

# Novel Slot Detection Trong Hệ Thống Đối Thoại Hướng Nhiệm Vụ

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# CONTENTS

1. Introduction
2. Input/output
3. Dataset
4. Model
5. Experiment and Result Analysis
6. Conclusion
7. Demo

# Introduction

## What is Slot filling?

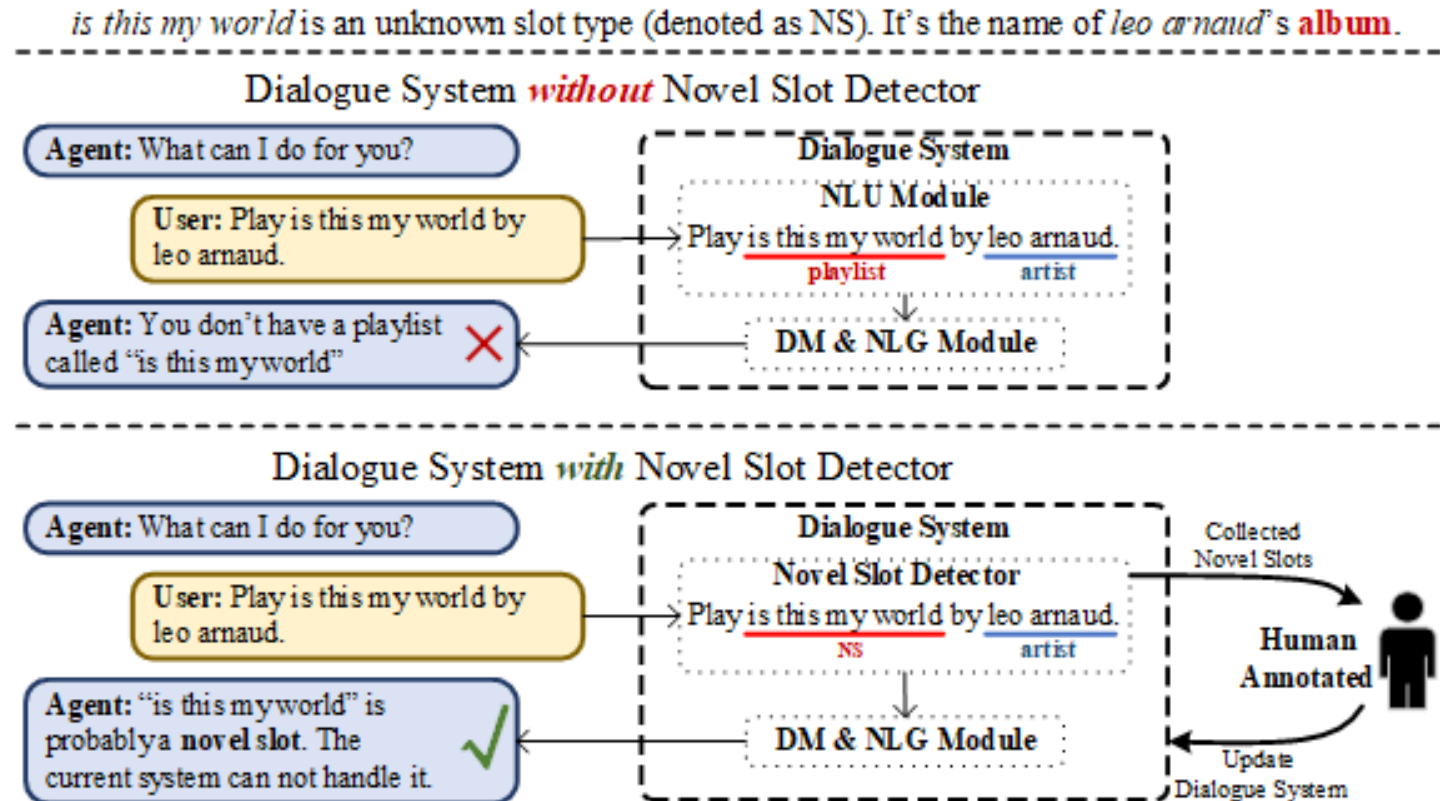
- Slot filling plays a vital role to understand user queries in personal assistants such as Alexa (Amazon), Siri (Apple), Google Assistant (Google), etc.
- It aims at identifying a sequence of tokens and extracting semantic constituents from the user queries.
- Given a large scale pre-collected training corpus, existing **neural-based models** have been actively applied to slot filling and achieved promising results.

