# Machine Learning of Many Body Localization

Philipp Krüger (Dated: July 20, 2020)

The goal of this study was to find the quantum phase transition at intermediate local disorder strengths on a Heisenberg chain. Exact diagonalization was used to find the reduced density matrices for different block sizes of the lowest energy eigenstate of the Heisenberg Model with an additional random field in z-direction at low and high disorder strength. The resulting dataset representing extended and localized phases was used to train a neural network. Afterwards, the trained network was applied on intermediate disorder strengths to deduct the critical disorder strength for a phase transition. The phase transition was for all system sizes predicted to be around  $W_c = J$  for the system sizes  $L \in \{9, 10, 11, 12\}$  and block sizes  $n \in [1, 7]$ .

## I. INTRODUCTION

The physical model and the concept of exact diagonalization is presented first. As we use reduced density matrices as features for the neural network, we explain briefly their computation and meaning.

## A. Physical model

#### 1. Hamiltonian of the Heisenberg model

The Hamiltonian of the Heisenberg model is shown in equation 1. In the course of further analysis, we choose J=1 and sample h from a uniform distribution such that  $h_i \in [-W, W]$ .

$$H = J \sum_{i} \vec{S}_{i} \cdot \vec{S}_{i+1} - \sum_{i} h_{i} S_{i}^{z}$$
Exchange Energy Random Field (1)

## 2. Expectations for the ground state

The expectation for the ground state is dependent on the ratio of the coupling and the local random field.

For  $\frac{W}{J} \ll 1$ , we expect an delocalized, extended phase, since the exchange energy dominates over the small external field. Therefore, the system can relax to thermal equilibrium serving as its own heat bath in the limit of large system size  $L \to \infty$ . Here, the reduced density operator of a finite subsystem converges to the equilibrium thermal distribution for  $L \to \infty$ .[1]

For  $\frac{W}{J} \gg 1$ , we can expect a localized phase, since the  $h_i$  factors dominate over the exchange energy. The resulting states are expected to be product states of spins "up" or "down", as the external field points in z-direction. Also an infinite system cannot equilibrate itself. The local configurations are set by the initial conditions at all times and are adiabatically connected to the trivial state.[1]

## B. Exact diagonalization

Exact diagonalization (ED) is a numerical technique we can use to solve the time independent Schrödinger Equation  $H |\psi\rangle = E |\psi\rangle$  for the eigenvalues E and eigenvectors  $|\psi\rangle$ . This only works of the Hamiltonian H represents a discrete and finite system. Most quantum manyparticle problems lead to a sparse matrix representation of the Hamiltonian, where only a very small fraction of the matrix elements is non-zero.[2] An efficient method to find ground states is the Lanczos algorithm.[3] At first, the algorithm was numerically unstable. This issue was overcome in 1970 by Ojalvo and Newman.[4] In this study, we rely on the Lanczos algorithm for the eigensolver.

## C. Reduced Density Matrix

The usefulness of reduced density matrices has already been shown by White in 1992 with ground states of Heisenberg chains [5]. In our case we use areal density matrices as features for the neural network to predict the critical disorder strength of a phase change from delocalized to localized. The reduced density matrix is defined in equation 3. Physically, the reduced density matrix  $\rho_A$ , provides correct measurement statistics for subsystem A.

$$\rho_{AB} = |\psi_A\rangle \langle \psi_A| \otimes |\psi_B\rangle \langle \psi_B| \tag{2}$$

$$\rho_A = \operatorname{Tr}_B(\rho_{AB}) = |\psi_A\rangle \langle \psi_A| \operatorname{Tr}(|\psi_B\rangle \langle \psi_B|) \qquad (3)$$

The reduced density matrix was also used by Zhang in 2019 to learn the localization transition in disordered quantum Ising spin chains. Here, the motivation was to reduce the dimension and filter out redundant information. However, it proved to be inferior in comparison to the full density matrix in the analysis. [6] However, due to RAM limitations, we will rely on reduced density matrices.

#### D. Artificial Neural Networks

Rosenblatt published in 1958 his concept of the probabilistic model for information storage and organization in the brain, which greatly inspired others to use those models for computation.[7] Over the course of years, they have evolved to a tool that can be used for a variety of applications including computer vision, speech recognition, medical diagnosis, playing games or even artistic painting.[8]

The reduced density matrices are essentially complex 2D arrays with length  $2^n \times 2^n$ . As we want to classify for an arbitrary W whether we have a localized or delocalized phase, it is convenient to use a machine learning classifier. The density matrices can then be thought of as a complex and real image that can be fed into it analogously to classical image classification.

