

# Hint-based unification for STLC

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# Terms, contexts and judgements

Terms:

$e ::=$

$$\begin{aligned} & x \mid (e : H) \mid \\ & \lambda x. e \mid e_1 e_2 \mid \\ & (e_1, e_2) \mid \text{outl } e \mid \text{outr } e \mid \\ & \text{inl } e \mid \text{inr } e \mid \text{case } e \text{ of } (e_1, e_2) \mid \\ & \text{unit} \mid \text{elim}_0 e \end{aligned}$$

Contexts:

$\Gamma ::= \cdot \mid \Gamma, x : H$

Judgements:

$\Gamma \vdash e \xleftarrow{H} H' \dashv \Gamma'$  – in context  $\Gamma$ , term  $e$  checks with hint  $H$  and infers hint  $H'$  in output context  $\Gamma'$

# Output contexts – basic rules

$$\frac{}{\Gamma_1, x : A, \Gamma_2 \vdash x \Leftarrow B \Rightarrow A \sqcup B \dashv \Gamma_1, x : A \sqcup B, \Gamma_2} \text{VAR}$$

$$\frac{\Gamma \vdash e \Leftarrow A \sqcup B \Rightarrow C \dashv \Gamma'}{\Gamma \vdash (e : A) \Leftarrow B \Rightarrow C \dashv \Gamma'} \text{ANNOT}$$

$$\frac{\Gamma \vdash e \Leftarrow \text{hint}(e) \Rightarrow A \dashv \Gamma' \quad e \text{ constructor}}{\Gamma \vdash e \Leftarrow ? \Rightarrow A \dashv \Gamma'} \text{HOLE}$$

# Output contexts – type-directed rules

$$\frac{\Gamma, x : A \vdash e \Leftarrow B \Rightarrow B' \dashv \Gamma', x : A'}{\Gamma \vdash \lambda x. e \Leftarrow A \rightarrow B \Rightarrow A' \rightarrow B' \dashv \Gamma'}$$

$$\frac{\Gamma \vdash f \Leftarrow ? \rightarrow B \Rightarrow A \rightarrow B' \dashv \Gamma' \quad \Gamma' \vdash a \Leftarrow A \Rightarrow A' \dashv \Gamma''}{\Gamma \vdash f \ a \Leftarrow B \Rightarrow B' \dashv \Gamma''}$$

$$\frac{\Gamma \vdash a \Leftarrow A \Rightarrow A' \dashv \Gamma' \quad \Gamma' \vdash b \Leftarrow B \Rightarrow B' \dashv \Gamma''}{\Gamma \vdash (a, b) \Leftarrow A \times B \Rightarrow A' \times B' \dashv \Gamma''}$$

$$\frac{\Gamma \vdash e \Leftarrow A \times ? \Rightarrow A' \times B \dashv \Gamma'}{\Gamma \vdash \text{outl } e \Leftarrow A \Rightarrow A' \dashv \Gamma'} \quad \frac{\Gamma \vdash e \Leftarrow ? \times B \Rightarrow A \times B' \dashv \Gamma'}{\Gamma \vdash \text{outr } e \Leftarrow B \Rightarrow B' \dashv \Gamma'}$$

## Output contexts – type-directed rules

$$\frac{\Gamma \vdash e \Leftarrow A \Rightarrow A' \dashv \Gamma'}{\Gamma \vdash \text{inl } e \Leftarrow A + B \Rightarrow A' + B \dashv \Gamma'}$$

$$\frac{\Gamma \vdash e \Leftarrow B \Rightarrow B' \dashv \Gamma'}{\Gamma \vdash \text{inr } e \Leftarrow A + B \Rightarrow A + B' \dashv \Gamma'}$$

$$\frac{\Gamma \vdash e \Leftarrow ? + ? \Rightarrow A + B \dashv \Gamma_1 \quad \begin{array}{c} \Gamma_1 \vdash f \Leftarrow A \rightarrow C_1 \Rightarrow A' \rightarrow C_2 \dashv \Gamma_2 \\ \Gamma_2 \vdash g \Leftarrow B \rightarrow C_2 \Rightarrow B' \rightarrow C_3 \dashv \Gamma_3 \end{array}}{\Gamma \vdash \text{case } e \text{ of } (f, g) \Leftarrow C_1 \Rightarrow C_3 \dashv \Gamma_3}$$

$$\frac{\Gamma \vdash \text{unit} \Leftarrow \mathbf{1} \Rightarrow \mathbf{1} \dashv \Gamma}{\Gamma \vdash e \Leftarrow \mathbf{0} \Rightarrow \mathbf{0} \dashv \Gamma'} \quad \frac{\Gamma \vdash e \Leftarrow \mathbf{0} \Rightarrow \mathbf{0} \dashv \Gamma'}{\Gamma \vdash \text{elim}_0 e \Leftarrow A \Rightarrow A \dashv \Gamma'}$$

# Order on contexts

$$\overline{\cdot \sqsubseteq \cdot}$$

$$\frac{\Gamma_1 \sqsubseteq \Gamma_2 \quad A \sqsubseteq B}{\Gamma_1, x : A \sqsubseteq \Gamma_2, x : B}$$

# Order on contexts – properties

$\sqsubseteq$  is a partial order, whose structure is inherited from the order on hints.