# Introduction

## What is Novel Slot Detection?

- A reliable slot filling model should not only predict the pre-defined slots but also detect potential unknown slot types to know what it doesn't know, which we call **Novel Slot Detection (NSD)**.
- **Novel Slot (NS)** as new slot types that are not included in the pre-defined slot set.
- NSD aims to discover potential new or **out-of-domain (OOD)** entity types to strengthen the capability of a dialogue system based on **in-domain (IND)** pre-collected training data.

# Input/output



An example of Novel Slot Detection in the task-oriented dialogue system.

# Dataset

| Dataset      | train<br>utterances | dev<br>utterances | test<br>utterance |
|--------------|---------------------|-------------------|-------------------|
| <u>Snips</u> | 13,084              | 700               | 700               |
| <u>ATIS</u>  | 4,478               | 500               | 893               |

# Dataset

- Random select part of slot types in 2 dataset as unknown slots { 5%  
15%  
30%
- For OOD intent detection, we just need to remove these sentences in training and validation set. However, for Novel Slot Detection, a sentence perhaps contains both in-domain slots and unknown slots, which is nontrivial for tackling unknown slots at the token level.



# Dataset

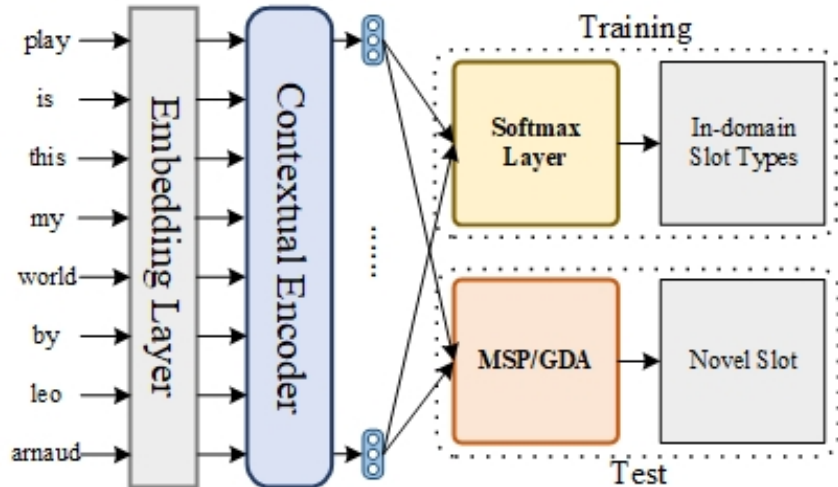
Therefore, they propose three different processing strategies as follows:

| Replace   | Mask  | Remove  |
|---|---|---|
| Label the unknown slot values with all <b>O</b> in the training set while the original values remain unchanged. | Label the unknown slot values with all <b>O</b> and mask these slot values with a special token <b>MASK</b> . | All the sentences containing unknown slots are removed. |

| Original Utterance           |         | play | is      | this    | my      | world   | by | leo      | arnaud   |
|------------------------------|---------|------|---------|---------|---------|---------|----|----------|----------|
| Original Slot Filling Labels |         | O    | B-album | I-album | I-album | I-album | O  | B-artist | I-artist |
| Strategy                     | Replace | play | is      | this    | my      | world   | by | leo      | arnaud   |
|                              |         | O    | O       | O       | O       | O       | O  | B-artist | I-artist |
|                              | Mask    | play | MASK    | MASK    | MASK    | MASK    | by | leo      | arnaud   |
|                              |         | O    | O       | O       | O       | O       | O  | B-artist | I-artist |
|                              | Remove  | -    | -       | -       | -       | -       | -  | -        | -        |
|                              |         | -    | -       | -       | -       | -       | -  | -        | -        |



# Model



Overall Framework

- In the training stage, we either train a multiple-class classifier or binary classifier using different training objective. We use public **BERT-large embedding** layer and **BiLSTM-CRF** for token level feature extraction. Then, in the test stage, we use the typical neural multiple classifier to predict the in-domain slot labels.
- Meanwhile, we use the detection algorithm, **MSP** or **GDA** to figure out novel slot tokens. Finally, we override the slot token labels which are detected as NS.

