# Huy Nguyen

CONTACT Information

Phone: (+1) 571 356-7068

University Email: huynm@utexas.edu
Personal Email: huynguyen.hmn@gmail.com

Homepage: https://huynm99.github.io/

**EDUCATION** 

#### The University of Texas at Austin, Austin, TX, USA.

2022-2027

Last update: December 24, 2024

Ph.D Candidate in Statistics at the Department of Statistics and Data Sciences.

• Advisors: Professor Nhat Ho and Professor Alessandro Rinaldo.

• GPA: 4.0/4.0

Ho Chi Minh University of Science, Ho Chi Minh City, Vietnam.

2017 - 2020

Bachelor of Science in Mathematics and Computer Science, Honor Program.

• Advisor: Professor Dang Duc Trong.

• GPA: 9.75/10.0 - Summa Cum Laude.

RESEARCH EXPERIENCE Microsoft AI, Redmond, WA, USA.

Summer 2024

REFERIENCE Research Intern.

- Research topics: Applications of Mixture of Experts in Large Language Models.
- Propose a method for selecting crucial attention heads in the multi-head mechanism based on the routing strategy in mixture of experts to improve the efficiency of the Large Language Models.

The University of Texas at Austin, Austin, TX, USA.

2024-Present

Graduate Research Assistant.

- Research topics: Towards Understanding Mixture of Experts: From Theory to Practice.
- Supervisors: Professor Nhat Ho and Professor Alessandro Rinaldo.

VinAI, Hanoi, Vietnam.

2020-2022

AI Research Resident.

- Research topics: Optimal Transport theory and its applications in Domain Adaptation.
- Skill gained: Did research on Optimal Transport (Sinkhorn algorithms, Barycenter computation, etc) and applied them to study Data Shift and Label Shift problems in Domain Adaptation.

# RESEARCH INTERESTS

My research focuses on theoretical foundations for the Mixture-of-Experts (MoE) models where I study the effects of different gating functions (namely the softmax gate, the top-K sparse gate, the dense-to-sparse gate and the sigmoid gate, etc) on the convergence behavior of expert estimation. Based on insights from these results, I aim to design novel gating functions and characterize expert networks which help improve the efficiency and scalability of the MoE applications, including Large Language Models, Multimodal Learning and Parameter-efficient Fine-tuning (including prompt-based tuning and adapter-based tuning). Additionally, I am also interested in Optimal Transport theory.

## PUBLICATIONS

- 17. **Huy Nguyen**, Nhat Ho\*\*, Alessandro Rinaldo\*\*. Sigmoid Gating is More Sample Efficient than Softmax Gating in Mixture of Experts. Advances in Neural Information Processing Systems, 2024.
- 16. Xing Han, **Huy Nguyen\***, Carl Harris\*, Nhat Ho, Suchi Saria. FuseMoE: Mixture-of-Experts Transformers for Fleximodal Fusion. Advances in Neural Information Processing Systems, 2024.
- 15. Minh Le, An Nguyen\*, **Huy Nguyen**\*, Trang Nguyen\*, Trang Pham\*, Linh Van Ngo, Nhat Ho. Mixture of Experts Meets Prompt-Based Continual Learning. Advances in Neural Information Processing Systems, 2024.

- 14. **Huy Nguyen**, Nhat Ho\*\*, Alessandro Rinaldo\*\*. On Least Square Estimation in Softmax Gating Mixture of Experts. *Proceedings of the International Conference on Machine Learning*, 2024.
- 13. **Huy Nguyen**, Pedram Akbarian, Nhat Ho. Is Temperature Sample Efficient for Softmax Gaussian Mixture of Experts? *Proceedings of the International Conference on Machine Learning*, 2024.
- 12. **Huy Nguyen**, Pedram Akbarian, TrungTin Nguyen, Nhat Ho. A General Theory for Softmax Gating Multinomial Logistic Mixture of Experts. *Proceedings of the International Conference on Machine Learning*, 2024.
- 11. **Huy Nguyen**, Pedram Akbarian, Fanqi Yan, Nhat Ho. Statistical Perspective of Top-K Sparse Softmax Gating Mixture of Experts. *In International Conference on Learning Representations*, 2024.
- 10. **Huy Nguyen\***, TrungTin Nguyen\*, Khai Nguyen, Nhat Ho. Towards Convergence Rates for Parameter Estimation in Gaussian-gated Mixture of Experts. In International Conference on Artificial Intelligence and Statistics, 2024.
- 9. **Huy Nguyen**, Khai Nguyen, Nhat Ho. On Parameter Estimation in Gaussian Deviated Mixture of Experts. In International Conference on Artificial Intelligence and Statistics, 2024.
- 8. **Huy Nguyen**, TrungTin Nguyen, Nhat Ho. Demystifying Softmax Gating Function in Gaussian Mixture of Experts. Advances in Neural Information Processing Systems, 2023 (Spotlight, Top 3.6% out of 12343 submissions).
- 7. Dat Do\*, **Huy Nguyen\***, Khai Nguyen, Nhat Ho. Minimax Optimal Rate for Parameter Estimation in Multivariate Deviated Models. *Advances in Neural Information Processing Systems*, 2023.
- 6. Dung Le\*, **Huy Nguyen\***, Khai Nguyen\*, Trang Nguyen\*, Nhat Ho. Fast Approximation of the Generalized Sliced-Wasserstein Distance. *IEEE International Conference on Acoustics, Speech and Signal Processing*, 2024.
- 5. Khai Nguyen, Tongzheng Ren, **Huy Nguyen**, Litu Rout, Tan Nguyen, Nhat Ho. Hierarchical Sliced Wasserstein Distance. In International Conference on Learning Representations, 2023.
- 4. **Huy Nguyen\***, Khang Le\*, Dung Le\*, Dat Do, Tung Pham, Nhat Ho. Entropic Gromov-Wasserstein between Gaussian Distributions. *Proceedings of the International Conference on Machine Learning*, 2022.
- 3. Huy Nguyen\*, Khang Le\*, Khai Nguyen, Tung Pham, Nhat Ho. On Multimarginal Partial Optimal Transport: Equivalent Forms and Computational Complexity. In International Conference on Artificial Intelligence and Statistics, 2022.
- 2. **Huy Nguyen\***, Khang Le\*, Quang Minh Nguyen, Tung Pham, Hung Bui, Nhat Ho. On Robust Optimal Transport: Computational Complexity and Barycenter Computation. *Advances in Neural Information Processing Systems*, 2021.
- 1. Thu Nguyen, Duy H. M. Nguyen, **Huy Nguyen**, Binh T. Nguyen, Bruce A. Wade. EPEM: Efficient Parameter Estimation for Multiple Class Monotone Missing Data. *Information Sciences Journal*, Volume 567, page 1-22.

