

## MA2C03: TUTORIAL 17 PROBLEMS COUNTABILITY OF SETS

For each of the following sets, determine whether it is finite, countably infinite, or uncountably infinite. Justify your answer.

- 1)  $\{a^p \mid p \in \mathbb{N} \text{ and } a = e^{q\pi i} \text{ for } q \in \mathbb{Q}\}$
- 2)  $\{a^p \mid p \in \mathbb{N} \text{ and } a = e^{q\pi i} \text{ for } q \in \mathbb{R} \setminus \mathbb{Q}\}$
- 3)  $\{(x, y, z) \in \mathbb{N}^3 \mid x^2 + y^2 = z^2 \text{ and } x, y, z \in \mathbb{N}^*\}$ , the Pythagorean triplets that give the lengths of the legs and the hypotenuse of a right triangle.
- 4)  $\bigcup_{q \in \mathbb{Q}} L_q$  where  $L_q = \{(x, y) \in \mathbb{R}^2 \mid x = q\}$ .
- 5)  $\{(x, y) \in \mathbb{R}^2 \mid y = x^2\}$
- 6)  $\mathcal{P}(J_n) \times \mathcal{P}(\mathbb{N})$ , where  $J_n = \{1, \dots, n\}$  and  $\mathcal{P}(A)$  is the power set of a set  $A$ .
- 7)  $\mathbb{R}^n$  for  $n \geq 1$ .
- 8) Prove that the language generated by a regular expression is countable. Give an example of a regular expression that generates a finite language and another example of a regular expression that generates a countably infinite language. Justify your answers.

**Solution:** 1)  $\{a^p \mid p \in \mathbb{N} \text{ and } a = e^{q\pi i} \text{ for } q \in \mathbb{Q}\}$  is a finite set. Let  $q = \frac{r}{s}$  for  $r, s \in \mathbb{Z}$ ,  $s \neq 0$ ,  $(r, s) = 1$ . Therefore,  $a^p = e^{\frac{pr\pi i}{s}}$ , which assumes one of  $s$  values  $e^{\frac{\pi i}{s}}, e^{\frac{2\pi i}{s}}, \dots, e^{\frac{(s-1)\pi i}{s}}, e^{\frac{s\pi i}{s}}$  depending upon the value of  $p$ . We conclude that our set is finite

$$\{a^p \mid p \in \mathbb{N} \text{ and } a = e^{q\pi i} \text{ for } q \in \mathbb{Q}\} = \left\{ e^{\frac{\pi i}{s}}, e^{\frac{2\pi i}{s}}, \dots, e^{\frac{(s-1)\pi i}{s}}, e^{\frac{s\pi i}{s}} \right\}.$$

2)  $A = \{a^p \mid p \in \mathbb{N} \text{ and } a = e^{q\pi i} \text{ for } q \in \mathbb{R} \setminus \mathbb{Q}\}$  is countably infinite. Since  $q \in \mathbb{R} \setminus \mathbb{Q}$ ,  $a^{p_1} \neq a^{p_2}$  if  $p_1 \neq p_2$ , so the map  $f : \mathbb{N} \rightarrow A$  given by  $f(p) = a^p$  is a bijection. Therefore,

$$A = \{a^p \mid p \in \mathbb{N} \text{ and } a = e^{q\pi i} \text{ for } q \in \mathbb{R} \setminus \mathbb{Q}\} \sim \mathbb{N}.$$

3)  $\{(x, y, z) \in \mathbb{N}^3 \mid x^2 + y^2 = z^2 \text{ and } x, y, z \in \mathbb{N}^*\} \subset \mathbb{N}^3$ , and we know from class that  $\mathbb{N}^3$  is countably infinite. Therefore, our set can be finite or countably infinite. We remark that

$$(3, 4, 5) \in \{(x, y, z) \in \mathbb{N}^3 \mid x^2 + y^2 = z^2 \text{ and } x, y, z \in \mathbb{N}^*\}$$

as  $3^2 + 4^2 = 9 + 16 = 25 = 5^2$ . Furthermore,

$$(3p, 4p, 5p) \in \{(x, y, z) \in \mathbb{N}^3 \mid x^2 + y^2 = z^2 \text{ and } x, y, z \in \mathbb{N}^*\}$$

for every  $p \in \mathbb{N}^*$  as  $3^2 p^2 + 4^2 p^2 = 9p^2 + 16p^2 = 25p^2$ . Since  $\mathbb{N}^* \sim \mathbb{N}$  is countably infinite, our set must likewise be countably infinite.

