

# STLC with Logic: Poor Man's Axi

August 30, 2024

# Intro

These slides propose a basic calculus that could serve as a Poor Man's Axi. The system is Simply Typed Lambda Calculus with a first-order logic on top of it that lets us reason about program equality. At the end, we extend the system with booleans and natural numbers and consider adding some other additional features.

# Grammar

Terms:

$e ::=$

$x \mid \lambda x. e \mid e_1 \ e_2 \mid$   
 $(e_1, e_2) \mid \text{outl } e \mid \text{outr } e \mid$   
 $\text{inl } e \mid \text{inr } e \mid \text{case } e \text{ of } (e_1, e_2) \mid$   
 $\text{unit} \mid \text{elim}_{\text{Empty}} e$

Types:

$A, B ::= A \rightarrow B \mid A \times B \mid A + B \mid \text{Unit} \mid \text{Empty}$

Propositions:

$P, Q ::=$

$\top \mid \perp \mid \neg P \mid P \vee Q \mid P \wedge Q \mid P \Rightarrow Q \mid P \Leftrightarrow Q \mid$   
 $\forall x : A. P \mid \exists x : A. P \mid$   
 $e_1 =_A e_2$

# Terms and types

In the base language we have only function types, product types, sum types, *Unit* (the type with one element) and *Empty* (the type with no elements). Later we will add booleans, natural numbers, lists and streams.

Some of the less obvious terms:  $\text{outl } e$  and  $\text{outr } e$  are projections,  $\text{unit}$  is the only value of the *Unit* type and  $\text{elim}_{\text{Empty}} e$  is the eliminator of the empty type applied to  $e$ .  $\text{case } e \text{ of } (e_1, e_2)$  is the eliminator of the sum type and its arguments  $e_1, e_2$  are supposed to be functions.

# Propositions

Our logic is typed (i.e. multi-sorted) first-order logic with equality. We treat negation and biconditional as defined notions ( $\neg P$  is  $P \Rightarrow \perp$ , whereas  $P \Leftrightarrow Q$  is  $P \Rightarrow Q \wedge Q \Rightarrow P$ ), so we won't need rules for them.

Note that variables in quantifiers need to be annotated with the their types. Similarly, we need a type annotation in equations between terms.

# How to read it

We will present our language using the machinery of judgements and inference rules. Each kind of **judgement** represents a meta-level proposition about objects of our language: what is the type of a term, how do terms compute and so on. To make it easier for beginners to distinguish the various kinds of judgement, we will highlight each kind of judgement with a different color.

An **inference rule** tells us how to derive a given judgement (its conclusion), given derivations of some other judgements and side conditions (the premises). The general form of an inference rule is

$$\frac{\mathcal{J}_1 \dots \mathcal{J}_n}{\mathcal{J}}$$

where the premises (the judgements  $\mathcal{J}_i$ ) are written above the bar and the conclusion (the judgement  $\mathcal{J}$ ) is written below the bar. A rule can have many premises, but only a single conclusion. Most of

# Judgements – programming language

Valid typing context judgement:

$\Gamma \text{ ctx}$  –  $\Gamma$  is a well-formed typing context.

Typing judgement:

$\Gamma \vdash e : A$  – in typing context  $\Gamma$ , term  $e$  is of type  $A$ .

Computational equality judgement:

$\Gamma \vdash e_1 \equiv e_2 : A$  – in typing context  $\Gamma$ , terms  $e_1$  and  $e_2$  are computationally equal.

# Typing contexts

Typing contexts:

$\Gamma ::= \cdot \mid \Gamma, x : A$

Our judgements depend on hypotheses of the form  $x : A$ , which should be read as “ $x$  has type  $A$ ”. We gather these hypotheses in a typing context, which can be either empty or be an extension of another typing context with a new hypothesis. We treat typing contexts as finite maps from variables to types, so that we don’t need to worry about order of the hypotheses, repetition of variable names and other unimportant technical details.



# Typing – basics

The typing judgement tells us which terms have which types. The most important rule of typing is that variables have whatever type the typing context tells us. Note that the premise of the rule,  $(x : A) \in \Gamma$ , does not belong to any judgement – we will call such premises **side conditions**.

$$\frac{(x : A) \in \Gamma}{\Gamma \vdash x : A} \text{VAR}$$

# Typing – main rules

$$\frac{\Gamma, x : A \vdash e : B}{\Gamma \vdash \lambda x. e : A \rightarrow B}$$

$$\frac{\Gamma \vdash f : A \rightarrow B \quad \Gamma \vdash a : A}{\Gamma \vdash f a : B}$$

$$\frac{\Gamma \vdash a : A \quad \Gamma \vdash b : B}{\Gamma \vdash (a, b) : A \times B}$$

$$\frac{\Gamma \vdash e : A \times B}{\Gamma \vdash \text{outl } e : A}$$

$$\frac{\Gamma \vdash e : A \times B}{\Gamma \vdash \text{outr } e : B}$$

$$\frac{\Gamma \vdash e : A}{\Gamma \vdash \text{inl } e : A + B}$$

$$\frac{\Gamma \vdash e : B}{\Gamma \vdash \text{inr } e : A + B}$$

$$\frac{\Gamma \vdash e : A + B \quad \Gamma \vdash f : A \rightarrow C \quad \Gamma \vdash g : B \rightarrow C}{\Gamma \vdash \text{case } e \text{ of } (f, g) : C}$$

$$\frac{\Gamma \text{ ctx}}{\Gamma \vdash \text{unit} : \text{Unit}}$$

$$\frac{\Gamma \vdash e : \text{Empty}}{\Gamma \vdash \text{elim}_{\text{Empty}} e : A}$$

