Game Engine Architecture

Chapter 3
Fundamentals of Software
Engineering for Games

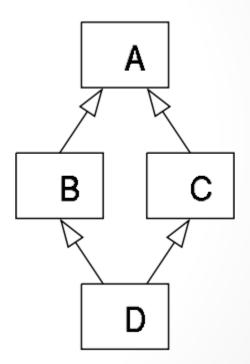
Hooman Salamat

Install SourceTree, KDIFF3, and Putty

- https://www.sourcetreeapp.com/
- https://download.kde.org/stable/kdiff3/?C=M;O=D
- https://www.chiark.greenend.org.uk/~sgtatham/pu tty/latest.html
 - Look for PuttyGen
 - Generate Key and Save both private key and public key
 - In GitHub → Go to Settings → New SSH key and paste your "public key" there!
 - o In Gitab → Go to Profile Settings → New SSH key and paste your "public key"

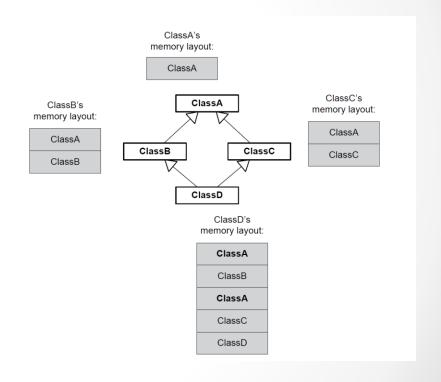
Brief Review of Object-Oriented Programming

- Classes and Objects
 - A class is a collection of attributes (data) and behaviors (code)
- Encapsulation
 - an object presents only a limited interface to the outside world
- Inheritance
 - allows new classes to be defined as extensions to preexisting classes
 - Inheritance creates an "is-a" relationship between classes.
 - For example, a circle is a type of shape.
 - We can draw diagrams of class hierarchies using the conventions defined by the Unified Modeling Language (UML).
- Multiple Inheritance (MI)
 - deadly diamond: inheritance diagram is in the shape of a diamond



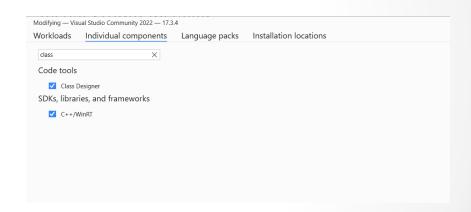
Deadly Diamond

- a derived class ends up containing two copies of a grandparent base class
- Multiple inheritance also complicates casting, because the actual address of a pointer may change depending on which base class it is cast to.
- In C++, virtual inheritance avoid this doubling of the grandparent's data.



Install Class Designer

- On Visual Studio, Click Tool → Get Tools and Features
- Click on Modify
- Select Individual Components
- Type "class" in the search box
- Select "Class Designer"
- Once installed, Right click on any c++ file, select "Class view Diagram"
- You can also do the same thing in any C# file in Unity!!!



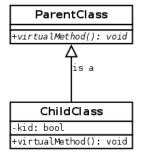


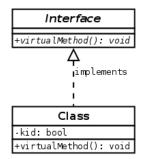
UML Class Diagram Cheat Sheet

Generalization

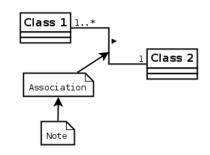
Realization

-privateMember: int #protectedMember: char +publicMember: bool +publicMethod(): void +publicVirtualMethod(parameter:int): void +staticMethod(): void -privateMethod(): bool #protectedMethod(value:int): char

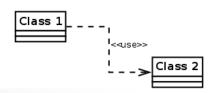




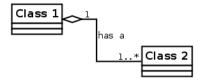
Association



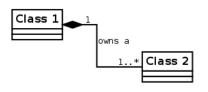




Aggregation

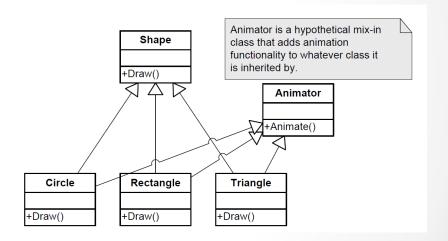


Composition



Mix-in classes

 contains methods for use by other classes without having to be the parent class of those other classes.



Polymorphism

- allows a collection of objects of different types to be manipulated through a single common for (; pShape!= pEnd; pShape++) interface
 void drawShapes (std::list<Shape*>
 std::list<Shape*>::iterator pShape = std::list<Shape*>::iterator pEnd = std::list<Shape*>
 std::list<Shape*>::iterator pEnd = std::list<Shape*>
 std::list<Shape*>:iterator pEnd = std::list<Shape*>
 std::list<Shape*>:iterator pEnd = std::list<Shape*>
 std::list<Shape*>:iterator pEnd = std::list<Shape*>
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 std::list<Shape*>:iterator pEnd = std::list<Shape*>
 std::list<Shape*>:iterator pEnd = std::list<Shape*>
 std::list<Shape*>:iterator pEnd = std::list<Shape*>
 std::list<Shape*>:iterator pShape++)
 interface
 switch (pShape->mType)
- One way to draw this heterogeneous collection// draw shape as a circle of shapes is to use a switch statement
 One way to draw this case CIRCLE: heterogeneous collection// draw shape as a rectal collection of shapes as a rectal case RECTANGLE: // draw shape as a rectal
- drawShapes() function needs to "know" about all of the kinds of shapes that can be drawn.
- difficult to add new types of shapes to the system

```
void drawShapes(std::list<Shape*>& shapes)
std::list<Shape*>::iterator pShape = shapes.begin();
std::list<Shape*>::iterator pEnd = shapes.end();
switch (pShape->mType)
case CIRCLE:
break:
case RECTANGLE:
// draw shape as a rectangle
break:
case TRIANGLE:
// draw shape as a triangle
break:
//...
```

Polymorphism

- The solution is to insulate the majority of our code from any knowledge of the types of objects with which it might be dealing.
- A virtual function—
- the C++ language's primary polymorphism mechanism

```
struct Shape
virtual void Draw() = 0; // pure virtual function
virtual ~Shape() { } // ensure derived dtors are virtual
struct Circle: public Shape
virtual void Draw()
// draw shape as a circle
struct Rectangle: public Shape
virtual void Draw()
// draw shape as a rectangle
struct Triangle: public Shape
virtual void Draw()
// draw shape as a triangle
```

Composition and Aggregation

- Composition is the practice of using a group of interacting objects to accomplish a high-level task.
- object composition is the process of creating complex objects from simpler ones.
- the "has-a" relationship is called composition.
- spaceship has an engine, which in turn has a fuel tank.

- an aggregation is still a partwhole relationship, where the parts are contained within the whole, and it is a unidirectional relationship
- parts can belong to more than one object at a time, and the whole object is not responsible for the existence and lifespan of the parts.
- the "uses-a" relationship is called aggregation
- every person has an address. However, that address can belong to more than one person at a time

Design Patterns

The most well-known book on this topic is probably the "Gang of Four" book

https://op.wikipodia.org/wiki/Dosign_Patterns

- https://en.wikipedia.org/wiki/Design_Patterns
- Singleton. This pattern ensures that a particular class has only one instance (the singleton instance) and provides a global point of access to it.
- Iterator. An iterator provides an efficient means of accessing the individual elements of a collection, without exposing the collection's underlying
- Abstract factory. An abstract factory provides an interface for creating families of related or dependent classes without specifying their concrete classes.

RAII Design Pattern

- "resource acquisition is initialization" pattern (RAII).
- the acquisition and release of a resource (such as a file, a block of dynamically allocated memory, or a mutex lock) are bound to the constructor and destructor of a class

```
void bad()
    m.lock(); // acquire the mutex
    f();
// if f() throws an exception, the mutex is never
released
    if (!everything ok()) return;
// early return, the mutex is never released
    m.unlock();
// if bad() reaches this statement, the mutex is
released
void good()
    std::lock guard<std::mutex> lk(m);
// RAII class: mutex acquisition is initialization
    f();
// if f() throws an exception, the mutex is
    if (!everything ok()) return;
// early return, the mutex is released
// if good() returns normally, the mutex is
released
```

C++ Language Standardization

- C++98 was the first official C++ standard, established by the ISO in 1998.
- C++03 was introduced in 2003
- C++11 added a large number of powerful new features to the language
- C++14 was approved by the ISO on August 18, 2014
- C++17 was published by the ISO on July 31, 2017
- C++20 is supposed to be published by the end of 2020

Cost of Switching between Standards

- decide on the most advanced C++ standard to support, and then stick with it for a reasonable length of time
- remember that when you have a hammer, everything can tend to look like a nail. Don't be tempted to use features of your language just because they're there (or because they're new).
- A judicious and carefully considered approach will result in a stable codebase that's as easy as possible to understand, reason about, debug and maintain.

Data, Code and Memory Layout

- People think most naturally in base ten, also known as decimal notation
- integers and real valued numbers need to be stored in the computer's memory (base-two representation)
- Computer scientists sometimes use a prefix of "0b" to represent binary numbers
- 0b1101 = (1 23) + (1 22) + (0 21) + (1 20) = 8 + 4 + 0 + 1 = 13.
- Another common notation popular in computing circles is hexadecimal, or base 16.
- A prefix of "0x" is used to denote hex numbers in the C and C++

Signed and Unsigned Integers

- To represent a signed integer in 32 bits, the sign and magnitude encoding reserves the most significant bit as a sign bit.
- When this bit is zero, the value is positive, and when it is one, the value is negative.
- Most microprocessors use two's complement notation
 - So values from 0x00000000 (0) to 0x7FFFFFFF (2,147,483,647) represent positive integers, and 0x80000000 (-2,147,483,648) to 0xFFFFFFFF (-1) represent negative integers.