4)  $L_q = \{(x, y) \in \mathbb{R}^2 \mid x = q\} = \{q\} \times \mathbb{R} \sim \mathbb{R}$ . Therefore,  $\bigcup_{q \in \mathbb{Q}} L_q$

is a union of disjoint uncountably infinite sets, so it must itself be uncountably infinite.

5) Consider the subset  $A$  of  $\{(x, y) \in \mathbb{R}^2 \mid y = x^2\}$  given by

$$A = \{(x, y) \in \mathbb{R}^2 \mid y = x^2\} \cap [(0, 1) \times \mathbb{R}].$$

The function  $f(x) = x^2 = y$  is a bijection on  $(0, 1)$  (easy to check). Therefore,  $\{(x, y) \in \mathbb{R}^2 \mid y = x^2\} \cap [(0, 1) \times \mathbb{R}] \sim (0, 1)$ , so the set  $A$  is uncountably infinite as we proved in class that  $(0, 1)$  was uncountably infinite. Since  $A \subset \{(x, y) \in \mathbb{R}^2 \mid y = x^2\}$ , the set  $\{(x, y) \in \mathbb{R}^2 \mid y = x^2\}$  must itself be uncountably infinite. Note that we have employed here a very standard technique for showing a set is uncountably infinite. It suffices to show it has an uncountably infinite subset.

6) We proved in Michaelmas term that the number of elements of a set with  $n$  elements is  $2^n$ , so  $\mathcal{P}(J_n)$  is a finite set with  $2^n$  elements. By contrast, we proved in class that  $\mathcal{P}(\mathbb{N})$  is uncountably infinite. The set consisting of the empty set belongs to the power set of any set, so  $\{\emptyset\} \in \mathcal{P}(J_n)$ . Therefore,  $\{\emptyset\} \times \mathcal{P}(\mathbb{N}) \subset \mathcal{P}(J_n) \times \mathcal{P}(\mathbb{N})$ , but  $\{\emptyset\} \times \mathcal{P}(\mathbb{N}) \sim \mathcal{P}(\mathbb{N})$ . We conclude that  $\mathcal{P}(J_n) \times \mathcal{P}(\mathbb{N})$  has an uncountably infinite subset, so it itself must be uncountably infinite.

7) For  $n = 1$ , we have already shown in class that  $\mathbb{R}^1 = \mathbb{R}$  was uncountably infinite. Now for  $n \geq 2$  consider

$$\mathbb{R}^n = \{(x_1, \dots, x_n) \mid x_i \in \mathbb{R} \forall i\}.$$

The set

$$\mathbb{R} \times \{0\} \cdots \{0\} = \{(x_1, 0, \dots, 0) \mid x_1 \in \mathbb{R}\} \subset \mathbb{R}^n,$$

but  $\mathbb{R} \times \{0\} \cdots \{0\} \sim \mathbb{R}$ , which is uncountably infinite. Therefore,  $\mathbb{R}^n$  has an uncountably infinite subset, which means it must itself be uncountably infinite.

8) By definition, a set is countable, if it is finite or countably infinite. A regular expression is built up from  $\emptyset$ ,  $\epsilon$ , and the letters of the alphabet  $A$  via the Kleene star  $*$ , concatenation, and union. The Kleene star makes a countably infinite set out of a finite one. Concatenation gives a set whose size matches the size of the biggest set in the concatenation. In other words, the concatenation of strings from two finite sets

will yield a finite set. The concatenation of strings from a finite set with a countably infinite set will yield a countably infinite set, whereas the concatenation of strings from two countably infinite sets yields a countably infinite set. Union behaves just like concatenation. Therefore, from a finite set via the Kleene star, union, and concatenation, we can only obtain a finite set or a countably infinite set. This concludes our proof. To give the required examples, let us consider the binary alphabet  $A = \{0, 1\}$ . The regular expression  $\{01\} \cup \{11\}$  yields a regular language with two elements, whereas the regular expression  $0^* \cup 1^*$  gives the regular language consisting of all strings of just 0's and all strings of just 1's, which is countably infinite as the sequence of strings  $\epsilon, 0, 00, 000$ , etc. is inside this language.