# Computation – basics

We represent computation using the non-directed computational equality relation, which might be a bit unintuitive, but is a common practice. Note that computational equality is typed, i.e. there's a separate computational equality relation for each type. Computational equality draws its meaning from two basic kinds of rules, computation rules and uniqueness rules. Intuitively, two terms of a given type are computationally equal when they compute to the same result, where the meaning of “compute” is specified by the computation rules and the meaning of “the same” is specified by the uniqueness rules. Formally, computational equality is the congruence closure of computation and uniqueness rules, i.e. the least equivalence relation that preserves all term constructors and contains the computation and uniqueness rules.

# Computational equality – substitution

To state the computation rules, we need a substitution operation. Our notation is  $e_1 [x := e_2]$  for a term  $e_1$  in which term  $e_2$  was substituted for the variable  $x$ . Unfortunately, I'm too lazy to define substitution here, but it shouldn't be hard for you to define it yourself.

# Computational equality – computation rules

Computation rules describe the most essential computation steps. For example, what happens when we project the first element out of a pair? Note that not all types have computation rules. For example, `Unit` and `Empty` have no computation rules because there's no computation going on in these types.

$$\frac{\Gamma, x : A \vdash b : B \quad \Gamma \vdash a : A}{\Gamma \vdash (\lambda x. b) a \equiv b[x := a] : B} \text{APP-LAM}$$

$$\frac{\Gamma \vdash a : A \quad \Gamma \vdash b : B}{\Gamma \vdash \text{outl } (a, b) \equiv a : A} \quad \frac{\Gamma \vdash a : A \quad \Gamma \vdash b : B}{\Gamma \vdash \text{outr } (a, b) \equiv b : B}$$

$$\frac{\Gamma \vdash a : A \quad \Gamma \vdash f : A \rightarrow C \quad \Gamma \vdash g : B \rightarrow C}{\Gamma \vdash \text{case } (\text{inl } a) \text{ of } (f, g) \equiv f \ a : C} \text{CASE-INL}$$

$$\frac{\Gamma \vdash a : A \quad \Gamma \vdash f : A \rightarrow C \quad \Gamma \vdash g : B \rightarrow C}{\Gamma \vdash \text{case } (\text{inr } b) \text{ of } (f, g) \equiv g \ b : C} \text{CASE-INR}$$

# Computational equality – uniqueness rules

Uniqueness rules establish that every term of a given type is computationally equal to a constructor of the type. For example, every term of a product type is a pair. The rules for `Unit` and `Empty` are a bit broader – they establish that all terms of these types are equal. Note that there are no uniqueness rules for sums.

$$\frac{\Gamma \vdash f : A \rightarrow B}{\Gamma \vdash f \equiv \lambda x.f \ x : A \rightarrow B} \text{FUN-UNIQ}$$

$$\frac{\Gamma \vdash e : A \times B}{\Gamma \vdash e \equiv (\text{outl } e, \text{outr } e) : A \times B} \text{PROD-UNIQ}$$

$$\frac{\Gamma \vdash e_1 : \text{Unit} \quad \Gamma \vdash e_2 : \text{Unit}}{\Gamma \vdash e_1 \equiv e_2 : \text{Unit}} \text{UNIT-UNIQ}$$

$$\frac{\Gamma \vdash e_1 : \text{Empty} \quad \Gamma \vdash e_2 : \text{Empty}}{\Gamma \vdash e_1 \equiv e_2 : \text{Empty}} \text{EMPTY-UNIQ}$$

# Computational equality – equivalence relation

Computational equality is an equivalence relation, i.e. it is reflexive, symmetric and transitive.

$$\frac{\Gamma \vdash e : A}{\Gamma \vdash e \equiv e : A} \text{COMPEQ-REFL}$$

$$\frac{\Gamma \vdash e_1 \equiv e_2 : A}{\Gamma \vdash e_2 \equiv e_1 : A} \text{COMPEQ-SYM}$$

$$\frac{\Gamma \vdash e_1 \equiv e_2 : A \quad \Gamma \vdash e_2 \equiv e_3 : A}{\Gamma \vdash e_1 \equiv e_3 : A} \text{COMPEQ-TRANS}$$

