AI基础

Lecture 8: First-Order Logic

Bin Yang School of Data Science and Engineering

byang@dase.ecnu.edu.cn

https://binyangdk.github.io/

[Some slides adapted from Philipp Koehn, JHU]

$$\frac{\alpha \Rightarrow \beta, \quad \alpha}{\beta}$$

$$\frac{\alpha\wedge\beta}{lpha}$$

$$\dfrac{lpha \wedge eta}{lpha}$$
 . $\dfrac{lpha \Leftrightarrow eta}{(lpha \Rightarrow eta) \wedge (eta \Rightarrow lpha)} \quad ext{ and } \quad \dfrac{(lpha \Rightarrow eta) \wedge (eta \Rightarrow lpha)}{lpha \Leftrightarrow eta}$

$$\frac{(\alpha \Rightarrow \beta) \land (\beta \Rightarrow \alpha)}{\alpha \Leftrightarrow \beta}$$

Lecture 7 II Os

$$\frac{\ell_1 \vee \dots \vee \ell_k, \quad m_1 \vee \dots \vee m_n}{\ell_1 \vee \dots \vee \ell_{i-1} \vee \ell_{i+1} \vee \dots \vee \ell_k \vee m_1 \vee \dots \vee m_{j-1} \vee m_{j+1} \vee \dots \vee m_n}$$

l_i and m_i are complementary literals

- Knowledge-based agents
 - Wumpus world
- Logic in general
 - Models and entailment
- Propositional logic
 - Symbols, parentheses, and 5 logical connectives: not, and, or, implies, biconditional
 - Inference
 - Model checking: Enumerate the models and check whether α is true in every model in which KB is true
- Equivalence, validity, satisfiability

$$lpha \equiv eta \quad ext{if and only if} \quad lpha \models eta ext{ and } eta \models lpha \, .$$

- Inference rules and theorem proving
 - Search with inference rules
 - Resolution: To prove KB $\models \alpha$, we show (KB $\land \neg \alpha$) is unsatisfiable
 - forward chaining, only for Horn KB, data driven
 - backward chaining, only for Horn KB, goal driven
 - (Proofs do not involve model enumerations, while model checking involves.)

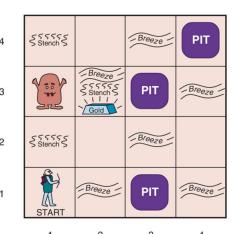
Lecture 8 ILOs

- Limitations of propositional logic
- First-order logic (FOL)
- Syntax and Semantics
 - Models, symbols, interpretations, terms
 - Atomic and complex sentences
 - Quantifiers, universal and existential quantifiers, equality
 - Database semantics
- Inference
 - Reduce FOL to propositional logic
 - Unification
 - Forward chaining, backward chaining, resolution

Outline

- Pros and cons of propositional logic
- First-order logic (FOL) example
- Syntax and semantics of FOL
 - Models, symbols, interpretations, terms
 - Atomic and complex sentences
 - Quantifiers, universal and existential quantifiers, equality
 - Database semantics
- Inference
 - Reduce FOL to propositional logic
 - Unification
 - Forward chaining, backward chaining, resolution

Pros and cons of propositional logic



Pros

- Declarative: knowledge and inference are separate, and inference is entirely domain independent
- Propositional logic allows partial/disjunctive/negated information
- Compositionality: meaning of $B_{1,1} \wedge P_{1,2}$ is derived from the meaning of $B_{1,1}$ and of $P_{1,2}$

• Cons

- Lacks the expressive power to concisely describe an environment with many objects (unlike natural languages)
- Cannot say "Pits cause breezes in adjacent squares" except by writing one sentence for each square

$$B_{1,1} \Leftrightarrow (P_{1,2} \vee P_{2,1}).$$
 $B_{2,1} \Leftrightarrow (P_{1,1} \vee P_{2,2} \vee P_{3,1}).$

Combining the best of formal and natural languages

- When we look at the syntax of natural language, the most obvious elements include:
- Nouns and noun phrases that refer to objects (squares, pits, wumpuses)
 - people, houses, numbers, theories, Ronald McDonald, colors, baseball games, wars, centuries, ...
- Verbs and verb phrases along with adjectives and adverbs that refer to relations among objects (is breezy, is adjacent to, shoots).
 - these can be unary relations or **properties** such as red, round, bogus, prime, multistoried ...,
 - or more general n-ary relations such as brother of, bigger than, inside, part of, has color, occurred after, owns, comes between, ...
- Some of these relations are functions—relations in which there is only one "value" for a given "input."
 - father of, best friend, third inning of, one more than, beginning of ...

Some examples

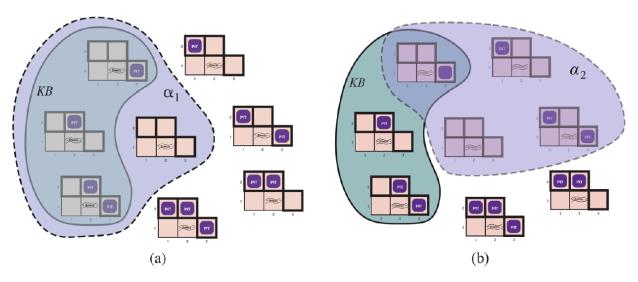
- "One plus two equals three."
 - Objects: one, two, three, one plus two; Relation: equals; Function: plus.
 - "One plus two" is a name for the object that is obtained by applying the function "plus" to the objects "one" and "two." "Three" is another name for this object.
- "Squares neighboring the wumpus are smelly."
 - Objects: wumpus, squares; Property: smelly; Relation: neighboring.
- "Evil King John ruled England in 1200."
 - Objects: John, England, 1200; Relation: ruled during; Properties: evil, king.
- First order logic is built around objects and relations.
 - First-order logic can also express facts about some or all of the objects in the universe. This enables one to represent general laws or rules, such as the statement "Squares neighboring the wumpus are smelly."