Fixed-Point Notation

- To represent fractions and irrational numbers we need a different format that expresses the concept of a decimal point.
- how many bits will be used to represent the whole part of the number, and the rest of the bits are used to represent the fractional part.
- As we move from left to right (i.e., from the most significant bit to the least significant bit), the magnitude bits represent decreasing powers of two (..., 16, 8, 4, 2, 1), while the fractional bits represent decreasing inverse powers of two (1/2, 1/4, 1/8, 1/16, ...)
- to store the Number -173.25 in 32-bit fixed-point notation with one sign bit, 16 bits for the magnitude and 15 bits for the fraction: 0x8056A000

1 0 0 0 0 0 0 0	0 1 0 1 0 1 0	1 0 1 0 0 0 0 0	0 0 0 0 0 0 0 0	= -173.25
31		15	0	
0x80	0x56	0xA0	0x00	

Fixed-Point Notation

- The problem with fixed-point notation is that it constrains both the range of magnitudes that can be represented and the amount of precision we can achieve in the fractional part.
- Consider a 32-bit fixed-point value with 16 bits for the magnitude, 15 bits for the fraction and a sign bit.
- This format can only represent magnitudes up to 65,535, which isn't particularly large.
- To overcome this problem, we employ a floatingpoint representation.

Floating-Point Notation

- the position of the decimal place is arbitrary and is specified with the help of an exponent
- A floating-point number is broken
- into three parts:
 - the mantissa, which contains the relevant digits of the number on both sides of the decimal point,
 - the exponent, which indicates where in that string of digits the decimal point lies,
 - o and a sign bit
- IEEE-754 states that a 32-bit floating-point number will be represented with the sign in the most significant bit, followed by 8 bits of exponent and
- finally 23 bits of mantissa.



Floating-Point Notation

- s = 0
- e = 0b011111100 = 124
- and m = 0b0100... = 1/4

$$v = s \times 2^{(e-127)} \times (1+m)$$

$$= (+1) \times 2^{(124-127)} \times (1+\frac{1}{4})$$

$$= 2^{-3} \times \frac{5}{4}$$

$$= \frac{1}{8} \times \frac{5}{4}$$

$$= 0.125 \times 1.25 = 0.15625.$$

- The value v represented by a sign bit s, an exponent e and a mantissa m is
- $v = s * 2^{(e-127)} * (1 + m).$
- The sign bit s has the value +1 or -1.
- The exponent e is biased by 127 so that negative exponents can be easily represented.
- The mantissa begins with an implicit 1 that is not actually stored in memory, and the rest of the bits are interpreted as inverse powers of two.
- Hence the value represented is really 1

Ogre Primitive Data Types

```
// Integer formats of fixed bit width
typedef unsigned int uint32;
typedef unsigned short uint16;
typedef unsigned char uint8;
typedef int int32;
typedef short int16;
typedef signed char int8;
// define uint64 type
#if OGRE COMPILER == OGRE COMPILER MSVC
    typedef unsigned __int64 uint64;
    typedef int64 int64;
#else
    typedef unsigned long long uint64;
    typedef long long int64;
#endif
#if OGRE DOUBLE PRECISION == 1
    typedef double Real;
#else
     typedef float Real;
#endif
```

- Ogre::uint8,
 Ogre::uint16 and
 Ogre::uint32 are the basic unsigned sized integral types.
- Ogre::Real defines a real floating-point value.

OgreMath.h

- Ogre::Radian and Ogre::Degree are wrapper classes (around Ogre::Real) which indicates a given angle value is in Radians or Degree.
- Radian values are interchangeable with Degree values, and conversions will be done automatically between them.

 Ogre::Angle represents an angle in the current "default" angle unit.
The programmer can define whether the default will be radians or degrees when the OGRE application first starts up.

Multibyte Values

- Values that are larger than eight bits (one byte) wide are called multibyte quantities.
- For example, the integer value 4660 = 0x1234 is represented by the two bytes 0x12 and 0x34.
- 0x12 the most significant byte and 0x34 the least significant byte
- In a 32-bit value, such as 0xABCD1234, the most-significant byte is 0xAB and the least significant is 0x34.
- The same concepts apply to 64-bit integers and to 32- and
- 64-bit floating-point values as well.

Endianness

- Multibyte integers can be stored into memory in one of two ways:
- Little-endian: If a microprocessor stores the least significant byte of a multibyte value at a lower memory address than the most significant byte
- Big-endian: stores the most significant byte of a multibyte value at a lower memory address

U32 value = 0xABCD1234; U8* pBytes = (U8*)&value						
Big-endian		Little-endian				
pBytes + 0x0	0xAB	pBytes + 0x0	0x34			
pBytes + 0x1	0xCD	pBytes + 0x1	0x12			
pBytes + 0x2	0x12	pBytes + 0x2	0xCD			
pBytes + 0x3	0x34	pBytes + 0x3	0xAB			

Big- and little-endian representations of the value 0xABCD1234.

Why Endianness Matters?

- games are usually developed on a Windows or Linux machine running an Intel Pentium processor (which is little-endian),
- but run on a console such as the Wii, Xbox or PlayStation —all three of which utilize a variant of the PowerPC processor (which can be configured to use either endianness, but is big-endian by default

Solution:

- You could write all your data files as text and store all multibyte numbers as sequences of decimal or hexadecimal digits, one character (one byte) per digit. This would be an inefficient use of disk space, but it would work.
- You can have your tools endian-swap the data prior to writing it into a binary data file.

Integer Endian Swapping

```
struct Example
U32 m a;
U16 m b;
U32 m c;
};
inline U16 swapU16(U16 value)
return ((value & 0x00FF) << 8)</pre>
((value & 0xFF00) >> 8);
inline U32 swapU32(U32 value)
return ((value & 0x000000FF) << 24)</pre>
((value & 0x0000FF00) << 8)
 ((value & 0x00FF0000) >> 8)
  ((value & 0xFF000000) >> 24);
```

Floatingpoint Endian Swapping

- an IEEE 754 floating point value has a detailed internal structure involving some bits for the mantissa, some bits for the exponent and a sign bit.
- However, you can endian swap it just as if it were an integer, because bytes are bytes.

```
union U32F32
{
U32 m_asU32;
F32 m_asF32;
};
inline F32 swapF32(F32 value)
{
U32F32 u;
u.m_asF32 = value;
// endian-swap as integer
u.m_asU32 = swapU32(u.m_asU32);
return u.m_asF32;
}
```

Kilobytes versus Kibibytes

Metric (SI)			IEC		
Value	Unit	Name	Value	Unit	Name
1000	kB	kilobyte	1024	KiB	kibibyte
1000 ²	MB	megabyte	1024 ²	MiB	mebibyte
1000 ³	GB	gigabyte	1024 ³	GiB	gibibyte
1000 ⁴	TB	terabyte	1024 ⁴	TiB	tebibyte
1000 ⁵	PB	petabyte	1024 ⁵	PiB	pebibyte
1000 ⁶	EB	exabyte	1024 ⁶	EiB	exbibyte
1000 ⁷	ZB	zettabyte	1024 ⁷	ZiB	zebibyte
10008	YB	yottabyte	10248	YiB	yobibyte

- When we say "kilobyte," we usually means 1024 bytes.
- (The International System of Units) SI units define the prefix "kilo" to mean 10^3 or 1000, not 1024.
- To resolve this ambiguity, the International Electrotechnical Commission (IEC) in 1998 established a new set of SI-like prefixes for use in computer science.

Declarations, Definitions and Linkage

- The compiler translates one .cpp file at a time, and for each one it generates an output file called an object file (.o or .obj).
- A .cpp file is the smallest unit of translation operated on by the compiler: "translation unit"
- An object file contains not only the compiled machine code for all of the functions defined in the .cpp file, but also all of its global and static variables.
- An object file may contain unresolved references to functions and global variables defined in other .cpp files.
- Linker's job to combine all of the object files into a final executable image.

Definitions in Header Files and Inlining

- if a header file containing a definition is #included
- into more than one .cpp file → "multiply defined symbol" linker error.
- Inline function definitions are an exception to this rule, because each invocation of an inline function gives rise to a brand new copy of that function's machine code

inline

- The inline keyword is really just a hint to the compiler.
- Note that it is not sufficient to tag a function declaration with the inline keyword in a .h file and then place the body of that function in a .cpp file.
- The compiler must be able to "see" the body of the function in order to inline it.
- inline function definitions must be placed in header files if they are to be used in more than one translation unit.

```
foo.h
// This function definition will be inlined properly.
inline int max(int a, int b)
return (a > b) ? a : b;
// This declaration cannot be inlined because the
// compiler cannot "see" the body of the function.
inline int min(int a, int b);
foo.cpp
// The body of min() is effectively "hidden" from the
// compiler, so it can ONLY be inlined within foo.cpp.
int min(int a, int b)
return (a \leq b) ? a : b;
```

So why inline?

- Compiler does a cost/ benefit analysis of each inline function, weighing the size of the function's code versus the potential performance benefits of inling it,
- the compiler gets the final say as to whether the function will really be inlined or not.
- Some compilers provide syntax like __forceinline, allowing the programmer to bypass the compiler's cost/benefit analysis and control function inlining directly.
- Inline functions provide following advantages:
 - 1) Function call overhead doesn't occur.
 - 2) It also saves the overhead of push/**pop variables** on the stack when function is called.
 - 3) It also saves overhead of a return call from a function.

Linkage

- Every definition in C and C++ has a property known as linkage.
- Technically speaking, declarations don't have a linkage property at all, because they do not allocate any storage in the executable image
- A definition with external linkage is visible to and can be referenced by translation units other than the one in which it appears.
- A definition with internal linkage can only be "seen" inside the translation unit
- linkage is the translation unit's equivalent of the public: and private: keywords in C++ class definitions.
- By default, definitions have external linkage. The static keyword is used to change a definition's linkage to internal.

static definitions

```
foo.cpp
// This variable can be used by other .cpp files (external
linkage).
U32 gExternalVariable;
// This variable is only usable within foo.cpp (internal linkage).
static U32 gInternalVariable;
// This function can be called from other .cpp files (external
linkage).
void externalFunction()
// This function can only be called from within foo.cpp
// (internal linkage).
static void internalFunction()
// ...
```

two or more identical static definitions in two or more different .cpp files are considered to be distinct entities by the linker

Extern vs. static

```
bar.cpp
// This declaration grants access to foo.cpp's variable.
extern U32 gExternalVariable;
// This 'gInternal Variable' is distinct from the one
// defined in foo.cpp -- no error. We could just as
// well have named it gInternalVariableForBarCpp -- the
// net effect is the same.
static U32 gInternalVariable;
// This function is distinct from foo.cpp's
// version -- no error. It acts as if we had named it
// internalFunctionForBarCpp().
static void internalFunction()
// ...
// ERROR -- multiply defined symbol!
void externalFunction()
// ...
```

Memory Layout of a C/C++ Program

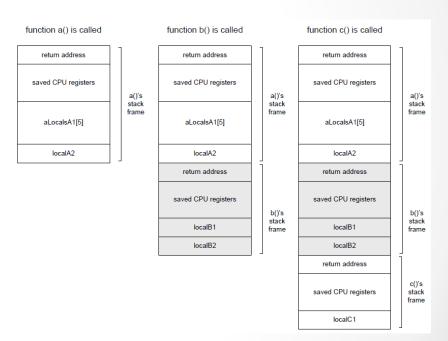
- Executable Image: executable and linking format (ELF) in Unix-Like OS and .exe in Windows.
- The executable file always contains a partial image of the program as it will exist in memory when it runs.
- The executable image is divided into contiguous blocks called segments or sections.
 - Text segment. Sometimes called the code segment
 - Data segment. contains all initialized global and static variables.
 - BSS segment: "block started by symbol" segment contains uninitialized global and static variables
 - Read-only data segment: rodata segment contains any read-only (constant) global data

Program Stack

- When an executable program is loaded into memory and run, OS reserves an area of memory for the program stack.
- Whenever a function is called, a contiguous area of stack memory is pushed onto the stack—stack frame.
- If function a() calls another function b(), a new stack frame for b() is pushed on top of a()'s frame.
 When b() returns, its stack frame is popped,
- Stack frames stores
 - the return address of the calling function
 - The contents of all relevant CPU registers
 - o all local variables declared by the function: automatic variables.

