

Hint-based unification for STLC

Wojciech Kołowski

Terms, contexts and judgements

Terms:

$e ::=$

$$\begin{aligned} & x \mid (e : H) \mid \\ & \lambda x. e \mid e_1 e_2 \mid \\ & (e_1, e_2) \mid \text{outl } e \mid \text{outr } e \mid \\ & \text{inl } e \mid \text{inr } e \mid \text{case } e \text{ of } (e_1, e_2) \mid \\ & \text{unit} \mid \text{exfalso } e \end{aligned}$$

Contexts:

$\Gamma ::= \cdot \mid \Gamma, x : H$

Judgements:

$\Gamma \vdash e \Leftarrow H \Rightarrow H' \dashv \Gamma'$ – in context Γ , term e checks with hint H and infers hint H' in output context Γ'

Output contexts – basic rules

$$\frac{}{\Gamma_1, x : A, \Gamma_2 \vdash x \Leftarrow B \Rightarrow A \sqcup B \dashv \Gamma_1, x : A \sqcup B, \Gamma_2} \text{VAR}$$

$$\frac{\Gamma \vdash e \Leftarrow A \sqcup B \Rightarrow C \dashv \Gamma'}{\Gamma \vdash (e : A) \Leftarrow B \Rightarrow C \dashv \Gamma'} \text{ANNOT}$$

$$\frac{\Gamma \vdash e \Leftarrow \text{hint}(e) \Rightarrow A \dashv \Gamma' \quad e \text{ constructor}}{\Gamma \vdash e \Leftarrow ? \Rightarrow A \dashv \Gamma'} \text{HOLE}$$

Output contexts – type-directed rules

$$\frac{\Gamma, x : A \vdash e \Leftarrow B \Rightarrow B' \dashv \Gamma', x : A'}{\Gamma \vdash \lambda x. e \Leftarrow A \rightarrow B \Rightarrow A' \rightarrow B' \dashv \Gamma'}$$

$$\frac{\Gamma \vdash f \Leftarrow ? \rightarrow B \Rightarrow A \rightarrow B' \dashv \Gamma' \quad \Gamma' \vdash a \Leftarrow A \Rightarrow A' \dashv \Gamma''}{\Gamma \vdash f \ a \Leftarrow B \Rightarrow B' \dashv \Gamma''}$$

$$\frac{\Gamma \vdash a \Leftarrow A \Rightarrow A' \dashv \Gamma' \quad \Gamma' \vdash b \Leftarrow B \Rightarrow B' \dashv \Gamma''}{\Gamma \vdash (a, b) \Leftarrow A \times B \Rightarrow A' \times B' \dashv \Gamma''}$$

$$\frac{\Gamma \vdash e \Leftarrow A \times ? \Rightarrow A' \times B \dashv \Gamma'}{\Gamma \vdash \text{outl } e \Leftarrow A \Rightarrow A' \dashv \Gamma'} \quad \frac{\Gamma \vdash e \Leftarrow ? \times B \Rightarrow A \times B' \dashv \Gamma'}{\Gamma \vdash \text{outr } e \Leftarrow B \Rightarrow B' \dashv \Gamma'}$$

Output contexts – type-directed rules

$$\frac{\Gamma \vdash e \Leftarrow A \Rightarrow A' \dashv \Gamma'}{\Gamma \vdash \text{inl } e \Leftarrow A + B \Rightarrow A' + B \dashv \Gamma'}$$

$$\frac{\Gamma \vdash e \Leftarrow B \Rightarrow B' \dashv \Gamma'}{\Gamma \vdash \text{inr } e \Leftarrow A + B \Rightarrow A + B' \dashv \Gamma'}$$

$$\frac{\Gamma \vdash e \Leftarrow ? + ? \Rightarrow A + B \dashv \Gamma_1 \quad \frac{\Gamma_1 \vdash f \Leftarrow A \rightarrow C_1 \Rightarrow A' \rightarrow C_2 \dashv \Gamma_2 \quad \Gamma_2 \vdash g \Leftarrow B \rightarrow C_2 \Rightarrow B' \rightarrow C_3 \dashv \Gamma_3}{\Gamma \vdash \text{case } e \text{ of } (f, g) \Leftarrow C_1 \Rightarrow C_3 \dashv \Gamma_3}}$$

$$\frac{}{\Gamma \vdash \text{unit} \Leftarrow \mathbf{1} \Rightarrow \mathbf{1} \dashv \Gamma} \quad \frac{\Gamma \vdash e \Leftarrow \mathbf{0} \Rightarrow \mathbf{0} \dashv \Gamma'}{\Gamma \vdash \text{exfalso } e \Leftarrow A \Rightarrow A \dashv \Gamma'}$$

Order on contexts

$$\overline{\cdot \sqsubseteq \cdot}$$

$$\frac{\Gamma_1 \sqsubseteq \Gamma_2 \quad A \sqsubseteq B}{\Gamma_1, x : A \sqsubseteq \Gamma_2, x : B}$$

Order on contexts – properties

\sqsubseteq is a partial order, whose structure is inherited from the order on hints.

