

# Notes on Lie Groups

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## 1 Lie groups and Lie algebras

**Remark 1.1.** The space of all vector fields is an  $\infty$ -dimension Lie algebra, which is the Lie algebra of  $\infty$ -dimension Lie group  $\text{Diff}(M) := \{f : M \rightarrow M \mid f \text{ is a diffeomorphism}\}$ .

Let  $X$  be a left-invariant vector field on  $G$ , integral lines/flow lines for  $X$  always exist (First locally exists, then use the property of left-invariance to extend the local solution). Then  $\psi : (\mathbb{R}, +) \rightarrow G$  via  $t \mapsto \psi_t(e)$  is a group homomorphism.

**Example 1.1.** Let  $G = SO(3)$ , then  $\mathfrak{g} = \mathfrak{so}(3)$ , define

$$\varphi_t(e) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos(t) & \sin(t) \\ 0 & -\sin(t) & \cos(t) \end{pmatrix} \quad \text{then } X = \frac{d}{dt} \Big|_{t=0} \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos(t) & \sin(t) \\ 0 & -\sin(t) & \cos(t) \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & -1 & 0 \end{pmatrix} \in \mathfrak{g}$$

**Example 1.2.** Let  $G = GL(n, \mathbb{R}) \subseteq M_{n \times n}(\mathbb{R})$ , then  $T_I GL(n, \mathbb{R}) = M_{n \times n}(\mathbb{R})$ . The 1-parameter group of  $X \in T_I GL(n, \mathbb{R})$  is  $\varphi^X(t) = e^{tX} = \sum_{n=0}^{\infty} \frac{(tX)^n}{n!}$ .

**Remark 1.2.**  $e^X = \sum_{n=0}^{\infty} \frac{X^n}{n!}$  convergent absolutely since  $\|A^n\| \leq \|A\|^n$ . And  $\det(e^A) = e^{\text{tr} A}$ .

**Definition 1.1.**  $X \in \mathfrak{g} = T_e G$ , let  $\varphi^X(t)$  to be the 1-parameter group of  $X$ , define  $e^X := \varphi^X(1)$ , easy to see that  $e^{sX} = \varphi^X(s)$ .

Now consider  $\exp : \mathfrak{g} \rightarrow G$ ,  $d(\exp)_0 : \mathfrak{g} \rightarrow \mathfrak{g}$ , then  $d(\exp)_0(w) = \left. \frac{\partial}{\partial s} \right|_{s=0} \exp(0 + sw) = w$ . Therefore  $d(\exp)_0 = Id_{\mathfrak{g}}$ . The inverse function theorem implies the following important consequence.

**Proposition 1.1.**  $\exp$  is a diffeomorphism of a neighborhood of 0 to a neighborhood of  $e \in G$ .

**Remark 1.3.** There exists a local inverse for  $\exp$ , denoted as “log” on a neighborhood of  $e \in G$ . There exists a (semi)-explicit formula for log: “Baker-Campbell-Hausdorff” theorem

$$\log(e^X \cdot e^Y) = X + Y + \frac{1}{2}[X, Y] + \frac{1}{12}[X, [X, Y]] + \frac{1}{12}[Y, [X, Y]] + \text{higher brackets.}$$

In particular, if  $\mathfrak{g}$  is abelian, then  $\log(e^X \cdot e^Y) = X + Y$ .

**Remark 1.4.** If  $\mathfrak{g}$  is abelian,  $G$  is connected, then  $G$  is abelian, vice versa. ( $\exp$  is a diffeomorphism at 0, there is a neighborhood  $U$  of  $e$  such that  $U$  is abelian, then  $G$  is generated by  $U$  given that  $G$  connected).

If  $\mathfrak{g}$  is abelian,  $G$  connected, then  $\exp : \mathfrak{g} \rightarrow G$  is onto and group homomorphism. Therefore,  $G \cong \mathfrak{g}/\text{Ker}(\exp)$ .  $\text{Ker}(\exp)$  is 0-dimensional and intersects with some neighborhood of 0 is 0. Therefore  $\text{Ker}(\exp)$  is a discrete subgroup of  $(\mathfrak{g}, +)$ , i.e. a lattice  $\cong \mathbb{Z}^r$ , where  $r \leq \dim \mathfrak{g}$ .

As a result, if  $G$  is abelian, then  $G \cong \mathbb{R}^r \times \mathbb{R}^{n-r}/\mathbb{Z}^r \cong T^r \times \mathbb{R}^{n-r}$  for  $0 \leq r \leq n$ . In particular, if  $G$  is compact, then  $G$  is torus.

In general,  $\exp : \mathfrak{g} \rightarrow G$  is not a global diffeomorphism.

**Example 1.3.**  $U(1) = \{z \in \mathbb{C} \mid z\bar{z} = 1\} \cong S^1$  and  $\mathfrak{u}(1) = \{z \in \mathbb{C} \mid z + \bar{z} = 0\} \cong i\mathbb{R}$ . Hence not a global diffeomorphism.

Surjectivity fails in general.