Stack frame

```
void c()
 U32 localC1:
 // ...
F32 b()
 F32 localB1;
 132 localB2:
 // ...
 c();
 // ...
 return localB1;
void a()
U32 aLocalsA1[5];
F32 localA2 = b();
// ...
```



Dynamic Allocation Heap

- To allow for dynamic allocation, the operating system maintains a block of memory (Heap Memory) for each running process
- In C++, the global new and delete operators are used to allocate and free memory to and from the free store.

Member Variables

- C structs and C++ classes allow variables to be grouped into logical units.
- class or struct declaration allocates no memory.
- data—a cookie cutter which can be used to stamp out instances of that struct or class later on

```
struct Foo // struct declaration
{
U32 mUnsignedValue;
F32 mFloatValue;
bool mBooleanValue;
};
```

Allocation

 Once a struct or class has been declared, it can be allocated (defined) in any of the ways that a primitive data type can be allocated;

```
o as an automatic variable, on the program stack;
void someFunction()
{
Foo localFoo;
// ...
}
o as a global, file-static or function-static;
Foo gFoo;
static Foo sFoo;
void someFunction()
{
static Foo sLocalFoo;
// ...
}
```

Dynamic Allocation

- a struct or class can be also dynamically allocated from the heap.
- the pointer or reference variable used to hold the address of the data can itself be allocated as an automatic, global, static or even dynamically.

```
Foo* gpFoo = nullptr; // global pointer to a Foo void someFunction()

{

// allocate a Foo instance from the heap gpFoo = new Foo;

// ...

// allocate another Foo, assign to local pointer Foo* pAnotherFoo = new Foo;

// ...

// allocate a POINTER to a Foo from the heap Foo** ppFoo = new Foo*;

(*ppFoo) = pAnotherFoo;
}
```

Class-Static Members

- When used at file scope, static means "restrict the visibility of this variable or function so it can only be seen inside this .cpp file."
- When used at function scope, static means "this variable is a global, not an automatic, but it can only be seen inside this function."
- When used inside a struct or class declaration, static means "this variable is not a regular member variable, but instead acts just like a global."

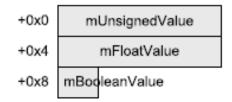
Static Members

```
//foo.h
class Foo
public:
static F32 sClassStatic; // allocates no memory!
//foo.cpp
static Foo sFoo; // restrict the visibility
F32 Foo::sClassStatic = -1.0f; // define memory and initialize
void someFunction()
static Foo sLocalFoo; // acts just like a global
// ...
```

Object Layout in Memory

```
struct Foo
U32 mUnsignedValue;
F32 mFloatValue:
132 mSignedValue;
struct Bar
U32 mUnsignedValue;
F32 mFloatValue;
bool mBooleanValue; //
diagram assumes this is 8 bits
```

+0x0	mUnsignedValue		
+0x4	mFloatValue		
+0x8	mSignedValue		



Alignment and Packing

```
struct InefficientPacking
U32 mU1; // 32 bits
F32 mF2; // 32 bits
U8 mB3; // 8 bits
132 ml4; // 32 bits
bool mB5; // 8 bits
char* mP6; // 32 bits
```

+0x0	mU1			
+0x4	mF2			
+0x8	mB3			
+0xC	ml4			
+0x10	mB5			
+0x14		mP6		

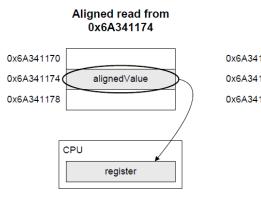
Alignment

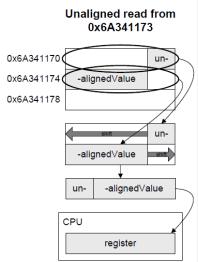
- Why does the compiler leave these "holes"?
- every data type has a natural alignment, which must be respected in order to permit the CPU to read and write memory effectively
- The alignment of a data
- object refers to whether its address in memory is a multiple of its size (which is generally a power of two):

- An object with 1-byte alignment resides at any memory address.
- An object with 2-byte alignment resides only at even addresses (i.e., addresses whose least significant nibble is 0x0, 0x2, 0x4, 0x8, 0xA, 0xC or 0xE).
- An object with 4-byte alignment resides only at addresses that are a multiple of four (i.e., addresses whose least significant nibble is 0x0, 0x4, 0x8 or 0xC).
- A 16-byte aligned object resides only at addresses that are a multiple of 16 (i.e., addresses whose least significant nibble is 0x0).

Alignment Example

- if a program requests that a 32-bit (4-byte) integer be read from address 0x6A341174,
- the memory controller will load the data happily because the address is 4-byte aligned
- in this case, its least significant nibble is 0x4.
- if a request is made to load a 32-bit integer from address 0x6A341173,
- the memory controller now has to read two 4-byte blocks: the one at 0x6A341170 and the one at 0x6A341174
- It must then mask and shift the two parts of the 32-bit integer and logically OR them together into the destination register on the CPU.





Packing

```
struct MoreEfficientPacking
U32 mU1; // 32 bits (4-byte
aligned)
F32 mF2; // 32 bits (4-byte aligned)
132 ml4; // 32 bits (4-byte aligned)
char* mP6; // 32 bits (4-byte
aligned)
U8 mB3; // 8 bits (1-byte aligned)
bool mB5; // 8 bits (1-byte aligned)
};
            +0x0
                      mU1
```

mF2

ml4

mP6

(pad)

+0x4

+0x8

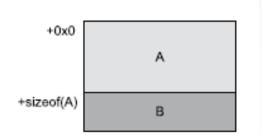
+0xC

+0x10 mB3 mB5

```
struct BestPacking
U32 mU1; // 32 bits (4-byte
aligned)
F32 mF2; // 32 bits (4-byte aligned)
132 ml4; // 32 bits (4-byte aligned)
char* mP6; // 32 bits (4-byte
aligned)
U8 mB3; // 8 bits (1-byte aligned)
bool mB5; // 8 bits (1-byte aligned)
U8 pad[2]; // explicit padding
```

Memory Layout of C++ Classes

- Two things make C++
 classes a little different
 from C structures in terms
 of memory layout:
 inheritance and virtual
 functions.
- When class B inherits from class A, B's data members simply appear immediately after A's in memory
- Each new derived class simply tacks its data members on at the end



virtual table pointer

- If a class contains or inherits one or more virtual functions, then four additional bytes (or eight bytes if the target hardware uses 64-bit addresses) are added to the class layout
- These four or eight bytes are collectively called the virtual table pointer

- they contain a pointer to a data structure known as the virtual function table or vtable
- The vtable for a particular class contains pointers to all the virtual functions that it declares or inherits.
- Each concrete class has its own virtual table, and every instance of that class has a pointer to it, stored in its vpointer.

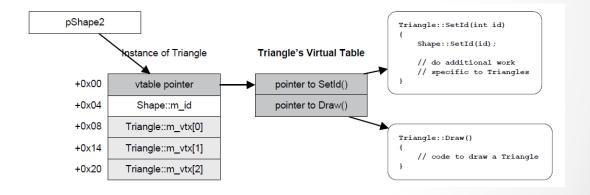
Vtable pointer example

```
class Shape
public:
virtual void SetId(int id) { m_id = id; }
int GetId() const { return m id; }
virtual void Draw() = 0; // pure virtual -- no impl.
private:
int m id;
                                                               pShape1
                                                                                                                           Shape::SetId(int id)
                                                                                                                              m id = id;
class Circle: public Shape
                                                                          nstance of Circle
                                                                                                  Circle's Virtual Table
public:
                                                                +0x00
                                                                           vtable pointer
                                                                                                    pointer to SetId()
void SetCenter(const Vector3& c) { m center=c; }
                                                                +0x04
                                                                           Shape::m_id
                                                                                                    pointer to Draw()
Vector3 GetCenter() const { return m_center; }
                                                                +0x08
                                                                          Circle::m_center
void SetRadius(float r) { m radius = r; }
                                                                                                                           Circle::Draw()
float GetRadius() const { return m radius; }
                                                                +0x14
                                                                          Circle::m radius
                                                                                                                              // code to draw a Circle
virtual void Draw()
// code to draw a circle
private:
Vector3 m center;
```

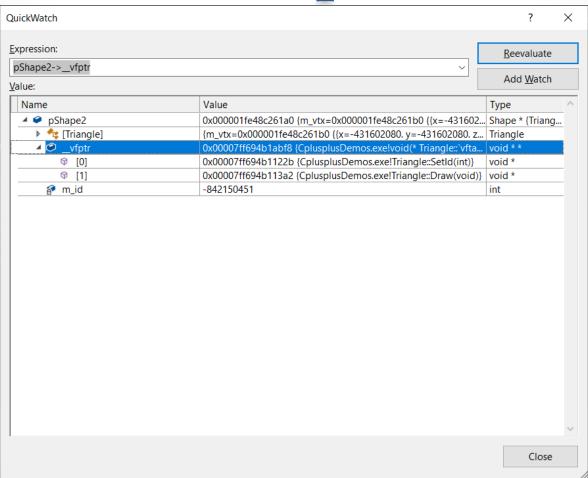
float m radius;