- Reflexivity: $\Gamma \sqsubseteq \Gamma$
- Transitivity: $\Gamma_1 \sqsubseteq \Gamma_2 \implies \Gamma_2 \sqsubseteq \Gamma_3 \implies \Gamma_1 \sqsubseteq \Gamma_3$
- Weak antisymmetry: $\Gamma_1 \sqsubseteq \Gamma_2 \implies \Gamma_2 \sqsubseteq \Gamma_1 \implies \Gamma_1 = \Gamma_2$

Metatheory

If $\Gamma \vdash e \Leftarrow A \Rightarrow B \dashv \Gamma'$, then:

- (Information increase) $\Gamma \sqsubseteq \Gamma'$ (proof: induction)
- (Information increase) $A \sqsubseteq B$ (proof: induction)
- (Context squeeze) If $\Gamma \sqsubseteq \Delta \sqsubseteq \Gamma'$, then $\Delta \vdash e \Leftarrow A \Rightarrow B \dashv \Gamma'$ (proof: induction)
- (Hint squeeze) If $A \sqsubseteq A'$ and $A' \sqsubseteq B$, then $\Gamma \vdash e \Leftarrow A' \Rightarrow B \dashv \Gamma'$ (proof: induction)

Metatheory – decidability and determinism

- (Decidability) For Γ, e, A it is decidable whether there exist B and Γ' such that $\Gamma \vdash e \Leftarrow A \Rightarrow B \dashv \Gamma'$ (proof: the rules are literally the algorithm)
- (Determinism) If $\Gamma \vdash e \Leftarrow A \Rightarrow B_1 \dashv \Gamma_1$ and $\Gamma \vdash e \Leftarrow A \Rightarrow B_2 \dashv \Gamma_2$, then $B_1 = B_2$ and $\Gamma_1 = \Gamma_2$ (proof: induction)

Metatheory – soundness

(Soundness) If $\Gamma \vdash e \Leftarrow A \Rightarrow B \dashv \Gamma'$, B is a type and Γ' only contains types, then $\Gamma' \vdash e : B$.

Output contexts – abridged basic rules

$$\frac{}{\Gamma_1, x : A, \Gamma_2 \vdash x \Leftarrow B \Rightarrow A \sqcup B \dashv \Gamma_1, x : A \sqcup B, \Gamma_2} \text{VAR}$$

$$\frac{e \Leftarrow A \sqcup B \Rightarrow C}{(e : A) \Leftarrow B \Rightarrow C} \text{ANNOT}$$

$$\frac{e \Leftarrow \text{hint}(e) \Rightarrow A \quad e \text{ constructor}}{e \Leftarrow ? \Rightarrow A} \text{HOLE}$$

Output contexts – abridged type-directed rules

$$\frac{\Gamma, x : A \vdash e \Leftarrow B \Rightarrow B' \dashv \Gamma', x : A'}{\Gamma \vdash \lambda x. e \Leftarrow A \rightarrow B \Rightarrow A' \rightarrow B' \dashv \Gamma'}$$

$$\frac{f \Leftarrow ? \rightarrow B \Rightarrow A \rightarrow B' \quad a \Leftarrow A \Rightarrow A'}{f\ a \Leftarrow B \Rightarrow B'}$$

$$\frac{a \Leftarrow A \Rightarrow A' \quad b \Leftarrow B \Rightarrow B'}{(a, b) \Leftarrow A \times B \Rightarrow A' \times B'}$$

$$\frac{e \Leftarrow A \times ? \Rightarrow A' \times B}{\text{outl } e \Leftarrow A \Rightarrow A'} \quad \frac{e \Leftarrow ? \times B \Rightarrow A \times B'}{\text{outr } e \Leftarrow B \Rightarrow B'}$$

Output contexts – abridged type-directed rules

$$\frac{e \Leftarrow A \Rightarrow A'}{\text{inl } e \Leftarrow A + B \Rightarrow A' + B}$$

$$\frac{e \Leftarrow B \Rightarrow B'}{\text{inr } e \Leftarrow A + B \Rightarrow A + B'}$$

$$\frac{e \Leftarrow ? + ? \Rightarrow A + B \quad \begin{array}{c} f \Leftarrow A \rightarrow C_1 \Rightarrow A' \rightarrow C_2 \\ g \Leftarrow B \rightarrow C_2 \Rightarrow B' \rightarrow C_3 \end{array}}{\text{case } e \text{ of } (f, g) \Leftarrow C_1 \Rightarrow C_3}$$

$$\frac{}{\text{unit} \Leftarrow 1 \Rightarrow 1}$$

$$\frac{e \Leftarrow 0 \Rightarrow 0}{\text{exfalso } e \Leftarrow A \Rightarrow A}$$

Types, holes, terms and contexts

Holes:

$$H ::= \alpha \mid H_1 \rightarrow H_2 \mid H_1 \times H_2 \mid H_1 + H_2 \mid \mathbf{1} \mid \mathbf{0}$$

Terms:

$e ::=$

$$\begin{aligned} & x \mid (e : H) \mid \\ & \lambda x. e \mid e_1 e_2 \mid \\ & (e_1, e_2) \mid \text{outl } e \mid \text{outr } e \mid \\ & \text{inl } e \mid \text{inr } e \mid \text{case } e \text{ of } (e_1, e_2) \mid \\ & \text{unit} \mid \text{exfalso } e \end{aligned}$$

Note: the terms are the same as in STLC with Hints.

