)$ and moreover, $|N(\alpha)| = N(\alpha \mathcal{O}_K)$ for all $\alpha \in K^\times$, which we will prove afterwards. Now we may simplify the range of summation as

$$f_A(s) = N(\mathfrak{b})^s \sum_{\alpha \in \mathcal{A}_{\mathfrak{b}}} N(\alpha \mathcal{O}_K)^{-s} = N(\mathfrak{b})^s \sum_{\alpha \in \mathcal{A}_{\mathfrak{b}}} |N(\alpha)|^{-s}$$

In order to get inspired to know what $\mathcal{A}_{\mathfrak{b}}$ looks like in general, we show some examples as $K = \mathbb{Q}$, real quadratic and imaginary quadratic field.

Example 1. $K = \mathbb{Q}$, let \mathfrak{b} be \mathbb{Z} , then $\mathcal{A}_{\mathfrak{b}} = \{\alpha \in \mathbb{Q}^\times \mid (\alpha) \subseteq \mathbb{Z}\}/\mathbb{Z}^\times = \mathbb{Z}_{>0}$.

Example 2. $K = \mathbb{Q}(\sqrt{d})$, $d > 0$ square free. Then $\mathcal{O}_K^\times = \{\pm 1\} \times \langle \epsilon \rangle$ by Dirichlet's unit theorem, where ϵ is the fundamental unit. And $\mathcal{A}_{\mathfrak{b}} = \{\alpha \in \mathbb{Q}(\sqrt{d})^\times \mid (\alpha) \subseteq \mathfrak{b}\}/\{\pm 1\} \times \langle \epsilon \rangle$. Notice that $\psi(\mathfrak{b})$ is a lattice in \mathbb{R}^2 , denoted as Γ . And we will show that after a reasonable choice of representatives of equivalence class in $\mathcal{A}_{\mathfrak{b}}$, $\psi(\alpha) \in \psi(\mathfrak{b}) \cap X = \Gamma \cap X$, $\forall \alpha \in \mathcal{A}_{\mathfrak{b}}$, where X is a cone. Let us consider the following maps.

Define injective map $\psi : K \rightarrow \mathbb{R}^2$ as $\alpha \mapsto (\sigma_1(\alpha), \sigma_2(\alpha))$ and define $\eta : (\mathbb{R}^\times)^2 \rightarrow \mathbb{R}^2$ as $(a, b) \mapsto (\log|a|, \log|b|)$. First, by the effect of $\{\pm 1\}$, we may choose $\psi(\alpha) \in \mathbb{R}_{>0} \times \mathbb{R}$. Second, since ϵ is a unit, $\eta \circ \psi(\epsilon) = (a, -a) \in \mathbb{R}^2$. Let $\lambda = (1, 1)$, then $\eta \circ \psi(\alpha) = c\lambda + c_1 \eta \circ \psi(\epsilon)$. And $\eta \circ \psi(\alpha \cdot \epsilon^n) = c\lambda + (c_1 + n) \eta \circ \psi(\epsilon)$. Therefore in order to erase the effect of $\langle \epsilon \rangle$, we shall choose α such that $\eta \circ \psi(\alpha) = c\lambda + c_1 \eta \circ \psi(\epsilon)$ with $0 \leq c_1 < 1$. Let X be a cone defined as follows: 1) $X \subseteq \mathbb{R}_{>0} \times \mathbb{R}$; 2) $\forall x \in X, \eta(x) = c\lambda + c_1 \eta \circ \psi(\epsilon)$, with $0 \leq c_1 < 1$. Actually, X is a cone. Indeed, $\eta(\xi \cdot x) = (\log \xi + c)\lambda + c_1 \eta \circ \psi(\epsilon) = \log \xi \lambda + \eta(x) \in X, \forall \xi > 0, x \in X$. Therefore, $\psi(\alpha) \in \Gamma \cap X$.

Remark 1.2. From Example 2, we may guess that the elements in $\mathcal{A}_{\mathfrak{b}}$ are explicitly those elements $\alpha \in K^\times$ such that $\psi(\alpha) \in \Gamma \cap X$ for some cone X and $\Gamma = \psi(\mathfrak{b})$ a lattice. In this sense, we can write $\mathcal{A}_{\mathfrak{b}}$ in Example 1 as $\mathcal{A}_{\mathfrak{b}} = \mathbb{Z} \cap \mathbb{R}_{>0}$, where $\mathbb{R}_{>0}$ is a cone, and \mathbb{Z} is a lattice in \mathbb{R} .

Example 3. $K = \mathbb{Q}(\sqrt{d})$, $d < 0$ square free. Then $\mathcal{O}_K^\times = W_K$ by Dirichlet's unit theorem. Define the similar maps as above. Define $\psi : K \rightarrow \mathbb{C}$ as $\alpha \mapsto \alpha$ and define $\eta : \mathbb{C}^\times \rightarrow \mathbb{R}$ as $a \mapsto 2\log|a|$. First, $\psi(\mathfrak{b})$ is a lattice in \mathbb{C} , denoted as Γ . As we know W_K is a cyclic group with size ω_K generated by $e^{i2\pi/\omega_K}$. Notice that multiplication by $e^{i2\pi/\omega_K}$ add $2\pi/\omega_K$ to the argument of a complex number. Therefore we may choose $\alpha \in K^\times$ such that $0 \leq \arg(\psi(\alpha)) < \frac{2\pi}{\omega_K}$. Let $X \subseteq \mathbb{C}$ be defined as follows: $\forall x \in X, 0 \leq \arg(x) < \frac{2\pi}{\omega_K}$. X is a cone, indeed, $0 \leq \arg(\xi \cdot x) = \arg(x) < \frac{2\pi}{\omega_K}, \forall \xi > 0, x \in X$. Therefore, $\psi(\alpha) \in \Gamma \cap X$, where $\Gamma = \psi(\mathfrak{b})$, X is a cone.

1.3 General Cases

Having those examples in mind, it is enough to explore the general cases. In fact, the general case is a combination of Example 2 and Example 3. Let K be a number field, $[K : \mathbb{Q}] = n = r_1 + 2r_2$, with real and complex embedding $\sigma_1, \dots, \sigma_{r_1}, \sigma_{r_1+1}, \bar{\sigma}_{r_1+1}, \dots, \sigma_{r_1+r_2}, \bar{\sigma}_{r_1+r_2}$ and $\{\epsilon_1, \dots, \epsilon_r\}$ fundamental units, where $r = r_1 + r_2 - 1$. Fix \mathfrak{b} an integral ideal and let $\mathcal{A}_{\mathfrak{b}} = \{\alpha \in K^\times \mid \alpha \mathcal{O}_K \subseteq \mathfrak{b}\}/\mathcal{O}_K^\times$. Define maps $\psi : K \rightarrow \mathbb{R}^{r_1} \times \mathbb{C}^{r_2}$ as $\alpha \mapsto (\sigma_i(\alpha)_{1 \leq i \leq r_1+r_2})$ and $\eta : (\mathbb{R}^\times)^{r_1} \times (\mathbb{C}^\times)^{r_2} \rightarrow \mathbb{R}^{r_1} \times \mathbb{R}^{r_2}$ as $(x_1, \dots, x_{r_1+r_2}) \mapsto (\lambda_i \log|x_i|)$, where $\lambda_i = \begin{cases} 1, & 1 \leq i \leq r_1 \\ 2, & r_1 + 1 \leq i \leq r_1 + r_2. \end{cases}$ For convenience, we denote $\eta \circ \psi|_{K^\times} = l$ and set $\lambda = (1, \dots, 1; 2, \dots, 2)$.

Since $\{\epsilon_1, \dots, \epsilon_r\}$ are fundamental units, $\{l(\epsilon_i)\}$ are linearly independent and $\sum_{j=1}^{r_1+r_2} l_j(\epsilon_i) = 0, \forall l(\epsilon_i)$. It is clear that $\{\lambda, l(\epsilon_i)_{1 \leq i \leq r}\}$ is a basis in $\mathbb{R}^{r_1+r_2}$. Then

$$\eta(x) = c\lambda + \sum_{i=1}^r c_i l(\epsilon_i), \quad \sum_{j=1}^{r_1+r_2} \eta_j(x) = nc \quad \forall x \in (\mathbb{R}^\times)^{r_1} \times (\mathbb{C}^\times)^{r_2}$$

Define $\|x\| = |x_1| \cdots |x_{r_1}| \cdot |x_{r_1+1}|^2 \cdots |x_{r_1+r_2}|^2$ for all $x \in (\mathbb{R}^\times)^{r_1} \times (\mathbb{C}^\times)^{r_2}$. Note that for all $\alpha \in K^\times$, $|N(\alpha)| = \|\psi(\alpha)\|$, thus $c = \log \|x\|/n$.

Definition 1.1. Let X to be a cone in $\mathbb{R}^{r_1} \times \mathbb{C}^{r_2}$ consisting of all x satisfying

1. $\|x\| \neq 0$;
2. $\forall 1 \leq i \leq r_1 + r_2 - 1, \quad 0 \leq c_i < 1$;
3. $0 \leq \arg(x_1) < \frac{2\pi}{\omega_K}$, x_1 is the first component of x .

Indeed, $\forall \xi > 0, \forall x \in X, \eta(\xi \cdot x) = \eta(\xi) + \eta(x) = \log \xi \lambda + \eta(x)$, $\arg((\xi \cdot x)_1) = \arg(x_1)$.

Remark 1.3. $r_1 \neq 0 \Rightarrow K \hookrightarrow \mathbb{R}$ and $W_K = \{\pm 1\}$. Thus 3. coincides with $x_1 > 0$.

We want to show that $\psi(\mathcal{A}_b) = \psi(b) \cap X$ by the following lemma.

Lemma 1.1. For any $\alpha \in K^\times$, then exactly one member of $\alpha \mathcal{O}_K^\times$ has image in X .