**Example 1.4.**  $\exp : \mathfrak{sl}(2, \mathbb{R}) \rightarrow SL(2, \mathbb{R})$  is not surjective. But  $\exp$  is surjective if  $G$  is compact and connected.

Let  $\psi : G \rightarrow H$  be a smooth Lie group homomorphism, the 1-parameter groups are homomorphisms  $(\mathbb{R}, +) \rightarrow G$ , the image of a 1-parameter group in  $G$  is also a 1-parameter group in  $H$ . And the following diagram commutes

$$\begin{array}{ccc} \mathfrak{g} & \xrightarrow{d\psi_e} & \mathfrak{h} \\ \exp \downarrow & & \downarrow \exp \\ G & \xrightarrow{\psi} & H \end{array}$$

There is a “natural” homomorphism associated to a Lie group  $G$ .  $\forall g \in G$ , define  $c_g : G \rightarrow G$  via  $x \mapsto g \cdot g^{-1}$ . Now  $c_g$  fixes  $e$ , so  $dc_g : \mathfrak{g} \rightarrow \mathfrak{g}$ . Note that  $c_g \circ c_h = c_{g \cdot h}$  so  $c_g \circ c_{g^{-1}} = Id_G$  hence  $dc_g$  is invertible.

$dc_g \circ dc_h = dc_{g \cdot h}$  gives a group homomorphism of Lie groups  $\text{Ad} : G \rightarrow \text{Aut}(\mathfrak{g})$  called adjoint map.

**Remark 1.5.** This is an example of representation of  $G$ .  $\text{Ad} : G \rightarrow \text{Aut}(\mathfrak{g})$ .

**Example 1.5.** If  $G$  is a matrix group,  $\text{Ad}$  is just the conjugation of matrices in  $\mathfrak{g}$ .

$$g(I + h + \dots)g^{-1} = I + ghg^{-1} + \dots$$

- $SO(n) := \{A \in M_{n \times n}(\mathbb{R}) \mid A^T A = I\}$     $\mathfrak{so}(n) := \{h \in M_{n \times n}(\mathbb{R}) \mid h^T + h = 0\}$ . Note that

$$(AhA^{-1})^T = (AhA^T)^T = Ah^T A^T = -AhA^T = -AhA^{-1} \in \mathfrak{so}(n).$$

Differentiate  $\text{Ad} : G \rightarrow \text{Aut}(\mathfrak{g}) = GL(\mathfrak{g})$ , then we have  $\text{ad} : \mathfrak{g} \rightarrow \text{End}(\mathfrak{g})$  ( $\text{ad}$  := all linear maps  $\mathfrak{g} \rightarrow \mathfrak{g}$ ). Calculation:  $\text{Ad}(g) = d(c_g)_e$ . Let  $g = (1 + h + \dots)$ .  $\forall x \in \mathfrak{g}$ ,

$$g \cdot x \cdot g^{-1} = (1 + h + \dots) \cdot x \cdot (1 + h + \dots)^{-1} = (1 + h + \dots) \cdot x \cdot (1 - h + \dots) = 1 + [h, x] + \dots$$

Therefore  $\text{ad}(h) : x \mapsto [h, x]$ . It implies the following commutative diagram

$$\begin{array}{ccc} \mathfrak{g} & \xrightarrow{\text{ad}} & \text{End}(\mathfrak{g}) \\ \exp \downarrow & & \downarrow \exp \\ G & \xrightarrow{\text{Ad}} & \text{Aut}(\mathfrak{g}) \end{array}$$

**Remark 1.6.** Let  $\varphi : G \rightarrow H$  be a Lie group homomorphism, so  $\varphi \circ c_g = c_{\varphi(g)}$ , and  $X\mathfrak{g}, \exp(X) = g \in G$

$$(d\varphi)_e \circ (dc_g)_e = (dc_{\varphi(g)})_e \circ (d\varphi)_e, \quad (d\varphi)_e \circ \text{Ad}(g) = \text{Ad}(\varphi(g)) \circ (d\varphi)_e.$$

Differentiate at  $g$ , then we have

$$(d\varphi)_e \circ \text{ad}(X) = \text{ad}((d\varphi)_e X) \circ (d\varphi)_e.$$

Apply to  $Y \in \mathfrak{g}$  and  $(d\varphi)_e[X, Y] = [(d\varphi)_e(X), (d\varphi)_e(Y)]$ .

**Remark 1.7.** If  $G$  is abelian, then  $c_g$  is trivial. therefore  $\text{Ad}(g) = Id \in \text{Aut}(\mathfrak{g})$ , i.e.  $\text{Ad} : G \rightarrow \text{Aut}(\mathfrak{g})$ . This implies  $\text{ad} \equiv 0$ , i.e.  $\mathfrak{g}$  is abelian. Recall that if  $\mathfrak{g}$  is abelian, then  $G_0$  (the identity component) is abelian.