Vtable pointer example

```
class Triangle: public Shape
public:
void SetVertex(int i, const Vector3& v);
Vector3 GetVertex(int i) const { return m vtx[i]; }
virtual void Draw()
// code to draw a triangle
virtual void SetId(int id)
// call base class' implementation
Shape::SetId(id);
// do additional work specific to Triangles...
private:
Vector3 m vtx[3];
void main(int, char**)
Shape* pShape1 = new Circle;
Shape* pShape2 = new Triangle;
pShape1->Draw();
pShape2->Draw();
```



_vfptr



Computer Hardware Fundamentals

CPU

- an arithmetic/logic unit (ALU) for performing integer arithmetic and bit shifting,
- a floating-point unit (FPU) for doing floating-point arithmetic (typically using the IEEE 754 floating-point standard representation),
- all modern CPUs also contain a vector processing unit (VPU)

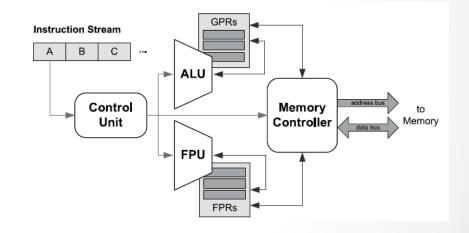
which is capable of performing floating-point and integer operations on multiple data items in parallel

- a memory controller (MC) or memory management unit (MMU) for interfacing with on-chip and off-chip memory devices,
- a bank of *registers* which act as temporary storage during calculations
- a control unit (CU) for decoding and dispatching machine language instructions to the other components on the chip, and routing

to the other components on the chip, and routing data between

them.

 All of these components are driven by a periodic square wave signal known as the clock.



Registers

- an ALU or FPU can usually only perform calculations on data that exists in special high-speed memory cells called registers.
- They're usually implemented using fast, high-cost multi-ported static RAM or SRAM.
- A bank of registers within a CPU is called a register file.
- they typically don't have addresses but they do have names. These could be as simple as R0, R1, R2

Registers

- Some of the registers in a CPU are designed to be used for general calculations.
- They're appropriately named general-purpose registers (GPR).
- Every CPU also contains a number of specialpurpose registers (SPR). These include:
 - the instruction pointer (IP)-> the address of the currently-executing instruction
 - the stack pointer (SP)-> the address of the top of the program's call stack
 - the base pointer (BP)-> contains the base address of the current function's stack frame on the call stack.
 - o the status register -> the results of the most-recent ALU operation.

Clock Speed versus Processing

- The "processing power" of a CPU or computer can be defined in various ways.
- One common measure is the throughput of the machine—the number of operations it can perform during a given interval of time.
- Throughput is expressed either in units of millions of instructions per second (MIPS) or floating-point
- operations per second (FLOPS)
- Because instructions or floating-point operations don't generally complete in exactly one

Memory

- read-only memory (ROM)
- Electronically erasable programmable ROM or EEPROM can be reprogrammed over and over
 - Flash drives are one example of EEPROM
- read/write memory, or random access memory (RAM)
 - o RAM can be further divided into static RAM (SRAM) and dynamic RAM
 - RAM retain their data as long as power is applied to them.
 - But unlike static RAM, dynamic RAM also needs to be "refreshed" periodically (by reading the data and then re-writing it) in order to prevent its contents from disappearing

Instruction Set Architecture (ISA)

- The set of all instructions supported by a given CPU, its addressing modes and the in-memory instruction format, is called its instruction set architecture or ISA.
- the following categories of instruction types are common to pretty much every ISA:
 - Move (Load & Store)
 - o Arithmetic operations: addition, subtraction, multiplication and division
 - Bitwise operators: AND, OR, XOR
 - Shift/rotate operators (bits rolling off one end)
 - o Comparison
 - Jump and branch
 - o Push and pop
 - Function call and return
 - Interrupts -> such as an input becoming available

Machine Language

- Every machine language instruction is comprised of three basic parts:
 - o an opcode, which tells the CPU which operation to perform
 - zero or more operands which specify the inputs and/or outputs of the instruction
 - some kind of options field, specifying things like the addressing mode of the instruction
- In some ISAs, all instructions occupy a fixed number of bits; this is typical of reduced instruction set computers (RISC).
- In other ISAs, different types of instructions may be encoded into differently-sized instruction words; this is common in complex instruction set computers (CISC).

Instruction word

- The opcode and operands (if any) of an ML instruction are packed into a contiguous sequence of bits called an instruction word.
- Instruction words are often multiples of 32 or 64 bits, because this matches the width of the CPU's registers and/or data bus.
- In very long instruction word (VLIW) CPU designs, parallelism is achieved by allowing multiple operations to be encoded into a single very wide instruction word, for the purpose of executing them in parallel.

Assembly Language

- a simple text-based version of machine language was developed called assembly language
- each instruction within a given CPU's ISA is given a mnemonic
- Registers can be referred to by name (e.g., R0 or EAX), and memory addresses can be written in hex, or assigned symbolic names
- A tool known as an assembler reads the program source file and converts it into the numeric ML representation understood by the CPU.

Machine Language

- ALU and MMU instructed to do useful things by a program encoded as an instruction stream
- Each instruction performs one simple(ish) operation
 - Move data between registers and memory
 - Perform arithmetic (add, sub, mul, div, ...)
 - Perform logical ops (bit shift, and, or, ...)
 - Typically inputs and outputs are registers
 - Branch (i.e., change contents of instruction pointer, IP)
 - Conditional (based on bits in status register)
 - Unconditional (jump)

Machine Language

- Each ML instruction comprised of:
 - Opcode: which operation to perform
 - Addressing mode flags: how to perform the operation
 - Operands: on what data should CPU operate
- An ML instruction is encoded into an instruction word
 - Can be fixed-width or variable width

C	Opcode	AM	Operand 0	Operand 1
C	Opcode	AM	Operand 0	Operand 1
E	Opcode	AM	Operand 0	Operand 1

Assembly Language

- Hard to remember numeric opcodes, modifier flags and how to properly encode operands into instruction words!
- Assembly language uses textual programming language to encode ML program
 - Mnemonics for opcodes
 - mov = move data between memory and registers
 - **mul** = multiply
 - jmp = jump; bz = branch if zero
 - Use register names
 - Can label branch targets and global variables

Example

```
if (a > b)
return a + b;
else
return 0;
```

```
; if (a > b)
cmp eax, ebx; compare the values
ile ReturnZero; jump if less than or equal
; return a + b;
add eax, ebx; add & store result in EAX
ret; (EAX is the return value)
ReturnZero:
; else return 0;
xor eax, eax; set EAX to zero
ret; (EAX is the return value)
```

Assembly Language

```
AddIfGreater:
   ; function prologue
   push ebp ; push base ptr (EBP) onto stack
         ebp, esp; set EBP to cur stack top (ESP)
   push ebx; also save EBX because we use it
   ; load arguments a and b into registers EAX and EBX
         eax, dword ptr [ebp+8]
   mov
         ebx, dword ptr [ebp+12]
   mov
   ; if (a > b)
   cmp eax, ebx ; compare the values
         ReturnZero; jump if less than or equal
   ile
   ; return a + b;
   add eax, ebx; add & store result in EAX
         Done ; (EAX is the return value)
   dmi
ReturnZero:
   ; else return 0;
   xor eax, eax ; set EAX to zero (return value)
Done:
   ; function epilogue: restore registers and return
         ebx
   pop
         ebp
   pop
   ret
```

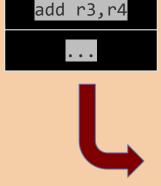
Instruction Set Architecture (ISA)

- Each CPU provides a different instruction set architecture (ISA)
- ISA defines:
 - Set of opcodes recognized by CPU
 - Number and names of registers
 - Addressing modes supported by CPU
 - Exposes some details about the hardware
 - Does the CPU contain an FPU? a VPU?
 - How is I/O done? Memory-mapped? Register-based?
 - Can it issue multiple instructions per clock? (VLIW)
 - Privileged mode supported? How many protection rings?

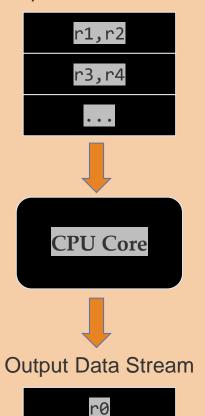
Execution Context

Instruction Stream

mul r1,r2



Input Data Stream



Thread =

- Running instance of an instruction stream
- Single flow of control

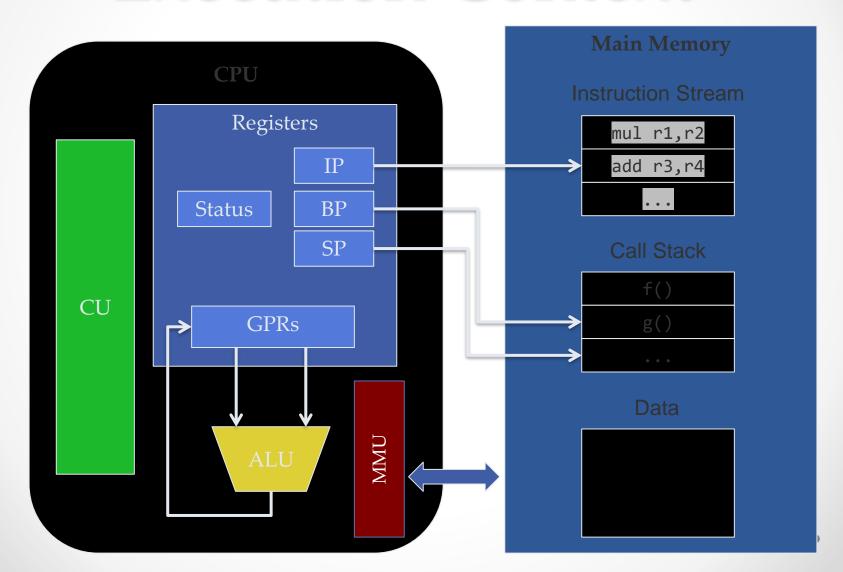
Execution context =

- Instruction stream (IP)
- Contents of all registers
- o Call stack

CPU core =

 Hardware components needed to execute a thread

Execution Context



Addressing Modes

- "move" (which transfers data between registers and memory) has many different variants.
 - Are we moving a value from one register to another? Are we loading a literal value like 5 into a register? Are we loading a value from memory into a register? Or are we storing a value in a register out to memory?
- Register addressing -> one register to another.
- Immediate addressing -> literal value to a register.
- Direct addressing -> from memory.
- Register indirect addressing -> the target memory address is taken from a register (pointers)
- Relative addressing -> the target memory address is specified as an operand, and the value stored in a specified register is used as an offset from that target memory address (indexed arrays).