#### PREPRINTS

- 6. **Huy Nguyen\***, Xing Han\*, Carl Harris, Suchi Saria\*\*, Nhat Ho\*\*. On Expert Estimation in Hierarchical Mixture of Experts: Beyond Softmax Gating Functions. *Under review*, arXiv:2410.02935.
- 5. Minh Le\*, Chau Nguyen\*, **Huy Nguyen\***, Quyen Tran, Trung Le, Nhat Ho. Revisiting Prefixtuning: Statistical Benefits of Reparameterization among Prompts. *Under review, arXiv:2410.02200*.
- 4. **Huy Nguyen**, Pedram Akbarian\*, Trang Pham\*, Trang Nguyen\*, Shujian Zhang, Nhat Ho. Statistical Advantages of Perturbing Cosine Router in Mixture of Experts . *Under review*, arXiv:2405.14131.
- 3. Pedram Akbarian\*, **Huy Nguyen\***, Xing Han\*, Nhat Ho. Quadratic Gating Functions in Mixture of Experts: A Statistical Insight. *Under review*, arXiv:2410.11222.

- 2. Fanqi Yan\*, **Huy Nguyen\***, Dung Le\*, Pedram Akbarian, Nhat Ho. Understanding Expert Structures on Minimax Parameter Estimation in Contaminated Mixture of Experts. *Under review*, arXiv:2410.12258.
- 1. Quang Pham, Giang Do, **Huy Nguyen**, TrungTin Nguyen, Chenghao Liu, Mina Sartipi, Binh T. Nguyen, Savitha Ramasamy, Xiaoli Li, Steven Hoi, Nhat Ho. CompeteSMoE Effective Training of Sparse Mixture of Experts via Competition. *Under review*, arXiv:2402.02526.

# TEACHING

### The University of Texas at Austin, Austin, TX, USA.

EXPERIENCE Teaching Assistant at the Department of Statistics and Data Sciences.

• SDS302F - Foundations of Data Analysis.

Fall 2022

• SDS322E - Elements of Data Science.

Spring 2023

• SDS320E - Elements of Statistics.

Fall 2023

#### Professional Services

### Program Committee/Reviewer at

- the Electronic Journal of Statistics (EJS).
- the International Conference on Machine Learning (ICML) 2022-2025.
- the Conference on Neural Information Processing Systems (NeurIPS) 2022-2024.
- the International Conference on Artificial Intelligence and Statistics (AISTATS) 2022-2025.
- the International Conference on Learning Representations (ICLR) 2024-2025.
- the Association for the Advancement of Artificial Intelligence (AAAI) 2025.

#### Honors and Awards

- Top Reviewer at NeurIPS 2024.
- AISTATS 2024 Registration Grant. 2024
- ICLR 2024 Travel Award.

2024

• NeurIPS 2023 Scholar Award.

2023

2024

 $\bullet$  Doctoral Fellowship of the University of Texas at Austin.

2022

• Class of 2020 Valedictorian of Ho Chi Minh University of Science (HCMUS).

2020

 $\circ$  Graduated 1 year earlier with the highest GPA ever in HCMUS, at 9.75/10.0.

• Merit Scholarship for gifted students in Mathematics.

2018, 2019

- Granted by Vietnam Institute for Advanced Study in Mathematics (VIASM).
- Full Scholarship of Ho Chi Minh University of Science.

2017

o Only for a student having the highest score in the university entrance examination.

#### TECHNICAL SKILLS

- System: MacOS, Linux, Windows.
- Programming Languages: Python (Pytorch, Sci-kit Learn, Numpy, Matplotlib), R, MATLAB.
- Softwares: LaTeX, Microsoft Offices.

### REFERENCES

- Nhat Ho. Email: minhnhat@utexas.edu (Advisor).
- Alessandro Rinaldo. Email: alessandro.rinaldo@austin.utexas.edu (Advisor).