Contexts and judgements

Contexts:

$$\Gamma ::= \cdot \mid \Gamma, x : A \mid \Gamma, \alpha \mid \Gamma, \alpha := H$$

We introduce unification variables, denoted with Greek letter ($\alpha, \beta, \gamma, \dots$). When extending the context with a unification variable, it is set to some hint, which at the beginning will be just ?.

Judgements:

$\Gamma \vdash e \xleftarrow{H} H' \dashv \Gamma'$ – in context Γ , term e checks with hint H and infers hint H' in output context Γ'

Unification – basic rules

$$\frac{}{\Gamma_1, x : A, \Gamma_2 \vdash x \Leftarrow B \Rightarrow A \sqcup B \dashv \Gamma_1, x : A \sqcup B, \Gamma_2} \text{VAR}$$

$$\frac{\Gamma \vdash e \Leftarrow A \sqcup B \Rightarrow C \dashv \Gamma'}{\Gamma \vdash (e : A) \Leftarrow B \Rightarrow C \dashv \Gamma'} \text{ANNOT}$$

$$\frac{\Gamma \vdash e \Leftarrow \text{hint}(e) \Rightarrow A \dashv \Gamma' \quad e \text{ constructor}}{\Gamma \vdash e \Leftarrow ? \Rightarrow A \dashv \Gamma'} \text{HOLE}$$

Unification – type-directed rules

$$\frac{\Gamma, x : A \vdash e \Leftarrow B \Rightarrow B' \dashv \Gamma', x : A'}{\Gamma \vdash \lambda x. e \Leftarrow A \rightarrow B \Rightarrow A' \rightarrow B' \dashv \Gamma'}$$

$$\frac{\Gamma \vdash f \Leftarrow ? \rightarrow B \Rightarrow A \rightarrow B' \dashv \Gamma' \quad \Gamma' \vdash a \Leftarrow A \Rightarrow A' \dashv \Gamma''}{\Gamma \vdash f \ a \Leftarrow B \Rightarrow B' \dashv \Gamma''}$$

$$\frac{\Gamma \vdash a \Leftarrow A \Rightarrow A' \dashv \Gamma' \quad \Gamma' \vdash b \Leftarrow B \Rightarrow B' \dashv \Gamma''}{\Gamma \vdash (a, b) \Leftarrow A \times B \Rightarrow A' \times B' \dashv \Gamma''}$$

$$\frac{\Gamma \vdash e \Leftarrow A \times ? \Rightarrow A' \times B \dashv \Gamma'}{\Gamma \vdash \text{outl } e \Leftarrow A \Rightarrow A' \dashv \Gamma'} \quad \frac{\Gamma \vdash e \Leftarrow ? \times B \Rightarrow A \times B' \dashv \Gamma'}{\Gamma \vdash \text{outr } e \Leftarrow B \Rightarrow B' \dashv \Gamma'}$$

Unification – type-directed rules

$$\frac{\Gamma \vdash e \Leftarrow A \Rightarrow A' \dashv \Gamma'}{\Gamma \vdash \text{inl } e \Leftarrow A + B \Rightarrow A' + B \dashv \Gamma'}$$

$$\frac{\Gamma \vdash e \Leftarrow B \Rightarrow B' \dashv \Gamma'}{\Gamma \vdash \text{inr } e \Leftarrow A + B \Rightarrow A + B' \dashv \Gamma'}$$

$$\frac{\Gamma \vdash e \Leftarrow ? + ? \Rightarrow A + B \dashv \Gamma_1 \quad \begin{array}{c} \Gamma_1 \vdash f \Leftarrow A \rightarrow C_1 \Rightarrow A' \rightarrow C_2 \dashv \Gamma_2 \\ \Gamma_2 \vdash g \Leftarrow B \rightarrow C_2 \Rightarrow B' \rightarrow C_3 \dashv \Gamma_3 \end{array}}{\Gamma \vdash \text{case } e \text{ of } (f, g) \Leftarrow C_1 \Rightarrow C_3 \dashv \Gamma_3}$$

$$\frac{}{\Gamma \vdash \text{unit} \Leftarrow \mathbf{1} \Rightarrow \mathbf{1} \dashv \Gamma} \quad \frac{\Gamma \vdash e \Leftarrow \mathbf{0} \Rightarrow \mathbf{0} \dashv \Gamma'}{\Gamma \vdash \text{exfalso } e \Leftarrow A \Rightarrow A \dashv \Gamma'}$$