## II. COMPUTATIONAL METHODS

The strategy for implementation was as follows:

- 1. Generate Hamiltonian from random disorder strength and system size. Then calculate lowest eigenstate near Energy E=0.
- 2. Generate density matrix from the eigenstate and the respective reduced density matrices for defined block sizes n.
- 3. Set up machine learning model per n, L that takes density matrices of different W as an input and predicts whether the state represents an extended or a localized phase.
- 4. Make predictions for different system sizes L and block sizes n and plot the predictions over W. Then extract  $W_c$  from the data by using a fit function.

Critical decisions and specifications for each steps are listed below. Afterwards, a brief motivation for the parameter range and resolution is given.

## A. Eigenvalue solver

For the eigenvalue solution, we use SciPy's method eigsh through QuTiP's method groundstate [9, 10]. In comparison, a naive parameter choice for eigsh for N=10 lattice sites needed 70 s to calculate the ground state, whereas groundstate only took 0.7 s, by choosing an optimized parameter set for eigsh. Of course, eigsh supplies the user with k eigenvalues instead of only one, but this feature was not found to be critical for the further analysis. Therefore, groundstate is used throughout the program, to avoid making a non optimal parameter choice.

## B. Computation of reduced density matrix

To get the reduced density matrix of system A, one has to "trace out" all states outside of A. Luckily, the library QuTiP supplies a method ptrace, which does exactly that. It is important to note that the method takes those indices as an argument which should be kept.[10]

A demonstration of the functionality can be found in Figure 1.

```
density matrix:
 [[0. 0. 0. 0. 0. 0. 0. 0.]
 [0. 1. 0. 0. 0. 0. 0. 0.]
 [0. 0. 2. 0. 0. 0. 0. 0.]
 [0. 0. 0. 3. 0. 0. 0. 0.]
 [0. 0. 0. 0. 4. 0. 0. 0.]
 [0. 0. 0. 0. 0. 5. 0. 0.]
 [0. 0. 0. 0. 0. 6. 0.]
 [0. 0. 0. 0. 0. 0. 7.]]
Summation over all but first lattice site:
 [[ 6.+0.j 0.+0.j]
 [ 0.+0.j 22.+0.j]]
Summation over first lattice site:
 [[ 4.+0.j 0.+0.j 0.+0.j 0.+0.j]
  0.+0.j 6.+0.j 0.+0.j 0.+0.j]
 [ 0.+0.j 0.+0.j 8.+0.j 0.+0.j]
 [ 0.+0.j 0.+0.j 0.+0.j 10.+0.j]]
```

Figure 1: Proof of concept for partial trace calculation similar to QuTiP-Guide/ptrace.

The algorithm of selecting the position vector of n consecutive sites was implemented as follows:

- 1. Find the center spin rounded to next lowest integer.
- 2. Determine left chain length  $n_{\text{left}}$  as n/2 rounded to the next lowest integer.
- 3. Determine right chain length  $n_{\text{right}}$  as  $n n_{\text{left}}$ .
- 4. Select spins from left chain end to right chain end around center spin.

This results in a behaviour that picks left indices more favourably, but succeeds if equally spaced ends exist. Let the spins be numbered as  $\{1, 2, 3, 4, 5\}$  for N = 5, then n = 3 results in  $\{2, 3, 4\}$ , whereas n = 2 results in  $\{2, 3\}$ .

These lattice sites serve then as an input to the partial trace function, such that the density matrix represents the measurement statistics of the center system.

## C. Machine learning models and error metrics

The decision for the machine learning framework keras was motivated by its flexibility and simplicity. [11]

When setting up the machine learning model, one can already specify the first and last layer: The first (input) layer has to match the sample size of the incoming data, this can be already computed in advance. The length len for block size n is  $2 \cdot (2^n \times 2^n)$ . The factor 2 comes from a preprocessing step, where the complex values are

mapped to a second real picture, since the fitting procedure usually does not expect complex numbers. The last layer is a one node sigmoid, as the target output is the one-dimensional classification in [0,1].

For small sample sizes, there exist various approaches to choose the right amount of layers and regularization methods [12, 13], which cannot be generalized, as they heavily depend on feature size and target dimension. As a rule of thumb the approximation was used that each weight should be influenced by at least seven samples. Using this we get from 500 samples roughly 70 weights.