# Computational equality – congruence rules

$$\frac{\Gamma, x : A \vdash e \equiv e' : B}{\Gamma \vdash \lambda x. e \equiv \lambda x. e' : A \rightarrow B} \quad \frac{\Gamma \vdash f \equiv f' : A \rightarrow B \quad \Gamma \vdash a \equiv a' : A}{\Gamma \vdash f \ a \equiv f' \ a' : B}$$

$$\frac{\Gamma \vdash a \equiv a' : A \quad \Gamma \vdash b \equiv b' : B}{\Gamma \vdash (a, b) \equiv (a', b') : A \times B}$$

$$\frac{\Gamma \vdash e \equiv e' : A \times B}{\Gamma \vdash \text{outl } e \equiv \text{outl } e' : A}$$

$$\frac{\Gamma \vdash e \equiv e' : A \times B}{\Gamma \vdash \text{outr } e \equiv \text{outr } e' : B}$$

$$\frac{\Gamma \vdash e \equiv e' : A}{\Gamma \vdash \text{inl } e \equiv \text{inl } e' : A + B}$$

$$\frac{\Gamma \vdash e \equiv e' : B}{\Gamma \vdash \text{inr } e \equiv \text{inr } e' : A + B}$$

$$\frac{\Gamma \vdash e \equiv e' : A + B \quad \Gamma \vdash f \equiv f' : A \rightarrow C \quad \Gamma \vdash g \equiv g' : B \rightarrow C}{\Gamma \vdash \text{case } e \text{ of } (f, g) \equiv \text{case } e' \text{ of } (f', g') : C}$$

$$\frac{\Gamma \text{ ctx}}{\Gamma \vdash \text{unit} \equiv \text{unit} : \text{Unit}}$$

$$\frac{\Gamma \vdash e \equiv e' : \text{Empty}}{\Gamma \vdash \text{elim}_{\text{Empty}} e \equiv \text{elim}_{\text{Empty}} e' : A}$$



# Computational equality – closing remarks

Note that because of the uniqueness rule for `Unit`, we don't need the congruence rule for `unit`. Moreover, we don't need the congruence rule for `unit` even more, because we already know that computational equality is reflexive.

Also note that in the congruence rule for `Empty`, the premise is always true because of the uniqueness rule for `Empty`, so it could be replaced with typing rules for `e` and `e'`.

# Logic – well-formed proposition judgement

The role of the well-formed proposition judgement is twofold: to ensure that propositions are well-scoped (i.e. they don't contain free variables), and that propositional equality is formed only from well-typed terms. This judgement depends only on the typing context.

# Judgements – logic

Valid assumption context judgement:

$\Gamma \vdash \Delta$  **valid** – in the typing context  $\Gamma$ , the assumption context  $\Delta$  is valid.

Well-formed proposition judgement:

$\Gamma \vdash P$  **prop** – in the typing context  $\Gamma$ , proposition  $P$  is well-formed.

True proposition judgement:

$\Gamma \mid \Delta \vdash P$  – in typing context  $\Gamma$  and propositional context  $\Delta$ , proposition  $P$  holds.

# Logic – well-formed propositions

$$\frac{\Gamma \text{ ctx}}{\Gamma \vdash \top \text{ prop}} \quad \frac{\Gamma \text{ ctx}}{\Gamma \vdash \perp \text{ prop}}$$

$$\frac{\Gamma \vdash P \text{ prop}}{\Gamma \vdash \neg P \text{ prop}}$$

$$\frac{\Gamma \vdash P \text{ prop} \quad \Gamma \vdash Q \text{ prop}}{\Gamma \vdash P \vee Q \text{ prop}}$$

$$\frac{\Gamma \vdash P \text{ prop} \quad \Gamma \vdash Q \text{ prop}}{\Gamma \vdash P \wedge Q \text{ prop}}$$

$$\frac{\Gamma \vdash P \text{ prop} \quad \Gamma \vdash Q \text{ prop}}{\Gamma \vdash P \Rightarrow Q \text{ prop}}$$

$$\frac{\Gamma \vdash P \text{ prop} \quad \Gamma \vdash Q \text{ prop}}{\Gamma \vdash P \Leftrightarrow Q \text{ prop}}$$

$$\frac{\Gamma, x : A \vdash P \text{ prop}}{\Gamma \vdash \forall x : A. P \text{ prop}}$$

$$\frac{\Gamma, x : A \vdash P \text{ prop}}{\Gamma \vdash \exists x : A. P \text{ prop}}$$

$$\frac{\Gamma \vdash e_1 : A \quad \Gamma \vdash e_2 : A}{\Gamma \vdash e_1 =_A e_2 \text{ prop}}$$

# Logic – valid assumption context judgement

$$\frac{\Gamma \text{ ctx}}{\Gamma \vdash \cdot \text{ valid}} \text{VALID-EMPTY}$$

$$\frac{\Gamma \vdash \Delta \text{ valid} \quad \Gamma \vdash P \text{ prop}}{\Gamma \vdash \Delta, P \text{ valid}} \text{VALID-EXTEND}$$

# Logic – true proposition judgement

The true proposition judgement depends on two contexts – a typing context  $\Gamma$  and an assumption context  $\Delta$ . We treat both of them as sets, which means we don't need any structural rules. We treat negation and equivalence as defined, so that no rules are needed to handle them. We define  $\neg P$  to be  $P \Rightarrow \perp$  and  $P \Leftrightarrow Q$  to be  $P \Rightarrow Q \wedge Q \Rightarrow P$ .

