



Temporal Indexing

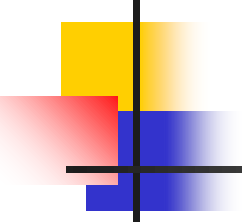
MVBT

Temporal Indexing



- Transaction time databases : update the last version, query all versions
- Queries:
 - “Find all employees that worked in the company on 09/12/98”
 - “Find the employees with salary between 35K and 50K on 04/21/99”
- Multiversion B-tree: answers efficiently both queries

Basics

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- A data structure is called :
 - Ephemeral: updates create a new version and the old version cannot be queried
 - Persistent: updates can be applied at any version and any version can be queried
 - Partially Persistent: updates applied to the last version and any version can be queried
 - Transaction time fits with partially persistence

MVBT – The idea



- Store all versions of the state of a B+-tree which evolved over time, i.e. multiple “snapshots” of the tree
- Inserts, updates and deletes are applied to the present version of the tree and increase the version number of the whole tree
- Queries know which version of the tree they require the result(s) from



General method

- Transform a single version external access structure (with high utilization of disk blocks) at the cost of a constant factor in time and space requirements compared to the original, single version structure
- Such increase is asymptotically optimal = worst-case bounds cannot decrease by adding multiversion capability to existing structure

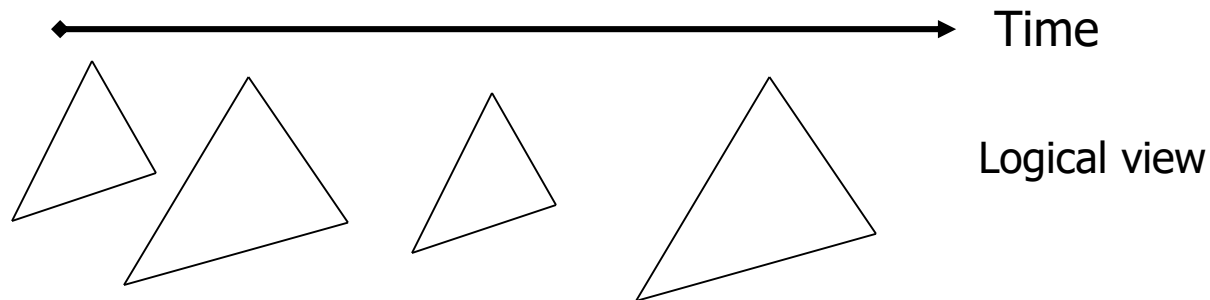


Proposition Specifics

- Extend B+-tree to have multiversion capability
- Support operations:
 - Insert(key, info)
Insert into current version, increase tree version
 - Delete(key)
Delete from current version, increase tree version
 - Exact match query(key, version)
 - Range query(lowkey, highkey, version)

Overview

- The multiversion B-tree is a directed acyclic graph (DAG) of B-tree nodes that results from incremental changes to original B-tree
- It has a number of B+-tree root nodes which partition the versions from the first to the present one so that each B-tree root stands for an interval of versions



Blocks (pages)



- Contain b data items
- Live if it has not been copied, dead otherwise
- Weak version condition: for every version i and each block A , except the roots of versions, we require that the number of “alive” entries in A is either 0 or at least d , where $b = k \cdot d$ ($d = b/k$)

Data Items



- Leaf node of the tree
 - $\langle \text{key}, \text{in_version}, \text{del_version}, \text{info} \rangle$
- Inner node of the tree
 - $\langle \text{router}, \text{in_version}, \text{del_version}, \text{info} \rangle$
- Said to be of version i if its lifespan contains i
- In live block, deletion version $*$ denotes that this entry has not been deleted at present, in a dead block it means that the entry has not yet been deleted before the block died

Updates



- Each update creates new version
- If no structural changes:
 - Insert: lifespan is $[i, *)$
 - Delete: changes `del_version` from $*$ to i
- A structural change is required if:
 - Block overflow: can only fit b entries in a block
 - Weak version underflow: if deletion in a block with exactly d current version entries

Structural Modification



- Copy the block and remove all but the present version entries from the copy
- If block consists of primarily present version entries, the copy will produce an almost full block, resulting in a split again after a few subsequent insertions
 - To avoid this, request that at least $\epsilon d + 1$ insert or delete operations are necessary for the next block overflow or version underflow in that block (ϵ will be defined later)