The optimizer Adam was chosen, because it is computationally efficient, has little memory requirements. [14]

For a two label classification problem, it is useful to use cross-entropy as a loss metric, as the penalty increases exponentially the further one deviates from the correct prediction. [15] The definition for a two class cross-

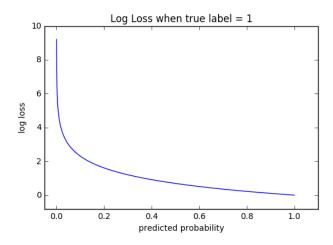


Figure 2: Cross Entropy Loss

entropy loss can be found in equation 4, where  $y \in \{0, 1\}$  is the true class and  $\hat{y} \in [0, 1]$  the predicted probability. This loss is also plotted in Figure 2.

$$L(\hat{y}, y) = -(y \log(\hat{y}) + (1 - y) \log(1 - \hat{y})) \tag{4}$$

## D. Extraction of critical disorder strength $W_c$

To fit for the critical disorder strength  $W_c$ , two functions were compared. The logistic Fermi-Dirac like function:

$$L\colon \mathbb{R} \to [0,1] \tag{5}$$

$$W_{pred} \mapsto \frac{1}{\exp\left(-\alpha \left(W_{pred} - W_c\right)\right) + 1} \tag{6}$$

and the heaviside function:

$$H\colon \mathbb{R} \to \{0,1\} \tag{7}$$

$$W_{pred} \mapsto \begin{cases} 0: & W_{pred} < W_c \\ 1: & W_{pred} \ge W_c \end{cases} \tag{8}$$

The fully delocalized phase is defined as 0 and fully localized as 1. Whereas the heaviside function has an abrupt step and only maps to the extrema, the logistic function serves as a smoother option for a transition, depending on the parameter alpha. The motivation came also from an optimizers view: Differentiable functions are easier to fit for the computer.[16] Therefore, the logistic function was used to extract  $W_c$  with the empiric decision of  $\alpha = 50$ .

## E. Limitations for parameter range and resolution

- 1. System size L: Limited by computing time of eigenvalue solver. For the system size L=12, one calculation lasted approximately one minute.
- 2. Block size n: 500 samples, L=9, n=8 required 4 GB of storage for the training set, exceeding the machines performance during model fitting. Therefore, n=7 was found to be sufficient for all system sizes.
- 3. Sample size: 500 samples can be generated for L = 12,  $n_{max} = 7$  in approximately 9 hours. This was found to be a sufficient sample size.
- 4. W range and resolution for the testing set: Since each point of a test set comes with a Hamiltonian with randomly drawn  $h_i \in [-W, W]$ , a decent amount of variance can be expected for the phase prediction. As we want to extract the phase cange in general, and are not interested in the particular phase predictions of one specific Hamiltonian we choose to regularize the prediction by averaging over five predicted samples.

### III. RESULTS

# A. Generation of reduced density matrix training set

The parameter range for the computation of the reduced density matrices can be found in Table I. The total computation time was 16.5 h, where 12.5 h where solely needed to compute the ground states of the L=12 system.

Parameter	Range or Set
System size:	$L \in \{9, 10, 11, 12\}$
Block size:	$n \in \{1,2,3,4,5,6,7\}$
Repetitions:	r = 500

Table I: Parameter choice for training set generation

In order to give some visual intuition, Figure 3 shows realizations for different block sizes and phases.

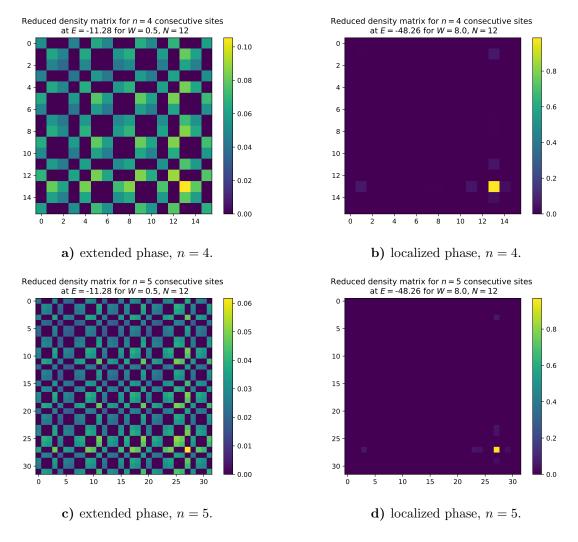


Figure 3: Real part of the density matrix of an ergodic and localized phases for block sizes  $n = \{4, 5\}$  and system size L = 12.

The visual inspection indicates that the density matrix of the localized phase has a sharp maximum at the preferred state that is forced by the random disorder strength. The extended phase shows a checkerboard pattern structure, which reflects that some configurations are more preferred than others. These unpreferred states are related to neighboring unaligned spins. Another observation is that the density matrix reductions of the full groundstate conserved these properties perfectly, when comparing n=4 to n=5. This observation was also made for all other system and block sizes.

Plots: What is computationally realizable in 1h concerning time? The training set was sufficiently large enough

We only need M Eigenstates

This is how corresponding density matrices look like

This will be our parameter space for n, L

## B. Prediction of extended vs localized phase

Training and validation scores:

## C. $W_c$ analysis

Now we generate testing set with  $W_{max} \in [0,4]$ . We suspect  $W_c$  to be at 1 ?? We fit a logistic curve and extract  $W_c$  as a parameter.