The basic rule of our logic is that we can use assumptions from the assumption context.

$$\frac{\Gamma \vdash \Delta \text{ valid} \quad P \in \Delta}{\Gamma \mid \Delta \vdash P} \text{Ass}$$

# Logic – connectives

The rules for connectives are entirely standard.

$$\frac{\Gamma \mid \Delta, P \vdash Q}{\Gamma \mid \Delta \vdash P \Rightarrow Q} \quad \frac{\Gamma \mid \Delta \vdash P \Rightarrow Q \quad \Gamma \mid \Delta \vdash P}{\Gamma \mid \Delta \vdash Q}$$

$$\frac{\Gamma \mid \Delta \vdash P \quad \Gamma \mid \Delta \vdash Q}{\Gamma \mid \Delta \vdash P \wedge Q} \quad \frac{\Gamma \mid \Delta \vdash P \wedge Q}{\Gamma \mid \Delta \vdash P} \quad \frac{\Gamma \mid \Delta \vdash P \wedge Q}{\Gamma \mid \Delta \vdash Q}$$

$$\frac{\Gamma \mid \Delta \vdash P}{\Gamma \mid \Delta \vdash P \vee Q} \quad \frac{\Gamma \mid \Delta \vdash Q}{\Gamma \mid \Delta \vdash P \vee Q}$$

$$\frac{\Gamma \mid \Delta \vdash P \vee Q \quad \Gamma \mid \Delta, P \vdash R \quad \Gamma \mid \Delta, Q \vdash R}{\Gamma \mid \Delta \vdash R}$$

$$\frac{\Gamma \vdash \Delta \text{ valid}}{\Gamma \mid \Delta \vdash \top} \quad \frac{\Gamma \mid \Delta \vdash \perp}{\Gamma \mid \Delta \vdash P}$$

# Logic – substitution

To express rules for quantifiers, we need the operation of substituting a term for a variable in a proposition. Our notation is  $P[x := e]$  for proposition  $P$  in which variable  $x$  was substituted with term  $e$ .



# Logic – quantifiers

$$\frac{\Gamma, x : A \mid \Delta \vdash P}{\Gamma \mid \Delta \vdash \forall x : A. P} \text{FORALL-INTRO}$$

$$\frac{\Gamma \mid \Delta \vdash \forall x : A. P \quad \Gamma \vdash a : A}{\Gamma \mid \Delta \vdash P[x := a]} \text{FORALL-ELIM}$$

$$\frac{\Gamma \vdash a : A \quad \Gamma \mid \Delta \vdash P[x := a]}{\Gamma \mid \Delta \vdash \exists x : A. P} \text{EXISTS-INTRO}$$

$$\frac{\Gamma \mid \Delta \vdash \exists x : A. P \quad \Gamma, x : A \mid \Delta, P \vdash R}{\Gamma \mid \Delta \vdash R} \text{EXISTS-ELIM}$$

# Logic – equality

Propositional equality is an equivalence relation that can be substituted in proofs. Note that we handle reflexivity by referring to computational equality.

$$\frac{\Gamma \vdash \Delta \text{ valid} \quad \Gamma \vdash e_1 \equiv e_2 : A}{\Gamma \mid \Delta \vdash e_1 =_A e_2} \text{EQ-REFL}$$

$$\frac{\Gamma \mid \Delta \vdash e_1 =_A e_2}{\Gamma \mid \Delta \vdash e_2 =_A e_1} \text{EQ-SYM}$$

$$\frac{\Gamma \mid \Delta \vdash e_1 =_A e_2 \quad \Gamma \mid \Delta \vdash e_2 =_A e_3}{\Gamma \mid \Delta \vdash e_1 =_A e_3} \text{EQ-TRANS}$$

$$\frac{\Gamma \mid \Delta \vdash e_1 =_A e_2 \quad \Gamma, x : A \vdash P \text{ prop} \quad \Gamma \mid \Delta \vdash P[x := e_2]}{\Gamma \mid \Delta \vdash P[x := e_1]} \text{EQ-SUBST}$$

# Logic – equality for particular types

The general rules from the previous slide are not sufficient to prove all equations that we would like. For example, there's nothing we can use to prove function extensionality. We can fix this issue by adding rules that handle equality on a type-by-type basis. For now, the only additional rule we need concerns equality of functions, but with more types we might need more.

$$\frac{\Gamma \mid \Delta \vdash \forall x : A. f \ x =_B g \ x}{\Gamma \mid \Delta \vdash f =_{A \rightarrow B} g} \text{FUNEXT}$$

# Logic – reasoning by cases on terms

Note that so far, we haven't got any rules that allow reasoning by cases on terms. For example, we might want to reason by cases not on a disjunction, but on a term  $e : A + B$ . To be able to do this, we need to add some more rules. Note that these rules are needed only for positive types (i.e. sums and the empty type), because for negative types the uniqueness rules suffice. Also, there's a slight discrepancy in the presentation between empty and sums, but don't worry about it.

$$\frac{\Gamma \vdash \Delta \text{ valid} \quad \Gamma \vdash e : \text{Empty}}{\Gamma \mid \Delta \vdash P} \text{EMPTY-IND}$$

$$\frac{\Gamma, a : A \mid \Delta \vdash P[x := \text{inl } a] \quad \Gamma, b : B \mid \Delta \vdash P[x := \text{inr } b]}{\Gamma \mid \Delta \vdash \forall x : A + B. P} \text{SUM-IND}$$

# Logic – classical logic

There are many ways to add classical logic to the system, but we'll have a rule which basically says that we can reason by cases on any proposition.

$$\frac{\Gamma \vdash P \text{ prop} \quad \Gamma \mid \Delta, P \vdash R \quad \Gamma \mid \Delta, \neg P \vdash R}{\Gamma \mid \Delta \vdash R} \text{CLASSIC}$$

## More types – intro

Our current menagerie of type constructors isn't very expressive. In fact, since the only base types are unit and empty, all we can do is finite types and functions between them. Let's see what we need to do to add a new type constructor to our language. Note that to save space, we will omit the congruence rules for computational equality.