Proof. To show this, we will show that given $y \in \mathbb{R}^{r_1} \times \mathbb{C}^{r_2}$ with nonzero norm, y can be uniquely written as $y = x \cdot \psi(\epsilon)$, and $x \in X, \epsilon \in \mathcal{O}_K^\times$. First, write $\eta(y) = c\lambda + c_1l(\epsilon_1) + \dots + c_rl(\epsilon_r)$. Split each $c_i = m_i + \mu_i$, where $m_i \in \mathbb{Z}$ and $0 \leq \mu_i < 1$. Write $u = \epsilon_1^{m_1} \cdots \epsilon_r^{m_r}$ and define $z = y \cdot \psi(u^{-1})$, which has coefficients of each $l(\epsilon_i)$ in the correct range. Indeed

$$\begin{aligned} \eta(z) &= \eta(y) + \eta \circ \psi(u^{-1}) = \eta(y) + l(u^{-1}) \\ &= c\lambda + c_1l(\epsilon_1) + \dots + c_rl(\epsilon_r) - m_1l(\epsilon_1) - \dots - m_rl(\epsilon_r) \\ &= c\lambda + \mu_1l(\epsilon_1) + \dots + \mu_rl(\epsilon_r). \end{aligned}$$

Now we can correct $\arg(z_1)$. Let r be the unique integer such that $0 \leq \arg(z_1) - \frac{2\pi r}{\omega_K} < \frac{2\pi}{\omega_K}$ and choose a root of unit ω such that $\sigma_1(\omega) = e^{2\pi/\omega_K}$. Then $z \cdot \psi(\omega^{-r}) = y \cdot \psi(u^{-1}) \cdot \psi(\omega^{-r}) \in X$. So we conclude that if this element is called x , then $y = x \cdot \psi(u \cdot \omega^r)$ as desired, and clearly this construction must be unique. \square

Now we have done a wonderful correspondence that transforms $\zeta_K(s)$ geometrically. And the summation refined into a more simple way:

$$f_A(s) = N(b)^s \sum_{\alpha \in \mathcal{A}} N(\alpha)^{-s} = N(b)^s \sum_{x \in \Gamma \cap X} \|x\|^{-s}.$$

Then we turn to analyse functions on cones. Notice that at first we get a cone in $\mathbb{R}^{r_1} \times \mathbb{C}^{r_2}$, for the meantime this is a cone in \mathbb{R}^n . We calculate $\lim_{s \rightarrow 1^+} (s-1)f_A(s)$ by calculating the general case.

1.4 Main lemma

Lemma 1.2. Let X be a cone in \mathbb{R}^n and define a function $F : X \rightarrow \mathbb{R}_{>0}$ such that $x \in X$ and $\xi > 0$ implies $F(\xi \cdot x) = \xi^n F(x)$, and define $\mathcal{F} = \{x \in X : F(x) \leq 1\}$ with $v = \text{vol}(\mathcal{F}) > 0$. Also, let $\Gamma \subseteq \mathbb{R}^n$ be a lattice with covolume $\Delta = \text{covol}(\Gamma)$. Then

$$\zeta_{F,\Gamma}(s) = \sum_{x \in \Gamma \cap X} F(x)^{-s}$$

converges on $\text{Re}(s) > 1$, and has $\lim_{s \rightarrow 1^+} (s-1)\zeta_{F,\Gamma}(s) = \frac{v}{\Delta}$.

As soon as the lemma is proved, we will define F to be $\|\cdot\|$ on $X \subseteq \mathbb{R}^{r_1} \times \mathbb{C}^{r_2} \cong \mathbb{R}^n$ and prove $\Delta = N(b)|D_K|^{1/2}$ and $v = \frac{2^{r_1}(2\pi)^{r_2} R_k}{\omega_K}$.

The proof of Lemma 1.2. For any positive real number r , $\text{vol}(\frac{1}{r}\Gamma) = \frac{\Delta}{r^n}$. Thus

$$\begin{aligned} v &= \text{vol}(\mathcal{F}) = \lim_{r \rightarrow \infty} \left(\frac{\Delta}{r^n} \cdot \# \left\{ \frac{1}{r}\Gamma \cap \mathcal{F} \right\} \right) \\ &= \Delta \lim_{r \rightarrow \infty} \frac{\#\{\frac{1}{r}\Gamma \cap \mathcal{F}\}}{r^n} \end{aligned}$$

By the requirements on F , $\#\left\{\frac{1}{r}\Gamma \cap \mathcal{F}\right\} = \#\{x \in \Gamma \cap X : F(x) \leq r^n\}$. Label the points of $\Gamma \cap X$ so that $0 \leq F(x_1) \leq F(x_2) \leq \dots$ and define $r_k = F(x_k)^{1/n}$. If we define $\gamma(r) = \#\left\{\frac{1}{r}\Gamma \cap \mathcal{F}\right\}$. Then by the choice of label we have $\forall \epsilon > 0$, $\gamma(r_k - \epsilon) < k \leq \gamma(r_k)$. Therefore,

$$\frac{\gamma(r_k - \epsilon)}{(r_k - \epsilon)^n} \cdot \left(\frac{r_k - \epsilon}{r_k}\right)^n < \frac{k}{r_k^n} \leq \frac{\gamma(r_k)}{r_k^n}.$$

Since $r_k^n = F(x_k)$, taking the limit yields $\lim_{k \rightarrow \infty} \frac{k}{r_k^n} = \frac{v}{\Delta}$. Write $\zeta_{F,\Gamma}(s) = \sum_{k=1}^{\infty} F(x_k)^{-s}$. Now give $\forall \epsilon > 0$, there exists k_0 , such that $\forall k > k_0$, we have

$$\frac{v}{\Delta} - \epsilon < \frac{k}{r_k^n} = \frac{k}{F(x_k)} < \frac{v}{\Delta} + \epsilon \quad \Rightarrow \quad \left(\frac{v}{\Delta} - \epsilon\right)^s \cdot \frac{1}{k^s} < \frac{1}{F(x_k)^s} < \left(\frac{v}{\Delta} + \epsilon\right)^s \cdot \frac{1}{k^s}.$$

Summing over all $k > k_0$,

$$\left(\frac{v}{\Delta} - \epsilon\right)^s \cdot \sum_{k=1}^{\infty} \frac{1}{k^s} > k_0 \frac{1}{k^s} < \sum_{k=1}^{\infty} \frac{1}{F(x_k)^s} < \left(\frac{v}{\Delta} + \epsilon\right)^s \cdot \sum_{k>k_0} \frac{1}{k^s}.$$

Therefore $\zeta_{F,\Gamma}(s)$ converges for $s > 1$. If $s = a + ib \in \mathbb{C}$, with $a > 1$. Then

$$\begin{aligned} \left|\frac{1}{F(x_k)^s}\right| &= \left|\frac{1}{F(x_k)^{a+ib}}\right| = \left|\frac{1}{F(x_k)^a}\right| \cdot |e^{-ib \log F(x_k)}| = \left|\frac{1}{F(x_k)^a}\right|. \\ |\zeta_{F,\Gamma}(s)| &= \left|\sum_{k=1}^{\infty} \frac{1}{F(x_k)^s}\right| \leq \sum_{k=1}^{\infty} \left|\frac{1}{F(x_k)^s}\right| = \left|\frac{1}{F(x_k)^a}\right|. \end{aligned}$$

Therefore $\zeta_{F,\Gamma}(s)$ converges for $\operatorname{Re}(s) > 1$. Moreover, $(s-1) \sum_{k>k_0} \frac{1}{F(x_k)^s}$ has the same limit as $(s-1)\zeta_{F,\Gamma}(s)$ as s approach 1(since $s=1$ is a pole of both function $(s-1) \sum_{k>k_0} \frac{1}{F(x_k)^s}$ and $(s-1)\zeta_{F,\Gamma}(s)$, and the difference between them is only finitely many items). Therefore we have:

$$\left(\frac{v}{\Delta} - \epsilon\right) \cdot \operatorname{Res}_{s=1} \zeta(s) \leq \lim_{s \rightarrow 1^+} (s-1)\zeta_{F,\Gamma}(s) \leq \overline{\lim}_{s \rightarrow 1^+} (s-1)\zeta_{F,\Gamma}(s) \leq \left(\frac{v}{\Delta} + \epsilon\right) \operatorname{Res}_{s=1} \zeta(s).$$

Since the choice of ϵ is random, we obtain that the limit exists and $\lim_{s \rightarrow 1^+} (s-1)\zeta_{F,\Gamma}(s) = \frac{v}{\Delta}$. \square

1.5 Calculation

Now every thing is perfect. The remaining part of this section is to calculate and verify the propostion we claimed before.

Proposition 1.1. Assume $\alpha \in \mathcal{O}_K$, then $|\operatorname{N}(\alpha)| = \operatorname{N}(\alpha\mathcal{O}_K)$

Proof. If $\{\omega_1, \dots, \omega\}$ is the integral basis of \mathcal{O}_K , then $\{\alpha\omega_1, \dots, \alpha\omega_n\}$ is the integral basis of $\alpha\mathcal{O}_K$. Then

$$\left(\prod_{i=1}^n \sigma_i(\alpha)\right)^2 \cdot \operatorname{N}(\sigma_i(\omega_j))^2 = \det(\sigma_i(\alpha)\sigma_i(\omega_j))^2 = \det(\sigma_i(\alpha\omega_j))^2 = d_K(\alpha\omega_1, \dots, \alpha\omega_n) = \operatorname{N}(\alpha\mathcal{O}_K)^2 D(K)$$

Therefore, $|\operatorname{N}(\alpha)| = \left|\prod_{i=1}^n \sigma_i(\alpha)\right| = \operatorname{N}(\alpha\mathcal{O}_K)$. \square

Lemma 1.3. $\Delta = \operatorname{N}(\mathfrak{b}) \cdot |D_K|^{1/2}$.

Proof. Let \mathfrak{b} be generated by $\alpha_1, \dots, \alpha_n$, so that Γ is generated by $\psi(\alpha_1), \dots, \psi(\alpha_n)$. Let B be the matrix with enties $(\sigma_i(\alpha_j))_{1 \leq i, j \leq n}$. Then $d_K(\mathfrak{b}) = d_K(\alpha_1, \dots, \alpha_n) = \operatorname{N}(\mathfrak{b})^2 D_K$. Now consider $\mathbb{R}^{r_1} \times \mathbb{C}^{r_2} \xrightarrow{\varphi} \mathbb{R}^n$ as $\varphi(x_1, \dots, x_r, x_{r_1+1} + iy_{r_1+1}, \dots, x_{r_1+r_2} + iy_{r_1+r_2}) = (x_1, \dots, x_r, \sqrt{2}x_{r_1+1}, \sqrt{2}y_{r_1+1}, \dots, \sqrt{2}x_{r_1+r_2}, \sqrt{2}y_{r_1+r_2})$. Let

$$C = (\langle \varphi \circ \psi(\alpha_i), \varphi \circ \psi(\alpha_j) \rangle)_{1 \leq i, j \leq n} = \left(\sum_{k=1}^n \sigma_k(\alpha_i)\bar{\sigma}_k(\alpha_j)\right)_{1 \leq i, j \leq n} = B^T \bar{B}.$$

Thus $|\det C|^{1/2} = |\det B|$, and $\operatorname{covol}(\Gamma) = |\det C|^{1/2} = |d_K(\mathfrak{b})|^{1/2}$, we have $\operatorname{covol}(\Gamma) = \operatorname{N}(\mathfrak{b})|D_K|^{1/2}$. \square

Lemma 1.4. $v = \frac{2^{r_1}(2\pi)^{r_2}R_K}{\omega_K}$

Proof. Let $\mathcal{F} = \{x \in X : \|x\| \leq 1\}$. Define $\mathcal{F}_k = \{x \cdot e^{2\pi k/\omega_K} : x \in \mathcal{F}\}$, $0 \leq k < \omega_K$. Since multiplication by a unit is volume-preserving, $\text{vol}(\mathcal{F}_k) = \text{vol}(\mathcal{F})$. Define

$$\bar{\mathcal{F}} = \left(\bigcup_{k=0}^{\omega_K} \mathcal{F}_k \right) \cap \{(x_1, \dots, x_{r_1}, x_{r_1+1}, \dots, x_{r_1+r_2}) : x_1 > 0, \dots, x_{r_1} > 0\}.$$

Multiplying any point in $\bar{\mathcal{F}}$ by $(\pm 1, \dots, \pm 1, 1, \dots, 1)$ shows that $\text{vol}(\mathcal{F}) = \frac{2^{r_1}}{\omega_K} \text{vol}(\bar{\mathcal{F}})$. And so we will compute $\text{vol}(\bar{\mathcal{F}})$ through multiple changes of variables.

