

Assignment 1: Languages, Induction, Recursion

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Problem 1 5%

The language *Balanced* over $\Sigma = \{ (,) \}$ is defined recursively as follows

1. $\Lambda \in \text{Balanced}$.
2. $\forall x, y \in \text{Balanced}$, both xy and (x) are elements of *Balanced*.

A prefix of a string x is a substring of x that occurs at the beginning of x . Prove by induction that a string x belongs to this language if and only if (iff) the statement $B(x)$ is true.

$B(x)$: x contains equal numbers of left and right parentheses, and no prefix of x contains more right than left.

Reminder for this and all following assignments: if you need to prove the “iff” statement, i.e., $X \iff Y$, you need to prove both directions, namely, “given X , prove that Y follows from X ($X \implies Y$)”, and “given Y , prove that X follows from Y ($X \impliedby Y$)”.

Problem 2 5%

Complete proof of claim about the reverse function (see Lecture 3).

Problem 3 5%

Finite language is a language with finite number of strings in it, i.e., there exist exactly k strings in this language such that $k \in \mathbb{N}$ and $k \neq \infty$. For a finite language L , let $|L|$ denote the number of elements of L . For example, $|\{\Lambda, a, ababb\}| = 3$. (Do not mix up with the length $|x|$ of a string x .) The statement $|L_1 L_2| = |L_1| |L_2|$ says that the number of strings in the concatenation $L_1 L_2$ is the same as the product of the two numbers $|L_1|$ and $|L_2|$. Is this always true? If so, prove, and if not, find two finite languages $L_1, L_2 \subseteq \{a, b\}^*$ such that $|L_1 L_2| \neq |L_1| |L_2|$.

Problem 4 5%

We proved in class that if L_1 , and L_2 are subsets of $\{a, b\}^*$ then $L_1^* \cup L_2^* \subseteq (L_1 \cup L_2)^*$. Show that $L_1^* \cup L_2^* \neq (L_1 \cup L_2)^*$.

Problem 5 5%

Find an example of languages L_1 and L_2 for which neither of L_1 , L_2 is a subset of the other, but $L_1^* \cup L_2^* = (L_1 \cup L_2)^*$. Prove the correctness of your example.

Problem 6 10%

Given language $L = \{yy \mid y \in \{a, b\}^*\}$. L can be represented as a concatenation

$$L = L\{\Lambda\} = \{\Lambda\}L$$

like any language. Can you express L as $L = L_1L_2$, where $L_1 \neq \{\Lambda\}$, and $L_2 \neq \{\Lambda\}$? Prove your answer.

Problem 7 5%

Each case below gives a recursive definition of $L \subseteq \{a, b\}^*$. Give a simple nonrecursive definition of L in each case. Example: $a \in L; \forall x \in L \quad ax \in L$ can be defined as "The set of all non-empty strings that do not contain b ."

1. $a \in L; \forall x \in L \quad xa, xb \in L$
2. $a \in L; \forall x \in L \quad bx, xb \in L$
3. $a \in L; \forall x \in L \quad ax, xb \in L$
4. $a \in L; \forall x \in L \quad xb, xa, bx \in L$
5. $a \in L; \forall x \in L \quad xb, ax, bx \in L$
6. $a \in L; \forall x \in L \quad xb, xba \in L$

Problem 8 5%

Suppose that Σ is an alphabet, and that $f : \Sigma^* \rightarrow \Sigma^*$ has the property that $f(\sigma) = \sigma$ for every $\sigma \in \Sigma$ and $f(xy) = f(x)f(y)$ for every $x, y \in \Sigma^*$. Prove that for every $x \in \Sigma^*$, $f(x) = x$.

Problem 9 15%

In each case below, find a recursive definition for the language L and prove that it is correct.

1. $L = \{a^i b^j \mid j \geq 2i\}$
2. $L = \{a^i b^j \mid j \leq 2i\}$

Problem 10 10%

Suppose $L \subseteq \{a, b\}^*$ is defined as follows: $\Lambda \in L$; for every x and y in L , the strings axb , $bx a$, and xy are in L . Show that $L = AEqB$, the language of all strings x in $\{a, b\}^*$ satisfying $n_a(x) = n_b(x)$.