# Eliminator for Unit

One little silly thing that we don't have is an eliminator for the Unit type. It's useless, but why not?

$$\frac{\Gamma \vdash e : A \quad \Gamma \vdash u : \text{Unit}}{\Gamma \vdash \text{elim}_{\text{Unit}} e u : A} \text{UNIT-ELIM}$$

$$\frac{\Gamma \vdash e : A}{\Gamma \vdash \text{elim}_{\text{Unit}} e \text{unit} \equiv e : A} \text{COMP-UNIT}$$

$$\frac{\Gamma \vdash e \equiv e' : A \quad \Gamma \vdash u \equiv u' : \text{Unit}}{\Gamma \vdash \text{elim}_{\text{Unit}} e u \equiv \text{elim}_{\text{Unit}} e' u' : A} \text{CONGR-UNIT-ELIM}$$

$$\frac{\Gamma \mid \Delta \vdash P[x := \text{unit}]}{\Gamma \mid \Delta \vdash \forall x : \text{Unit}. P} \text{UNIT-IND}$$

# Booleans

$$\frac{\Gamma \text{ ctx}}{\Gamma \vdash \text{true} : \text{Bool}} \quad \frac{\Gamma \text{ ctx}}{\Gamma \vdash \text{false} : \text{Bool}}$$

$$\frac{\Gamma \vdash e : \text{Bool} \quad \Gamma \vdash e_1 : A \quad \Gamma \vdash e_2 : A}{\Gamma \vdash \text{if } e \text{ then } e_1 \text{ else } e_2 : A} \text{BOOL-ELIM}$$

$$\frac{\Gamma \vdash e_1 : A \quad \Gamma \vdash e_2 : A}{\Gamma \vdash \text{if true then } e_1 \text{ else } e_2 \equiv e_1 : A} \text{COMP-IF-TRUE}$$

$$\frac{\Gamma \vdash e_1 : A \quad \Gamma \vdash e_2 : A}{\Gamma \vdash \text{if false then } e_1 \text{ else } e_2 \equiv e_2 : A} \text{COMP-IF-FALSE}$$

$$\frac{\Gamma \mid \Delta \vdash P[b := \text{true}] \quad \Gamma \mid \Delta \vdash P[b := \text{false}]}{\Gamma \mid \Delta \vdash \forall b : \text{Bool}. P} \text{BOOL-IND}$$



# Booleans – congruence rules

$$\frac{\Gamma \text{ ctx}}{\Gamma \vdash \text{true} \equiv \text{true} : \text{Bool}} \text{TRUE-CONGR}$$

$$\frac{\Gamma \text{ ctx}}{\Gamma \vdash \text{false} \equiv \text{false} : \text{Bool}} \text{FALSE-CONGR}$$

$$\frac{\Gamma \vdash e \equiv e' : \text{Bool} \quad \Gamma \vdash e_1 \equiv e'_1 : A \quad \Gamma \vdash e_2 \equiv e'_2 : A}{\Gamma \vdash \text{if } e \text{ then } e_1 \text{ else } e_2 \equiv \text{if } e' \text{ then } e'_1 \text{ else } e'_2 : A} \text{BOOL-ELIM-CONGR}$$

# Natural numbers

$$\frac{\Gamma \text{ ctx}}{\Gamma \vdash \text{zero} : \mathbb{N}} \quad \frac{\Gamma \vdash n : \mathbb{N}}{\Gamma \vdash \text{succ } n : \mathbb{N}}$$

$$\frac{\Gamma \vdash z : A \quad \Gamma \vdash s : A \rightarrow A \quad \Gamma \vdash n : \mathbb{N}}{\Gamma \vdash \text{rec}_{\mathbb{N}} z s n : A} \text{NAT-ELIM}$$

$$\frac{\Gamma \vdash z : A \quad \Gamma \vdash s : A \rightarrow A}{\Gamma \vdash \text{rec}_{\mathbb{N}} z s \text{zero} \equiv z : A} \text{REC-ZERO}$$

$$\frac{\Gamma \vdash z : A \quad \Gamma \vdash s : A \rightarrow A \quad \Gamma \vdash n : \mathbb{N}}{\Gamma \vdash \text{rec}_{\mathbb{N}} z s (\text{succ } n) \equiv s (\text{rec}_{\mathbb{N}} z s n) : A} \text{REC-SUCC}$$

$$\frac{\Gamma \mid \Delta \vdash P[n := \text{zero}] \quad \Gamma, n' : \mathbb{N} \mid \Delta, P[n := n'] \vdash P[n := \text{succ } n']}{\Gamma \mid \Delta \vdash \forall n : \mathbb{N}. P} \text{NAT-I}$$