Transfor $F: \mathbb{R}^{r_1} \times \mathbb{C}^{r_2} \rightarrow \mathbb{R}^n$ as $(x_1, \dots, x_{r_1}, x_{r_1+1}, \dots, x_{r_1+r_2}) \mapsto (\rho_1, \dots, \rho_{r_1}, \rho_{r_1+1}, \varphi_{r_1+1}, \dots, \rho_{r_1+r_2}, \varphi_{r_1+r_2})$, where $\rho_j = |x_j|$, $1 \leq j \leq r_1 + r_2$ and $\varphi_j = \arg(x_j)$, $r_1 + 1 \leq j \leq r_1 + r_2$. Thus $x_j = y_j + iz_j = \rho_j e^{i\varphi_j}$, $r_1 + 1 \leq j \leq r_1 + r_2$. The Jacobian determinant $|J_F| = \rho_{r_1+1} \cdots \rho_{r_1+r_2}$. Then $\bar{\mathcal{F}}$ is given by the conditions $\rho_1 > 0, \dots, \rho_{r_1+r_2} > 0$ and $\prod_{j=1}^{r_1+r_2} \rho_j^{\lambda_j} \leq 1$, where $\lambda_i = \begin{cases} 1, & 1 \leq i \leq r_1 \\ 2, & r_1 + 1 \leq i \leq r_1 + r_2. \end{cases}$. And $0 \leq \xi_k < 1$ in the formula $\eta(x) = c\lambda + \sum_{i=1}^{r_1+r_2} c_i l(\epsilon_i)$, $\forall x \in \mathbb{R}^{r_1} \times \mathbb{C}^{r_2}$, with $\lambda = (\underbrace{1, \dots, 1}_{r_1}, \underbrace{2, \dots, 2}_{r_2})$ and $c = \log \|x\|/n$. It deduces that

$$\log \rho_j^{\lambda_j} = \frac{\lambda_j}{n} \log \left(\prod_{k=1}^{r_1+r_2} \rho_k^{\lambda_k} \right) + \sum_{k=1}^r \xi_k l_j(\epsilon_k) \quad \text{for each } j\text{'th coordinate of } \eta(x), 1 \leq j \leq r_1 + r_2. \quad (1)$$

These conditions do not restrict φ_j for any value $r_1 + 1 \leq j \leq r_1 + r_2$. So they take values over $[0, 2\pi)$. Let $\xi = \prod_{j=1}^{r_1+r_2} \rho_j^{\lambda_j}$. Now $\bar{\mathcal{F}}$ is defined by the condition $0 < \xi \leq 1$, $0 \leq \xi_k < 1$ for $1 \leq k \leq r_1 + r_2 - 1$. Differentiate the equation (1) we have:

$$\lambda_j \frac{d\rho_j}{\rho_j} = \frac{\lambda_i}{n} \frac{d\xi}{\xi} + \sum_{k=1}^r l_j(\epsilon_k) d\xi_k \quad 1 \leq j \leq r_1 + r_2.$$

Then

$$\begin{aligned} |J| &= \begin{vmatrix} \frac{\rho_1}{n \cdot \xi} & \frac{\rho_1}{\lambda_1} l_1(\epsilon_1) & \cdots & \frac{\rho_1}{\lambda_1} l_1(\epsilon_r) \\ \vdots & \vdots & \ddots & \vdots \\ \frac{\rho_{r_1+r_2}}{n \cdot \xi} & \frac{\rho_{r_1+r_2}}{\lambda_{r_1+r_2}} l_{r_1+r_2}(\epsilon_1) & \cdots & \frac{\rho_{r_1+r_2}}{\lambda_{r_1+r_2}} l_{r_1+r_2}(\epsilon_r) \end{vmatrix} \\ &= \frac{\rho_1 \cdots \rho_{r_1+r_2}}{n \cdot \xi \cdot 2^{r_2}} \begin{vmatrix} \lambda_1 & l_1(\epsilon_1) & \cdots & l_1(\epsilon_r) \\ \vdots & \vdots & \ddots & \vdots \\ \lambda_{r_1+r_2} & l_{r_1+r_2}(\epsilon_1) & \cdots & l_{r_1+r_2}(\epsilon_r) \end{vmatrix} \\ &= \frac{\rho_1 \cdots \rho_{r_1+r_2}}{n \cdot \xi \cdot 2^{r_2}} \begin{vmatrix} \lambda_1 & l_1(\epsilon_1) & \cdots & l_1(\epsilon_r) \\ \vdots & \vdots & \ddots & \vdots \\ \lambda_r & l_r(\epsilon_1) & \cdots & l_r(\epsilon_r) \\ n & 0 & 0 & 0 \end{vmatrix} \\ &= \frac{\rho_1 \cdots \rho_{r_1+r_2}}{\rho_1 \cdots \rho_{r_1} \rho_{r_1+1}^2 \cdots \rho_{r_1+r_2}^2 \cdot 2^{r_2}} \cdot R_K \\ &= \frac{R_K}{2^{r_2} \rho_{r_1+1} \cdots \rho_{r_1+r_2}} \end{aligned}$$

We now compute the volume of $\bar{\mathcal{F}}$ in \mathbb{R}^n . Notice that we have $\varphi: \mathbb{R}^{r_1} \times \mathbb{C}^{r_2} \rightarrow \mathbb{R}^n$ as $\varphi(x_1, \dots, x_r, y_{r_1+1} + iz_{r_1+1}, \dots, y_{r_1+r_2} + iz_{r_1+r_2}) = (x_1, \dots, x_r, \sqrt{2}y_{r_1+1}, \sqrt{2}z_{r_1+1}, \dots, \sqrt{2}y_{r_1+r_2}, \sqrt{2}z_{r_1+r_2})$. The Jacobian of this

transformation gives $(\sqrt{2})^{2r_2} = 2^{r_2}$. Then:

$$\begin{aligned} \text{vol}(\bar{\mathcal{F}}) &= 2^{r_2} \int \cdots \int_{\bar{\mathcal{F}}} dx_1 \cdots dx_{r_1} dy_{r_1+1} \cdots dz_{r_1+1} \cdots dy_{r_1+r_2} dz_{r_1+r_2} \\ &= 2^{r_2} \int \cdots \int_{\bar{\mathcal{F}}} \rho_{r_1+1} \cdots \rho_{r_1+r_2} d\rho_1 \cdots d\rho_{r_1+r_2} d\varphi_{r_1+1} \cdots d\varphi_{r_1+r_2} \\ &= 2^{r_2} (2\pi)^{r_2} \int_0^1 \cdots \int_0^1 \rho_{r_1+1} \cdots \rho_{r_1+r_2} |J| d\xi d\xi_1 \cdots d\xi_r \\ &= 2^{r_2} (2\pi)^{r_2} \frac{R_K}{2^{r_2}} = (2\pi)^{r_2} R_K \end{aligned}$$

$$\text{Thus } \text{vol}(\mathcal{F}) = \frac{2^{r_1}}{\omega_K} \text{vol}(\bar{\mathcal{F}}) = \frac{2^{r_1} (2\pi)^{r_2} R_K}{\omega_K}$$

□

Now we complete the whole proof of the weak form of the analytic class number formula:

The proof of Theorem 1.1. Combine Lemma (1.2), (1.3) and (1.4), we have

$$\lim_{s \rightarrow 1^+} (s-1)f_A(s) = N(\mathfrak{b}) \frac{2^{r_1} (2\pi)^{r_2} R_K}{\omega_K N} (\mathfrak{b}) |D_K|^{1/2} = \frac{2^{r_1} (2\pi)^{r_2} R_K}{\omega_K |D_K|^{1/2}}.$$

Summing over each class $A \in \mathcal{C}_K$, we have

$$\lim_{s \rightarrow 1^+} (s-1)\zeta_K(s) = \frac{2^{r_1} (2\pi)^{r_2} R_K h_K}{\omega_K |D_K|^{\frac{1}{2}}}$$

□

Keep in mind that the meromorphic continuation of $\zeta_K(s)$ to the whole complex plane is another hard story which is accomplished by Erich Hecke [2] first. Afterwards, Tate established Hecke's theory by harmonic analysis.

1.6 A brief Introduction on Tate's Theory in Weil's Viewpoint

This part is organized in a way following Professor Tian's Notes. For some details we consult to other books, such as Ramakrishnan [3] and Serge Lange [4].

1.6.1 The Local Theorem

Let F be a local field.

Define a Schwartz space $\mathcal{S}(F) = \left\{ \begin{array}{ll} \text{Complex-valued locally constant} \\ \text{compactly supported functions on } F \\ \{ \text{Schwartz functions on } F \} \end{array} \right\} \begin{array}{l} \text{If } F \text{ is } p\text{-adic} \\ \text{If } F = \mathbb{R} \text{ or } \mathbb{C} \end{array}$

Fix a nontrivial additive unitary character $\psi \in \text{Hom}_{\text{cont}}(F, \mathbb{C}^\times)$ (i.e., $\text{Im}\psi \neq \{1\}$). For any $\alpha \in F^\times$, let $\psi_\alpha : x \mapsto \psi(\alpha \cdot x)$. Then $\alpha \mapsto \psi_\alpha$ defines a continuous isomorphism $F \xrightarrow{\sim} \widehat{F} := \text{Hom}_{\text{cont}}(F, S^1)$ as additive topology group.