**Example 1.6.** Let  $V_n$  be the space of homogeneous polynomials with degree  $n$  in  $z_1, z_2$ .  $SU(2)$  has a standard representation on  $V_n$ . Since the conjugate of the torus  $\Pi$  cover  $SU(2)$ , we calculate the character of the element in the torus.

$$\chi \Big|_{\pi=\left(\begin{smallmatrix} e^{i\theta} & 0 \\ 0 & e^{-i\theta} \end{smallmatrix}\right)} = \sum_{k=0}^n e^{i(n-2k)\theta} = e^{in\theta} + e^{i(n-2)\theta} + \dots + e^{-in\theta}.$$

**Remark 1.8** (Clebsch–Gordan formular).

$$V_k \otimes V_l = V_{k+l} \oplus V_{k+l-2} \oplus \cdots \oplus V_{k-l}, \quad k \geq l.$$

For proof, via character.

**Example 1.7** (Motivating idea from finite groups). For a finite group  $G$ , let  $V_i$  be its irreducible representations

$$\mathbb{C}G = \bigoplus_{i=1}^r (\dim V_i) V_i \left( = \bigoplus_{i=1}^r V_i \otimes V_i^* \text{ as a } G \times G - \text{module} \right)$$

**Theorem 1.1** (Peter–Weyl theorem). *Let  $G$  be a compact Lie group*

$$L^2(G) \cong \bigoplus_{V_i: \text{irred}} (\dim V_i) V_i \left( = V_i \otimes V_i^* \text{ as a } G \times G - \text{representation} \right)$$

**Remark 1.9.** The representation  $g \mapsto \langle g \cdot v_i, v_j \rangle$  arise on the RHS as matrix entries of the irreducible representation  $\varphi : G \rightarrow \text{End}(V_i)$ . These generate a dense space of  $L^2(G)$ . In fact, the proof of Peter–Weyl tells these generate a dense subspace of

$$\mathcal{C}(G) = \{\text{continuous functions: } G \rightarrow \mathbb{C}\}$$

in the supreme norm.

**Corollary 1.1.** *Every compact Lie group is a matrix group, i.e.  $G \hookrightarrow GL(n, \mathbb{C})$  for some  $n$ , it means that  $G$  has a finite faithful representation.*

*Proof.* We use the density of the representation functions in  $\mathcal{C}(G)$ . Let  $g \neq 1$ : this acn be separated from 1 by a function in  $\mathcal{C}(G)$ , i.e.  $\exists f \in \mathcal{C}(G)$ , such that  $f(g) \neq f(1)$ . By the density, we can actually finid a representation function  $f$  doing this. Let  $K_1$  be the kernel of the associated representation  $\varphi : G \rightarrow \text{End}(V_1)$ . If  $K_1 = \{1\}$ , we are done, since  $V_1$  is our faithful representation. If not, pick  $g_2 \neq 1 \in K_1$  and repeat argument, get new representation  $K_2$ . Now  $V_1 \oplus V_2$  has kernel  $K_1 \cap K_2 \subsetneq K_1$ . Repeat this process and we get a strictly descending chain  $K_1 \supseteq K_1 \cap K_2 \supseteq \dots$  of embedded Lie subgroups of  $G$ . So each is compact thus has finitely many components. At each stage, either the dimension or the number of components drops. This process must terminate as  $\dim G < \infty$  and  $\#\{\text{components}\} < \infty$ . Therefore, we get a faithful representation.  $\square$

**Remark 1.10.** In fact, the statement of the corollary is equivalent to the density of the representation functions in  $\mathcal{C}(G)$ , via Stone–Weierstrass theorem.

Back to finite groups. The character  $\chi_{\text{reg}}$  of the regular representation is supported at identity.

$$\chi_{\text{reg}}(e) = |G|, \quad \chi_{\text{reg}}(g) = 0 \text{ if } g \neq e.$$

Therefore,  $\langle \chi_{V_i}, \chi_{\text{reg}} \rangle = \frac{1}{|G|} \sum_{g \in G} \chi_{V_i}(g) \chi_{\text{reg}}(g) = \chi_{V_i}(e) = \dim V_i$ . Notice that it give a decomposition of  $\mathbb{C}G$ . In a sense, this too generalizes to compact Lie groups.

**Example 1.8.** Let  $G = S^1$ , by Peter–Weyl theorem, we have a decompostion:

$$L^2(S^1) \cong \bigoplus_{n \in \mathbb{Z}} U_n, \quad S^1 \curvearrowright U_n \text{ by } e^{in\theta}.$$

It is a classical result by Weierstrass that the triangular polynomials are dense in  $L^2(S^1)$ . Then we take  $\chi_{\text{reg}}(e^{i\theta}) = \sum_{n \in \mathbb{Z}} e^{in\theta}$ , which is not convergent in the general sense. But we can view this as a distribution, i.e. gadget acting on funcitons to scalars.

$$\begin{aligned} \chi_{\text{reg}} : f(\theta) &\mapsto \int_{S^1} f(\theta) \sum_{n=-\infty}^{\infty} e^{in\theta} d\theta \\ &= \sum_{n \in \mathbb{Z}} n\text{'th Fourier coefficients of } f \\ &= f(0) \end{aligned}$$

So  $\chi_{\text{reg}}$  is Dirac delta function at  $\theta = 0$ , i.e.  $e^{i0} = 1 \in S^1$ .