

# PRACTICAL CONSIDERATIONS IN EXPERIMENTAL COMPUTATIONAL SENSING

by

Phillip K. Poon



A Dissertation Submitted to the Faculty of the

COLLEGE OF OPTICAL SCIENCES

In Partial Fulfillment of the Requirements  
For the Degree of

DOCTOR OF PHILOSOPHY

In the Graduate College

THE UNIVERSITY OF ARIZONA

2016

THE UNIVERSITY OF ARIZONA  
GRADUATE COLLEGE

As members of the Dissertation Committee, we certify that we have read the dissertation prepared by Phillip K. Poon titled Practical Considerations in Experimental Computational Sensing and recommend that it be accepted as fulfilling the dissertation requirement for the degree of Doctor of Philosophy.

Date: 12 December 2016

---

Amit Ashok

Date: 12 December 2016

---

Rongguang Liang

Date: 12 December 2016

---

Michael E. Gehm

Final approval and acceptance of this dissertation is contingent upon the candidate's submission of the final copies of the dissertation to the Graduate College.

I hereby certify that I have read this dissertation prepared under my direction and recommend that it be accepted as fulfilling the dissertation requirement.

Date: 12 December 2016

---

Dissertation Director: Amit Ashok

## STATEMENT BY AUTHOR

This dissertation has been submitted in partial fulfillment of requirements for an advanced degree at the University of Arizona and is deposited in the University Library to be made available to borrowers under rules of the Library.

Brief quotations from this dissertation are allowable without special permission, provided that accurate acknowledgment of source is made. Requests for permission for extended quotation from or reproduction of this manuscript in whole or in part may be granted by the copyright holder.

SIGNED: Phillip K. Poon

## ACKNOWLEDGEMENTS

Graduate school is an arduous and enlightening experience. It is not difficult by design but by nature it forces one into a state of mind which embraces the edge of knowledge and trek into the unknown. I was fortunate to have many guides who showed me the path, even when there were times when I wandered off to get my bearings. Along the way I encountered many people who not only helped me with the journey but bestowed kindness and friendship, asking for nothing in return.

My main guide along the journey was Professor Michael Gehm. I first met him when I took a graduate level Linear Algebra course which I found particularly challenging. I often went to his office hours asking for help and his ability to be patient and explain concepts from different perspectives is a gift few teachers have. As an advisor, I would like to thank him for all of the help and guidance he has given me over the years. His generosity for funding my graduate studies as well trips to conferences is appreciated. He believed in me more than I believed in myself. I consider him not only as a mentor but as a father figure. If I can become half the scientist that he is, I would consider that a successful career.

I especially want to thank Professor Esteban Vera, who I first met as a postdoctoral researcher in the Laboratory for Engineering Non-Traditional Sensors (LENS) and supervised me for the majority of my graduate studies. Much of the work and results in this dissertation is due to his guidance. Even after he started his professorship in Chile, he was willing to review my data and suggest different methods of analysis. Professor Vera is directly responsible for much of my training as an experimentalist. I consider Professor Vera as an older brother who was always there to protect me from the pitfalls of the graduate school journey.

I also thank Doctor Dathon Golish. His approach to work and life was a calming effect in often stressful times. He made major contributions to the Adaptive Feature Specific Spectral Imaging-Classifer (AFSSI-C) and provided valuable feedback on various research projects and conference presentations.

Thank you Professor Mark Neifeld and Professor Amit Ashok for being my advisor and supervisor during my first year as a PhD student. They were the first to introduce me to many of the techniques and subjects related to computational sensing. They taught me fundamental concepts in optics, statistical signal processing and programming. Many of the results in this dissertation would not have been possible without their teachings.

I've also had many other supervisors along the way whose effort must also be acknowledged: My undergraduate advisor at San Diego State University, Professor Matthew Anderson. Doctor John Crane, who was my supervisor during my internship at the Lawrence Livermore National Laboratory. Professor Joseph Eberly and Professor Gary Wicks who were my advisors at the Institute of Optics.

I would like to formally express gratitude to a number of exceptional teachers throughout my life. Professor Tom Milster who taught Diffraction and Interference and allowed me to be a teaching assistant for that course. Professor Masud Mansuripur, whose course in Electromagnetic Waves was the most elegant and well taught version of the classical nature of light that I have ever had the pleasure to

experience. Professor Jeff Davis, who first ignited my passion for optics while I was an undergraduate physics student at San Diego State University.

I also want to thank several faculty members who committed time from their busy schedules to help with several milestones of my graduate school experience. Special thanks to Professor Julie Bentley, Doctor James Oliver, and Professor Richard Morris who wrote letters of recommendation for me. Appreciation goes to Professor Tom Milster, Professor Harrison Barrett, Professor Russell Chipman, and Professor John Greivenkamp who formed my oral comprehensive exam committee. Thank you to Professor Rongguang Liang who served on my doctoral dissertation committee.