Outline

- Pros and cons of propositional logic
- First-order logic (FOL) example
- Syntax and semantics of FOL
 - Models, symbols, interpretations, terms
 - Atomic and complex sentences
 - · Quantifiers, universal and existential quantifiers, equality
 - Database semantics
- Inference
 - Reduce FOL to propositional logic
 - Unification
 - Forward chaining, backward chaining, resolution

Models of First-Order Logic (FOL)

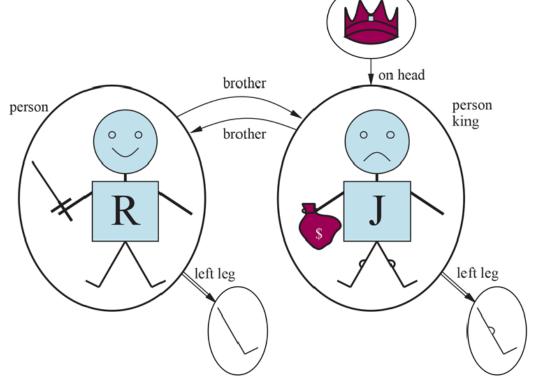
- Models in propositional logic
 - Possible worlds
 - Whether (1, 2), (2, 2), and (3, 1) contain pits.
 - 2³ possible models.
- Models in FOL
 - FOL has objects
 - The domain of a model is the set of objects.



Possible models for the presence of pits in squares [1,2], [2,2], and [3,1]. The KB corresponding to the observations of nothing in [1,1] and a breeze in [2,1] is shown by the solid line. (a) Dotted line shows models of α_1 (no pit in [1,2]). (b) Dotted line shows models of α_2 (no pit in [2,2]).

Examples of FOL Models

- Five objects
 - **Richard** the Lionheart, King of England from 1189 to 1199;
 - his younger brother, the evil King **John**, who ruled from 1199 to 1215;
 - the **left legs** of Richard and John;
 - a crown.



A model containing five objects, two binary relations (brother and on-head), three unary relations (person, king, and crown), and one unary function (left-leg).

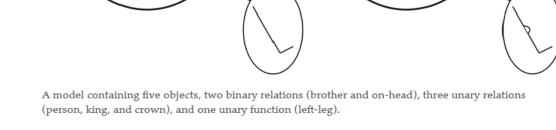
crown

Examples of FOL Models

- Relations
 - A relation is just the set of tuples of objects that are related
 - Brotherhood

 $\{\langle Richard\ the\ Lionheart,\ King\ John\rangle,\ \langle King\ John,\ Richard\ the\ Lionheart\rangle\}$.

- On head
 - (the crown, King John)
- Property, unary relationship
 - Person
 - Richard, John
 - King
 - John
- Function
 - Left leg function



left leg

brother

brother

$$\begin{split} &\langle Richard\ the\ Lionheart\rangle \to Richard\ s\ left\ leg\\ &\langle King\ John\rangle \to John\ s\ left\ leg. \end{split}$$

person

on head

person

left leg

king

More logics

Ontological commitment

Figure 8.1

Language	Ontological Commitment (What exists in the world)	Epistemological Commitment (What an agent believes about facts)
Propositional logic	facts	true/false/unknown
First-order logic	facts, objects, relations	true/false/unknown
Temporal logic	facts, objects, relations, times	true/false/unknown
Probability theory	facts	degree of belief $\in [0, 1]$
Fuzzy logic	facts with degree of truth $\in [0, 1]$	known interval value

Formal languages and their ontological and epistemological commitments.

Higher-order logic:

relations and functions operate not only on objects, but also on relations and functions.

Outline

- Pros and cons of propositional logic
- First-order logic (FOL) example
- Syntax and semantics of FOL
 - Models, symbols, interpretations, terms
 - Atomic and complex sentences
 - Quantifiers, universal and existential quantifiers, equality
 - Database semantics
- Inference
 - Reduce FOL to propositional logic
 - Unification
 - Forward chaining, backward chaining, resolution

Syntax of FOL

```
Figure 7.7
```

```
Sentence 
ightarrow AtomicSentence | ComplexSentence 
ightarrow AtomicSentence 
ightarrow True | False | P | Q | R | ... 
ightarrow ComplexSentence 
ightarrow (Sentence) 
ightarrow Sentence 
ightarrow Sen
```

OPERATOR PRECEDENCE : $\neg, \land, \lor, \Rightarrow, \Leftrightarrow$

precedences, from highest to lowest.