- Reflexivity:  $\Gamma \sqsubseteq \Gamma$
- Transitivity:  $\Gamma_1 \sqsubseteq \Gamma_2 \implies \Gamma_2 \sqsubseteq \Gamma_3 \implies \Gamma_1 \sqsubseteq \Gamma_3$
- Weak antisymmetry:  $\Gamma_1 \sqsubseteq \Gamma_2 \implies \Gamma_2 \sqsubseteq \Gamma_1 \implies \Gamma_1 = \Gamma_2$

# Metatheory

If  $\Gamma \vdash e \Leftarrow A \Rightarrow B \dashv \Gamma'$ , then:

- (Information increase)  $\Gamma \sqsubseteq \Gamma'$  (proof: induction)
- (Information increase)  $A \sqsubseteq B$  (proof: induction)
- (Context squeeze) If  $\Gamma \sqsubseteq \Delta \sqsubseteq \Gamma'$ , then  $\Delta \vdash e \Leftarrow A \Rightarrow B \dashv \Gamma'$  (proof: induction)
- (Hint squeeze) If  $A \sqsubseteq A'$  and  $A' \sqsubseteq B$ , then  $\Gamma \vdash e \Leftarrow A' \Rightarrow B \dashv \Gamma'$  (proof: induction)

# Metatheory – decidability and determinism

- (Decidability) For  $\Gamma, e, A$  it is decidable whether there exist  $B$  and  $\Gamma'$  such that  $\Gamma \vdash e \Leftarrow A \Rightarrow B \dashv \Gamma'$  (proof: the rules are literally the algorithm)
- (Determinism) If  $\Gamma \vdash e \Leftarrow A \Rightarrow B_1 \dashv \Gamma_1$  and  $\Gamma \vdash e \Leftarrow A \Rightarrow B_2 \dashv \Gamma_2$ , then  $B_1 = B_2$  and  $\Gamma_1 = \Gamma_2$  (proof: induction)

# Metatheory – soundness

(Soundness) If  $\Gamma \vdash e \Leftarrow A \Rightarrow B \dashv \Gamma'$ ,  $B$  is a type and  $\Gamma'$  only contains types, then  $\Gamma' \vdash e : B$ .

# Output contexts – abridged basic rules

$$\frac{}{\Gamma_1, x : A, \Gamma_2 \vdash x \Leftarrow B \Rightarrow A \sqcup B \dashv \Gamma_1, x : A \sqcup B, \Gamma_2} \text{VAR}$$

$$\frac{e \Leftarrow A \sqcup B \Rightarrow C}{(e : A) \Leftarrow B \Rightarrow C} \text{ANNOT}$$

$$\frac{e \Leftarrow \text{hint}(e) \Rightarrow A \quad e \text{ constructor}}{e \Leftarrow ? \Rightarrow A} \text{HOLE}$$

# Output contexts – abridged type-directed rules

$$\frac{\Gamma, x : A \vdash e \Leftarrow B \Rightarrow B' \dashv \Gamma', x : A'}{\Gamma \vdash \lambda x. e \Leftarrow A \rightarrow B \Rightarrow A' \rightarrow B' \dashv \Gamma'}$$

$$\frac{f \Leftarrow ? \rightarrow B \Rightarrow A \rightarrow B' \quad a \Leftarrow A \Rightarrow A'}{f\ a \Leftarrow B \Rightarrow B'}$$

$$\frac{a \Leftarrow A \Rightarrow A' \quad b \Leftarrow B \Rightarrow B'}{(a, b) \Leftarrow A \times B \Rightarrow A' \times B'}$$

$$\frac{e \Leftarrow A \times ? \Rightarrow A' \times B}{\text{outl } e \Leftarrow A \Rightarrow A'} \quad \frac{e \Leftarrow ? \times B \Rightarrow A \times B'}{\text{outr } e \Leftarrow B \Rightarrow B'}$$

## Output contexts – abridged type-directed rules

$$\frac{e \Leftarrow A \Rightarrow A'}{\text{inl } e \Leftarrow A + B \Rightarrow A' + B}$$

$$\frac{e \Leftarrow B \Rightarrow B'}{\text{inr } e \Leftarrow A + B \Rightarrow A + B'}$$

$$\frac{e \Leftarrow ? + ? \Rightarrow A + B \quad \begin{array}{c} f \Leftarrow A \rightarrow C_1 \Rightarrow A' \rightarrow C_2 \\ g \Leftarrow B \rightarrow C_2 \Rightarrow B' \rightarrow C_3 \end{array}}{\text{case } e \text{ of } (f, g) \Leftarrow C_1 \Rightarrow C_3}$$

$$\frac{}{\text{unit} \Leftarrow 1 \Rightarrow 1} \quad \frac{e \Leftarrow 0 \Rightarrow 0}{\text{elim}_0 e \Leftarrow A \Rightarrow A}$$