I've had the good fortune to be exposed to amazing groupmates as part of the LENS. David Coccarelli invited our family to spend our first Thanksgiving in North Carolina with him and we had many discussions about college basketball and life. Matthew Dunlop-Gray designed and constructed the AFSSI-C which is the foundation for much the work in this dissertation. Tariq Osman constructed the Static Computational Optical Undersampled Tracker (SCOUT) which is also a major part of this dissertation. Alyssa Jenkins whose combination of sense of humor and intelligence is unmatched. Thank you to Qian Gong, Kevin Kelly, Adriana DeRoos, David Landry, Xiaohan Li, and Dineshbabu Dinakarababu for your friendship. Finally, I consider Wei-Ren Ng as one of my best friends and as a brother. Our time in the LENS group was marked by many late nights spent working in the lab and office. He was generous in sharing his knowledge and gave me the advice that I often did not want to hear but was true.

I would like to thank several members of the Duke Imaging and Spectroscopy Program (DISP) laboratory for their friendship: Patrick Llull, Mehadi Hassan, and Evan Chen. Tsung Han Tsai was not only a colleague but his work on computational polarimetry and spectroscopy using an Liquid Crystal on Silicon (LCOS) Spatial Light Modulator (SLM) was the inspiration which directly lead my idea of using the same architecture for computational spectral unmixing.

Other graduate students, colleagues, and faculty must also be thanked, for at one time or another they all helped me: Basel Salahieh, Vicha Treeaporn, John Hughes, Steve Feller, Myungjun Lee, Sarmad H. Albanna, Professor Lars Furenlid, Doctor Joseph Dagher, Professor Daniel Marks, Professor Janick Roland-Thompson, Mary Pope, Mark Rodriguez, and Amanda Ferris.

Appreciation goes to the all the staff and faculty at the College of Optical Sciences at the University of Arizona. It is one of the most friendly and well run academic departments I have ever had the fortune to be a part of. I hope my career will reflect well upon the college.

Finally, I would like to thank my closest friends that I've met throughout the years. They often provided much needed respites during my journey—Christopher MacGahan, Ricky Gibson, Krista MacGahan, Kristi Behnke, Michael Gehl, Carlos Montances, Matthew Reaves, Vijay Parachuru, Eric Vasquez. Thank you for letting me into your lives and being part of mine.

Last but not least, to my family. Thank you.

## DEDICATION

For my wife. We moved from city to city. You stuck with me through the highs and lows. You cooked dinner for me when I came home from a long day. You did the chores so I could concentrate on research. You acted as both mother and father to our son while I wrote. You believed in me even when I did not. You sacrificed your dreams and goals so I could accomplish mine.

You're the real Ph.D.

## TABLE OF CONTENTS

LIST OF FIGURES . . . . .	8
LIST OF TABLES . . . . .	9
ABSTRACT . . . . .	10
CHAPTER 1 Introduction . . . . .	11
1.1 A Historical Development of Computational Sensing . . . . .	11
1.1.1 Isomorphic Sensing . . . . .	11
1.2 Dissertation Overview . . . . .	12
CHAPTER 2 Formalism . . . . .	13
2.1 Multiplexing . . . . .	13
2.2 Principal Component Analysis . . . . .	13
2.3 Bayesian Rules and Log-Likelihood Ratios . . . . .	13
2.4 Compressive Sensing . . . . .	13
2.4.1 The Nyquist-Shannon Sampling Theorem . . . . .	13
2.4.2 Sparsity, Incoherence, and the Restricted Isometry Property . . . . .	13
2.4.3 Inversion . . . . .	13
2.4.3.1 L0 and L1 Norm Minimization . . . . .	13
2.4.3.2 LASSO and sparsity regularization . . . . .	13
Acronyms . . . . .	14

## LIST OF FIGURES

- 1.1 A high level view of a traditional sensing scheme. The signal-of-interest is incident upon the analog instrument. The analog instrument forms an isomorphism of the signal which is then periodically sampled point by point through an analog-to-digital converter (ADC) device. Once the signal is in digital form, post-processing algorithms are often used to perform various tasks such as noise reduction, detection, and classification. Notice that the analog instrument, sampling scheme, and processing are all separated. . . . . 12



## LIST OF TABLES

## ABSTRACT

Implementing computational optical sensors often comes with various issues that many traditional sensors may not encounter.

## CHAPTER 1

### Introduction

support vector machine (SVM)

This chapter introduces the reader to the concept of computational sensing and provides the motivation for the need to address the practical issues in experimental computational sensing.

Computational sensing is the concept that a joint design of the sensor hardware, often though coding of the analog signal combined with task-specific algorithms can exceed the performance of a traditional sensor, which we call *isomorphic sensors*. While isomorphic sensors can provide flexible sensing in multiple applications. A computational sensor’s task specific design—which considers both the architecture of the sensor and coding of the analog signal —naturally lends to performance increases [1].