# Model

## Training objective:

| In-domain slot   |                   |
|--|-------------------|
| Multiple classifier                                      | Binary classifier |
| Refers to the traditional slot filling objective setting | Non-O or O        |

# Model

Training objective: in test stage

| In-domain slot      | Novel slot detection |                   |                   |
|---------------------|----------------------|-------------------|-------------------|
| Multiple classifier | Multiple classifier  | Binary classifier | Multiple + Binary |

Multiple + Binary: the token = “NS” only both classifiers predict it as NS.

# Model

## Detection algorithm:

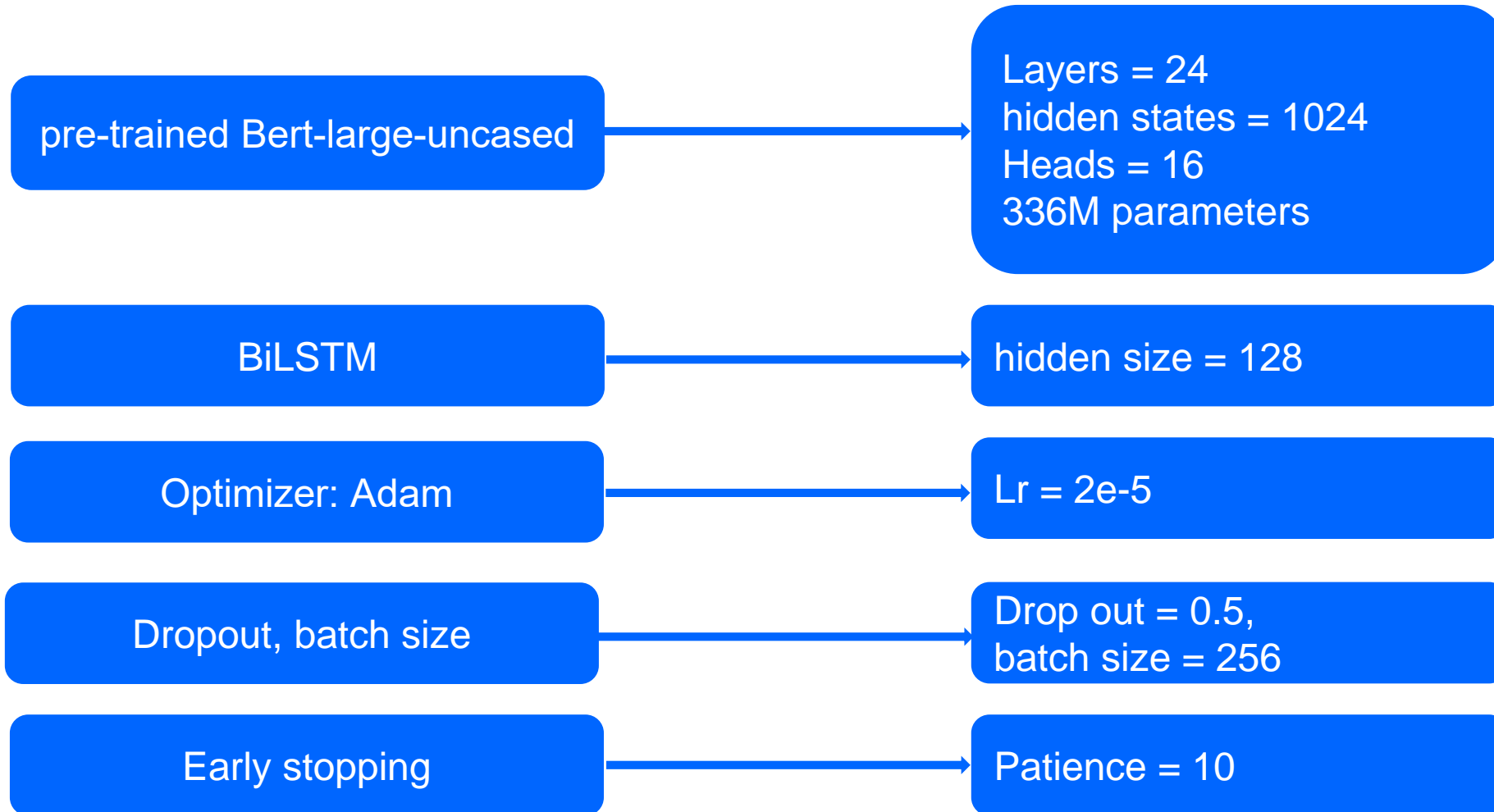
| Maximum Softmax Probability   | Gaussian Discriminant Analysis   |
|---|--|
| The maximum falls below the threshold, the token will be predicted to be a NS token | Is a generative distancebased classifier for out-of-domain detection with Euclidean space. |

# Model

## Distance strategy

- In original GDA, when the **Minimum** distance is greater than a certain threshold, it is predicted to be “ns”.
- Author propose a novel strategy named **Difference**, which uses the maximum distance minus the minimum distance, when the difference value of a target is less than a threshold, it is predicted as “ns”.