Memory Architectures

- memory is a single homogeneous block (von Neumann computer architecture)
- Whenever a physical memory device is assigned to a range of addresses in a computer's address space, we say that the address range has been mapped to the memory device.
- A 64-bit address bus can access 16 EiB (ExbiByte = 1024^6) of memory
- an address range might also be mapped to other peripheral devices, such as a joypad or a network interace card (NIC).
- the CPU can perform I/O operations on a peripheral device by reading or writing to addresses
- a CPU might communicate with non-memory devices via special registers known as ports

The Apple II Memory Map

- The Apple II had a 16-bit address bus, meaning that its address space was only 64 KiB in size.
- This address space was mapped to ROM, RAM, memorymapped I/O devices and video RAM regions as follows:
 - 0xC100 0xFFFF ROM (Firmware)
 - 0xC000 0xC0FF Memory-Mapped I/O
 - 0x6000 0xBFFF General-purpose RAM
 - 0x4000 0x5FFF High-res video RAM (page 2)
 - 0x2000 0x3FFF High-res video RAM (page 1)
 - o 0x0C00 0x1FFF General-purpose RAM
 - 0x0800 0x0BFF Text/lo-res video RAM (page 2)
 - 0x0400 0x07FF Text/lo-res video RAM (page 1)
 - 0x0200 0x03FF General-purpose and reserved RAM
 - o 0x0100 0x01FF Program stack
 - 0x0000 0x00FF Zero page (mostly reserved for DOS)

Virtual Memory

- In today's operating systems, programs work in terms of virtual addresses rather than physical addresses.
- It allows programs to make use of more memory than is actually installed in the computer, because data can overflow from physical RAM onto disk.
- In a virtual memory system, address is first remapped by the CPU via a look-up table that's maintained by the OS.
- The remapped address might end up referring to an actual cell in memory (with a totally different numerical address).
- In a virtual memory system, the addresses used by programs are called virtual addresses

Video RAM

- A range of memory addresses assigned for use by a video controller is known as video RAM (VRAM).
- PlayStation 4 and the Xbox One, both the CPU and GPU share access to a single, large block of unified memory.
- In personal computers, the GPU often lives on a separate circuit board
- In personal computers, a bus protocol such as PCI, AGP or PCI Express (PCIe) is used to transfer data back and forth between "main RAM" and VRAM via the expansion slot's bus.

Virtual Memory Pages

- The entire addressable memory space (that's 2ⁿ byte-sized cells if the address bus is n bits wide) is conceptually divided into equally-sized contiguous chunks known as pages.
- Page sizes differ from OS to OS, but are always a power of two—a typical page size is 4 KiB or 8 KiB
- Assuming a 4 KiB page size, a 32-bit address space would be divided up into 1,048,576 distinct pages, numbered from 0x0 to 0xFFFFF

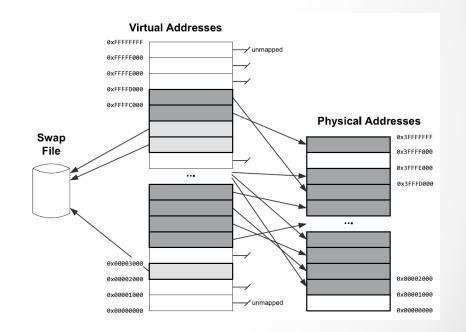
From Address	To Address	Page Index		
0x00000000	0x00000FFF	Page 0x0		
0x00001000	0x00001FFF	Page 0x1		
0x00002000	0x00002FFF	Page 0x2		
0x7FFF2000	0x7FFF2FFF	Page 0x7FFF2		
0x7FFF3000	0x7FFF3FFF	Page 0x7FFF3		
0xFFFFE000	0xFFFFEFFF	Page 0xFFFFE		
0xFFFFF000	0xFFFFFFF	Page 0xFFFFF		

Virtual to Physical Address Translation

- the address is split into two parts: the page index and an offset within that page
- The page index is then looked up by the CPU's memory management unit (MMU) in a page table that maps virtual page indices to physical ones.
- For a page size of 4 KiB, the offset is just the lower 12 bits
 of the address, and the page index is the upper 20 bits,
 masked off and shifted to the right by 12 bits.
- the virtual address 0x1A7C6310 corresponds to an offset of 0x310 and a page index of 0x1A7C6
- if virtual page 0x1A7C6 happens to map to physical page 0x73BB9, then the translated physical address would end up being 0x73BB9310.

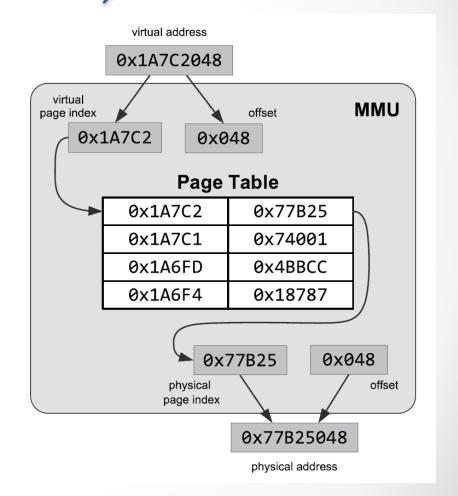
Page Fault

- Page-sized address ranges in a virtual memory space are remapped either to physical memory pages, a swap file on disk, or they may remain unmapped.
- If the page table indicates that a page is not mapped to physical RAM, the MMU raises an interrupt, which tells the operating system that the memory request can't be fulfilled. This is called a page fault.



memory management unit (MMU)

- The MMU intercepts a memory read operation, and breaks the virtual address into a virtual page index and an offset.
- The virtual page index is converted to a physical page index via the page table, and the physical address is constructed from the physical page index and the original offset.
- Finally, the instruction is executed using the remapped physical address.

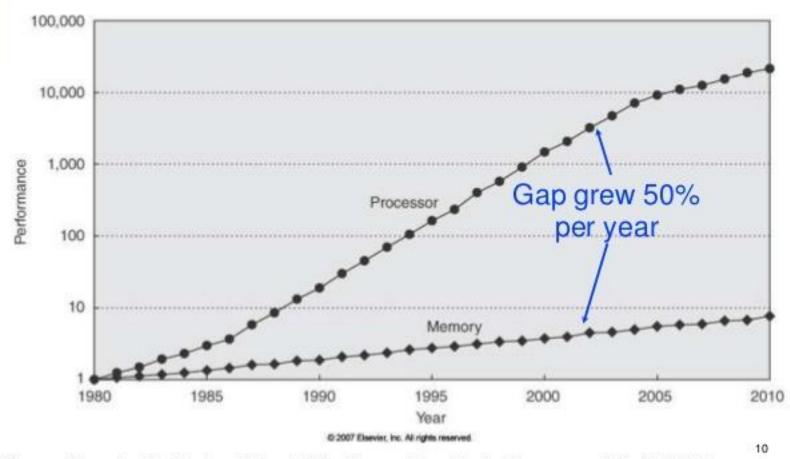


The Translation Lookaside Buffer (TLB)

- page sizes are small relative to the total size of addressable memory (typically 4 KiB or 8 KiB)
- the page table can become very large
- Looking up physical addresses in the page table would be time-consuming
- A small table known as the translation lookaside buffer (TLB) is maintained within the MMU
- To speed up access, a caching mechanism is used, based on the assumption that an average program will tend to reuse addresses within a relatively small number of pages, rather than read and write randomly across the entire address range.

Memory Architectures

Processor Memory Gap

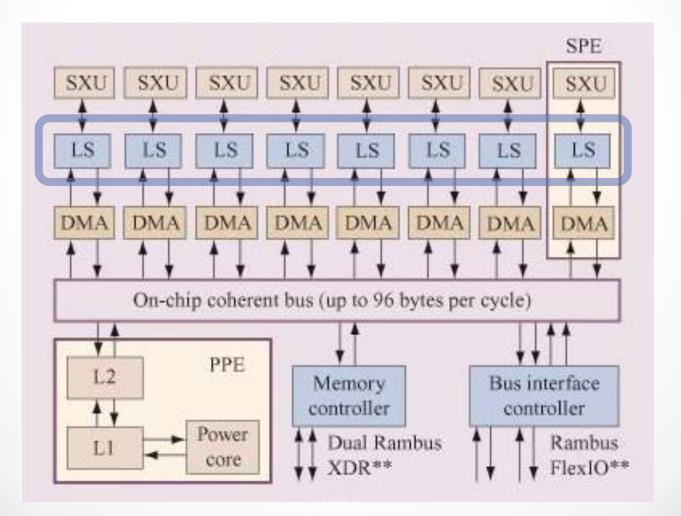


Source: Computer Architecture, A Quantitative Approach by John L. Hennessy and David A. Patterson

- Memory access latency = the time between:
 - requesting data from memory controller and
 - o that data arriving in a CPU register
- Latency highly dependent on proximity to CPU core
 - o Register latency: 1 cycle
 - o Main RAM latency: 200+ cycles

- One way to reduce memory latency is to give each
 CPU its own local memory bank
 - o e.g., local stores for each SPU on PS3