Let dx be the self-dual Haar measure on F with respect to ψ . In general, we have Fourier transformation on $\mathcal{S}(F) : \widehat{\phi}(x) = \int_F \phi(y) \psi(xy) dy$, $\forall \phi \in \mathcal{S}(F)$, satisfies that $\widehat{\widehat{\phi}}(0) = \phi(0)$ for all $\phi \in \mathcal{S}(F)$. Let $\chi : F^\times \rightarrow \mathbb{C}^\times$ be a character and consider the zeta integral:

$$Z(\phi, \chi, s) = \int_{F^\times} \phi(x) \chi(x) |x|^s dx, \quad \forall \phi \in \mathcal{S}(F).$$

Let $\mathcal{S}(F)'$ be the space of tempered distributions. For any $\lambda \in \mathcal{S}(F)'$, the Fourier transformation $\widehat{\lambda}$ of λ is defined as $\langle \widehat{\lambda}, \phi \rangle = \langle \lambda, \widehat{\phi} \rangle$, $\forall \phi \in \mathcal{S}(F)$. The group F^\times has action ρ on $\mathcal{S}(F)$ and ρ' on $\mathcal{S}(F)'$ in the following ways:

$$(\rho(a)\phi)(x) = \phi(a \cdot x), \quad \langle \rho'(a)\lambda, \phi \rangle = \langle \lambda, \rho(a^{-1})\phi \rangle. \quad \forall a \in F^\times, \phi \in \mathcal{S}(F), \lambda \in \mathcal{S}(F)'.$$

We give the following results without any proof.

Theorem 1.2. *For any character $\chi : F^\times \rightarrow \mathbb{C}^\times$, the χ -eigen subspace of $\mathcal{S}(F^\times)$,*

$$\mathcal{S}(F)^{\prime\chi} := \{\lambda \in \mathcal{S}(F)' : \rho'(a)\lambda = \chi(a)\lambda\}$$

is of one dimension.

Proposition 1.2. $Z_0(\chi) : \phi \mapsto \frac{Z(\phi, \chi, s)}{L(\chi, s)} \Big|_{s=0}$ and $\widehat{Z}_0(\chi) : \phi \mapsto \frac{Z(\widehat{\phi}, \chi^{-1}, 1-s)}{L(\chi^{-1}, 1-s)} \Big|_{s=0}$ both are a basis of the space $\mathcal{S}(F)^{\prime\chi}$.

Theorem 1.3. *Let $\chi : F^\times \rightarrow \mathbb{C}^\times$ be a character. The zeta integral*

$$Z(\phi, \chi, s) = \int_{F^\times} \phi(x) \chi(x) |x|^s d^\times x, \quad \forall \phi \in \mathcal{S}(F),$$

is absolutely convergent when $\operatorname{Re}(\chi|\cdot|^s) > 0$ and has a meromorphic continuation to whole s -plane such that $\frac{Z(\phi, \chi, s)}{L(\chi, s)}$ is holomorphic, and satisfies the function equation:

$$\frac{Z(\widehat{\phi}, \chi^{-1}, 1-s)}{L(\chi^{-1}, 1-s)} = \epsilon(\chi, \psi, s) \frac{Z(\phi, \chi, s)}{L(\chi, s)}.$$

Here $\epsilon(\chi, \psi, s)$ is independent of ϕ and is holomorphic of exponential type.

1.6.2 The Global Theorem

Let K be a number field and its ring of adéles \mathbb{A} is defined to be:

$$\mathbb{A} := \prod_v' (K_v, \mathcal{O}_v) = \left\{ (a_v) \in \prod_v K_v : a_v \in \mathcal{O}_v \text{ for almost all } v \right\}.$$

Fix a nontrivial additive unitary character $\psi = \otimes_v \psi_v : \mathbb{A}/K \rightarrow \mathbb{C}^\times$, let $dx = \prod_v dx_v$ be the Haar measure on \mathbb{A} such that dx_v is self-dual with respect to ϕ_v . The Fourier transformation of a Schwartz function ϕ is defined to be $\widehat{\phi}(y) = \int_{\mathbb{A}} \phi(x) \psi(xy) dx$. Then $\widehat{\widehat{\phi}}(0) = \phi(0)$. For a Schwartz function $\phi \in \mathcal{S}(\mathbb{A})$, we define the Tate zeta integral

$$Z(\phi, \chi, s) := \int_{\mathbb{A}} \phi(x) \chi(x) |x|^s d^\times x.$$

We have the similar results compared to the local theory:

Theorem 1.4. *For any Hecke character $\chi : \mathbb{A}^\times/F^\times \rightarrow \mathbb{C}^\times$, the χ -eigen subspace of $\mathcal{S}(\mathbb{A})'$,*

$$\mathcal{S}(\mathbb{A})^{\prime\chi} := \{\lambda \in \mathcal{S}(\mathbb{A})' : \rho'(a)\lambda = \chi(a)\lambda\}$$

is of one dimension.

Proposition 1.3. $Z_0(\chi) : \phi \mapsto \frac{Z(\phi, \chi, s)}{L(\chi, s)} \Big|_{s=0}$ and $\widehat{Z}_0(\chi) : \phi \mapsto \frac{Z(\widehat{\phi}, \chi^{-1}, 1-s)}{L(\chi^{-1}, 1-s)} \Big|_{s=0}$ both are a basis of the space $\mathcal{S}(\mathbb{A})^{\prime\chi}$.

Theorem 1.5. *Let $\chi : \mathbb{A}^\times/K^\times \rightarrow \mathbb{C}^\times$ be a Hecke character. The L-series of χ ,*

$$L(s, \chi) = \prod_v L(s, \chi_v).$$

is absolutely convergent when $\operatorname{Re}(s) \gg 0$, has meromorphic continuation and satisfies the function equation:

$$L(\chi, s) = \epsilon(\chi, s) L(\chi^{-1}, 1-s),$$

where $\epsilon(s, \chi) = \prod_v \epsilon(\chi_v, \psi_v, s)$ is independent of the choice of ϕ , and is of exponential type.

Theorem 1.6. Let $\chi : \mathbb{A}^\times / K^\times \rightarrow \mathbb{C}^\times$ be a Hecke character. For a Schwartz function $\phi \in \mathcal{S}(\mathbb{A})$, the Tate zeta integral

$$Z(\phi, \chi, s) := \int_{\mathbb{A}} \phi(x) \chi(x) |x|^s d^\times x$$

is absolutely convergent when $\operatorname{Re}(s) \gg 0$, has meromorphic continuation to the whole s -plane, and satisfies the function equation $Z(\phi, \chi, s) = Z(\widehat{\phi}, \chi^{-1}, 1 - s)$.

Let \mathbb{A}^1 be the subgroup of \mathbb{A}^\times of norm 1 idèles. Take compatible Haar measure for the exact sequences:

$$1 \rightarrow \mathbb{A}^1 / K^\times \rightarrow \mathbb{A}^\times / K^\times \xrightarrow{|\cdot|} K_\infty^+ \rightarrow 1$$

By applying Poisson summation formula, we have for any $\phi \in \mathcal{S}(\mathbb{A})$:

$$\begin{aligned} Z(\phi, \chi, s) &= \int_{|x|>1} \phi(x) \chi(x) |x|^s d^\times x + \int_{|x|<1} \widehat{\phi}(x) \chi^{-1}(x) |x|^{1-s} d^\times x \\ &+ \delta \cdot \operatorname{Vol}(\mathbb{A}^1 / K^\times) \cdot \left(\frac{\widehat{\phi}(0)}{s-1+\lambda} - \frac{\phi(0)}{s+\lambda} \right), \end{aligned} \quad (2)$$

where $\delta = 1$ if χ has form $|\cdot|^\lambda$ for some $\lambda \in \mathbb{C}$ and $\delta = 0$ otherwise. It follows from equation (2) that the extended function $Z(\phi, \chi, s)$ is in fact holomorphic everywhere except when $\chi = |\cdot|^\lambda$, in which case it has simple poles at $s = -\lambda$ and $s = 1 - \lambda$ with corresponding residues given by $-\operatorname{Vol}(\mathbb{A}^1 / K^\times) \phi(0)$ and $\operatorname{Vol}(\mathbb{A}^1 / K^\times) \widehat{\phi}(0)$.

We claim that for number field K , $\operatorname{Vol}(\mathbb{A}^1 / K^\times) = \frac{2^{r_1}(2\pi)^{r_2} h_K R_K}{\omega_K |D_K|^{1/2}}$. Suppose now $\chi = |\cdot|^\lambda$ for some $\lambda \in \mathbb{C}$, and $\widehat{\phi}(0) = 1$. In the case of Dedekind zeta function $\zeta_K(s)$, we show that it has a simple pole at $s = 1$, with residue $\operatorname{Res}_{s=1} \zeta_K(s) = \frac{2^{r_1}(2\pi)^{r_2} h_K R_K}{\omega_K |D_K|^{1/2}}$.

2 The Weak Mordell-Weil Theorem

Definition 2.1. An elliptic curve is a pair $(E/K, \mathcal{O})$, where E/K is a smooth curve of genus one and \mathcal{O} is a point in $E(K)$. The distinguished point \mathcal{O} is usually implicit, so we often denote elliptic curves simply with E/K .

Let K be a number field, and E be an elliptic curve defined over K . The set of K -valued points $E(K)$ forms an abelian group. We have the fundamental theorem:

Theorem 2.1 (Mordell-Well). $E(K)$ is a finitely generated abelian group.

In this section, we only prove the following theorem.

Theorem 2.2 (Weak Mordell-Weil). For any positive integer m , the group $E(K)/mE(K)$ is finite.

The entire proof of the Mordell-Weil theorem involves a theory of heights. From the theory of heights of K -valued points of elliptic curves, it can be seen that if a finite set A of elements of $E(K)$ can be found, such that they generate the group $E(K)$ modulo the subgroup $mE(K)$, then the finite set of elements of $E(K)$ with highest height in A will generate $E(K)$. Thus the problem of computing the rank of the Mordell-Weil group, is reduced to the problem of computing the generators of $E(K)/mE(K)$. The proof given here uses the approach in many materials that can be found online, such as [5], [6] and [7]. Before we get into the main topic, there is a long way to go.

2.1 Elliptic Curves and Maps between Them

2.1.1 The Group Operation

We shall show that elliptic curves can be given a natural group structure. Our first construction of group operation is very intrinsic and relies on the Picard group. We shall see that the Riemann-Roch theorem plays an essential role in this part.

Theorem 2.3 (Riemann-Roch). *Let C/K be a smooth curve of genus g , and let D be a divisor of C satisfying $\deg D > 2g - 2$. Then $\ell(D) = \deg D - g + 1$.*

Lemma 2.1. Let E/K be a smooth curve of genus one, and let P and Q be points in E . Then the divisors (P) and (Q) are linearly equivalent if and only if $P = Q$.