# Natural numbers – congruence rules

$$\frac{\Gamma \text{ ctx}}{\Gamma \vdash \text{zero} \equiv \text{zero} : \mathbb{N}} \text{ZERO-CONGR}$$

$$\frac{\Gamma \vdash n \equiv n' : \mathbb{N}}{\Gamma \vdash \text{succ } n \equiv \text{succ } n' : \mathbb{N}} \text{SUCC-CONGR}$$

$$\frac{\Gamma \vdash z \equiv z' : A \quad \Gamma \vdash s \equiv s' : A \rightarrow A \quad \Gamma \vdash n \equiv n' : \mathbb{N}}{\Gamma \vdash \text{rec}_{\mathbb{N}} z s n \equiv \text{rec}_{\mathbb{N}} z' s' n' : A} \text{NAT-ELIM-CONGR}$$

# Lists

$$\frac{\Gamma \text{ ctx}}{\Gamma \vdash \text{nil} : \text{List } A} \quad \frac{\Gamma \vdash h : A \quad \Gamma \vdash t : \text{List } A}{\Gamma \vdash \text{cons } h \ t : \text{List } A}$$

$$\frac{\Gamma \vdash n : A \quad \Gamma \vdash c : A \rightarrow B \rightarrow B \quad \Gamma \vdash l : \text{List } A}{\Gamma \vdash \text{fold } n \ c \ l : B} \text{LIST-ELIM}$$

$$\frac{\Gamma \vdash n : B \quad \Gamma \vdash c : A \rightarrow B \rightarrow B}{\Gamma \vdash \text{fold } n \ c \ \text{nil} \equiv n : B} \text{FOLD-NIL}$$

$$\frac{\Gamma \vdash n : B \quad \Gamma \vdash c : A \rightarrow B \rightarrow B \quad \Gamma \vdash h : A \quad \Gamma \vdash t : \text{List } A}{\Gamma \vdash \text{fold } n \ c \ (\text{cons } h \ t) \equiv c \ h \ (\text{fold } n \ c \ t) : B} \text{FOLD-CONS}$$

$$\frac{\Gamma \mid \Delta \vdash P[l := \text{nil}] \quad \Gamma, h : A, t : \text{List } A \mid \Delta, P[l := t] \vdash P[l := \text{cons } h \ t]}{\Gamma \mid \Delta \vdash \forall l : \text{List } A. P} \text{LIST-IND}$$

# Lists – congruence rules

$$\frac{\Gamma \text{ ctx}}{\Gamma \vdash \text{nil} \equiv \text{nil} : \text{List } A} \text{NIL-CONGR}$$

$$\frac{\Gamma \vdash h \equiv h' : A \quad \Gamma \vdash t \equiv t' : \text{List } A}{\Gamma \vdash \text{cons } h \ t \equiv \text{cons } h' \ t' : \text{List } A} \text{CONS-CONGR}$$

$$\frac{\Gamma \vdash n \equiv n' : A \quad \Gamma \vdash c \equiv c' : A \rightarrow B \rightarrow B \quad \Gamma \vdash l \equiv l' : \text{List } A}{\Gamma \vdash \text{fold } n \ c \ l \equiv \text{fold } n' \ c' \ l' : B} \text{LIST-ELIM-C}$$

# Streams

$$\frac{\Gamma \vdash s : \text{Stream } A}{\Gamma \vdash \text{hd } s : A} \quad \frac{\Gamma \vdash s : \text{Stream } A}{\Gamma \vdash \text{tl } s : \text{Stream } A}$$

$$\frac{\Gamma \vdash a : A \quad \Gamma \vdash f : A \rightarrow A}{\Gamma \vdash \text{scons } a f : \text{Stream } A} \text{STREAM-INTRO}$$

$$\frac{\Gamma \vdash a : A \quad \Gamma \vdash f : A \rightarrow A}{\Gamma \vdash \text{hd } (\text{scons } a f) \equiv a : A} \text{HD-SCONS}$$

$$\frac{\Gamma \vdash a : A \quad \Gamma \vdash f : A \rightarrow A}{\Gamma \vdash \text{tl } (\text{scons } a f) \equiv \text{scons } (f a) f : \text{Stream } A} \text{TL-SCONS}$$

# Streams – congruence rules

Streams do have all the congruence rules we would expect, but they don't have any uniqueness rules.

$$\frac{\Gamma \vdash s \equiv s' : A}{\Gamma \vdash \text{hd } s \equiv \text{hd } s' : A} \text{HD-CONGR}$$

$$\frac{\Gamma \vdash s \equiv s' : A}{\Gamma \vdash \text{tl } s \equiv \text{tl } s' : \text{Stream } A} \text{TL-CONGR}$$

$$\frac{\Gamma \vdash a \equiv a' : A \quad \Gamma \vdash f \equiv f' : A \rightarrow A}{\Gamma \vdash \text{scons } a \ f \equiv \text{scons } a' \ f' : \text{Stream } A} \text{STREAM-INTRO-CONGR}$$

But the real question is, how do proofs by coinduction look like?