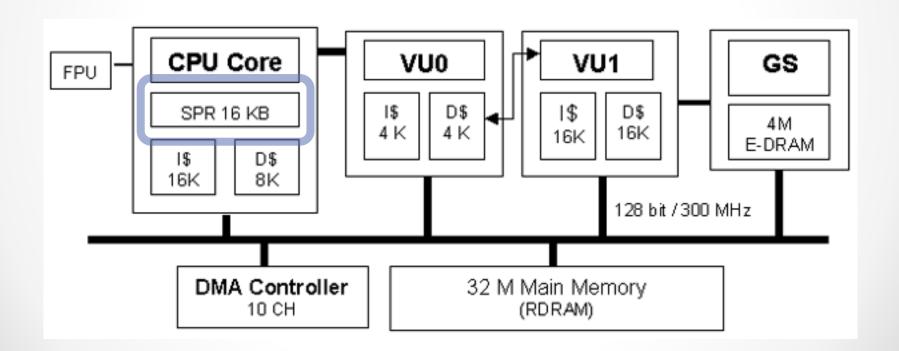
PS3 cell architecture



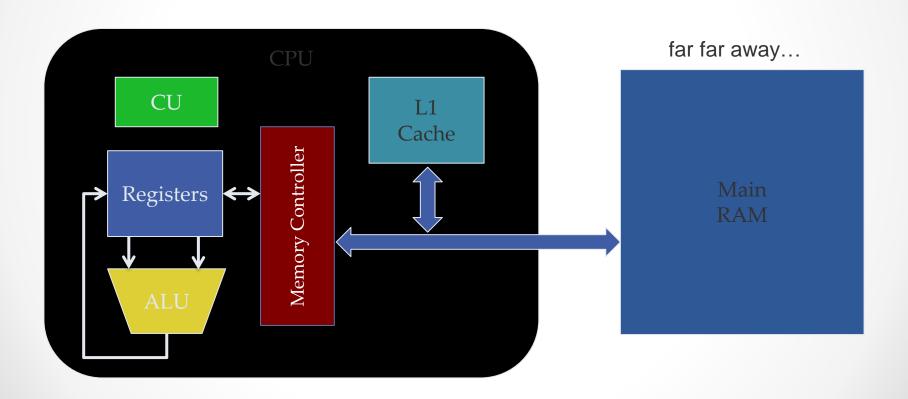
- PS3's DMA controller (DMAC) is like a little coprocessor whose only job is to ferry data around on the system buses
 - A form of parallelism!

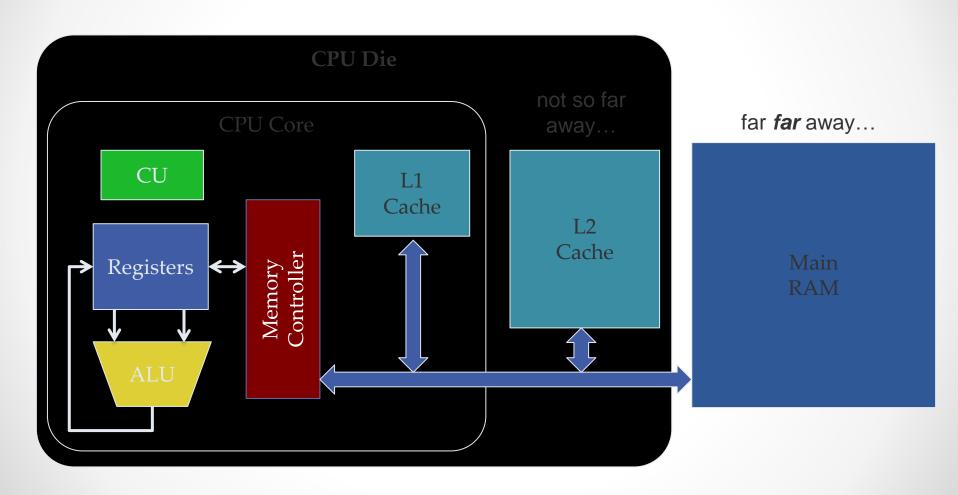
- Another example is the scratchpad on PS2
 - Scratchpad memory wasn't actually faster to access by CPU
 - But it could be accessed by CPU directly, without using system address and data buses
 - As a result, CPU could be busy doing work with data in scratchpad while the buses and DMAC were busy transferring data

PS2 architecture, showing scratchpad (SPR)



- Local stores require explicit DMA, hard to program
- Can we use this same idea, but make it "automatic"?
- Keep most-recently used data in a cache that is:
 - Closer to CPU core (for lower latency, like local stores)
 - Smaller than main RAM (to keep costs in control)





- Memory cache hierarchies reduce average memory access latency...
 - by taking advantage of temporal and spatial locality

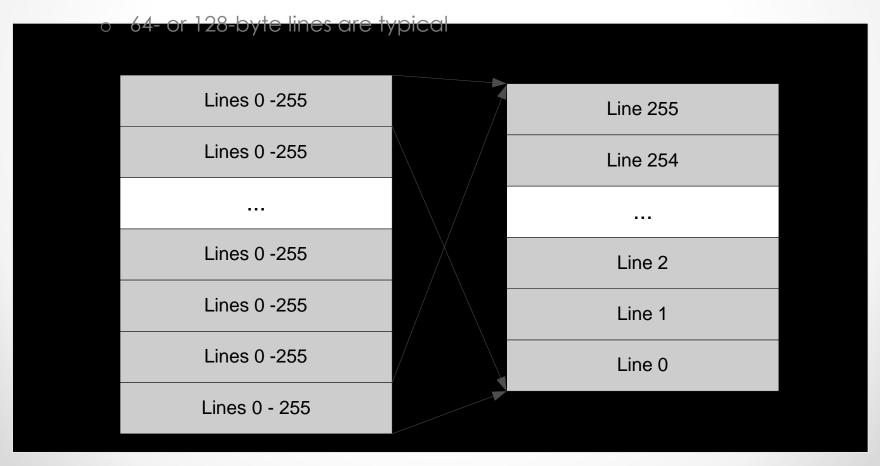
Temporal locality

- Data tends to be accessed repeatedly in a short time window
- If a program accesses address x, there's a good chance it'll access address x again in the near future

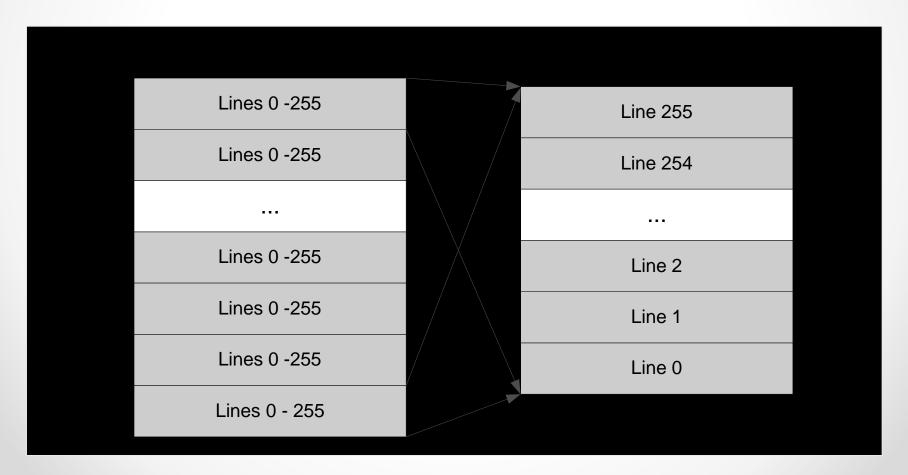
Spatial locality

- Data tends to be accessed sequentially, or in blocks
- o If program accesses address x, there's a good chance it'll access address x + n as well (for small |n|)

 Caches work by dividing main memory into lines



- Lines of main RAM can be read into the cache
 - o Once in the cache, CPU can access the data more quickly



- Once a line is in the cache, how do we know from which memory line it came?
 - Line index
 - 64-byte cache: (addr & 0x3F)
 - 128-byte cache: (addr & 0x7F)
 - o Tag
 - 64-byte cache: (addr >> 6)
 - 128-byte cache: (addr >> 7)
 - Store the tag with each cache line to keep track of its original location in memory

 Tags are stored with each line in cache to keep track of from whence each line came

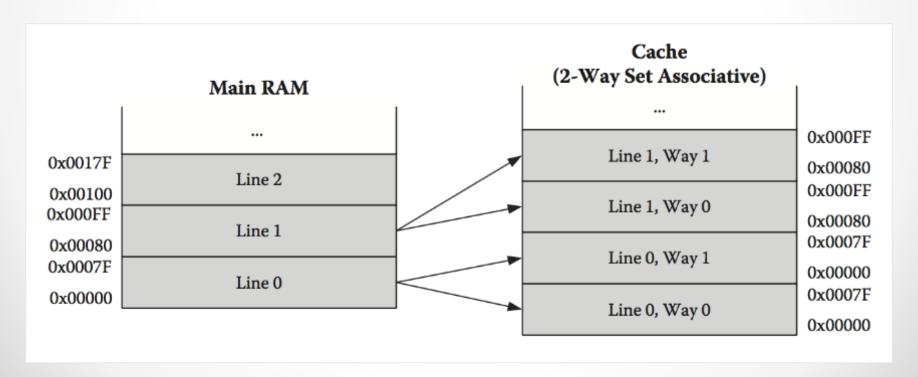
	Tags	
Lines 0 -255	0x1FFEFFFF	Line 255
Lines 0 -255	0x00002222	Line 254
•••		
Lines 0 -255	0x000A354	Line 2
Lines 0 -255	0x00001800	Line 1
Lines 0 -255	0x00D0D1D1	Line 0
Lines 0 - 255		

- When CPU reads a data item (single byte or larger):
 - Address converted into line index
- Memory controller checks L1 cache: Does cache already contain this line?
 - Hit: If line is present, fetch data from the line
 - Miss: If not present, fetch from next level cache (L2)...
 - Rinse and repeat until main memory is reached
- On a multicore system, read requests may also be fulfilled by other cores at the same level

- When CPU writes a data item (single byte or larger):
 - Address converted into line index
- Memory controller checks L1 cache: Does cache already contain this line?
 - Hit: If line is present, write item into line, mark line modified
 (This gets more complicated in a multicore machine...)
 - Miss: If not present, fetch line from L2, L3, ... main memory, write and mark modified
- Writes to cache lines not necessarily written back to main RAM immediately
 - Write-back triggered on next read or invalidate of cache line
- Write-through operation can bypass cache

- What we've just described is a direct-mapped cache
 - Each line in memory maps to exactly one line in cache
 - Conflicts are likely (e.g., addresses 0x80, 0x100 and 0x180)
- Fully associative cache
 - o Any line in memory can be placed anywhere in the cache
 - Requires linear search of tags to find lines in the cache
- n-way set associative cache
 - o Each line in memory maps to n lines in cache
 - Best of both worlds:
 - Reduces line conflicts by factor of n
 - Limited searching (only search the ways, not entire cache)

- e.g., 2-way set associative cache
 - o Each line in memory maps to 2 lines in cache



- What happens when cache becomes full?
 - Must evict previous data to make room
- Cache line replacement policy
 - o FIFO (first in, first out)
 - Only option in a direct-mapped cache
 - NMRU (not most-recently used): 1 bit per set
 - o LRU (least-recently used): costly for n > 2
 - LFU (least-frequently used)
 - o Pseudo-random (!)
 - o Optimal?
- https://ece752.ece.wisc.edu/lect11-cachereplacement.pdf

- Memory access latencies on PS4
 - o Registers: 1 cycle
 - o L1 cache: 4 cycles
 - L2 cache: 26 cycles (190 cycles between 4-core clusters)
 - o Main RAM: 200+ cycles
- L1 cache usually split into two distinct caches:
 - Instruction cache (I\$)
 - Data cache (D\$)
 - This prevents code from degrading data cache performance, and vice-versa
 - e.g., a big loop iterating over array of small data items

- So why do we care about caches?
 - o Aren't they "automagic"?
 - Can't programmers just ignore them (trust that they work) and get on with programming?