# Experiment



Our

| Model            |           |                 | 5%      |         |          | 15%     |         |          | 30%     |         |          |
|------------------|-----------|-----------------|---------|---------|----------|---------|---------|----------|---------|---------|----------|
|                  |           |                 | IND     | NSD     |          | IND     | NSD     |          | IND     | NSD     |          |
| detection method | objective | distance stragy | Span F1 | Span F1 | Token F1 | Span F1 | Span F1 | Token F1 | Span F1 | Span F1 | Token F1 |
| GDA              | multiple  | minimum         | 92.33   | 28.27   | 58.09    | 85.21   | 18.46   | 42.11    | 87.32   | 17.21   | 42.14    |

Bảng V: Kết quả thực nghiệm trên Snips-NSD 5%, 15%, 30%.

Result

Author's

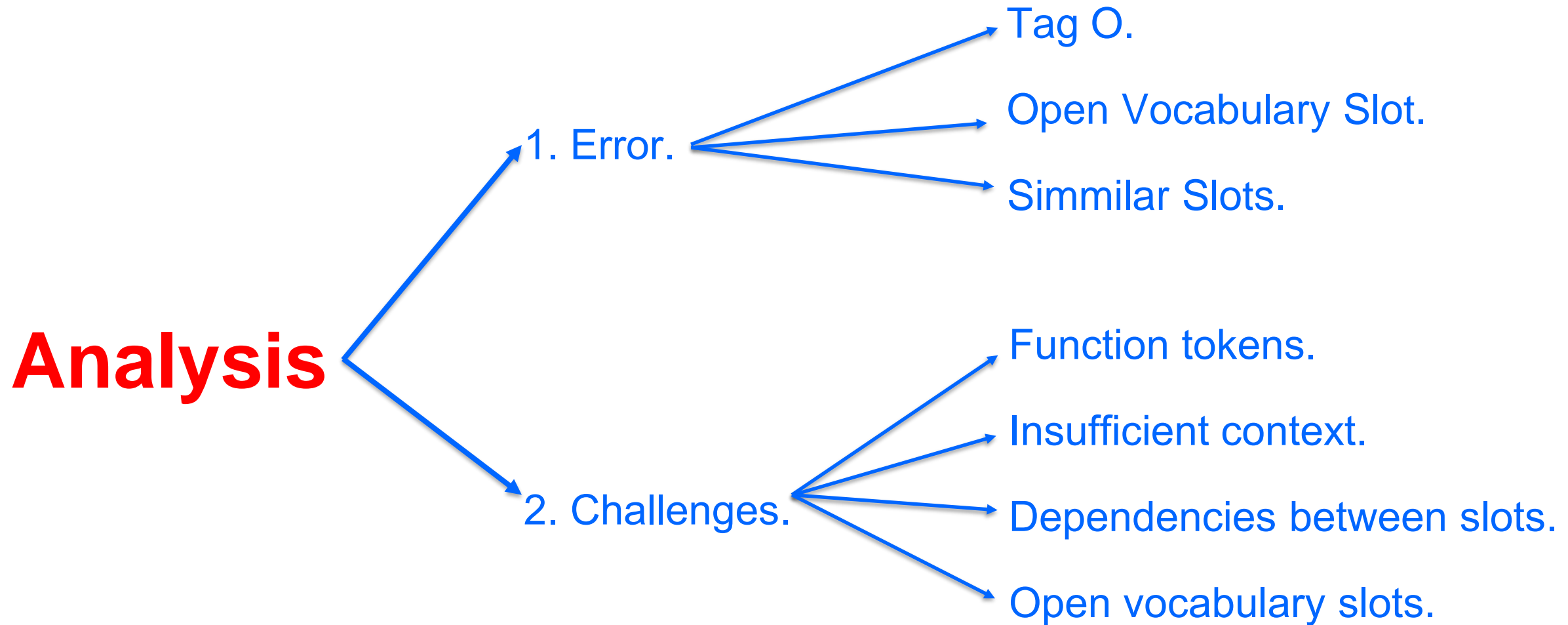
| Models           |                 |                   | 5%      |         |          | 15%     |         |          | 30%     |         |          |
|------------------|-----------------|-------------------|---------|---------|----------|---------|---------|----------|---------|---------|----------|
|                  |                 |                   | IND     | NSD     |          | IND     | NSD     |          | IND     | NSD     |          |
| detection method | objective       | distance strategy | Span F1 | Span F1 | Token F1 | Span F1 | Span F1 | Token F1 | Span F1 | Span F1 | Token F1 |
| MSP              | binary          | -                 | 87.21   | 12.34   | 25.16    | 71.44   | 12.31   | 39.50    | 58.88   | 8.73    | 40.38    |
|                  | multiple        | -                 | 88.05   | 14.04   | 30.50    | 79.71   | 20.97   | 40.02    | 78.52   | 25.26   | 46.91    |
|                  | binary+multiple | -                 | 89.59   | 23.58   | 37.55    | 83.72   | 24.70   | 45.32    | 79.08   | 30.66   | 52.10    |
| GDA              | binary          | difference        | 87.95   | 23.83   | 35.83    | 83.65   | 22.06   | 43.99    | 78.72   | 32.50   | 44.13    |
|                  | binary          | minimum           | 61.29   | 10.36   | 17.08    | 49.11   | 16.91   | 31.10    | 48.07   | 15.56   | 33.78    |
|                  | multiple        | difference        | 93.14   | 29.73   | 45.99    | 90.07   | 31.96   | 53.02    | 85.56   | 36.16   | 54.55    |
|                  | multiple        | minimum           | 93.10   | 31.67*  | 46.97*   | 90.18   | 32.19   | 53.75*   | 86.26*  | 38.64*  | 55.24*   |