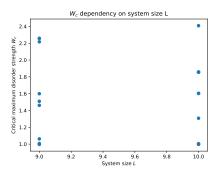
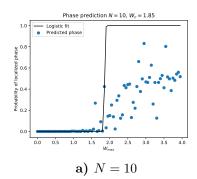
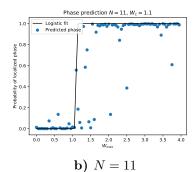


Figure 6





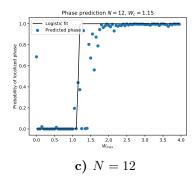


Figure 5: Phase prediction with localized and ergodic phase defined as 1, 0.

These are our  $W_c$  depending on n, L.

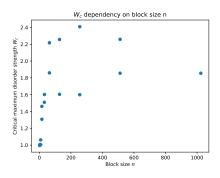


Figure 7

#### IV. CONCLUSION

 $W_c$  depends on n, L (yes/no).

 $W_c$  prediction coincides with the expectation (yes/no)

 $W_c$  is dependent on these and that effects =  $\xi$  scaling analysis? (yes/no)

- A. Pal and D. A. Huse, Many-body localization phase transition, Phys. Rev. B 82, 174411 (2010).
- [2] A. Weiße and H. Fehske, Exact diagonalization techniques, in *Computational Many-Particle Physics*, edited by H. Fehske, R. Schneider, and A. Weiße (Springer Berlin Heidelberg, Berlin, Heidelberg, 2008) pp. 529–544.
- [3] C. Lanczos, An iteration method for the solution of the eigenvalue problem of linear differential and integral operators, Journal of Research of the National Bureau of Standards 45, 255 (1950).
- [4] I. U. Ojalvo and M. Newman, Vibration modes of large structures by an automatic matrix-reductionmethod, AIAA Journal 8, 1234 (1970).
- [5] S. R. White, Density matrix formulation for quantum renormalization groups, Physical Review Letters 69, 2863 (1992).
- [6] W. Zhang, L. Wang, and Z. Wang, Interpretable machine learning study of the many-body localization transition in disordered quantum ising spin chains, Physical Review B 99, 10.1103/physrevb.99.054208 (2019).
- [7] F. Rosenblatt, The perceptron: A probabilistic model for information storage and organization in the brain., Psychological Review 65, 386 (1958).
- [8] L. A. Gatys, A. S. Ecker, and M. Bethge, A neural algorithm of artistic style, CoRR abs/1508.06576 (2015), arXiv:1508.06576.
- [9] P. Virtanen, R. Gommers, T. E. Oliphant, M. Haberland, T. Reddy, D. Cournapeau, E. Burovski, P. Peterson, W. Weckesser, and et al., Scipy 1.0: fundamental algorithms for scientific computing in python, Nature Methods 17, 261–272 (2020).
- [10] J. Johansson, P. Nation, and F. Nori, Qutip: An opensource python framework for the dynamics of open quantum systems, Computer Physics Communications 183, 1760–1772 (2012).
- [11] F. Chollet et al., Keras, https://keras.io (2015).
- [12] M. Olson, A. Wyner, and R. Berk, Modern neural networks generalize on small data sets, in Advances in Neural Information Processing Systems 31, edited by S. Bengio, H. Wallach, H. Larochelle, K. Grauman, N. Cesa-Bianchi, and R. Garnett (Curran Associates, Inc., 2018) pp. 3619–3628.
- [13] S. Feng, H. Zhou, and H. Dong, Using deep neural network with small dataset to predict material defects, Materials & Design 162, 300 (2019).

- [14] D. Kingma and J. Ba, Adam: A method for stochastic optimization, International Conference on Learning Representations (2014).
- [15] I. Goodfellow, Y. Bengio, and A. Courville, Deep Learning (MIT Press, 2016) http://www.deeplearningbook. org.
- [16] N. Kyurkchiev and S. Markov, Sigmoid Functions Some Approximation and Modelling Aspects: Some Moduli in Programming Environment MATHEMATICA (2015).

## Appendix A: Code listing

The code consists essentially of four different files, which are callable through a main function, but can also be run separately. Every file serves a number of different purposes as listed below.

- 1. **generate\_training\_set.py**: Here, the training set is generated and some example plots of ground states are saved to the results folder. The training sets are saved in the **training\_sets** folder, where they are numbered with their system and block size.
- 2. **model\_save\_train.py**: First, models are generated that automatically match the input data of different block sizes n, afterwards, they are trained with a certain amount of epochs and batch sizes. The history of the validation and accuracy are plotted individually into the results folder.
- 3. **generate\_test\_set.py**: A set of reduced density matrices for ground states in the intermediate regime is generated.
- 4. **load\_model\_get\_wc.py**: The models for each system and block size make phase predictions to the respective test sets, extract  $W_c$  and plot everything together as a heat map.