Proof. One direction is immediate, so start by writing $\text{div } f = (P) - (Q)$ for some $f \in \bar{K}(E)$. Now $f \in \mathcal{L}((Q))$, and since E is smooth of genus one, the Riemann-Roch theorem yields $\ell((Q)) = 1$. Because $\mathcal{L}((Q))$ includes K , we see in fact $\mathcal{L}((Q)) = K$. So f is a constant, which implies $\text{div } f = 0$ and consequently $P = Q$. \square

Next, recall the definition of $\text{Pic}^0(E)$.

Definition 2.2. Let C/K be a curve. Its divisors of degree zero form a subgroup, which we denote by $\text{Div}^0(C)$. This subgroup contains the principal divisors, and we denote the image of $\text{Div}^0(C)$ under the quotient map $\text{Div}(C) \rightarrow \text{Pic}(C)$ by $\text{Pic}^0(C)$.

Let E/K be an elliptic curve. Using Lemma 2.1, we now construct a bijection between E and $\text{Pic}^0(E)$ with the intent of inducing a group structure on E from that of $\text{Pic}^0(E)$.

Proposition 2.1. *Let $[D]$ be an element of $\text{Pic}^0(E)$. There exists a unique point P in E satisfying $[D] = [(P) - (\mathcal{O})]$, and the map $\text{Pic}^0(E) \xrightarrow{\sigma} E$ sending $[D]$ to its corresponding point P is a bijection.*

Proof. As E is smooth of genus one, we see $\ell(D + (\mathcal{O})) = 1$ by Riemann-Roch. Therefore we may choose a nonzero f in $\mathcal{L}(D + (\mathcal{O}))$, satisfying $\text{div } f \geq -D - (\mathcal{O})$, and the right hand side has $\deg(-D - (\mathcal{O})) = -1$. Yet $\deg \text{div } f = 0$, so we must have $\text{div } f = -D - (\mathcal{O}) + (P)$ for some point P of E . This relation shows D and $(P) - (\mathcal{O})$ are linearly equivalent. We want to show this P is independent of our choice for D . Let D' be any divisor in $\text{Div}^0(E)$. Let P' be a point of E satisfying $[D'] = [(P') - (\mathcal{O})]$. Subtracting this from $[D] = [(P) - (\mathcal{O})]$ yields $[D - D'] = [(P) - (P')]$. Now if $[D] = [D']$, this indicates $[(P)] = [(P')]$ and hence $P = P'$ by Lemma 2.1. Therefore the point P corresponding to $[D]$ is unique, so the map σ is well-defined. The injectivity of σ also follows from this equation, for if $\sigma[D] = P = \sigma[D']$ then $[D - D'] = 0$. Finally, for all points P in E , clearly $[(P) - (\mathcal{O})] = P$, which makes σ surjective as well. \square

Remark 2.1. The (algebraic) group operation of $(E/K, \mathcal{O})$ is the group structure induced on E by σ , denoted as $E \times E \xrightarrow{\oplus} E$ and $E \xrightarrow{\ominus} E$. The group axioms for E follow from those for $\text{Pic}^0(E)$. We shall give a geometric definition for the group operation in the next part.

2.1.2 Weierstraß Equations

Fix a field K and an algebraic closure \bar{K} . An elliptic curve over \bar{K} is a nonsingular projective curve over \bar{K} of genus 1 with a specified base point. Using algebraic geometry, it can be shown that any such curve can be embedded in $\mathbb{P}^2(\bar{K})$ as the locus of a cubic equation with only one point, the base point, on the line at infinity. Thus any elliptic curve is the solution set of a corresponding Weierstraß equation.

Definition 2.3. A Weierstraß equation is an equation of the form

$$Y^2Z + a_1XYZ + a_3YZ^2 = X^3 + a_2X^2Z + a_4XZ^2 + a_6Z^3,$$

with coefficients $a_1, \dots, a_6 \in \bar{K}$. Additionally we define the quantities the discriminant Δ , the j -invariant j , and the invariant differential ω as follows:

$$\begin{aligned} b_2 &:= a_1^2 + 4a_2, \\ b_4 &:= 2a_4 + a_1a_3, \\ b_6 &:= a_3^2 + 4a_6, \\ b_8 &:= a_1^2a_6 + 4a_2a_6 - a_1a_3a_4 + a_2a_3^2 - a_4^2, \\ c_4 &:= b_2^2 - 24b_4, \\ c_6 &:= -b_2^3 + 36b_2b_4 - 216b_6, \\ \Delta &:= -b_2b_8 - 8b_4^3 - 27b_6^2 + 9b_2b_4b_6, \\ j &:= c_4^3/\Delta \text{ if } \Delta \neq 0, \\ \omega &:= \frac{dx}{2y + a_1x + a_3} = \frac{dy}{3x^2 + 2a_2x + a_4 - a_1y}, \end{aligned}$$

Suppose E/K is an elliptic curve and $\text{char}K \neq 2$, then through a change of variables, we may always simplify the Weierstraß equation to the form

$$E : y^2 = f(x) = 4x^3 + b_2x^2 + 2b_4x + b_6. \quad (3)$$

Then the discriminant Δ of the Weierstraß equation and the j -invariant of the elliptic curve are given by $\Delta = 16\text{Disc}(f) = -b_2^2b_8 - 8b_2^3 - 27b_6^2 + 9b_2b_4b_6$, and $j = \frac{b_2^2 - 24b_4}{\Delta}$.

If we further assume $\text{char}K \neq 2, 3$, then the Weierstraß equation may be further simplified to the form

$$E : y^2 = f(x) = x^3 + Ax + B \quad (4)$$

Proposition 2.2. *Let E and E' be two elliptic curves defined over \bar{K} .*

- (a) *Say E/K is defined over K and is given by the Weierstraß equation in Equation (3). Then*
 - (i) *E is nonsingular if and only if $\Delta \neq 0$,*
 - (ii) *E has a node if and only if $\Delta = 0, b_2^2 - 24b_4 \neq 0$,*
 - (iii) *E has a cusp if and only if $\Delta = 0, b_2^2 - 24b_4 = 0$*
- (b) *The two elliptic curves E and E' are isomorphic if and only if $j(E) = j(E')$.*
- (c) *Take any $j_0 \in \bar{K}$. Then there is an elliptic curve $E''/K(j_0)$ with $j(E'') = j_0$.*

Proof. See [8, III.3]. □

Now we assert that Weierstraß equations provide a more concrete approach to elliptic curves.

Theorem 2.4. *Let $(E/K, \mathcal{O})$ be an elliptic curve.*

- (a) *There exist x and y in $K(E)$ such that the map $E \xrightarrow{\phi} \mathbb{P}^2$ defined by $\phi(P) = [x : y : 1]$ is an isomorphism from E to a Weierstraß equation with coefficients in K and ϕ maps \mathcal{O} to $[0 : 1 : 0]$.*
- (b) *Let E_1/K and E_2/K be two Weierstraß equations for E satisfying the properties enumerated in (a). Then E_1 and E_2 are isomorphic by a change of variables in the form*

$$X_2 = u^2 X_1 + r \quad Y_2 = u^3 Y_1 + su^2 X_1 + t \quad \text{for some } u \in K^\times \text{ and } r, s, t \in K.$$

- (c) *Conversely, by choosing a distinguished point \mathcal{O} , every smooth Weierstraß equation E/K is an elliptic curve $(E/K, \mathcal{O})$.*

Proof. See [8, Proposition III.3.3] □

Definition 2.4. Let C/K be a smooth curve, and let P and Q be points on C . The secant line on C defined by P and Q is the smooth curve L in \mathbb{P}^2 given as follows. If $P \neq Q$, let L be the unique line going through P and Q , otherwise, let L be the line tangent to C at $P = Q$.

Definition 2.5. Let E/K be an elliptic curve. Let P and Q be points on E , and let L be the secant line on E defined by P and Q . Since Weierstraß equations are given by cubics, Bezout's theorem shows $E \cap L = \{P, Q, R\}$ as a multiset. Next, let L' be the secant line on E defined by \mathcal{O} and R . Applying Bezout again indicates $E \cap L' = \{O, R, S\}$ as a multiset. Finally, let L'' be the secant line on E defined by O and P . As usual $E \cap L'' = \{O, P, T\}$ by Bezout's theorem.

The (geometric) group operation is given by the binary operation $E \times E \xrightarrow{\oplus} E$ as $(P, Q) \mapsto S$ and the inverse map $E \xrightarrow{\ominus} E$ as $P \mapsto T$.

See Figure 1 for an example, in which $(0, 2)$ and $(1, 0)$ add to $(3, 4)$ in the group law (the figure presented here cites the material [7, Section 11.1]). In fact the algebraic group operation matches with the geometric group operation.

Proposition 2.3. *Let E/K be an elliptic curve. The algebraic group operation $E \times E \xrightarrow{\oplus} E$ and geometric group operation $E \times E \xrightarrow{\oplus} E$ are the same map, as are $E \xrightarrow{\ominus} E$ and $E \xrightarrow{\ominus} E$.*

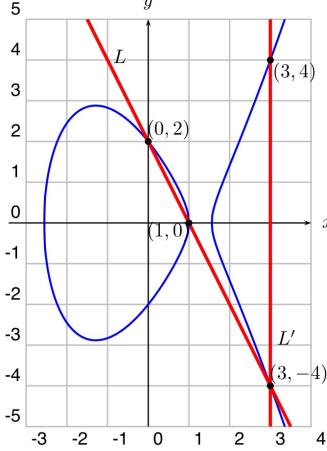


Figure 1: The Group Law: $(1, 0) + (0, 2) = (3, 4)$ on $y^2 = x^3 - 5x + 4$

Proof. In the situation of Definition 2.5, let $g(X, Y, Z)$ and $g'(X, Y, Z)$ be the homogeneous linear equations defining L and L' , respectively. More explicitly, $L \cap E = \{P, Q, R\}$ and $L' \cap E = \{O, R, S\}$. Let $f = g/g' \in K(E)$. Then $(f) = P + Q + R - O - R - S = P + Q - O - S \Rightarrow S$ are linearly equivalent to $P + Q - O$. Therefore $\boxplus(P, Q) = \oplus(P, Q)$ and for the same reason $\boxminus P = \ominus P$. \square

Proposition 2.3 enables us to interchangeably use the algebraic and geometric definitions for the group operation, which we will simply denote by $E \times E \xrightarrow{+} E$ and $E \xrightarrow{-} E$. The geometric description of addition in E allows explicit calculations with polynomial equations see Group Law Algorithm III.2.3 in [8]. As a corollary, we see elliptic curves are abelian varieties

Corollary 2.1. *Let E/K be an elliptic curve. Then the addition and negation maps $E \times E \xrightarrow{+} E$ and $E \xrightarrow{-} E$ are morphisms defined over K .*

This in turn shows the K -valued points of an elliptic curve form a subgroup, denoted by $E(K)$.