Table 5: IND and NSD results with different proportions (5%, 15% and 30%) of classes are treated as unknown slots on Snips-NSD. \* indicates the significant improvement over all baselines ( $p < 0.05$ ).

| Models           |                 |                   | 5%      |         |          | 15%     |         |          | 30%     |         |          |
|------------------|-----------------|-------------------|---------|---------|----------|---------|---------|----------|---------|---------|----------|
|                  |                 |                   | IND     | NSD     |          | IND     | NSD     |          | IND     | NSD     |          |
| detection method | objective       | distance strategy | Span F1 | Span F1 | Token F1 | Span F1 | Span F1 | Token F1 | Span F1 | Span F1 | Token F1 |
| MSP              | binary          | -                 | 92.04   | 19.73   | 29.63    | 91.74   | 23.40   | 33.89    | 80.49   | 21.88   | 39.17    |
|                  | multiple        | -                 | 94.33   | 27.15   | 31.16    | 92.54   | 39.88   | 42.29    | 87.63   | 40.42   | 47.64    |
|                  | binary+multiple | -                 | 94.41   | 32.49   | 43.48    | 93.29   | 41.23   | 43.13    | 90.14   | 41.76   | 51.87    |
| GDA              | binary          | difference        | 93.69   | 27.02   | 34.21    | 92.13   | 30.51   | 36.30    | 88.73   | 30.91   | 45.64    |
|                  | binary          | minimum           | 93.57   | 15.90   | 20.96    | 90.98   | 24.53   | 27.26    | 88.21   | 26.40   | 39.83    |
|                  | multiple        | difference        | 95.20   | 47.78*  | 51.54*   | 93.92   | 50.92*  | 52.24*   | 92.02   | 51.26*  | 56.59*   |
|                  | multiple        | minimum           | 95.31*  | 41.74   | 45.91    | 93.88   | 43.78   | 46.18    | 91.67   | 45.44   | 52.37    |

Table 6: IND and NSD results with different proportions (5%, 15% and 30%) of classes are treated as unknown slots on ATIS-NSD. \* indicates the significant improvement over all baselines ( $p < 0.05$ ).





# Conclusion

- Research about Novel Slot Detection.
- Experiment on Snips-NSD.
- Analysis the result, challenge and suggest development directions for future research.

# Demo

# Thanks for watching