#### 1. Training set generation

```
1 from ed import *
 2 import time
 3 import pickle
 4 from scipy.sparse.linalg import ArpackNoConvergence
     def generate_training_set(Ns, Ws, n_max, repetitions):
 8
 9
              start_time = time.time()
10
              for N in Ns:
                       training_set_generator = TrainingSetGenerator(N, Ws, n_max, repetitions)
11
                       print("Training Set N="+str(N)+" completed after %s seconds." % (time.time() - start_time))
13
                       for n in range(1, n_max+1):
                                save_groundstate_figures(N, training_set_generator.training_set[n], n)
14
                               save_pickle("lanczos/training_sets/N" + str(N) + "n" + str(n) + "_Trainset",
15
              training_set_generator.training_set[n])
              print("--- Training set generation lasted %s seconds ---" % (time.time() - start_time))
16
              pass
17
18
19
     def save_groundstate_figures(N, training_set, n): # reduced_rho, W, self.N, n, E
20
              ergodic = [item for item in training_set if item[1] == 0.5 and item[-1] == 0][0] # len:
21
              repetitions
              localized = [item for item in training_set if item[1] == 8 and item[-1] == 0][0] # len:
22
              repetitions
23
              fig, ax1 = plt.subplots()
24
              pos = ax1.imshow(np.real(ergodic[0]), cmap='bwr')
25
              fig.colorbar(pos, ax=ax1)
26
              plt.title("Reduced density matrix for $n=$" + str(n) + " consecutive sites \n at $E=$" left" at $E=$ left" at $E
27
                                    + str(round(ergodic[4], 2)) + " for $W=$" + str(ergodic[1]) + ", $N = $" + str(N))
29
              plt.savefig(
                       "results/groundstates/N" + str(N) + "n" + str(n) + "_trainingset_groundstate_Wmax" + str(
30
              ergodic[1]) + ".pdf")
              plt.close()
31
32
              fig, ax1 = plt.subplots()
33
              pos = ax1.imshow(np.real(localized[0]), cmap='bwr')
34
35
              fig.colorbar(pos, ax=ax1)
              plt.title("Reduced density matrix for $n=$" + str(localized[3]) + " consecutive sites \n at $E=
36
                                    + str(round(localized[4], 2)) + " for $W=$" + str(localized[1]) + ", $N = $" + str(N)
37
              plt.savefig(
38
```

```
"results/groundstates/N" + str(N) + "n" + str(localized[3]) + "
39
       _trainingset_groundstate_Wmax" + str(localized[1]) + ".pdf")
       plt.close()
41
       pass
43
44 def save_pickle(filename, data):
       with open(filename, 'wb') as f:
45
           pickle.dump(data, f)
46
47
48
   class TrainingSetGenerator:
49
50
       def __init__(self, N, Ws, n_max, repetitions):
51
           self.N = int(N) # Lattice sites
52
           self.n_max = n_max
53
           self.repetitions = repetitions
54
           self.Ws = Ws
5.5
           self.training_set = self.generate_training_set_m_lanczos_list() # self.
56
       generate_training_set_list()
57
       def generate_training_set_m_lanczos_list(self):
58
59
           Returns training set with shape samples x [density matrix, W, lattice sites, block size,
60
       ground state energy]
           :return: training set
61
62
           training_set = {consecutive_spins: [] for consecutive_spins in range(1,self.n_max+1)}
63
64
           for W in self.Ws:
65
               for rep in range(self.repetitions):
                   H = gen_hamiltonian_random_h(self.N, W=W, J=1.)
66
                   E, v = qutip.Qobj(H).groundstate() # fixme might not be sparse, make sparse=True!!!
67
                   rho = np.outer(v, v)
68
69
                   for n in range(1, self.n_max+1):
                        reduced_rho = self.get_partial_trace(rho, n) # must trace out something
70
                        training_set[n].append([reduced_rho, W, self.N, n, E, rep])
71
                   # training_set[self.N].append([rho, W, self.N, self.N, E, rep])
72
           return training_set
73
74
       def get_partial_trace(self, rho, n):
75
76
           calculates partial trace by reshaping the density matrix and adding along the axis
77
           :param rho: full density matrix
78
           :param n: block size
79
           :return: reduced density matrix
80
81
           kept_sites = self.get_keep_indices(n)
82
           qutip_dm = qutip.Qobj(rho, dims=[[2]*self.N]*2)
83
           reduced_dm_via_qutip = qutip_dm.ptrace(kept_sites).full()
84
           return reduced_dm_via_qutip
85
86
87
       def diff(self, first, second):
           second = set(second)
88
           return [item for item in first if item not in second]
89
90
       def get_keep_indices(self, n):
91
92
           Determines the middle indices for lattice sites numbered from 0 to N-1. Picks left indices
93
       more favourably.
           :return: List of complement of n consecutive indices
94
95
           left_center = n // 2
96
           right_center = n - left_center
97
           middle = self.N // 2
98
           sites = np.arange(self.N)
99
           return sites[middle - left_center:middle + right_center].tolist()
100
101
103 if __name__ == "__main__":
104 Ns = [10]
```

```
n_max = 7
Ws = [0.5, 8.0] # 0.5 => ergodic/delocalized phase, 8.0 localized phase
repetitions = 500
generate_training_set(Ns, Ws, n_max, repetitions)

# N=09, n=7, rep=10 7s=> rep=500: 6 min
# N=10, n=7, rep=10 31s => rep=500: 25 min
# N=11, n=7, rep=10 182s=> rep=500: 2,5 h
# N=12, n=7, rep=10 00s=> rep=500
```