Corollary 2.2. *Let E/K be an elliptic curve. Then $E(K)$ is a subgroup of E .*

Proof. The identity O is in $E(K)$, and $E(K)$ is closed under addition since $E \times E \xrightarrow{+} E$ is a morphism defined over K . \square

2.1.3 Maps between Elliptic Curves

We have seen that elliptic curves are abelian varieties, that is, projective varieties and abelian groups in a compatible way. From a view of category theory, we also expect morphisms of elliptic curves to have abelian group and ring structures similar to those of abelian group homomorphisms.

Definition 2.6. Let $(E_1/K, \mathcal{O}_1)$ and $(E_2/K, \mathcal{O}_2)$ be elliptic curves. An isogeny from E_1 to E_2 is a morphism $E_1 \xrightarrow{\phi} E_2$ that sends $\phi(\mathcal{O}_1) = \mathcal{O}_2$. If ϕ is constant, it must be valued on \mathcal{O}_2 . We call this the zero isogeny and denote it by $\phi = [0]$.

If ϕ is not the zero isogeny, it is a non-constant morphism of smooth curves, which makes it finite and surjective. Therefore we may consider the degree $\deg \phi$. For the zero isogeny, we set $\deg[0] = 0$.

Proposition 2.4. *Let $E_1 \xrightarrow{\phi} E_2$ be an isogeny. Then ϕ is a group homomorphism.*

Proof. Of course $[0]$ is the trivial homomorphism, so suppose ϕ is non-constant. Here ϕ induces a homomorphism $\text{Pic}^0(E_1) \xrightarrow{\phi_*} \text{Pic}^0(E_2)$, and since ϕ sends \mathcal{O}_1 to \mathcal{O}_2 . Therefore ϕ is also a group homomorphism since we have the commutative diagram below.

$$\begin{array}{ccc} \text{Pic}^0(E_1) & \xrightarrow{\sigma_1} & E_1 \\ \phi_* \downarrow & & \downarrow \phi \\ \text{Pic}^0(E_2) & \xrightarrow{\sigma_2} & E_2 \end{array}$$

□

Definition 2.7. Let E_1/K and E_2/K be elliptic curves. We write $\text{Hom}(E_1, E_2)$ for the set of isogenies $E_1 \xrightarrow{\phi} E_2$. From here, we form the special hom-sets $\text{End}(E_1)$ and $\text{Aut}(E_1)$ as usual.

Theorem 2.5. Let E_1/K and E_2/K be elliptic curves.

- (a) The abelian group $\text{Hom}(E_1, E_2)$ is torsion-free.
- (b) The ring $\text{End}(E_1)$ has no zero-divisors. In particular, it has characteristic 0.

Proof. See [8, Proposition III.4.2] □

Let E/K be an elliptic curve. Extending our terminology for the zero isogeny, for any integer m we denote the image of m under the unique ring homomorphism $\mathbb{Z} \rightarrow \text{End}(E)$ by $[m]$. Theorem 2.5 shows this homomorphism is injective, so for nonzero m the morphism $[m]$ is a finite map. This makes the m -torsion subgroup of E finite. Moreover we give the following results directly.

Proposition 2.5. Let E/K be an elliptic curve, and let m be a positive integer. If $\text{Char } K \nmid m$, then $E[m]$ is isomorphic to $\mathbb{Z}/m\mathbb{Z} \times \mathbb{Z}/m\mathbb{Z}$ as abstract groups.

Proof. See [8, Chapter III]. □

2.2 Reduction of elliptic curves

Let K_v be a local field, complete with respect to a discrete valuation v with ring of integers $\mathcal{O}_v = \{x \in K : v(x) \geq 0\}$, maximal ideal \mathfrak{m}_v , uniformizer ϖ (i.e., $\mathfrak{m}_v = \varpi \mathcal{O}_v$), and residue field $k_v = \mathcal{O}_v/\mathfrak{m}_v$ with characteristic p . We denote reduction modulo \mathfrak{m} by a tilde.

There is a reduction of the projective plane: $\mathbb{P}^2(K) \xrightarrow{\pi} \mathbb{P}^2(k)$ which is defined as follows. Take some $[a : b : c] \in \mathbb{P}^2(K)$. By multiplying by some element of R such that $a, b, c \in R$. Then dividing by an appropriate power of ϖ , we may assume

$$\min\{v(a), v(b), v(c)\} = 0 \tag{5}$$

Then use the natural reduction of $R \xrightarrow{\pi} R/\mathfrak{m} = k$, we have $\widetilde{[a : b : c]} = [\tilde{a} : \tilde{b} : \tilde{c}]$ is well-defined since the situation $\tilde{a} = \tilde{b} = \tilde{c} = 0$ is impossible by equation (5).

Let E/K be an elliptic curve over K and assume E is the solution set to a Weierstrass equation $f(X, Y, Z) = 0$ with discriminant Δ . Via the reduction $\tilde{f}(X, Y, Z) = \tilde{\Delta}$ defines another curve \tilde{E}/k over k with discriminant $\tilde{\Delta}$. Then \tilde{E} is an elliptic curve as long as $\tilde{\Delta} \neq 0$ (as long as $\Delta \notin \mathfrak{m}$). In this case, there is a natural reduction map of elliptic curves $\pi : E/K \rightarrow \tilde{E}/k$ given by the projection space reduction defined above. However, there could be many Weierstrass equations for E/K_v , so one must discern which ones reflect the essential properties of E/K_v after reducing modulo \mathfrak{m}_v .

Definition 2.8. Let E/K_v be an elliptic curve, and let $Y^2 + a_1XY + a_3Y = X^3 + a_2X^2 + a_4X + a_6$ be a Weierstrass equation for E . If all the a_i lie in \mathcal{O}_v and $v(\Delta)$ is minimal while upholding this condition, we say this is a minimal equation for E .

Proposition 2.6. Let E/K_v be an elliptic curve. Then E has a minimal equation.

Proof. Let $Y^2 + a_1XY + a_3Y = X^3 + a_2X^2 + a_4X + a_6$ be a Weierstrass equation for E/K_v . Direct calculation indicates the substitution $(X, Y) \mapsto (u^{-2}X, u^{-3}Y)$ yields another Weierstrass equation for E/K_v with a_i replaced by $u^i a_i$, so taking $u = \pi^N$ for sufficiently large N yields a Weierstrass equation with coefficients in \mathcal{O}_v . Now note that since $v(\Delta)$ is a polynomial in the a_i , so Δ is in \mathcal{O}_v if the a_i are. Thus $v(\Delta)$ is non-negative, and we may apply well-ordering to show minimal equations exist. □

Minimal equations are precisely the Weierstrass equations that preserve nice properties when reduced modulo \mathfrak{m}_v . Before elaborating, we clarify which of the changes of variables outlined in Proposition 2.4 preserve minimality.

Proposition 2.7. Let E/K_v be an elliptic curve.

- (a) A minimal equation is unique up to change of coordinates in the form

$$X = u^2 X' + r \quad Y = u^3 Y' + s u^2 X' + t \quad \text{for some } u \in \mathcal{O}_v^\times \text{ and } r, s, t \in \mathcal{O}_v.$$

- (b) As a converse, any substitution used to obtain a minimal equation from a Weierstraß equation with coefficients in \mathcal{O}_v^\times is in above form, except the restriction on u is related to $u \in \mathcal{O}_v$.

Proof. See [8, Proposition VII.1.3]. \square

Now we use minimal equations to define elliptic curves reduced modulo \mathfrak{m}_v .

Definition 2.9. Let E/K_v be an elliptic curve. We denote the Weierstraß equation obtained from reducing a minimal equation for E modulo \mathfrak{m}_v by \tilde{E}/k_v .

Remark 2.2. This \tilde{E}/k_v is not necessarily smooth, so it may not define an elliptic curve over k_v . We will restrict to the \tilde{E}/k_v smooth case. For reference, see [8, Chapter VII].

At the first place we have an exact sequence $0 \rightarrow \mathfrak{m}_v \rightarrow \mathcal{O}_v \rightarrow k_v \rightarrow 0$, we obtain a similar short exact sequence of elliptic curves.

Theorem 2.6. Let E/K_v be an elliptic curve such that \tilde{E}/k_v is smooth.

- (a) Then the reduction modulo \mathfrak{m}_v map induces a surjective group homomorphism $E(K_v) \xrightarrow{\pi} \tilde{E}/k_v$.
- (b) The kernel $\text{Ker}\pi$ is independent of the minimal equation chosen for defining \tilde{E}/k_v . We denote it by $E_1(K_v)$. Altogether we obtain a short exact sequence

$$0 \rightarrow E_1(K_v) \rightarrow E(K_v) \rightarrow \tilde{E}/k_v \rightarrow 0.$$

Proof. See [8, Proposition VII.2.1]. \square

2.2.1 Elliptic Curve Formal Groups

Since K_v is a complete non-Archimedean local field, they manifest as formal power series expansions of group operations. Let us take a brief look into formal groups.

Definition 2.10. Let A be a commutative ring. A formal group over A is a power series $F(X, Y)$ in two variables with coefficients in A satisfying

- (i) $F(X, 0) = X$ and $F(0, Y) = Y$.
- (ii) There exists a unique power series $i(T)$ in one variable with coefficients in A satisfying $F(T, i(T)) = 0$.
- (iii) $F(X, F(Y, Z)) = F(F(X, Y), Z)$.
- (iv) $F(X, Y) = F(Y, X)$.
- (v) $F(X, Y) = X + Y \pmod{(X^2, XY, Y^2)}$.

We denote formal groups by F/A .

Example 4. Let E/K_v be an elliptic curve. We aim to construct a formal group over K_v corresponding to elliptic curve addition. Since power series expansions happen best around the origin and the identity element, we first make a change of variables that sends \mathcal{O} to $(0, 0)$. More specifically, we set $Z = -X/Y$ and $W = -1/Y$, transforming the defining Weierstraß equation to

$$W = z^3 + a_1 ZW + a_2 Z^2 W + a_3 W^2 + a_4 ZW^2 + a_6 W^3.$$

Notice this is a polynomial expression for W in terms of W and Z . By inductively substituting the right hand side, we obtain a formal power series of W in Z . Thus we may use Z to parametrize our formal group.

By using explicit polynomial formulas for the elliptic curve group operation, we can construct two formal power series $F(Z_1, Z_2)$ and $i(Z)$ that correspond to the elliptic curve group operations. For detailed calculations of this procedure, see [8, Chapter IV.1].

Let A be a commutative ring. The collection of formal groups over A forms a category once we define appropriate morphisms.