A BNF (Backus–Naur Form) grammar of sentences in propositional logic, along with operator

Syntax of Propositional Logic

Sentence \rightarrow AtomicSentence | ComplexSentence

AtomicSentence \rightarrow True | False | $P \mid Q \mid R \mid ...$

```
Relations
                Sentence → AtomicSentence | ComplexSentence
         AtomicSentence \rightarrow Predicate \mid Predicate(Term,...) \mid Term = Term
       ComplexSentence \rightarrow (Sentence)
                                                                        Objects
                               \neg Sentence
                               Sentence ∧ Sentence
                               Sentence ∨ Sentence
                               Sentence \Rightarrow Sentence
                               Sentence ⇔ Sentence
                               Quantifier Variable,... Sentence
                    Term \rightarrow Function(Term,...)
                               Constant
                                Variable
```

```
Quantifier \rightarrow \forall \mid \exists

Constant \rightarrow A \mid X_1 \mid John \mid \cdots

Variable \rightarrow a \mid x \mid s \mid \cdots

Predicate \rightarrow True \mid False \mid After \mid Loves \mid Raining \mid \cdots

Function \rightarrow Mother \mid LeftLeg \mid \cdots
```

OPERATOR PRECEDENCE : $\neg,=,\wedge,\vee,\Rightarrow,\Leftrightarrow$

The syntax of first-order logic with equality, specified in Backus–Naur form (see page 1030 if you are not familiar with this notation). Operator precedences are specified, from highest to lowest. The precedence of quantifiers is such that a quantifier holds over everything to the right of it.

Symbols and Interpretation

Language	Ontological Commitment (What exists in the world)		
Propositional logic	facts		
First-order logic	facts, objects, relations		

- Symbols
 - Constant symbols, which stand for objects
 - Richard, John
 - Predicate symbols, which stand for relations
 - Brother, OnHead, Person, King, Crown
 - Function symbols, which stand for functions
 - LeftLeg
 - Each predicate and function symbol comes with an **arity** that fixes the number of arguments
- Proposition symbols in propositional logic
 - Facts, true or false, B_{1.1}

Symbols and Interpretation

(8.1) $\{\langle Richard\ the\ Lionheart,\ King\ John\rangle,\ \langle King\ John,\ Richard\ the\ Lionheart\rangle\}\ .$

- Interpretation
 - specifies exactly which objects, relations and functions are referred to by the constant, predicate, and function symbols
 - Richard refers to Richard the Lionheart and John refers to the evil King John.
 - There are 25 possible interpretations for constant symbols as there are 5 objects
 - *Brother* refers to the brotherhood relation—that is, the set of tuples of objects given in Equation (8.1); *OnHead* is a relation that holds between the crown and King John;
 - *Person*, *King*, and *Crown* are unary relations that identify persons, kings, and crowns.
 - LeftLeg refers to the "left leg" function as defined in Equation (8.2)

Outline

- Pros and cons of propositional logic
- First-order logic (FOL) example
- Syntax and semantics of FOL
 - Models, symbols, interpretations, terms
 - Atomic and complex sentences
 - Quantifiers, universal and existential quantifiers, equality
 - Database semantics
- Inference
 - Reduce FOL to propositional logic
 - Unification
 - Forward chaining, backward chaining, resolution

Atomic Sentences

Constant symbols
Function symbols
Predicate symbols

Relations

Objects

Atomic sentences
predicate(term₁, ···, term_n)

Terms

- Logical expression that refers to an object.
- Constant symbols are terms.
- Function symbols, LeftLeg(John)

• We have

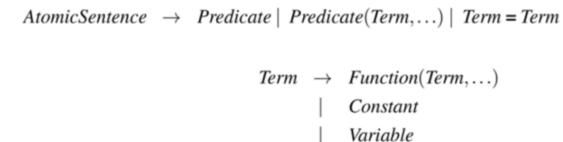
- Terms referring to objects
- Predicate symbols referring to relations

We make atomic sentences

- predicate(term₁, ···, term_n)
- P(x, y): x is a P of y.

Examples

- Brother(Richard, John): Richard is a brother of John.
- Married(Father(Richard), Mother(John)): Richard the Lionheart's father is married to King John's mother



Complex sentences

 Complex sentences are made from atomic sentences using logical connectives

$$\neg S$$
, $S_1 \land S_2$, $S_1 \lor S_2$, $S_1 \Longrightarrow S_2$, $S_1 \Leftrightarrow S_2$

```
\neg Brother(LeftLeg(Richard), John)
Brother(Richard, John) \land Brother(John, Richard)
King(Richard) \lor King(Richard)

\neg King(Richard) \Rightarrow King(John).
```

```
 \begin{array}{c|cccc} \textit{ComplexSentence} & \rightarrow & (\textit{Sentence}) \\ & & \neg \textit{Sentence} \\ & & | & \textit{Sentence} \land \textit{Sentence} \\ & & | & \textit{Sentence} \lor \textit{Sentence} \\ & & | & \textit{Sentence} & | & \textit{Sentence} \\ & & | & \textit{Sentence} & | & \textit{Sentence} \\ & & | & \textit{Quantifier Variable}, \dots \textit{Sentence} \\ \end{array}
```

Outline

- Pros and cons of propositional logic
- First-order logic (FOL) example
- Syntax and semantics of FOL
 - Models, symbols, interpretations, terms
 - Atomic and complex sentences
 - Quantifiers, universal and existential quantifiers, equality
 - Database semantics
- Inference
 - Reduce FOL to propositional logic
 - Unification
 - Forward chaining, backward chaining, resolution

Quantifiers

- Once we have a logic that allows objects, it is only natural to want to express properties of entire collections of objects, instead of enumerating the objects by name.
 - All students in our BaJian class are smart
- Quantifiers let us do this.
- First-order logic contains two standard quantifiers
 - universal and existential.

