

# Concurrent Systems Operating Systems

3D4 ← → CS2016

*Andrew Butterfield*  
*ORI.G39, [Andrew.Butterfield@scss.tcd.ie](mailto:Andrew.Butterfield@scss.tcd.ie)*



**Trinity College Dublin**  
Coláiste na Tríonóide, Baile Átha Cliath  
The University of Dublin

*with thanks to Mike Brady*

# Practical 2

- Advance notice of Practical 2 release on BB, discussed in Class
- Code illustrating Producer-Consumer also on BB
  - link to Oracle web page about condition variables and mutex with documented code snippets
  - Example program code that uses the Oracle snippets



# Abstraction Layers

- We can consider concurrency at various levels of abstraction:
  - externally visible concurrent "behaviours"
  - identifiable threads of execution with "atomic" behaviours
  - thread library API implementation (e.g., pthreads)
    - creating/ending threads, using mutexes and condition variables, signalling
  - implementation of the thread library
    - how threads are represented and scheduled, implementation of mutexes and condition variables
  - C/assembly low-level code
  - Hardware support for concurrency
    - interrupts, traps, **atomic** test-and-set (TAS) or compare-and-swap (CAS) instructions



We are  
hereabouts  
right now



# Upwards...

- We are now going to move up in the abstraction order
  - Look at the "user" view, i.e. focus more on externally visible behaviour
  - If we can come up with a good high-level plan:
    - we can analyse it for obvious pitfalls
    - we have a plan for how we setup and orchestrate the various threads.



# Important high level properties

- Things we want to be true for concurrent systems:
  - Safety
    - bad things NEVER happen, or, Safety Properties ("Invariants") are ALWAYS true
  - Liveness
    - important things will ALWAYS EVENTUALLY happen
  - Fairness
    - no work that is ready to run will be overlooked indefinitely



# Situations worth avoiding

- Deadlock
  - the system should not "freeze up" due to resource access bugs
- Starvation
  - no work ready to go should be left waiting indefinitely
- Livelock
  - no process or thread should enter an infinite loop where it keeps computing but never produces any visible effect



# The Challenge of Concurrency

- Conventional testing and debugging is not generally useful.
  - We assume that the sequential parts of concurrent programs are correctly developed.
- Beyond normal sequential-type bugs, there is a whole range of problems caused by errors in communication and synchronisation. They can not easily be reproduced and corrected.
- So, we are going to use notions, algorithms and formalisms to help us design concurrent programs that are correct by design.



# Sequential Process

- A *sequential process* is the execution of a sequence of *atomic statements*.
  - E.g. Process P could consist of the execution of  $P_1, P_2, P_3, \dots$ .
  - Process Q could be  $Q_1, Q_2, Q_3, \dots$ .
- We think of each sequential process having its own separate PC and being a distinct entity.





# Concurrent Execution

- A concurrent system is modelled as a collection of sequential processes, where the atomic statements of the sequential processes can be arbitrarily *interleaved*, but respecting the sequentiality of the atomic statements within their sequential processes.
- E.g. say P is  $P_1, P_2$  and Q is  $Q_1, Q_2$ .



# Scenarios for P and Q.

$p1 \rightarrow q1 \rightarrow p2 \rightarrow q2$
$p1 \rightarrow q1 \rightarrow q2 \rightarrow p2$
$p1 \rightarrow p2 \rightarrow q1 \rightarrow q2$
$q1 \rightarrow p1 \rightarrow q2 \rightarrow p2$
$q1 \rightarrow p1 \rightarrow p2 \rightarrow q2$
$q1 \rightarrow q2 \rightarrow p1 \rightarrow p2$



# Notation

Trivial Concurrent Program (Title)	
integer n $\leftarrow$ 0 (Globals)	
p (Process Name)	q
integer k1 $\leftarrow$ 1 (Locals)	integer k2 $\leftarrow$ 2
p1: n $\leftarrow$ k1 (Atomic Statements)	q1: n $\leftarrow$ k2



# Sample Sequential Program

## Trivial Sequential Program

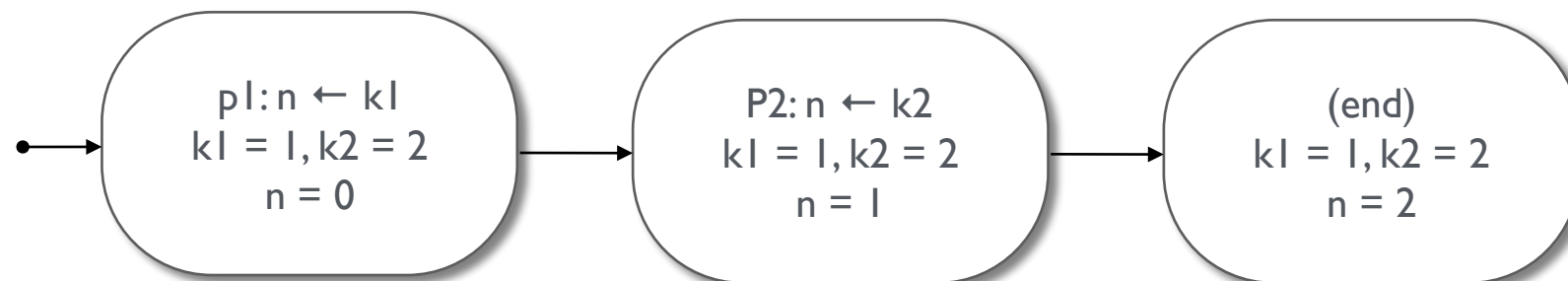
integer  $n \leftarrow 0$

integer  $k1 \leftarrow 1$

integer  $k2 \leftarrow 2$

p1:  $n \leftarrow k1$

p2:  $n \leftarrow k2$



# Trivial Concurrent Program