# Types, holes, terms and contexts

Holes:

$$H ::= \alpha \mid H_1 \rightarrow H_2 \mid H_1 \times H_2 \mid H_1 + H_2 \mid \mathbf{1} \mid \mathbf{0}$$

Terms:

$e ::=$

$$\begin{aligned} & x \mid (e : H) \mid \\ & \lambda x. e \mid e_1 \ e_2 \mid \\ & (e_1, e_2) \mid \text{outl } e \mid \text{outr } e \mid \\ & \text{inl } e \mid \text{inr } e \mid \text{case } e \text{ of } (e_1, e_2) \mid \\ & \text{unit} \mid \text{elim}_0 \ e \end{aligned}$$

Note: the terms are the same as in STLC with Hints.

# Contexts and judgements

Contexts:

$$\Gamma ::= \cdot \mid \Gamma, x : A \mid \Gamma, \alpha \mid \Gamma, \alpha := H$$

We introduce unification variables, denoted with Greek letter ( $\alpha, \beta, \gamma, \dots$ ). When extending the context with a unification variable, it is set to some hint, which at the beginning will be just ?.

Judgements:

$\Gamma \vdash e \xleftarrow{H} H' \dashv \Gamma'$  – in context  $\Gamma$ , term  $e$  checks with hint  $H$  and infers hint  $H'$  in output context  $\Gamma'$

# Unification – basic rules

$$\frac{}{\Gamma_1, x : A, \Gamma_2 \vdash x \Leftarrow B \Rightarrow A \sqcup B \dashv \Gamma_1, x : A \sqcup B, \Gamma_2} \text{VAR}$$

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# Unification – type-directed rules

$$\frac{\Gamma, x : A \vdash e \Leftarrow B \Rightarrow B' \dashv \Gamma', x : A'}{\Gamma \vdash \lambda x. e \Leftarrow A \rightarrow B \Rightarrow A' \rightarrow B' \dashv \Gamma'}$$

$$\frac{\Gamma \vdash f \Leftarrow ? \rightarrow B \Rightarrow A \rightarrow B' \dashv \Gamma' \quad \Gamma' \vdash a \Leftarrow A \Rightarrow A' \dashv \Gamma''}{\Gamma \vdash f \ a \Leftarrow B \Rightarrow B' \dashv \Gamma''}$$

$$\frac{\Gamma \vdash a \Leftarrow A \Rightarrow A' \dashv \Gamma' \quad \Gamma' \vdash b \Leftarrow B \Rightarrow B' \dashv \Gamma''}{\Gamma \vdash (a, b) \Leftarrow A \times B \Rightarrow A' \times B' \dashv \Gamma''}$$

$$\frac{\Gamma \vdash e \Leftarrow A \times ? \Rightarrow A' \times B \dashv \Gamma'}{\Gamma \vdash \text{outl } e \Leftarrow A \Rightarrow A' \dashv \Gamma'}$$
    
$$\frac{\Gamma \vdash e \Leftarrow ? \times B \Rightarrow A \times B' \dashv \Gamma'}{\Gamma \vdash \text{outr } e \Leftarrow B \Rightarrow B' \dashv \Gamma'}$$

# Unification – type-directed rules

$$\frac{\Gamma \vdash e \Leftarrow A \Rightarrow A' \dashv \Gamma'}{\Gamma \vdash \text{inl } e \Leftarrow A + B \Rightarrow A' + B \dashv \Gamma'}$$

$$\frac{\Gamma \vdash e \Leftarrow B \Rightarrow B' \dashv \Gamma'}{\Gamma \vdash \text{inr } e \Leftarrow A + B \Rightarrow A + B' \dashv \Gamma'}$$

$$\frac{\Gamma \vdash e \Leftarrow ? + ? \Rightarrow A + B \dashv \Gamma_1 \quad \begin{array}{c} \Gamma_1 \vdash f \Leftarrow A \rightarrow C_1 \Rightarrow A' \rightarrow C_2 \dashv \Gamma_2 \\ \Gamma_2 \vdash g \Leftarrow B \rightarrow C_2 \Rightarrow B' \rightarrow C_3 \dashv \Gamma_3 \end{array}}{\Gamma \vdash \text{case } e \text{ of } (f, g) \Leftarrow C_1 \Rightarrow C_3 \dashv \Gamma_3}$$

$$\frac{\Gamma \vdash \text{unit} \Leftarrow \mathbf{1} \Rightarrow \mathbf{1} \dashv \Gamma}{\Gamma \vdash e \Leftarrow \mathbf{0} \Rightarrow \mathbf{0} \dashv \Gamma'} \quad \frac{\Gamma \vdash e \Leftarrow \mathbf{0} \Rightarrow \mathbf{0} \dashv \Gamma'}{\Gamma \vdash \text{elim}_0 e \Leftarrow A \Rightarrow A \dashv \Gamma'}$$