#### 2. Model Training

```
from sklearn.model_selection import train_test_split
2 import pickle
3 from tensorflow.keras import layers, models, losses, callbacks
4 from tensorflow.keras.utils import plot_model
5 import numpy as np
6 import matplotlib.pyplot as plt
7 import tensorflow.keras.backend as k
8 import time
1.0
def load_pickle(filename, to_numeric=1):
12
      with open(filename, 'rb') as f:
          data = pickle.load(f)
13
      return data
14
1.5
  def preprocess_training_data(path): # reduced_rho, W, self.N, n, E
17
      data = load_pickle(path)
18
19
      X = data
      X = [item[0] for item in X]
20
      X = np.reshape(X, (np.shape(X)[0], np.shape(X)[1], np.shape(X)[2], 1))
21
      X = np.asarray(np.concatenate((np.real(X), np.imag(X)), axis=3))
23
      y = data
      y = np.reshape(np.asarray([map_target(item[1]) for item in data]), (np.shape(y)[0], 1))
24
      return X, y
25
26
27 def map_target(item):
      if item == 0.5:
28
          return 0 # ergodic/delocalized phase
29
30
      elif item == 8.0:
          return 1 # localized phase
31
      else:
32
33
          print("Invalid training data.")
34
  def mean_pred(y_true, y_pred):
35
36
      return k.mean(y_pred)
37
38
  class ModelTrainer:
39
40
41
      def __init__(self, x, y, N, n_max):
           self.N = N
42
           self.n_max = n_max
43
          self.X_train, self.X_test, self.y_train, self.y_test = train_test_split(x, y, test_size
44
      =0.3, random_state=42)
45
           self.model = self.generate_model_sparse()
46
47
      def train_test_split(self):
          pass
48
49
      def generate_model(self):
50
51
           model = models.Sequential()
52
           model.add(layers.Flatten())
           model.add(layers.Dense(64, activation='relu'))
53
54
           model.add(layers.Dense(128, activation='relu'))
           model.add(layers.Dense(64, activation='relu'))
55
           model.add(layers.Dense(32, activation='relu'))
56
           model.add(layers.Dense(1, activation='sigmoid'))
57
```

```
model.compile(optimizer='rmsprop', loss='mae', metrics=['accuracy'])#loss used to be mae
       loss # metrics: 'mean_absolute_error', 'mean_squared_error',
           return model
59
60
       def generate_model_sparse(self):
61
           model = models.Sequential()
62
           # if self.N != 12:
63
64
           # model.add(layers.Conv2D(32, (6, 6), activation='relu', input_shape=(np.shape(self.X_train
       )[1], np.shape(self.X_train)[1], 2)))
65
            # model.add(layers.MaxPooling2D((4, 4)))
           model.add(layers.Flatten())
66
           model.add(layers.Dense(64, activation='relu', bias_regularizer='12'))
model.add(layers.Dense(64, activation='relu', bias_regularizer='12'))
67
68
           model.add(layers.Dense(1, activation='sigmoid'))
69
           model.compile(optimizer='adam', loss='binary_crossentropy', metrics=['accuracy'])#loss used
70
        to be mae loss # metrics: 'mean_absolute_error', 'mean_squared_error',
           return model
71
72
       def score(self):
74
           score = self.model.evaluate(self.X_test, self.y_test, verbose=0)
           print('test loss, test acc:', score)
75
76
           pass
       def fit_model(self, batch_size, epochs):
78
79
           history = self.model.fit(self.X_train, self.y_train,
                           batch_size=batch_size,
80
81
                            epochs=epochs,
82
                            verbose=2.
83
                            validation_data=(self.X_test, self.y_test)
84
                           )
           return history
85
       def save_model(self, filepath):
87
           self.model.save(filepath)
89
       def training_history(self, history):
90
           print(history.history.keys())
91
           # "Accuracy"
92
           fig, ax1 = plt.subplots()
93
           ax1 = plt.plot(history.history['acc'])
94
           ax1 = plt.plot(history.history['val_acc'])
95
           ax1 = plt.title('Model accuracy and loss')
96
           # "Loss"
97
           ax1 = plt.plot(history.history['loss'])
           ax1 = plt.plot(history.history['val_loss'])
99
           ax1 = plt.xlabel('Epoch')
           ax1 = plt.legend(['Training set accuracy', 'Validation set accuracy','Training set loss', '
       Validation set loss']
                              , loc='center right')
           plt.savefig("results/accuracy_loss_epochs/N"+str(self.N)+"n"+str(self.n_max)+"
       _accuracy_loss_epochs.pdf")
104
   def train_save_model(Ns, n_max, batch_size, epochs):
106
       start_time = time.time()
       for N in Ns:
108
           start_model_time = time.time()
109
           for n in range(1, n_max+1):
               X, y = preprocess_training_data("lanczos/training_sets/N"+str(N)+"n"+str(N)+"_Trainset"
                model_trainer = ModelTrainer(X, y, N, n_max)
                history = model_trainer.fit_model(batch_size=batch_size,
113
114
                                                    epochs=epochs)
                model_trainer.training_history(history)
                model_trainer.save_model("lanczos/models/N"+str(N)+"n"+str(n)+"_Model")
           print("--- Model trainings for N=" + str(N) + " lasted %s seconds ---" % (
117
                            time.time() - start_model_time))
118
       print("--- Model training lasted %s seconds ---" % (time.time() - start_time))
120
```