Universal quantification \(\neg \)

All kings are persons

```
\forall x \ King(x) \Rightarrow Person(x).
```

- ∀x P: for all x, P is true, where P is a logical sentence
 - For all x, if x is a king, x is a person.
- Variable x
 - A variable is a term by itself

Model in FOL: 5 objects

 $x \to \text{Richard the Lionheart},$

 $x \to \operatorname{King John},$

 $x \to \text{Richard's left leg},$

 $x \to \text{John's left leg},$

 $x \to \text{the crown}$.

Richard the Lionheart is a king \Rightarrow Richard the Lionheart is a person.

King John is a king \Rightarrow King John is a person.

Richard's left leg is a king \Rightarrow Richard's left leg is a person.

John's left leg is a king \Rightarrow John's left leg is a person.

The crown is a king \Rightarrow the crown is a person.

Universal quantification ♥

 $\forall x \ King(x) \Rightarrow Person(x).$

Model in FOL: 5 objects

 $x \to \text{Richard the Lionheart},$

 $x \to \operatorname{King John},$

 $x \to \text{Richard's left leg},$

 $x \to \text{John's left leg},$

 $x \to ext{the crown}$.

Richard the Lionheart is a king \Rightarrow Richard the Lionheart is a person.

King John is a king \Rightarrow King John is a person.

Richard's left leg is a king \Rightarrow Richard's left leg is a person.

John's left leg is a king \Rightarrow John's left leg is a person.

The crown is a king \Rightarrow the crown is a person.

P Q	$\neg P$	$P \wedge Q$	$P \lor Q$	$P \Rightarrow Q$	$P \Leftrightarrow Q$
false false	true	false	false	true	true
false true	true	false	true	true	false
true false	false	false	true	false	false
true true	false	true	true	true	true

Truth tables for the five logical connectives. To use the table to compute, for example, the value of $P \lor Q$ when P is true and Q is false, first look on the left for the row where P is true and Q is false (the third row). Then look in that row under the $P \lor Q$ column to see the result: true.

Р	Q	P=>Q
F	T	T
Т	Т	Т
F	F	Т
F	F	Т
F	F	T

We end up asserting the conclusion of the rule just for those objects for which the premise is true and saying nothing at all about those objects for which the premise is false.

A common mistake: Use conjunction instead of implication

Model in FOL: 5 objects

 $x \to \text{Richard the Lionheart},$

 $x \to \operatorname{King John},$

 $x \to \text{Richard's left leg},$

 $x \to \text{John's left leg},$

 $x \to ext{the crown}$.

 $\forall x \ King(x) \land Person(x)$

Richard the Lionheart is a king \(\) Richard the Lionheart is a person,

King John is a king \land King John is a person,

Richard's left leg is a king \(\) Richard's left leg is a person,

P	Q	P∧Q	P=>Q
F	Т	F	Т
Т	Т	Т	Т
F	F	F	T

Mini quiz: All students in our BaJian class of ECNU are smart. Write in FOL.

Existential Quantification 3

- ∃x P
 - P is true for at least one object x
 - There exists an x such that, ...
 - For some x, ···
- King john has a crown on his head

 $\exists x \; Crown(x) \land OnHead(x,John)$.

Richard the Lionheart is a crown \land Richard the Lionheart is on John's head; King John is a crown \land King John is on John's head; Richard's left leg is a crown \land Richard's left leg is on John's head; John's left leg is a crown \land John's left leg is on John's head;

The crown is a crown∧ the crown is on John's head.

Model in FOL: 5 objects

 $x \to \text{Richard the Lionheart},$

 $x \to \operatorname{King John},$

 $x \to \text{Richard's left leg},$

 $x \to \text{John's left leg},$

 $x \to \text{the crown}$.

Р	Q	P∧Q
F	F	F
F	F	F
F	F	F
F	F	F
Т	Т	Т

Some notes

Just as \Rightarrow appears to be the natural connective to use with \forall , \land is the natural connective to use with \exists . Using \land as the main connective with \forall led to an overly strong statement in the example in the previous section; using \Rightarrow with \exists usually leads to a very weak statement, indeed. Consider the following sentence:

$$\exists x \ Crown(x) \Rightarrow OnHead(x,John).$$

Richard the Lionheart is a crown \Rightarrow Richard the Lionheart is on John's head; King John is a crown \Rightarrow King John is on John's head; Richard's left leg is a crown \Rightarrow Richard's left leg is on John's head;

P	Q	P=>Q
F	F	Т
F	F	Т
F	F	Т

An existentially quantified implication sentence is true whenever any object fails to satisfy the premise; hence such sentences really do not say much at all.

Nested Quantifiers: multiple quantifiers

• Brothers are siblings

```
\forall x \ \forall y \ Brother(x,y) \Rightarrow Sibling(x,y).
```

• Siblinghood is a symmetric relationship $\forall x,y \in \mathbb{R}$

```
\forall x,y \; Sibling(x,y) \Leftrightarrow Sibling(y,x).
```

 Some confusions when two quantifiers are used with the same variable name:

```
\forall x \ (Crown(x) \lor (\exists x \ Brother(Richard,x))). The rule is that the variable belongs to the innermost quantifier that mentions it; then it will not be subject to any other quantification.
```

$$\forall x \; (Crown(x) \lor (\exists z \; Brother(Richard,z)))$$
. $\exists x \; can \; be \; replaced \; by \; \exists z \; can \; be \; can \; be \; can \; by \; can \; by$

Nested Quantifiers: multiple quantifiers

Everybody loves somebody

```
\forall x \; \exists y \; Loves(x,y) .
```

• There is someone who is loved by everyone $\exists y \ \forall x \ Loves(x,y)$.