Problem 11 10%

Let L_1, L_2 , and L_3 be languages over some alphabet. In each case below, two languages are given. Say what the relationship is between them. (Are they always equal? If not, is one always a subset of the other?) Give reasons for your answers, including counterexamples if appropriate.

1. $L_1(L_2 \cap L_3), L_1L_2 \cap L_1L_3$
2. $L_1^* \cap L_2^*, (L_1 \cap L_2)^*$
3. $L_1^*L_2^*, (L_1L_2)^*$

Problem 12 10%

For $x \in \text{EXPR}$ defined in class, $n_a(x)$ denotes the number of a 's in the string, and we will use $n_{op}(x)$ to stand for the number of operators in x (the number of occurrences of $+$ or $*$). Show that for every $x \in \text{EXPR}$, $n_a(x) = 1 + n_{op}(x)$.

Problem 13 10%

Show using induction that for every $x \in \{a, b\}^*$ such that x begins with a and ends with b , x contains the substring ab .

Solutions to Assignment 1

Solution to Problem 1

See solution in the textbook.

Solution to Problem 2

See solution in the slides. Use b instead of a .

Solution to Problem 3

The statement is not true. Example: $L_1 = \{\Lambda, a, b\}$, and $L_2 = \{\Lambda, a\}$, then $L_1 L_2 = \{\Lambda, a, b, aa, ba\}$, i.e., $|L_1 L_2| = 5$, whereas $|L_1| |L_2| = 6$.

Solution to Problem 4

$L_1 = \{\Lambda, a\}$, $L_2 = \{\Lambda, b\}$. String aba is in $(L_1 \cup L_2)^*$ but not in $L_1^* \cup L_2^*$.

Solution to Problem 5

Example: $L_1 = \{aa, aaaaa\}$, and $L_2 = \{aaa, aaaaa\}$.

Solution to Problem 6

See solution in the textbook.

Solution to Problem 7

1. The set of all strings beginning with a .
2. The set of all strings containing exactly one a .
3. The set of all strings containing at least one a , and no ba substring.
4. The set of all strings containing at least one a .
5. The set of all strings containing at least one a .
6. The set of all strings starting with a , with no substring aa .

Solution to Problem 8

Proof by structural induction

- basis: Show for Λ . $f(\Lambda) = f(\Lambda\Lambda) = f(\Lambda)f(\Lambda)$, i.e., we have a formula of form $z = zz$, where $z = f(\Lambda)$, which can be true for $z = \Lambda$ only.
- induction hypothesis: true for string x , $f(x) = x$
- induction step: $\forall a \in \Sigma f(xa) = f(x)f(a)$ by assumption. By IH $f(x) = x$, and by assumption $f(a) = a$, then $f(xa) = xa$.

Solution to Problem 9

1. $\Lambda \in L$; $\forall x \in L$, $axbb \in L$, and $xb \in L$.
2. $\Lambda \in L$; $\forall x \in L$, $axbb \in L$, and $axb \in L$, and $ax \in L$.

Let us prove (2) as an example. Let $L_0 = \{a^i b^j \mid j \leq 2i\}$.

First we use structural induction to show that $L \subseteq L_0$. Clearly $\Lambda \in L_0$. Suppose that $a^i b^j \in L_0$ (by inductive hypothesis), which means that $j \leq 2i$. Let's verify if all possible rules that extend this string lead us to L_0 . It follows that $j + 2 \leq 2(i + 1)$, which means that $a(a^i b^j)bb \in L_0$. It also follows that $j + 1 \leq 2(i + 1)$, so that $a(a^i b^j)b \in L_0$. Finally, it is also true that $j \leq 2(i + 1)$, so that $a(a^i b^j) \in L_0$. Therefore, $L \subseteq L_0$.