Strong Version Constraints

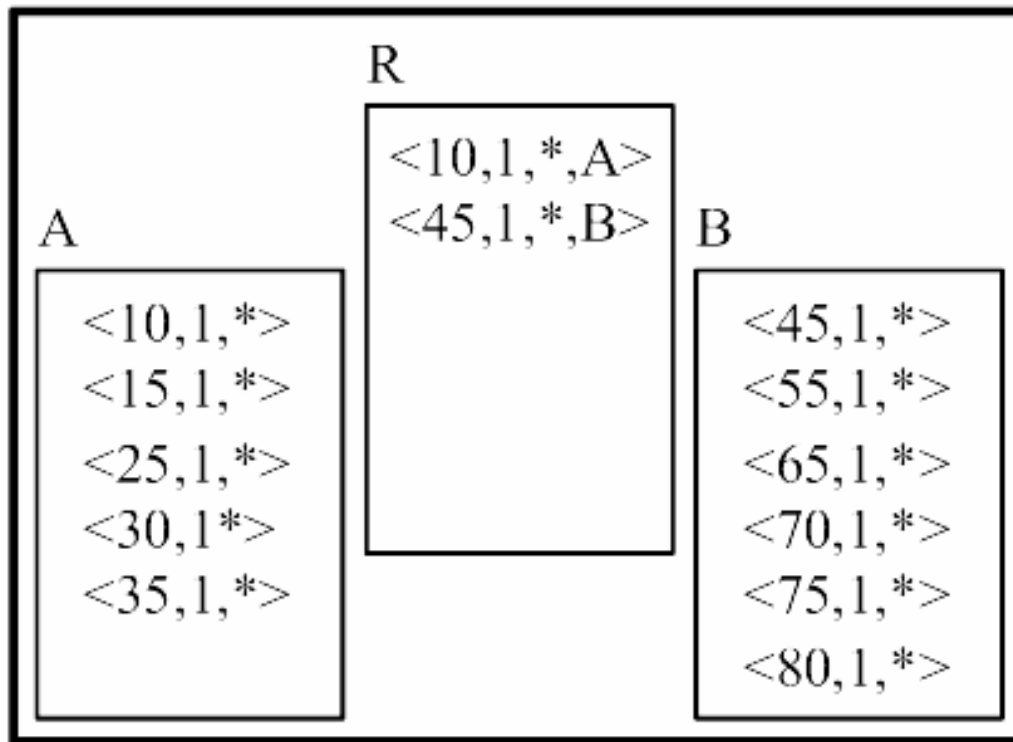


- Strong version condition: the number of present version entries after a version split must be in range from $(1+\epsilon)d$ to $(k-\epsilon)d$.
- Strong version underflow: result of version split leading to less than $(1+\epsilon)d$ entries
 - Attempt to merge with a sibling block containing only its present version entries, if necessary followed by a version independent split by key values
- Strong version overflow: if a version split leads to more than $(k-\epsilon)d$ entries in the block
 - Also perform a split by key values

Simple Example

$b=6$
 $d=2$
 $\varepsilon=0.5$

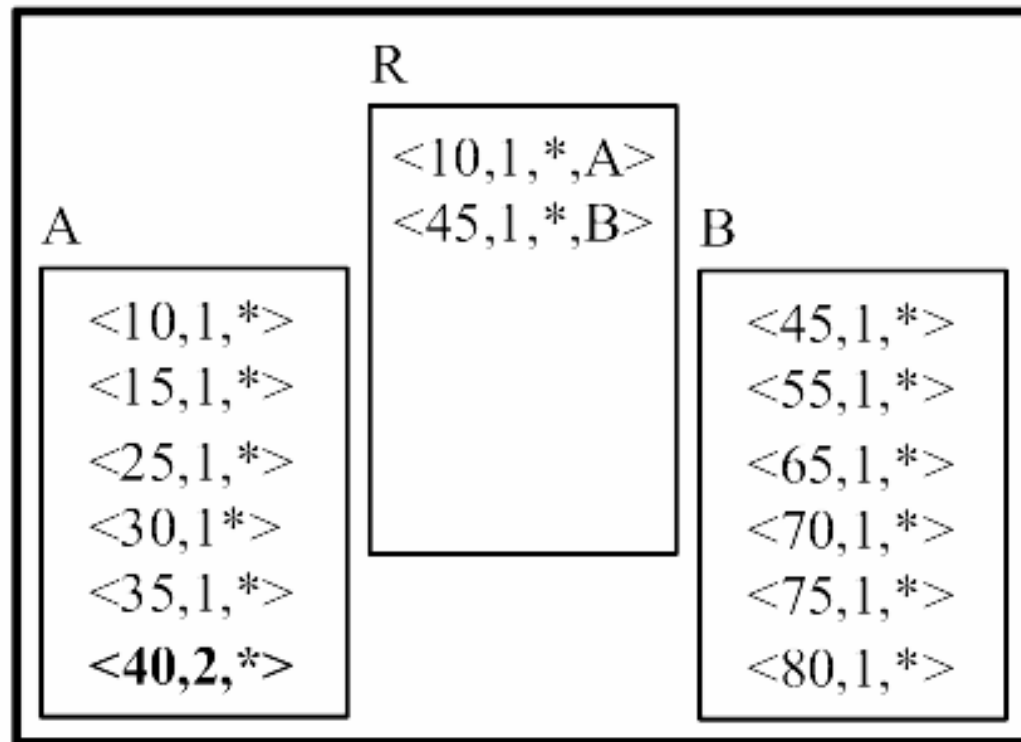
Original Tree (Version 1)