The order of quantification is therefore very important. It becomes clearer if we insert parentheses. $\forall x \ (\exists y \ Loves(x,y))$ says that *everyone* has a particular property, namely, the property that they love someone. On the other hand, $\exists y \ (\forall x \ Loves(x,y))$ says that *someone* in the world has a particular property, namely the property of being loved by everybody.

Lincoln Quote

Mini quiz

You can fool all the people some of the time, and some of the people all the time, but you cannot fool all the people all the time.

Connections between ♥ and ∃

• Two quantifiers are connected with each other, through negation.

```
\forall x \ Likes(x, IceCream) \neg \exists x \ \neg Likes(x, IceCream) \exists x \ Likes(x, Broccoli) \neg \forall x \ \neg Likes(x, Broccoli)
```

• Because ♥ is really a conjunction over the universe of objects and ∃ is a disjunction, it should not be surprising that they obey De Morgan's rules.

$$\neg \exists x \qquad P \equiv \forall x \qquad \neg P \qquad \neg (P \lor Q) \equiv \neg P \land \neg Q \\
\neg \forall x \qquad P \equiv \exists x \qquad \neg P \qquad \neg (P \land Q) \equiv \neg P \lor \neg Q \\
\forall x \qquad P \equiv \neg \exists x \qquad \neg P \qquad P \land Q \equiv \neg (\neg P \lor \neg Q) \\
\exists x \qquad P \equiv \neg \forall x \qquad \neg P \qquad P \lor Q \equiv \neg (\neg P \land \neg Q).$$

Equality

 We can use the equality symbol to signify that two terms refer to the same object.

$$Father(John) = Henry$$

 Different from logical equivalence

Negation

- Equality can also be used with **negation** to insist that two terms are not the same object.
 - E.g., to say that Richard has at least two brothers

 $\exists x,y \; Brother(x,Richard) \land Brother(y,Richard) \land \neg(x=y)$.

Outline

- Pros and cons of propositional logic
- First-order logic (FOL) example
- Syntax and semantics of FOL
 - Models, symbols, interpretations, terms
 - Atomic and complex sentences
 - Quantifiers, universal and existential quantifiers, equality
 - Database semantics
- Inference
 - Reduce FOL to propositional logic, instantiation and propositionalization
 - Unification
 - Forward chaining, backward chaining, resolution

Database semantics

 $Brother(John,Richard) \wedge Brother(Geoffrey,Richard)$,

Database semantics

```
Standard FOL semantics
```

 $Brother(John,Richard) \land Brother(Geoffrey,Richard) \land John \neq Geoffrey \land \forall x \, Brother(x,Richard) \Rightarrow (x = John \lor x = Geoffrey)$

- Suppose that we believe that Richard has two brothers, John and Geoffrey.
 - First, this assertion is true in a model where Richard has only one brother—we need to add John≠Geoffrey.
 - Refer back to "Symbols and Interpretation"
 - Second, the sentence doesn't rule out models in which Richard has many more brothers besides John and Geoffrey.
- Database semantics vs standard semantics of FOL
 - Unique-names assumption
 - Every constant symbol refer to a distinct object
 - Closed world assumption
 - Atomic sentences not known to be true are in fact false

The Wumpus world

- Perception
 - Percept([Stench, Breeze, Glitter, none, none], 5).
 - 5 is a timestamp, when the percept occurred.

```
\forall t, s, g, w, c Percept([s, Breeze, g, w, c], t) \Rightarrow Breeze(t)

\forall t, s, g, w, c Percept([s, None, g, w, c], t) \Rightarrow \neg Breeze(t)

\forall t, s, b, w, c Percept([s, b, Glitter, w, c], t) \Rightarrow Glitter(t)

\forall t, s, b, w, c Percept([s, b, None, w, c], t) \Rightarrow \neg Glitter(t)
```

SSSSS Stench S Stench S Stench S Stench S Stench S START PIT Breeze PIT Breez

4

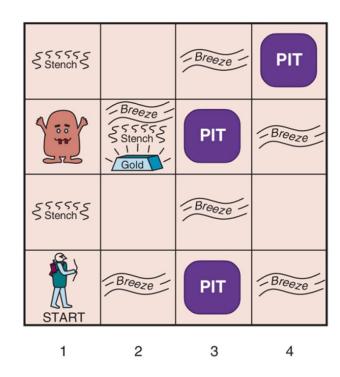
3

2

• Simple reflex behavior $\forall t \; Glitter(t) \Rightarrow BestAction(Grab,t)$.