# Record types

$$\frac{\forall i \in I. \Gamma \vdash e_i : A_i}{\Gamma \vdash \{\ell_i := e_i\}_{i \in I} : \{\ell_i : A_i\}_{i \in I}} \text{RECORD-INTRO}$$

$$\frac{\Gamma \vdash e : \{\ell_i : A_i\}_{i \in I} \quad j \in I}{\Gamma \vdash e.\ell_j : A_j} \text{RECORD-ELIM}$$

$$\frac{\Gamma \vdash \{\ell_i := e_i\}_{i \in I} : \{\ell_i : A_i\}_{i \in I} \quad j \in I}{\Gamma \vdash \{\ell_i := e_i\}_{i \in I}.\ell_j \equiv e_j : A_j} \text{RECORD-COMP}$$

$$\frac{\Gamma \vdash r : \{\ell_i : A_i\}_{i \in I}}{\Gamma \vdash r \equiv \{\ell_i := r.\ell_i\}_{i \in I} : \{\ell_i : A_i\}_{i \in I}} \text{RECORD-UNIQ}$$



# Record types – congruence rules

$$\frac{\forall i \in I. \quad \Gamma \vdash e_i \equiv e'_i : A_i}{\Gamma \vdash \{l_i := e_i\}_{i \in I} \equiv \{l_i := e'_i\}_{i \in I} : \{l_i : A_i\}_{i \in I}} \text{RECORD-INTRO-CONGR}$$

$$\frac{\Gamma \vdash e \equiv e' : \{l_i : A_i\}_{i \in I} \quad j \in I}{\Gamma \vdash e.l_j \equiv e'.l_j : A_j} \text{RECORD-ELIM-CONGR}$$

# Variant types

$$\frac{\Gamma \vdash e : A_j \quad j \in I}{\Gamma \vdash c_j e : [c_i : A_i]_{i \in I}} \text{VARIANT-INTRO}$$

$$\frac{\Gamma \vdash e : [c_i : A_i]_{i \in I} \quad \Gamma \vdash r : \{c_i : A_i \rightarrow B_i\}_{i \in I}}{\Gamma \vdash \text{vcase } e \text{ of } r : B} \text{VARIANT-ELIM}$$

$$\frac{\Gamma \vdash e : A_j \quad \Gamma \vdash r : \{c_i : A_i \rightarrow B_i\}_{i \in I} \quad j \in I}{\Gamma \vdash \text{vcase } (c_j e) \text{ of } r \equiv r.c_j e : B} \text{VARIANT-COMP}$$

# Variant types – congruence rules

$$\frac{\Gamma \vdash e \equiv e' : A_j \quad j \in I}{\Gamma \vdash c_j e \equiv c_j e' : [c_i : A_i]_{i \in I}} \text{VARIANT-INTRO-CONGR}$$

$$\frac{\Gamma \vdash e \equiv e' : [c_i : A_i]_{i \in I} \quad \Gamma \vdash r \equiv r' : \{c_i : A_i \rightarrow B_i\}_{i \in I}}{\Gamma \vdash \text{vcase } e \text{ of } r \equiv \text{vcase } e' \text{ of } r' : B} \text{VARIANT-ELIM-CONGR}$$

# Exercises

Just seeing a system like this won't be enough to convince anybody that it makes sense. Therefore, doing some exercises would be advised:

- Assume that  $A$  and  $B$  are arbitrary types. Define functions  $\text{swap} : A \times B \rightarrow B \times A$  and  $\text{sweep} : A + B \rightarrow B + A$  and prove that they are involutive. Are they computationally involutive, i.e. involutive up to computational equality?
- Can you prove that every term of a product type is a pair?
- Can you prove that every term of a sum type is either  $\text{inl } a$  or  $\text{inr } b$  for some  $a$  and  $b$ ?
- Add booleans to the language
- Add natural numbers to the language.
- Define addition of natural numbers and prove that it is associative and commutative.
- Write an interesting program and prove an interesting theorem about it.

## Additional features – intro

What other features might we add to our language? Let's find out.

# Type annotations

$$\frac{\Gamma \vdash e : A}{\Gamma \vdash (e : A) : A} \text{ANNOT}$$

$$\frac{\Gamma \vdash e : A}{\Gamma \vdash (e : A) \equiv e : A} \text{COMP-ANNOT}$$

# Let bindings

$$\frac{\Gamma \vdash e_1 : A \quad \Gamma, x : A \vdash e_2 : B}{\Gamma \vdash \text{let } x = e_1 \text{ in } e_2 : B} \text{LET}$$

$$\frac{\Gamma \vdash \text{let } x = e_1 \text{ in } e_2 : A}{\Gamma \vdash \text{let } x = e_1 \text{ in } e_2 \equiv e_2 [x := e_1] : A} \text{LET-COMP}$$

$$\frac{\Gamma \vdash e_1 \equiv e'_1 : A \quad \Gamma \vdash e_2 \equiv e'_2 : B}{\Gamma \vdash \text{let } x = e_1 \text{ in } e_2 \equiv \text{let } x = e'_1 \text{ in } e'_2 : B} \text{LET-CONGR}$$

# Definitions in context

We can change the definition of typing contexts to allow putting definitions in them. This can be handy for expressing let bindings and also makes fully formal derivations much shorter. However, it also requires adding a new judgement, the well-formed context judgement, because we want to allow adding only well-typed definitions to the context. We must also remember to include these definitions in computational equality.