Definition 2.11. Let F/A and G/A be formal groups. A homomorphism from F to G over A is a power series $f(T)$ in one variable with coefficients in A such that $f(F(X, Y)) = G(f(X), f(Y))$.

Example 5. Let F/A be a formal group, and let m be an integer. We can inductively define a power series $f_m(T)$ by setting

$$f_0(T) = 0 \quad f_{m+1}(T) = F(f_m(T), T) \quad f_{m-1} = F(f_m(T), i(T)).$$

These f_m are formal group endomorphisms of F/A . They are analogous to multiplication by m endomorphisms of abelian groups. In fact, if m is a unit in A , then f_m is a formal group isomorphism. See [8, Proposition IV.2.3].

Definition 2.12. Let E/K_v be an elliptic curve, and let F/K_v be its corresponding formal group law as described in Example 4. Then the group associated to F/K_v , denoted by $\widehat{E}(\mathfrak{m}_v)$, is the group given as follows. The underlying set of $\widehat{E}(\mathfrak{m}_v)$ is \mathfrak{m}_v , the binary operation $\mathfrak{m}_v \times \mathfrak{m}_v \rightarrow \mathfrak{m}_v$ maps (z_1, z_2) to $F(z_1, z_2)$, and the inverse map $\mathfrak{m}_v \rightarrow \mathfrak{m}_v$ takes z to $i(z)$.

Proposition 2.8. Let E/K_v be an elliptic curve given by a minimal equation, and let $\widehat{E}(\mathfrak{m}_v)$ be the group described above. Then $E_1(K_v)$ and $\widehat{E}(\mathfrak{m}_v)$ are isomorphic via the map

$$\widehat{E}(\mathfrak{m}_v) \longrightarrow E_1(K_v) \quad \text{that sends } z \mapsto \left(\frac{z}{w(z)}, -\frac{1}{w(z)} \right).$$

Proof. See [8, Proposition VII.2.2]. □

Lemma 2.2. Let E/K_v be an elliptic curve, and let $\widehat{E}(\mathfrak{m}_v)$ be the group given defined above. Let $p = \text{Char } k_v$. Then every torsion element in $\widehat{E}(\mathfrak{m}_v)$ has order a power of p .

Proof. We only have to prove that there are no nontrivial torsion elements with order relatively prime to p . Let m be a positive integer prime to p . Then m is not in \mathfrak{m}_v , so it is a unit in \mathcal{O}_v . Now multiplication by m is precisely the group endomorphism induced by f_m from Example 5, and because f_m was a formal group isomorphism, multiplication by m is an actual group isomorphism. Thus it has trivial kernel, making $\widehat{E}(\mathfrak{m}_v)[m] = 0$. □

Theorem 2.7. Let E/K_v be an elliptic curve, let m be a positive integer not divisible by $p = \text{Char } k_v$, and suppose \tilde{E}/k_v is smooth. Then the reduction map $E(K_v)[m] \xrightarrow{\pi} \tilde{E}(k_v)[m]$ on m -torsion is injective.

Proof. Since Proposition 2.8 and Lemma 2.2 indicate $E_1(K_v)$ has no nontrivial m -torsion, the m -torsion of $E(K_v)$ trivially intersects $E_1(K_v)$. From here, the short exact sequence provided in Theorem 2.6 indicates the m -torsion of $E(K_v)$ embeds into $\tilde{E}(k_v)[m]$. □

2.3 Galois Cohomology and Kummer Theory

Over an algebraic closure \bar{K} of K , the multiplication map $m : E(\bar{K}) \rightarrow E(\bar{E})$ is surjective. However over a number field K , the solutions to an equation of the form $mQ = P$, for some $P \in E(K)$, lies in $E(\bar{K})$. The essence is to control the field extensions of K generated by Q as P varies over the K -valued points of E . A convenient way to do this is via Galois cohomology.

Let G be a group, and M be a G -module, i.e., an abelian group M with an action of $G : M \times G \rightarrow M$, denoted by $(x, \sigma) \mapsto x^\sigma$. From homological algebra (Here we consult to a nice note written by Zheng Weizhe [9]), we have the cohomology groups $H^i(G, M)$ for $i \geq 0$, satisfying:

- (i) Given a short exact sequence of G -modules:

$$0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$$

there is an associated long exact sequence of cohomology groups:

$$\cdots \rightarrow H^{i-1}(G, M'') \rightarrow H^i(G, M') \rightarrow H^i(G, M) \rightarrow H^i(G, M'') \rightarrow \cdots$$

- (ii) The 0'th cohomology group is $H^0(G, M) = \{x \in M : x^\sigma = x \text{ for all } \sigma \in G\} = G^M$.
- (iii) Explicitly, $H^1(G, M)$ can be described as follows: Let $Z^1(G, M) = \{\xi : G \rightarrow M : \xi(\sigma\tau) = \xi(\sigma)\tau + \xi(\tau), \forall \sigma, \tau \in G\}$, be the groups of cocycles. $B^1(G, M) = \{\xi : G \rightarrow M : \xi(\sigma) = g^\sigma - g, \text{ for some } g \in G\}$, be the group of coboundaries. Then $H^1(G, M) = Z^1(G, M)/B^1(G, M)$.

For example, if G acts trivially on M , then $H^1(G, M) = \text{Hom}(G, M)$.

The absolute Galois group of K is defined as:

$$G_K := \varprojlim_{L|K} \text{Gal}(L|K),$$

given as a projective limit of the finite Galois groups $\text{Gal}(L|K)$, where L runs over all finite Galois extensions of K . If L is a finite Galois extension of K with Galois group $\text{Gal}(L|K)$ we can treat any $\text{Gal}(L|K)$ module M_L as a G_K module. More generally, $M = \cup_L M_L$, where M_L is a $\text{Gal}(L|K)$ -module and L runs over all finite Galois extensions of K contained in \bar{K} and the actions of $\text{Gal}(L|K)$ are compatible, then such M is called a G_K -module. Since taking cohomology is a contravariant functor, for such an M we define the Galois cohomology groups:

$$H^i(G, M) := \varinjlim_{L|K} H^i(\text{Gal}(L|K), M_L),$$

where L runs over all finite Galois extensions of K .

Theorem 2.8 (Hilbert 90). *Consider a Galois extension $L|K$ with corresponding Galois group G . We have $H^1(G, L^\times) = H^1(G, L) = 0$.*

Suppose K is a number field and fix a positive integer m . Let G_K denote the absolute Galois group $\text{Gal}(\bar{K}|K)$. Consider the exact sequence:

$$1 \rightarrow \mu_m \rightarrow \bar{K}^\times \xrightarrow{m} \bar{K}^\times \rightarrow 1.$$

The long exact sequence is:

$$1 \rightarrow \mu_m(K) \rightarrow K^\times \xrightarrow{m} K^\times \rightarrow H^1(G_K, \mu_m) \rightarrow H^1(G_K, \bar{K}^\times) = 0,$$

where $H^1(G_K, \bar{K}^\times) = 0$ by Theorem 2.8.

Assume now that the group μ_m of m 'th roots of unity is contained in K . Using Galois cohomology we obtain a relatively simple classification of all abelian extensions of K with Galois group cyclic of order dividing m . Since the action of G_K on μ_m is trivial, by our hypothesis that $\mu_m \subseteq K$, we see that $H^1(G_K, \mu_m) = \text{Hom}(G_K, \mu_m)$. Thus we obtain an exact sequence:

$$1 \rightarrow \mu_m \rightarrow K^\times \xrightarrow{m} K^\times \xrightarrow{\delta} \text{Hom}(G_K, \mu_m) \rightarrow 1,$$

or equivalently, $K^\times/(K^\times)^m \cong \text{Hom}(G_K, \mu_m)$ with explicit isomorphism

$$\begin{aligned} K^\times/(K^\times)^m &\xrightarrow{\delta} \text{Hom}(G_K, \mu_m) \\ a &\mapsto \left[\sigma \mapsto \frac{(\sqrt[m]{a})^\sigma}{\sqrt[m]{a}} \right] \end{aligned}$$

δ is known as Kummer map. By Galois theory, homomorphisms $\text{Gal}(\bar{K}^\times|K) \rightarrow \mu_m$ correspond to cyclic abelian extensions of K with Galois group a subgroup of the cyclic group μ_m of order m . Through the isomorphism δ , we know that every such extension is of the form $K(\sqrt[m]{a})$ for some $a \in K$.

Moreover, let $K^{(m)}$ denote the maximal m -exponent extension of K inside \bar{K} (by m -exponent extension we mean that the corresponding Galois group is m -exponent). Then Kummer theory shows that there is a 1 : 1 correspondence between the following two sets:

$$\begin{aligned} \{\Sigma \subseteq K^\times/(K^\times)^m \text{ subgroup}\} &\xleftrightarrow{1:1} \{\text{subextension of } K^{(m)}|K\} \\ \Sigma &\mapsto K^\Sigma := K(\sqrt[m]{a}, a \in \Sigma) \\ (L^\times)^m \cap K^\times &\leftrightarrow L|K : K \subseteq L \subseteq \bar{K} \end{aligned}$$

Proposition 2.9. *Let K be a number field, $a \in K^\times$ and $\mathfrak{p} \nmid m$ prime of K . Then $K(a^{1/m})$ over K is unramified at \mathfrak{p} if and only if $m|\text{ord}_\mathfrak{p}(a)$.*

Theorem 2.9. *Let K be a number field and assume $\mu_m \subseteq K$, where m is a positive integer. Let S be a finite set of primes of K containing all primes dividing m . Let L be the maximal m -exponent abelian extension over K contained in \bar{K} unramified outside S , then $[L : K] < \infty$.*

Proof. First we have the exact sequence:

$$1 \rightarrow \mathcal{O}_K^\times / \mathcal{O}_K^{\times m} \rightarrow \{a \in K^\times / K^{\times m} : (a) = \mathfrak{a}^m \text{ for some } \mathfrak{a} \text{ fractional ideal}\} \rightarrow \text{Cl}_K[m] \rightarrow 1$$

second, we define a ring:

$$\mathcal{O}_{K,S} := \{a \in K^\times : \text{ord}_\mathfrak{p}(a\mathcal{O}_K) \geq 0 \text{ all } \mathfrak{p} \notin S\} \cup \{0\}.$$

Notice that $\mathcal{O}_{K,S}$ is just the localization of \mathcal{O}_K by a multiplicative set $\cap_{\mathfrak{p} \notin S} (\mathcal{O}_K - \mathfrak{p})$, thus also a Dedekind domain. Let $K(S, m) = \{a \in K^\times / K^\times : m|\text{ord}_\mathfrak{p}(a) \text{ for all } \mathfrak{p} \notin S\}$ be a subgroup of $K^\times / K^{\times m}$. A little analysis shows that $K(S, m) = \{a \in K^\times / K^{\times m} : a\mathcal{O}_{K,S} = \mathfrak{a}^m \text{ for some } \mathfrak{a} \text{ fractional ideal of } \mathcal{O}_{K,S}\}$. Now similarly consider the following exact sequence:

$$1 \rightarrow \mathcal{O}_{K,S}^\times / \mathcal{O}_{K,S}^{\times m} \rightarrow K(S, m) \rightarrow \text{Cl}_K[m] / \langle [\mathfrak{p}], \mathfrak{p} \in S \rangle \rightarrow 1$$