#### 3. Test set generation

```
1 from generate_training_set import TrainingSetGenerator, save_pickle
2 from model_save_train import *
3 import time
5
  def generate_test_set(Ns, Ws, n_max, repetitions):
       start_time = time.time()
6
       for N in Ns:
           {\tt training\_set\_generator} \; = \; {\tt TrainingSetGenerator}({\tt N}, \; {\tt Ws}, \; {\tt n\_max}, \; {\tt repetitions})
           print("Testing Set N=" + str(N) + " completed after %s seconds." % (time.time() -
9
       start_time))
           for n in range(1, n_max+1):
11
               save_pickle("lanczos/test_sets/N"+str(N)+"n"+str(n)+"_Testset", training_set_generator.
       training_set[n])
12
       print("--- Testing set generation lasted %s seconds ---" % (time.time() - start_time))
1.3
       pass
14
15
  if __name__ == "__main__":
16
       Ns = [9, 10]
17
       Ws = np.arange(0., 4.0, 0.05)
18
       repetitions = 1
19
20
       n_max = 7
       generate_test_set(Ns, Ws, n_max, repetitions)
21
22
       # N = 10 < 70s
23
      # N = 11 70s
24
      # N = 12 163s
25
```

## 4. Prediction and evaluation of $W_c$

```
1 from generate_training_set import TrainingSetGenerator, save_pickle
2 from model_save_train import *
3 from scipy.optimize import curve_fit
6 def preprocess_test_data(path):
      print(path)
      data = load_pickle(path)
9
      X = [item[0] for item in data]
      print("Input shape (Ws, Imagedim1, Imagedim2): ", np.shape(X))
10
      X = np.reshape(X, (np.shape(X)[0], np.shape(X)[1], np.shape(X)[2], 1))
11
      X = np.asarray(np.concatenate((np.real(X), np.imag(X)), axis=3))
12
      W = np.reshape(np.asarray([item[1] for item in data]), (np.shape(data)[0], 1))
14
      return X, W
16
def logistic(x, a):
      return 1 / (1 + np.exp(-50 * (x - a)))
19
20
21 def heaviside(x, a):
      return 0.5*np.sign(x-a)+0.5
22
23
def load_model(path):
return models.load_model(path)
```

```
27
28
   def get_wc(N, n, W_max):
      model = load_model('lanczos/models/N' + str(N) + 'n' + str(n) + '_Model')
30
      X, W = preprocess_test_data('lanczos/test_sets/N' + str(N) + 'n' + str(n) +'_Testset')
31
32
      state_prediction = model.predict(X)
33
34
      # print(W_max, np.shape(W_max))
35
      popt, pcov = curve_fit(logistic, W_max, np.reshape(state_prediction, (len(state_prediction))))
36
       # state_prediction.astype(np.float))
37
       # plot_wc_fit(N,popt,state_prediction)
38
      return popt[0], n #, N, np.shape(X[0])[0]
39
40
41
42
  def plot_wc_dependencies(Ns, W_max):
43
       Wc_dependencies = []
44
45
       for N in Ns:
           for n in range(1, N+1):
46
               Wc_dependencies.append(get_wc(N, n, W_max))
48
      Wc_dependencies = np.array([np.array(xi) for xi in Wc_dependencies])
      print(Wc_dependencies)
49
50
      fig, ax1 = plt.subplots()
      plt.title("$W_c$ dependency on system size L")
52
      plt.ylabel('Critical maximum disorder strength $W_c$')
      plt.xlabel('System size $L$')
      ax1 = plt.scatter(Wc_dependencies[:, 1], Wc_dependencies[:, 0])
54
55
      plt.savefig('results/Wc_L_dependency.pdf')
      plt.close()
56
57
      fig, ax1 = plt.subplots() plt.title("W_c$ dependency on block size n")
58
59
      plt.ylabel('Critical maximum disorder strength $W_c$')
60
      plt.xlabel('Block size $n$')