Throughout this chapter and the rest of this dissertation we will provide many examples that highlight the differences between computational and isomorphic sensing.

Rather than a rigorous discussion, this chapter will discuss some of the major developments and contributions to the field of computational sensing on an intuitive level. This will familiarize the reader with important terminology and techniques common in the computational sensing community. The projects presented in this dissertation are a natural evolution of these developments. A rigorous discussion of the concepts is given in chapter 2. Then I will briefly discuss some of the challenges I and many other experimentalists and engineers have faced when developing computational sensing prototypes. Then the chapter will close with a brief look ahead to the rest of the dissertation.

#### 1.1 A Historical Development of Computational Sensing

##### 1.1.1 Isomorphic Sensing

In Greek, the word isomorphic loosely translates to equal in form. Traditional sensors perform isomorphic sensing. In the context of this dissertation, an isomorphic

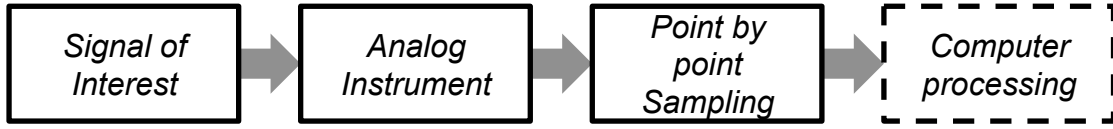


Figure 1.1: A high level view of a traditional sensing scheme. The signal-of-interest is incident upon the analog instrument. The analog instrument forms an isomorphism of the signal which is then periodically sampled point by point through an analog-to-digital converter (ADC) device. Once the signal is in digital form, post-processing algorithms are often used to perform various tasks such as noise reduction, detection, and classification. Notice that the analog instrument, sampling scheme, and processing are all separated.

sensor is any sensor whose output signal resembles the signal-of-interest. In this paradigm, the analog instrument, sampling scheme, and task-specific algorithms are separated, see Figure 1.1.

An good example of an isomorphic sensor, which is also useful for later comparison in this dissertation, is the photographic camera. In the camera, the signal-of-interest is the object that is being photographed. The analog instrument consists of the lens which is designed and fabricated to produce an intensity distribution—an image—that is nearly diffraction limited at the focal-plane array (FPA). The focal-plane array then samples and quantizes the image and produces a digital representation of the object. Often stored digital image is post-processed using software to increased desired effects such noise removal or to locate the object.

There are two major components in the camera which dominate ones ability to perform tasks-specific sensing are the optics and the FPA. Ideally, the optics (the analog instrument in this case) must produce an point-spread function (PSF) which is infinitely small in diameter. In tasks such as detection of a star from several neighboring stars in the night sky, the ability to discriminate the signal-of-interest from will be dominated by the size of the PSF. If the PSF is so large such that different objects cannot be separated then our ability to detect the object of interest will be degraded.

As establish by the Raleigh-Criterion [CITE FOURIER OPTICS]the resolution of the optics is limited by diffraction. Notice that in the resolution of the camera i

## 1.2 Dissertation Overview

## CHAPTER 2

### Formalism

This chapter introduces the reader to the more rigorous concepts and mathematical background that will be required to fully understand the material presented in the later chapters of this dissertation.

A rigorous discussion of multiplexing and signal-to-noise ratio will be discussed, as well as various coding schemes used in various notable computational sensors as well as the ones in this dissertation.

Since the Adaptive Feature Specific Spectral Imaging-Classifer (AFSSI-C) relies on a variation of Principal Component Analysis (PCA) and a Bayesian algorithm for coding design we will discuss some of the fundamentals of Bayesian probability and the Log-Likelihood Ratios.

#### 2.1 Multiplexing

#### 2.2 Principal Component Analysis

#### 2.3 Bayesian Rules and Log-Likelihood Ratios

#### 2.4 Compressive Sensing

##### 2.4.1 The Nyquist-Shannon Sampling Theorem

The Nyquist-Shannon Sampling Theorem states one must sample a signal with a sampling rate that is at least twice the maximum frequency of the signal to prevent aliasing [2].

##### 2.4.2 Sparsity, Incoherence, and the Restricted Isometry Property

##### 2.4.3 Inversion

###### 2.4.3.1 L0 and L1 Norm Minimization

###### 2.4.3.2 LASSO and sparsity regularization

## Acronyms

**SVM** support vector machine. 11

## REFERENCES

- [1] M. A. Neifeld, A. Mahalanobis, and D. J. Brady, “Task-specific sensing-introduction,” *Appl. Opt.*, vol. 45, no. 13, pp. 2857–2858, May 2006. [Online]. Available: <http://ao.osa.org/abstract.cfm?URI=ao-45-13-2857>
- [2] C. E. Shannon, “Communication in the presence of noise,” *Proceedings of the IRE*, vol. 37, no. 1, pp. 10–21, 1949.