Insert(40)

Simple Example

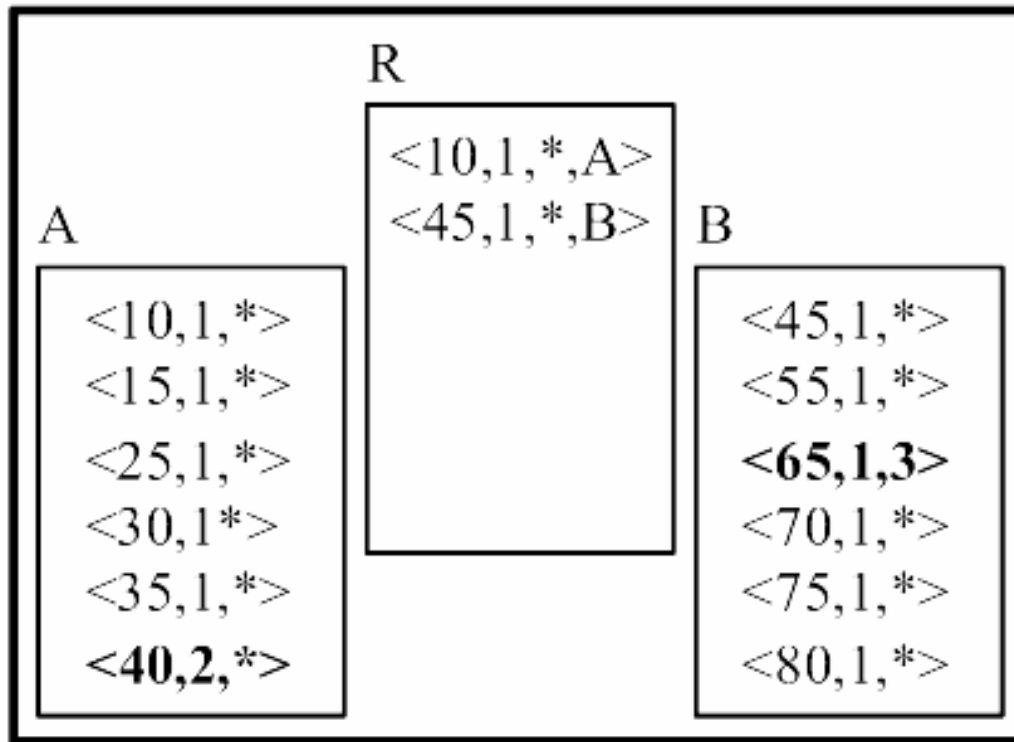
(Version 2)



Delete(65)

Simple Example

(Version 3)