Discussion: 5 mins

So why do we care about caches?

- Understanding caches is an optimization tool
 - Structure data to avoid excessive cache misses
 - Pack data into non-sparse arrays
 - Avoid skipping around in memory

 Consider a particle system in which each particle is defined like this:

```
class Particle
    ParticleId
                 m globalId;
    Point
                 m pos;
                 m rot;
    Ouat
    Vector
                 m scale;
    Color32
                 m color;
                 m_apTex[4];
    Texture*
    Vec2
                 m aUV[4];
                 m animConfig;
    AnimConfig
    // ...
```

```
sizeof(Point) == 16
```

Therefore, only 4 **Points** per cache line, on a CPU with 64-byte lines.



Let's rearrange the data to improve cache performance

```
class ParticleGroup
    I32
                 m count;
                 m aGlobalId;
    ParticleId*
    Point*
                 m aPos;
    Ouat*
                 m aRot;
    Vector*
                 m aScale;
    Color32*
                 m aColor;
                              4 per particle
                 m_apTex; //
    Texture**
                 m aUV; // 4 per particle
    Vec2*
    U16*
                 m aAnimConfigIndex;
    // ...
```



So why do we care about caches?

- Understanding caches is an optimization tool
 - Structure code to avoid excessive cache misses too
 - Keep time-critical loops small in terms of code size
 - Inlining can be helpful, but can also lead to code bloat
 - Avoid virtual function calls in tight loops

```
void UpdateParticles(Particle* aParticle, const int count)
   const float dt = GetFrameDeltaTime();
   for (int i = 0; i < count; ++i)
       Particle& part = aParticle[i];
        AnimatePosition(part.m_pos, part.m_animConfig, dt);
        AnimateRotation(part.m_rot , part.m_animConfig, dt);
        AnimateColor(part.m color , part.m animConfig, dt);
        ApplyGravity(part.m pos, dt);
       ApplyWind(part.m_pos, dt);
      If the body of this loop doesn't fit in I$, we
      could be getting I$ misses on every iteration.
```

```
What about D$ issues indices on fig
void UpdateParticles(ParticleGroup& group)
    const float dt = GetFrameDeltaTime();
    // This approach could be much more I$ friendly...
    for (int i = 0; i < group.count; ++i)</pre>
        const int iAnimConfig = part.m_aAnimConfigIndex[i];
        AnimatePosition(part.m aPos[i], iAnimConfig, dt);
    for (int i = 0; i < group.count; ++i)
        const int iAnimConfig = part.m_aAnimConfigIndex[i];
        AnimateRotation(part.m aRot[i], iAnimConfig, dt);
    // ...
```

So why do we care about caches? (continued)

- Understanding caches is crucial for concurrency
 - Data intended to be "local" to a core/thread should be on its own cache line
 - Atomic instructions like compare-and-swap (CAS) operate on cache lines
 - Multiple cores share cache lines via MESI protocol
 - Memory barriers/fences
- More on this topic later...

Processes, Threads and the Kernel

The Kernel

- The kernel is the core of the OS
- Provides all of the low-level features of the OS
 - Processes and threads
 - File and network I/O
 - Virtual memory mapping and swap file management
 - Scheduling threads to run on available CPU cores (preemptive multithreading)
 - Handles most interrupts
 - Interfaces to hardware drivers
- Higher-level OS functionality provided by services
 - o Themselves processes, many of which run in user mode

The Kernel

- The kernel...
 - Runs in ring 0 (privileged mode) on the CPU
 - Works directly in terms of physical memory addresses
 - Large block of addresses are reserved for use by the kernel, called kernel space
 - e.g., under 32-bit Windows and Linux: upper 1 or 2 GiB
 - Handles interrupts via interrupt service routines (ISRs)
 - Hardware and software interrupts
 - User-space programs request privileged services by making system calls (aka kernel calls)
 - Set up arguments, then trigger a software interrupt
 - Causes context switch into kernel—expensive! (1000 cycles)

- A process is a running instance of an executable file (.exe on Windows, .elf under Linux/MacOS)
- Executable file contains:
 - o **Text** segment: Relocatable machine code
 - Data segment: Initialized global and static variables
 - BSS segment: Uninitialized globals and statics

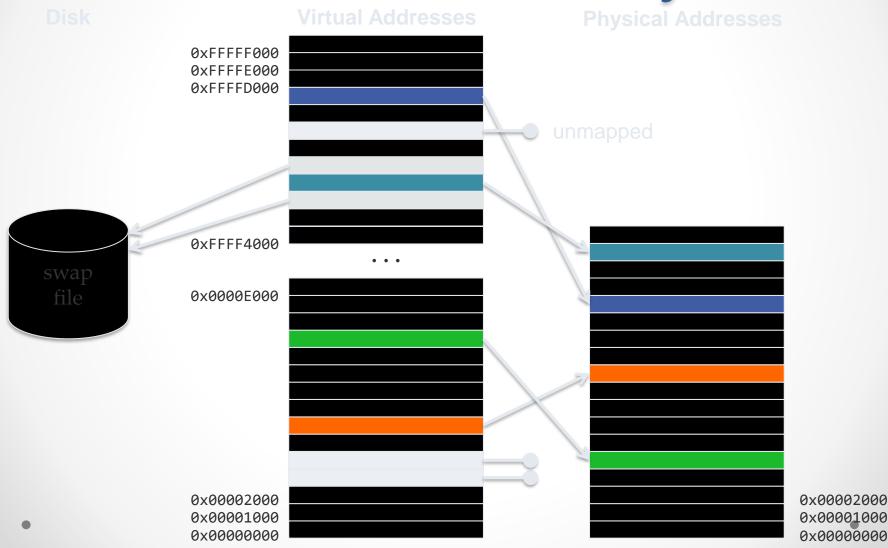
- A process consists of:
 - o Process id (PID)
 - Permissions (which user/group owns it, etc.)
 - Reference to parent process
 - Environment variables
 - Open file descriptors
 - Current working directory
 - Resources of managing inter-process synchronization and communication (pipes, semaphores, etc.)

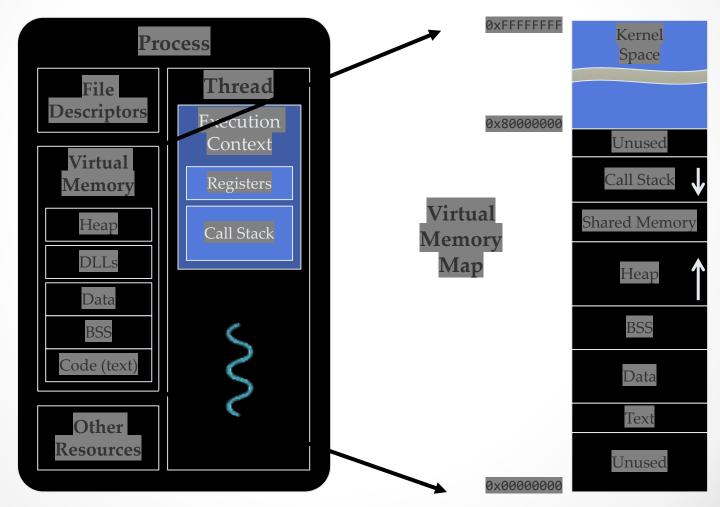
- A process consists of:
 - A virtual memory space containing:
 - Executable file image (text, data, BSS)
 - One or more execution contexts (threads), i.e. call stacks
 - **Heap** for dynamic allocation
 - One thread by default, but can spawn multiple threads

Virtual Memory

- Each process has its own private "view" of memory
 - User-space programs perform all memory accesses in terms of virtual addresses
 - The CPU and kernel cooperate in order to map virtual addresses to physical addresses at runtime

Virtual Memory

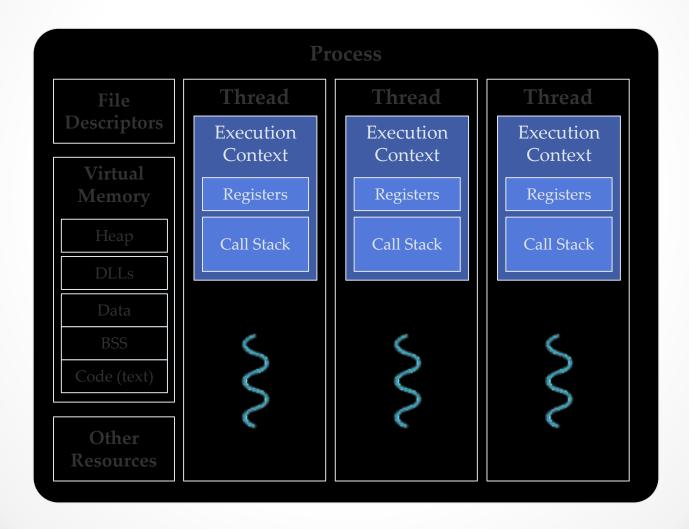




Threads

- The kernel doesn't actually run processes
 - o It runs threads!
 - A process contains one thread by default, but can spawn more
- A thread represents a single instruction stream
- A thread's execution context consists of:
 - A call stack (region of memory for stack frames, grows down)
 - Registers
 - IP (current instruction), SP and BP (stack frame), GPRs and status register
- All threads in a process share the same virtual address space