The Wumpus world

- The environment
- Objects
 - Squares, pits, the Wumpus
- A square: a list term, e.g., [1, 2]



3

2

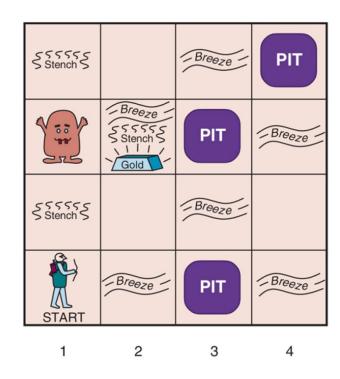
$$\forall x, y, a, b \ Adjacent([x,y],[a,b]) \Leftrightarrow (x = a \land (y = b-1 \lor y = b+1)) \lor (y = b \land (x = a-1 \lor x = a+1)).$$

- Use a unary predicate Pit that is true of squares containing pits.
- A constant Wumpus and is fixed to a specific location

 $\forall t \, At(Wumpus, [1,3], t).$

The Wumpus world

 Perceive Breezy means at least one of the adjacent squares has a pit.



$$R_2: \quad B_{1,1} \Leftrightarrow (P_{1,2} \vee P_{2,1}).$$
 $R_3: \quad B_{2,1} \Leftrightarrow (P_{1,1} \vee P_{2,2} \vee P_{3,1}).$ \vdots

Propositional Logic

 $\forall s \; Breezy(s) \Leftrightarrow \exists \, r \; Adjacent(r,s) \land Pit(r) \, .$

3

2

First-order Logic

Concisely describe an environment with many objects

Outline

- Pros and cons of propositional logic
- First-order logic (FOL) example
- Syntax and semantics of FOL
 - Models, symbols, interpretations, terms
 - Atomic and complex sentences
 - Quantifiers, universal and existential quantifiers, equality
 - Database semantics

Inference

- Reduce FOL to propositional logic, instantiation and propositionalization
- Unification
- Forward chaining, backward chaining, resolution

Propositional vs. first-order inference

- Covert the first-order knowledge base to propositional logic and use propositional inference.
- A first step is eliminating universal quantifiers.
 - SUBST($\{v/g\}$, α): apply the substitution of v by g
- Universal Instantiation (UI)
 - Replace a universally quantified variable ν by a ground term g (a term without variables) $\forall x \; King(x) \land Greedy(x) \Rightarrow Evil(x). \qquad \{x/John\}, \{x/Richard\}, \text{ and } \{x/Father(John)\}\}$

$$\frac{\forall v \ \alpha}{\text{Subst}(\{v/g\},\alpha)}$$

```
King(John) \wedge Greedy(John) \Rightarrow Evil(John)

King(Richard) \wedge Greedy(Richard) \Rightarrow Evil(Richard)

King(Father(John)) \wedge Greedy(Father(John)) \Rightarrow Evil(Father(John)).
```

:

Propositional vs. first-order inference

- Existential instantiation
 - Replace an existentially quantified variable with a single new constant symbol, called Skolem constant
 - C₁ does not appear elsewhere in the knowledge base.

Reduction to propositional inference

Our KB:

```
\forall x \; King(x) \land Greedy(x) \Rightarrow Evil(x)
King(John)
Greedy(John)
Brother(Richard,John).
```

and that the only objects are John and Richard. We apply UI to the first sentence using all possible substitutions, $\{x/John\}$ and $\{x/Richard\}$. We obtain

$$King(John) \wedge Greedy(John) \Rightarrow Evil(John)$$

 $King(Richard) \wedge Greedy(Richard) \Rightarrow Evil(Richard).$

Propositionalization

Proposition symbols in propositional logic are facts

• $B_{1,1}$, true or false

Next replace ground atomic sentences, such as King(John), with proposition symbols, such as JohnIsKing. Finally, apply any of the complete propositional algorithms in Chapter 7^{\square} to obtain conclusions such as JohnIsEvil, which is equivalent to Evil(John).

Instantiation

- Universal Instantiation
 - can be applied several times to add new sentences
 - the new KB is logically equivalent to the old KB
- Existential Instantiation
 - can be applied once to replace the existential sentence
 - the new KB is not equivalent to the old
 - but is satisfiable iff the old KB was satisfiable

Outline

- Pros and cons of propositional logic
- First-order logic (FOL) example
- Syntax and semantics of FOL
 - Models, symbols, interpretations, terms
 - Atomic and complex sentences
 - Quantifiers, universal and existential quantifiers, equality
 - Database semantics

Inference

- Reduce FOL to propositional logic, instantiation and propositionalization
- Unification
- Forward chaining, backward chaining, resolution

Unification and First-order Inference

- Generalized Modus Ponens
 - For **atomic** sentences p_i , p_i , and q, where there is a substitution such that $SUBST(\theta, p_i') = SUBST(\theta, p_i)$

$$rac{p_1',\;p_2',\;\ldots,\;p_n',\;(p_1\wedge p_2\wedge\ldots\wedge p_n\Rightarrow q)}{ ext{SUBST}(heta,q)}.$$

$$\frac{\alpha \Rightarrow \beta, \quad \alpha}{\beta}$$

$$King(x) \land Greedy(x) \Longrightarrow Evil(x)$$

```
p_1' is King(John) p_1 is King(x) p_2' is Greedy(y) p_2 is Greedy(x) \theta is \{x/John, y/John\} q is Evil(x) SUBST(\theta,q) is Evil(John).
```

Lifted version

- Generalized Modus Ponens is a lifted version of Modus Ponens—it raises Modus Ponens from ground (variable-free) propositional logic to first-order logic.
- We can develop lifted versions of the forward chaining, backward chaining, and resolution algorithms.
- The key advantage of lifted inference rules over propositionalization is that they make only those substitutions that are required to allow particular inferences to proceed.
- Lifted inference rules require finding substitutions that make different logical expressions look identical. This process is called **unification** and is a key component of all first-order inference algorithms.