Now we use induction on $|x|$ to show that if $x \in L_0$, then $x \in L$. The basis step is straightforward. Suppose that $k \geq 0$ and that for any i and j in \mathbb{N} satisfying $i + j \leq k$, and $j \leq 2i$, $a^i b^j \in L$. Now suppose that $i + j = k + 1$ and $j \leq 2i$. We must show that $a^i b^j \in L$. We consider three cases. First, if $j = 2i$, then $j - 2 = 2(i - 1)$, which implies that $a^{i-1} b^{j-2} \in L$. By the induction hypothesis, $a^{i-1} b^{j-2} \in L$; therefore, $a^i b^j = a(a^{i-1} b^{j-2})bb \in L$, by the definition of L . Second, if $j = 2i - 1$, then $j - 1 = 2i - 2$, which means that $a^{i-1} b^{j-1} = a^{i-1} b^{2(i-1)}$ and therefore that $a^{i-1} b^{j-1} \in L_0$. Therefore, by the induction hypothesis, $a^{i-1} b^{j-1} \in L$, and by the recursive definition of L , $a^i b^j = a(a^{i-1} b^{j-1})b \in L$. Finally, if $j < 2i - 1$, then $j \leq 2(i - 1)$, which means that $a^{i-1} b^j \in L_0$. By the induction hypothesis, $a^{i-1} b^j \in L$; therefore, by the recursive definition of L , $a^i b^j = a(a^{i-1} b^j) \in L$.

Solution to Problem 10

See solution in the textbook.

Solution to Problem 11

1. $L_1(L_2 \cap L_3) \subseteq L_1 L_2 \cap L_1 L_3$. If $L_1 = \{a, aa\}$, $L_2 = \{\Lambda\}$, $L_3 = \{a\}$ then $L_1 L_2 \cap L_1 L_3 = \{aa\}$, and $L_1(L_2 \cap L_3) = \emptyset$.
2. $(L_1 \cap L_2)^* \subseteq L_1^* \cap L_2^*$, and they are not always equal. If $L_1 = \{a\}$, and $L_2 = \{aa\}$, then $L_1^* \cap L_2^*$ contains aa , and $(L_1 \cap L_2)^* = \emptyset$.
3. $L_1^* L_2^*, (L_1 L_2)^*$. Neither is necessarily a subset of the other. If $L_1 = \{a\}$, and $L_2 = \{b\}$, then $aabb \in L_1^* L_2^* - (L_1 L_2)^*$, and $abab \in (L_1 L_2)^* - L_1^* L_2^*$.

Solution to Problem 12

Use structural induction on the recursive definition of EXPR . We need to prove the statement $P(x)$ that is $n_a(x) = n_{op}(x) + 1$.

- Basis step: if $x = a$, $P(x)$ is true because $n_a(a) = n_{op}(a) + 1 = 0 + 1$.
- Induction hypothesis: we assume that $P(x)$, and $P(y)$ are true, i.e., $n_a(x) = n_{op}(x) + 1$, and $n_a(y) = n_{op}(y) + 1$.
- Induction step: We need to prove $P(z)$ for three cases $z = x + y$, $z = x * y$, and $z = (x)$. Let us prove it for $z = x + y$.

$$\begin{aligned}
 n_a(z) &= n_a(x) + n_a(y) && \text{(because there is no } a \text{ in } +) \\
 &= n_{op}(x) + 1 + n_{op}(y) + 1 && \text{(by induction hypothesis)} \\
 &= n_{op}(z) + 1 && \text{(because } n_{op}(z) = n_{op}(x) + n_{op}(y) + 1)
 \end{aligned}$$

Other cases are similar.

Solution to Problem 13

We prove the statement: $\forall n \in \mathbb{N}_{\geq 2}$, if $x \in \{a, b\}^*$, $|x| = n$, and x begins with a , ends with b , then x contains ab .

- BS: $n = 2$, the statement is true because if $|x| = 2$, starts with a , ends with b then $x = ab$.
- IH: the statement is true for some k
- IS: We need to prove it for $|x| = k + 1$. If the second symbol of x is b then x contains ab and the statement is correct; otherwise $x = ay$, where $|y| = k$, and y begins with a , ends with b . By IH, y contains ab , and therefore x also does.