Threads and Processes



Thread API

- Each OS/kernel provides its own API for creating threads
 - IEEE POSIX 1003.1c threads (pthreads) is a standard API available on all UNIX favors and also Windows
 - Windows has a native API too, of course
 - PS4 SDK's thread API is modeled after pthreads
 - C++11 added threading to the standard library

Thread API (POSIX)

```
#include <pthread.h>
void entry point(int i) { ... }
int main()
    pthread_t t1, t2;
    pthread_create(&t1, nullptr, entry_point, 0);
    pthread create(&t2, nullptr, entry point, 1);
       do some other useful work while threads run...
    pthread_join(&t1);
    pthread_join(&t1);
    // at this point, both threads have terminated
```

Thread API (C++11)

```
#include <thread>
void entry point(int i) { ... }
int main()
    std::thread t1(entry_point, 0);
    std::thread t2(entry_point, 1);
       do some other useful work while threads run...
    t1.join();
    t2.join();
    // at this point, both threads have terminated
```

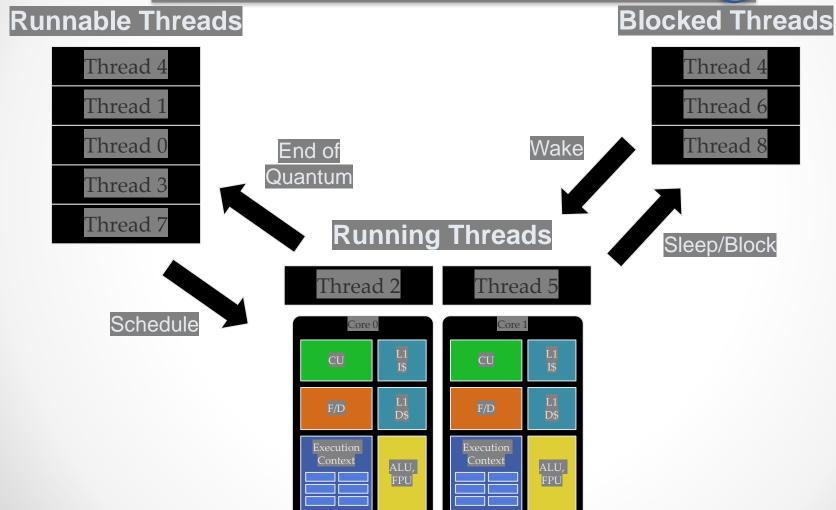
Thread API (Windows)

```
#include <windows.h>
DWORD WINAPI entry point(LPVOID lpParam) { ...
int main()
    HANDLE ahT[2];
    ahT[0] = CreateThread(NULL, 0, entry_point, ...);
    ahT[1] = CreateThread(NULL, 0, entry_point, ...);
       do some other useful work while threads run...
    WaitForMultipleObjects(2, ahT);
    // at this point, both threads have terminated
```

- Basic thread state machine*
 - Runnable
 - Thread is able to run, but is waiting to be assigned a time slice on a core
 - Running
 - Thread is actively running on a core
 - Sleeping/Blocked/Waiting
 - Thread is waiting for an event, timer, mutex lock, etc.
 - In this case, we say the thread is blocked

*Real operating systems have a few more states

- A few examples of blocking calls
 - o **usleep()** puts the thread to sleep for specified number of microseconds
 - o **read()** puts the thread to sleep until the requested data has been read by the file system
 - A thread can also be put to sleep while waiting for a mutex lock (more on this later!)
- Sleeping threads are woken up by kernel when the resource becomes available or timer expires



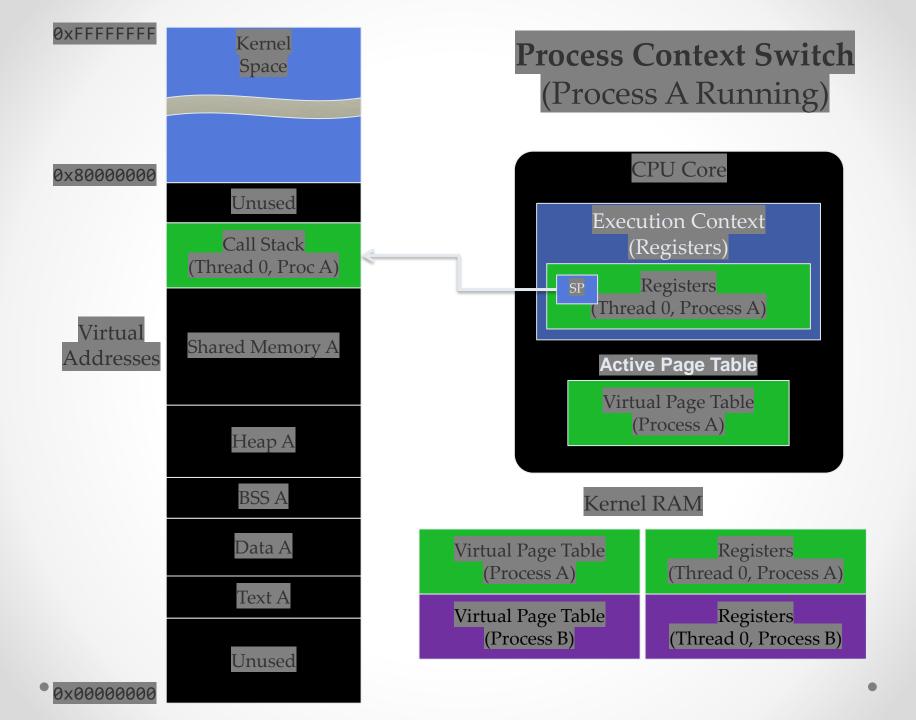
- When a thread transitions from Running to
 - o Runnable or
 - Blocked

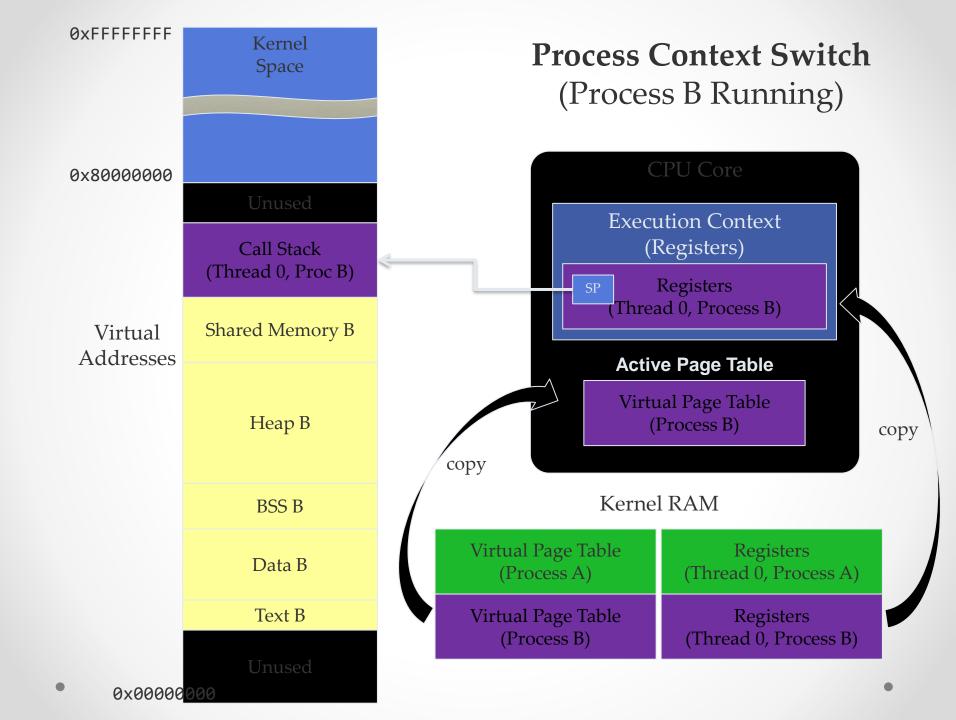
... and another thread is scheduled on a core, we call this a context switch

- Context switches also happen when a thread makes a kernel call
- Context switch involves saving the registers of the outgoing thread; restoring registers of incoming thread

0xffffffff Kernel Space **Thread Context Switch** (Thread 0 Running) 0x80000000 Unused CPU Core Call Stack (Thread 0) **Execution Context** (Registers) Call Stack Virtual (Thread 1) Registers Addresses (Thread 0) Shared Memory Heap copy BSS Kernel RAM Data Registers (Thread 0) Text Registers (Thread 1) Unused 0x00000000

0xffffffff Kernel Space **Thread Context Switch** (Thread 1 Running) 0x80000000 Unused CPU Core Call Stack (Thread 0) **Execution Context** (Registers) Call Stack Virtual (Thread 1) Registers Addresses (Thread 1) Shared Memory Heap copy BSS Kernel RAM Data Registers (Thread 0) Text Registers (Thread 1) Unused 0x00000000





- Threads can be assigned priorities
 - Used to select threads from the **Runnable** state for time slices on available CPU core(s)
 - Higher-priority threads given preference
 - o Can lead to **starvation** of lower-priority threads
- Process's nice value can also affect the effective priorities of its threads

- Case study: Linux thread scheduling
 - Threads can be given a scope
 - PTHREAD_SCOPE_PROCESS versus PTHREAD_SCOPE_SYSTEM
 - Each SYSTEM thread gets equal share of CPU time
 - Each group of threads within a single process at PROCESS scope gets a share of CPU equal to one SYSTEM thread
 - o Priorities work like this:
 - When a thread is runnable, and no other thread within the process has a higher priority, it will be scheduled
 - Threads with equal priority round-robin across available cores
 - Starvation of lower-priority threads unless all higher-priority threads block

Thread Schediling https://www.microsoftpressstore.com/articles/article.aspx?p=2233328&seqNum=7

- Case study: Windows thread scheduling
 - Threads can have one of 32 priorities
 - Separate queue for each priority
 - Highest-priority runnable thread always runs, with caveat...
 - ... threads can be assigned processor affinity
 - Each thread receives a time quantum, defined by various factors (system) config, foreground/background process)
 - A thread may not complete its quantum, if a higher-priority thread becomes runnable during the quantum
 - Unlike Linux, every thread is given equal weight
 - No consideration of to which process each thread belongs