$\Gamma ::= \cdot \mid \Gamma, x : A \mid \Gamma, x : A :\equiv e$

$$\frac{\Gamma \text{ ctx} \quad \Gamma \vdash e : A \quad x \notin \Gamma}{\Gamma, x : A :\equiv e \text{ ctx}} \text{CTX-DEF}$$

$$\frac{\Gamma, x : A :\equiv e \text{ ctx}}{\Gamma, x : A :\equiv e \vdash x \equiv e : A} \text{COMP-CTX-DEF}$$



# Definitions in context – practical consequences

$$\frac{\Gamma, x : A : \equiv a \vdash b \equiv b' : B}{\Gamma \vdash (\lambda x. b) a \equiv b' : B} \text{APP-LAM-DEF}$$

$$\frac{\Gamma, x : A : \equiv e_1 \vdash e_2 \equiv e'_2 : B}{\Gamma \vdash \text{let } x = e_1 \text{ in } e_2 \equiv e'_2 : B} \text{LET-COMP-DEF}$$

# Refinement types and quotient types – setup

For a moment, let's say that types can depend on propositions. This means that we have a well-formed type judgement which depends both on the typing context. We need to modify the valid context judgement to take this into account.

$$\frac{\Gamma \text{ ctx} \quad \Gamma \vdash A \text{ type} \quad x \notin \Gamma}{\Gamma, x : A \text{ ctx}} \text{CTX-EXTEND}$$

With such a setup, we can express refinement types, i.e. a kind of a subset of a given type for which some proposition holds.

# Refinement types

$$\frac{\Gamma \vdash A \text{ type} \quad \Gamma, x : A \vdash P \text{ prop}}{\Gamma \vdash \{x : A \mid P\} \text{ type}} \text{REFINEMENT-FORM}$$

$$\frac{\Gamma \mid \Delta \vdash e : A \quad \Gamma \mid \Delta \vdash P}{\Gamma \mid \Delta \vdash \text{refine } e : \{x : A \mid P\}} \text{REFINEMENT-INTRO}$$

$$\frac{\Gamma \mid \Delta \vdash e : \{x : A \mid P\}}{\Gamma \mid \Delta \vdash \text{unrefine } e : A} \text{REFINEMENT-ELIM}$$

$$\frac{\Gamma, e : A \mid \Delta \vdash P[x := \text{refine } e]}{\Gamma \mid \Delta \vdash \forall x : \{y : A \mid P\}. P} \text{REFINEMENT-IND}$$

$$\frac{\Gamma \mid \Delta \vdash e : A \quad \Gamma \mid \Delta \vdash P}{\Gamma \vdash \text{unrefine } (\text{refine } e) \equiv e : A} \text{REFINEMENT-COMP}$$

$$\frac{\Gamma \mid \Delta \vdash e : \{x : A \mid P\}}{\Gamma \vdash e \equiv \text{refine } (\text{unrefine } e) : \{x : A \mid P\}} \text{REFINEMENT-UNIQ}$$

# Quotient types

$$\frac{\Gamma \vdash A \text{ type} \quad \Gamma, x : A, y : A \vdash R \text{ prop} \quad \text{Equivalence } R}{\Gamma \vdash A \setminus\!\!\setminus R \text{ type}} \text{QUOTIENT-FORM}$$

$$\frac{\Gamma \mid \Delta \vdash e : A \quad \Gamma, x : A, y : A \vdash R \text{ prop} \quad \text{Equivalence } R}{\Gamma \mid \Delta \vdash \text{qin } e : A \setminus\!\!\setminus R} \text{QUOTIENT-INTRO}$$

$$\frac{\Gamma \mid \Delta \vdash e : A \setminus\!\!\setminus R \quad \Gamma \mid \Delta \vdash f : A \rightarrow B \quad f \text{ preserves } R}{\Gamma \mid \Delta \vdash \text{qout } f \ e : B} \text{QUOTIENT-ELIM}$$

$$\frac{\Gamma \mid \Delta \vdash e : A \quad \Gamma \mid \Delta \vdash f : A \rightarrow B \quad f \text{ preserves } R}{\Gamma \vdash \text{qout } f \ (\text{qin } e) \equiv f \ e : B} \text{QUOTIENT-COMP}$$

Where “preserves” is the judgement

$$\Gamma \mid \Delta \vdash \forall x : A. \forall y : A. R \ x \ y \Rightarrow f \ x =_B f \ y$$

# Additional feature proposals

- Subtyping – some types are now in a subtyping relation  $A <: B$  and an  $a : A$  can be used in every place where a term of type  $B$  is expected.
- Parametric polymorphism – a new type former  $\forall \alpha. A$ . It can be either explicit (need to manage the type arguments manually) or implicit (type arguments are inferred). The implicit approach is the most common, but it's also harder to implement.
- Algebraic data types – adding them in a clean manner is a bit complicated, because we either need to deal with general recursion or manage a plethora of checks, like coverage and termination.
- Module system – this will be a bit harder to model, but we could borrow it from ML and OCaml.

## Additional features – alternative presentations

- The system could be presented using a reduction relation which would cover the “directed” part of computational equality.
- The type system could be presented in a more algorithmic way, which is more amenable to being treated as a reference implementation.
- Is an explicit definition of substitution needed, or nobody cares?