Unification

 The UNIFY algorithm takes two sentences, p and q, and returns a unifier for them (a substitution) if one exists:

```
Unify(p,q) = \theta where Subst(\theta,p) = \text{Subst}(\theta,q).
```

- Query: whom does John know?
 - AskVars(Knows(John, x))
 - Answers to this query can be found by finding all sentences in the knowledge base that unify with Knows(John, x)

```
Unify (Knows(John,x), Knows(John,Jane)) = \{x/Jane\}

Unify (Knows(John,x), Knows(y,Bill)) = \{x/Bill,y/John\}

Unify (Knows(John,x), Knows(y,Mother(y))) = \{y/John,x/Mother(John)\}

Unify (Knows(John,x), Knows(x,Elizabeth)) = failure.
```

Outline

- Pros and cons of propositional logic
- First-order logic (FOL) example
- Syntax and semantics of FOL
 - Models, symbols, interpretations, terms
 - Atomic and complex sentences
 - Quantifiers, universal and existential quantifiers, equality
 - Database semantics

Inference

- Reduce FOL to propositional logic, instantiation and propositionalization
- Unification
- Forward chaining, backward chaining, resolution

Lifted Forward Chaining

- Forward Chaining only works for horn clauses
 - A horn clause is a disjunction of literals of which at most one is positive.
 - Or it is in the form of
 - proposition symbol; or

- C, $B \Longrightarrow A$, $C \land D \Longrightarrow B$
- (conjunction of symbols) => symbol
- Lifted forward chaining only works for first-order definite clauses
 - A definite clause is either atomic, or is an implication whose antecedent is a conjunction of positive literals and whose consequent is a single positive literal.
 - Existential quantifiers are not allowed
 - Universal quantifiers are always there and are implicit. When you see x, it means $\forall x$

$$King(x) \wedge Greedy(x) \Rightarrow Evil(x)$$
,

Example

KB:

The law says that it is a crime for an American to sell weapons to hostile nations. The country Nono, an enemy of America, has some missiles, and all of its missiles were sold to it by Colonel West, who is American.

Prove that West is a criminal

```
... it is a crime for an American to sell weapons to hostile nations:
   American(x) \land Weapon(y) \land Sells(x, y, z) \land Hostile(z) \implies Criminal(x)
Nono ... has some missiles, i.e., \exists x \ Owns(Nono, x) \land Missile(x):
   Owns(Nono, M_1) and Missile(M_1)
... all of its missiles were sold to it by Colonel West
   Missile(x) \land Owns(Nono, x) \Longrightarrow Sells(West, x, Nono)
Missiles are weapons:
   Missile(x) \Rightarrow Weapon(x)
An enemy of America counts as "hostile":
   Enemy(x, America) \implies Hostile(x)
West, who is American . . .
   American(West)
The country Nono, an enemy of America . . .
   Enemy(Nono, America)
```

```
... it is a crime for an American to sell weapons to hostile nations:
   American(x) \land Weapon(y) \land Sells(x, y, z) \land Hostile(z) \implies Criminal(x)
Nono ... has some missiles, i.e., \exists x \ Owns(Nono, x) \land Missile(x):
   Owns(Nono, M_1) and Missile(M_1)
... all of its missiles were sold to it by Colonel West
   Missile(x) \land Owns(Nono, x) \Longrightarrow Sells(West, x, Nono)
Missiles are weapons:
   Missile(x) \Rightarrow Weapon(x)
An enemy of America counts as "hostile":
   Enemy(x, America) \implies Hostile(x)
West, who is American ...
   American(West)
The country Nono, an enemy of America ...
   Enemy(Nono, America)
```

Starting from the known facts, it triggers all the rules whose premises are satisfied, adding their conclusions to the known facts.



... it is a crime for an American to sell weapons to hostile nations:

 $American(x) \land Weapon(y) \land Sells(x, y, z) \land Hostile(z) \implies Criminal(x)$

Nono . . . has some missiles, i.e., $\exists x \ Owns(Nono, x) \land Missile(x)$:

 $Owns(Nono, M_1)$ and $Missile(M_1)$

... all of its missiles were sold to it by Colonel West

 $Missile(x) \land Owns(Nono, x) \Longrightarrow Sells(West, x, Nono)$

Missiles are weapons:

 $Missile(x) \Rightarrow Weapon(x)$

An enemy of America counts as "hostile":

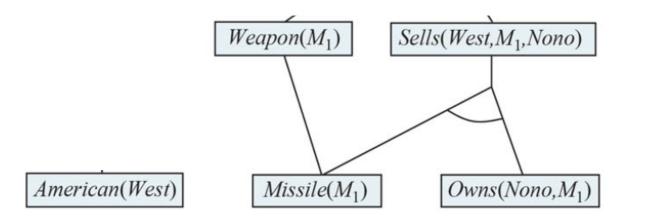
 $Enemy(x, America) \Longrightarrow Hostile(x)$

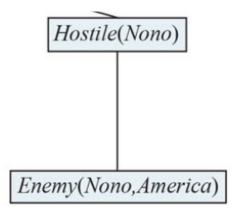
West, who is American ...

American(West)

The country Nono, an enemy of America ...