We claim that $\mathcal{O}_{K,S}^\times$ is a finitely generated abelian group of rank $r_1 + r_2 - 1 + \#S$. Indeed, let $\mathfrak{p}_1, \dots, \mathfrak{p}_n$ be the primes in S . Define a map $\phi : \mathcal{O}_{K,S}^\times \rightarrow \mathbb{Z}^n$ by $\phi(u) = (\text{ord}_{\mathfrak{p}_1}(u), \dots, \text{ord}_{\mathfrak{p}_n}(u))$. We have an exact sequence:

$$1 \rightarrow \mathcal{O}_K^\times \rightarrow \mathcal{O}_{K,S}^\times \xrightarrow{\phi} \mathbb{Z}^n.$$

Let h be the class number of \mathcal{O}_K . For each i there exists $\alpha_i \in \mathcal{O}_K$ such that $\mathfrak{p}_i^h = (\alpha_i)$. But $\alpha_i \in \mathcal{O}_{K,S}^\times$ since $\text{ord}_\mathfrak{p}(\alpha_i) = 0$ for all $\mathfrak{p} \notin S$ (by unique factorization). Then $\phi(\alpha_i) = (0, \dots, 0, h, 0, \dots, 0)$. It follows that $(h\mathbb{Z})^n \subseteq \text{Im}(\phi)$, so the image has finite index in \mathbb{Z}^n . It follows that $\mathcal{O}_{K,S}^\times$ has rank equal to $r_1 + r_2 - 1 + \#S$. Therefore $K(S, m)$ is a finite subgroup of $K^\times / K^{\times m}$ by the exact sequence. Now if L is an m -exponent abelian extension of K unramified outside S , L is generated by all m 'th roots of the elements of $K(S, m)$ by Proposition 2.9, thus finite degree. \square

2.4 Ramification Theory of Galois Extensions

Let K be a number field and denote \mathcal{O}_K to be A . The residue field k of K with respect to \mathfrak{p} is the residue field of $A_\mathfrak{p}$. The completion of K with respect to \mathfrak{p} is the field of fractions of the completion of $A_\mathfrak{p}$ with respect to the unique maximal ideal \mathfrak{p} and is denoted as $K_\mathfrak{p}$.

Proposition 2.10. *Let $L|K$ be a finite Galois extension and $\mathfrak{p} \subseteq \mathcal{O}_K$ be a prime ideal. Then $\text{Gal}(L|K)$ acts transitively on S , the set of primes $\mathfrak{q} \subseteq \mathcal{O}_L$ above \mathfrak{p} .*

Definition 2.13. Using the setup from Proposition (2.10), for $\mathfrak{q} \in S$, the decomposition group of \mathfrak{q} is

$$D_\mathfrak{q}(L|K) = \text{Stab}(\mathfrak{q}) \leq \text{Gal}(L|K).$$

Proposition 2.11. *$D_\mathfrak{q}(L|K)$ is precisely the Galois group of the corresponding extension of completions. That is,*

$$\text{Gal}(L_\mathfrak{q}/K_\mathfrak{p}) = D_\mathfrak{q}(L|K)$$

Proposition 2.12. *With the same setup as above, let k (resp. l) be the residue field of K (resp. L) with respect to \mathfrak{p} (resp. \mathfrak{q}). Via passage to the quotient, the map $\epsilon : D_\mathfrak{q}(L|K) \rightarrow \text{Gal}(l|k)$ is a surjection.*

The inertia subgroup of \mathfrak{q} is defined as $I_\mathfrak{q}(L|K) = \ker(\epsilon)$.

Theorem 2.10. *Using the previous setup, let $G = \text{Gal}(L|K)$, $D = D_\mathfrak{q}(L|K)$, and $I = I_\mathfrak{q}(L|K)$. We have the following picture. Here the columns are field extensions. The Galois group of an extension from the first row to the fourth row is G , second to fourth is D , third to fourth is I , second to third is D/I . The third to fourth row extensions are totally ramified at \mathfrak{q} and all extensions below the fourth row are unramified at \mathfrak{q} .*

$$\begin{array}{ccccccc}
& \mathfrak{q} & \subset & O_L & \subset & L & \longrightarrow L_{\mathfrak{q}} \\
& & & \downarrow I & & \downarrow I & \searrow \\
& & G & \left(\begin{array}{c} D \\ L^I \\ L^D \end{array} \right) & \longrightarrow & L_{\mathfrak{q}}^I & \longrightarrow l \\
& & & \downarrow & & \downarrow & \downarrow D/I \\
& & \mathfrak{p} & \subset & O_K & \subset & K
\end{array}$$

2.5 Proof of the Weak Mordell-Weil Theorem

We begin with a lemma.

Lemma 2.3. Suppose $L|K$ is a finite Galois extension. If $E(L)/mE(L)$ is finite, then $E(K)/mE(K)$ is finite.

Proof. Consider a short exact sequence of $G_L = \text{Gal}(L|K)$ -modules:

$$0 \rightarrow E(L)[m] \rightarrow E(L) \xrightarrow{m} mE(L) \rightarrow 0.$$

Through Galois cohomology, this induces the long exact sequence:

$$0 \rightarrow E(K)[m] \rightarrow E(K) \xrightarrow{m} E(K) \cap mE(L) \xrightarrow{\delta} H^1(G_L, E(L)[m]) \rightarrow \cdots$$

and in particular $H \hookrightarrow H^1(G_L, E(L)[m])$, where $H = \frac{E(K) \cap mE(L)}{mE(K)}$. However, G_L and $E(L)[m]$ are finite so $H^1(G_L, E(L)[m])$ is finite so H is finite. Then it follows from the exact sequence:

$$0 \rightarrow H \rightarrow E(K)/mE(K) \rightarrow E(L)/mE(L)$$

that $E(K)/mE(K)$ is finite. \square

Remark 2.3. From this lemma, by taking L to be the Galois closure of the finite extension $K(E[n])/K$, it suffices to prove the weak Mordell-Weil theorem under the assumption $E[n] \subseteq E(K)$.

Suppose E is an elliptic curve over a number field K , and fix a positive integer m . Just as with number fields, we have an exact sequence:

$$0 \rightarrow E[m] \rightarrow E \xrightarrow{m} E \rightarrow 0.$$

The long exact sequence is:

$$0 \rightarrow E[m](K) \rightarrow E(K) \xrightarrow{m} E(K) \rightarrow H^1(G_K, E[m]) \rightarrow H^1(G_K, E)[m] \rightarrow 0.$$

From this we obtain a short exact sequence:

$$0 \rightarrow E(K)/mE(K) \rightarrow H^1(G_K, E[m]) \rightarrow H^1(G_K, E)[m] \rightarrow 0.$$

By assumption we have that $E[m] \subseteq E(K)$, i.e., all m -torsion points are defined over K . Then $H^1(G_K, E[m]) = \text{Hom}(G_K, (\mathbb{Z}/m\mathbb{Z})^2)$, and the sequence induces an inclusion with explicit homomorphism:

$$\begin{aligned}
E(K)/mE(K) &\hookrightarrow \text{Hom}(G_K, (\mathbb{Z}/m\mathbb{Z})^2) \\
P &\mapsto \left[\sigma \mapsto \left(\frac{P}{m} \right)^{\sigma} - \frac{P}{m} \right]
\end{aligned}$$

Given a point $P \in E(K)$, we obtain a homomorphism $\varphi : G_K \rightarrow (\mathbb{Z}/m\mathbb{Z})^2$, whose kernel defines an abelian extension $L|K$ that has m -exponent (i.e., subextension of $K^{(m)}$ which is the maximal abelian extension of K that has m -exponent). The amazing fact is that L can be ramified at most at the primes of bad reduction for E and the primes that divide m . Thus we can apply Theorem 2.9 to show that L is of finite degree.

Theorem 2.11. *If $P \in E(K)$ is a point, then the field L obtained by adjoining to K all coordinates of all choices of $Q = \frac{1}{m}P$ is unramified outside m and the primes of bad reduction for E .*

Proof. By Theorem 2.7, we have the natural reduction map $\pi : E(K)[m] \rightarrow \tilde{E}(\mathcal{O}_K/\mathfrak{p})$ is injective. As above, $\sigma(Q) - Q \in E(K)[m]$ for all $\sigma \in \text{Gal}(\bar{K}|K)$. Let $I_{\mathfrak{p}} \subseteq \text{Gal}(L|K)$ be the inertia group at \mathfrak{p} . Then by definition of interia group. $I_{\mathfrak{p}}$ acts trivially on $\tilde{E}(\mathcal{O}_K/\mathfrak{p})$. Thus for each $\sigma \in I_{\mathfrak{p}}$ we have

$$\pi(\sigma(Q) - Q) = \sigma(\pi(Q)) - \pi(Q) = \pi(Q) - \pi(Q) = 0.$$

Since π is injective, it follows that $\sigma(Q) = Q$ for $\sigma \in I_{\mathfrak{p}}$, i.e., that Q is fixed under all $I_{\mathfrak{p}}$. This means that the subfield of L generated by the coordinates of Q is unramified at \mathfrak{p} . Repeating this argument with all choices of Q implies that L is unramified at \mathfrak{p} . \square

Finally, we reach our ultimate destination.

Weak Mordell-Weil. We may assume all elements of $E[m]$ have coordinates in K , otherwise consider a finite Galois extension $L|K$ such that $E[m]$ have coordinates in L by Lemma 2.3. Then we have an injective homomorphism

$$E(K)/mE(K) \hookrightarrow \text{Hom}(G_k, (\mathbb{Z}/m\mathbb{Z})^2).$$

By Theorem 2.11, the image consists of homomorphisms whose kernels cut out an abelian extension of K unramified outside m and primes of bad reduction for E . Since this is a finite set of primes, denoted as S , then $L := K^{K(S,m)}$ is finite by Theorem 2.9 (Here we adopt the previous notation). Previous analysis impliles that the homomorphisms all factor through a finite group $\text{Hom}(\text{Gal}(L|K), (\mathbb{Z}/m\mathbb{Z})^2)$. Therefore the image of $E(K)/mE(K)$ is finite, which indicates $E(K)/mE(K)$ is finite. The proof is complete. \square



Figure 2: André Weil 1906-1998

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