61
      ax1 = plt.scatter(Wc_dependencies[:, 2], Wc_dependencies[:, 0])
62
      plt.savefig('results/Wc_n_dependency.pdf')
63
64
      plt.close()
65
      pass
66
  class HeatMapPlotter:
67
68
       def __init__(self, Ns, Ws, n_max):
69
           self.Ns = Ns
70
           self.Ws = Ws
71
           self.n_max = n_max
72
           self.W_preds = self.predict_w()
73
           self.W_c_fit = self.fit_wc()
74
75
      def predict_w(self):
76
77
           W_preds = {system_size : [] for system_size in self.Ns}
           for N in self.Ns:
78
               for n in range(1, self.n_max + 1):
79
                   model = load_model('lanczos/models/N' + str(N) + 'n' + str(n) + '_Model')
80
                   X, W = preprocess_test_data('lanczos/test_sets/N' + str(N) + 'n' + str(n) + '
81
       _Testset')
82
                   W_preds[N].append(model.predict(X))
           return W_preds
83
84
       def fit_wc(self):
           W_c_fit = {system_size : [] for system_size in self.Ns}
86
           for N in self.Ns:
               for n in range(1, self.n_max + 1):
88
                   W_c_fit[N].append(get_wc(N, n, self.Ws))
89
           return W_c_fit
90
91
      def plot_wc_heatmap(self):
92
93
           W_pred: W x n array
94
```

```
W_c_fit: W_c(n) x 1 array
95
96
           :return:
97
           for N in self.Ns:
98
               W_pred = np.asarray(self.W_preds[N])
99
               W_pred = np.reshape(W_pred, (np.shape(W_pred)[0],np.shape(W_pred)[1]))
               W_c_fit = np.array(self.W_c_fit[N])
               # print(W_c_fit)
               # print(np.shape(W_c_fit))
104
               # W_c_fit = np.reshape(W_c_fit, (np.shape(W_c_fit)[0], np.shape(W_c_fit)[1]))
106
               fig, ax = plt.subplots()
               plt.title("Predicted phases and $W_c$ over block size $n$, $N=$" + str(N))
108
               pos = ax.imshow(W_pred, extent=(0, 4, 0, 7), aspect=0.5, cmap='bwr')
109
               fig.colorbar(pos, ax=ax)
               ax.scatter(W_c_fit[:,0], W_c_fit[:,1]-0.5, s=100, c="w", marker='^', label='$W_c$',
       edgecolors="k")
               plt.ylabel("Block size n")
112
113
               plt.xlabel("Predicted disorder strength $W_{predicted}$")
114
               ax.legend()
               plt.savefig('results/Wc/N'+str(N)+'_Wc_n_dependency.pdf')
115
116
               plt.close()
           pass
117
118
       def plot_wc_fit(self, N, popt, state_prediction):
120
           fig, ax1 = plt.subplots()
           ax1 = plt.scatter(self.Ws, state_prediction)
           ax1 = plt.plot(self.Ws, logistic(self.Ws, *popt), 'k')
123
           plt.title('Phase prediction $N = $' + str(N) + ", $W_c = $" + "{0:.3g}".format(popt[0]))
124
           plt.ylabel('Probability of localized phase')
           plt.xlabel('$W_{max}$')
126
127
           plt.legend(['Logistic fit', 'Predicted phase'], loc='upper left')
           plt.savefig('results/N' + str(N) + '_predict_wc.pdf')
128
           pass
130
       # fixme add n plots over N
131
132
133
   if __name__ == "__main__":
134
       Ns = [11, 12]
       Ws = np.arange(0., 4.0, 0.05)
136
       n_max = 7
137
       # plot_wc_dependencies(Ns, Ws)
138
       heat_map_plotter = HeatMapPlotter(Ns, Ws, n_max)
139
       heat_map_plotter.plot_wc_heatmap()
140
```