Enemy(Nono, America)

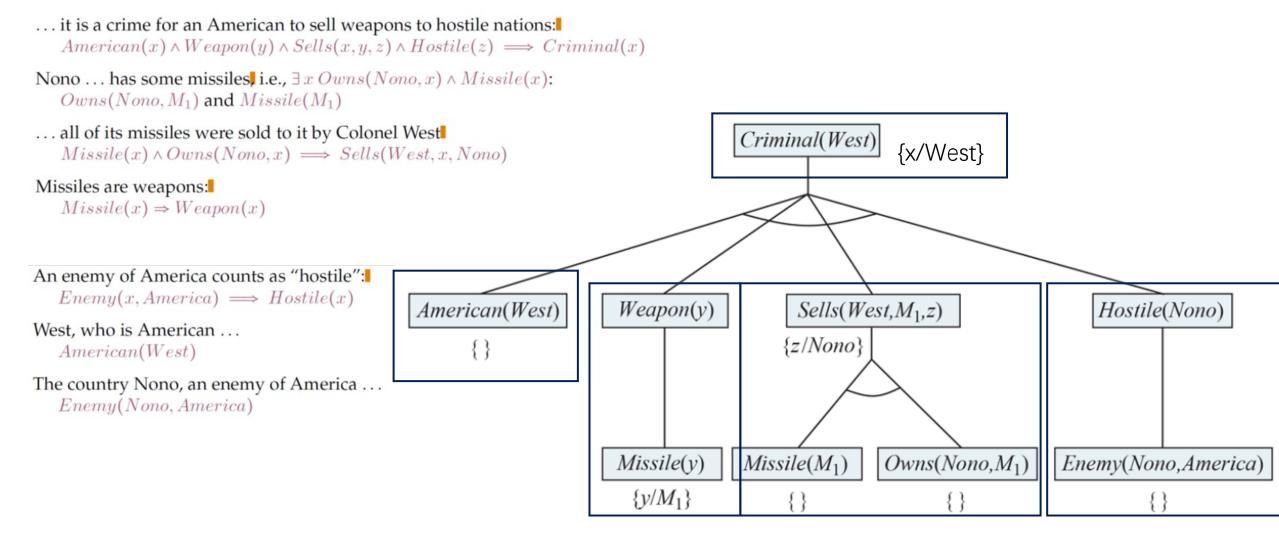




. it is a crime for an American to sell weapons to hostile nations: $American(x) \land Weapon(y) \land Sells(x, y, z) \land Hostile(z) \Longrightarrow Criminal(x)$ Nono ... has some missiles i.e., $\exists x \ Owns(Nono, x) \land Missile(x)$: $Owns(Nono, M_1)$ and $Missile(M_1)$... all of its missiles were sold to it by Colonel West $Missile(x) \land Owns(Nono, x) \Longrightarrow Sells(West, x, Nono)$ Missiles are weapons: $Missile(x) \Rightarrow Weapon(x)$ An enemy of America counts as "hostile": $Enemy(x, America) \implies Hostile(x)$ West, who is American . . Criminal(West) American(West)The country Nono, an ene Enemy(Nono, Americ $Sells(West, M_1, Nono)$ Hostile(Nono) $Weapon(M_1)$ $Owns(Nono, M_1)$ American(West) $Missile(M_1)$ Enemy(Nono, America)

Lifted Backward chaining

- Start with query
- Check if it can be derived by given rules and facts
 - apply rules that infer the query
 - recurse over pre-conditions



Proof tree constructed by backward chaining to prove that West is a criminal. The tree should be read depth first, left to right. To prove Criminal(West), we have to prove the four conjuncts below it. Some of these are in the knowledge base, and others require further backward chaining. Bindings for each successful unification are shown next to the corresponding subgoal. Note that once one subgoal in a conjunction succeeds, its substitution is applied to subsequent subgoals. Thus, by the time FOL-BC-Ask gets to the last conjunct, originally Hostile(z), z is already bound to Nono.

Resolution in propositional logic

Resolution inference

$$\frac{\ell_1 \vee \dots \vee \ell_k, \quad m_1 \vee \dots \vee m_n}{\ell_1 \vee \dots \vee \ell_{i-1} \vee \ell_{i+1} \vee \dots \vee \ell_k \vee m_1 \vee \dots \vee m_{j-1} \vee m_{j+1} \vee \dots \vee m_n}$$

- I_i and m_i are complementary literals
- Example

$$\frac{P_{1,3} \vee P_{2,2}, \quad \neg P_{2,2}}{P_{1,3}} \qquad \frac{P_{1,1} \vee P_{3,1}, \quad \neg P_{1,1} \vee \neg P_{2,2}}{P_{3,1} \vee \neg P_{2,2}}.$$

Resolution is sound and complete for propositional logic

Resolution in first-order logic

Resolution inference

$$\frac{\ell_1 \vee \dots \vee \ell_k, \quad m_1 \vee \dots \vee m_n}{\text{Subst}(\theta, \ell_1 \vee \dots \vee \ell_{i-1} \vee \ell_{i+1} \vee \dots \vee \ell_k \vee m_1 \vee \dots \vee m_{j-1} \vee m_{j+1} \vee \dots \vee m_n)}$$

• Unify(I_i , $\neg m_j$)= Θ

$$\neg Rich(x) \lor Unhappy(x)$$
 $Rich(Ken)$ $Unhappy(Ken)$

$$\theta = \{x/Ken\}$$

Lecture 8 ILOs

FOL:

- Objects, Terms
- Relations, Predicates
- Concise, Quantifiers

Propositional Logic

Propositionalization

Instantiation { Universal instantiation Existential instantiation

- Limitations of propositional logic
- First-order logic (FOL)
- Syntax and Semantics
 - Models, symbols, interpretations, terms
 - Atomic and complex sentences
 - Quantifiers, universal and existential quantifiers, equality
 - Database semantics
- Inference
 - Reduce FOL to propositional logic, instantiation and propositionalization
 - Unification
 - Forward chaining, backward chaining, resolution