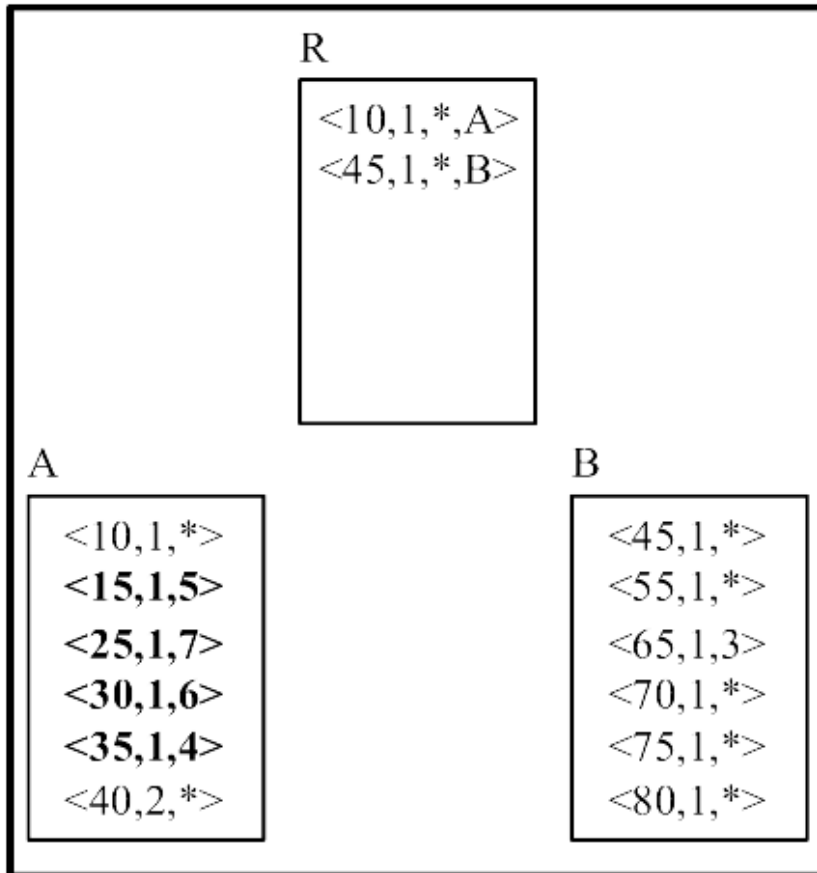


Version Split Example

Insert(5) creates a block overflow

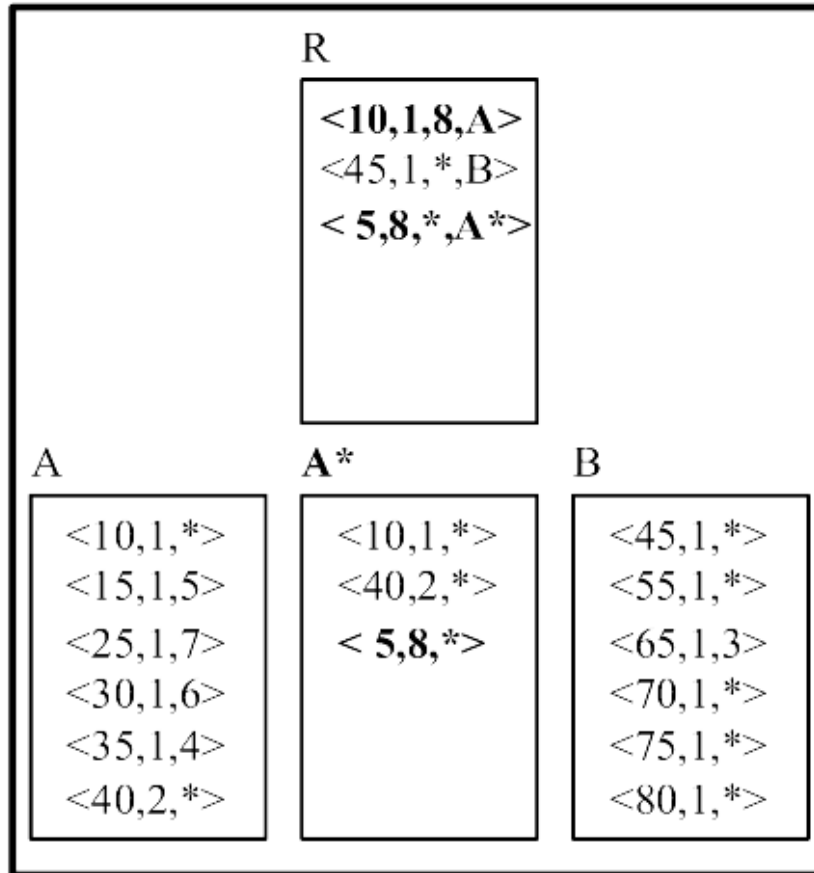
All currently live entries copied to the new live block A*, old block A marked dead

Also, the root block is updated to show that entity 10 was alive in the dead block A until version 8



(Version 7)

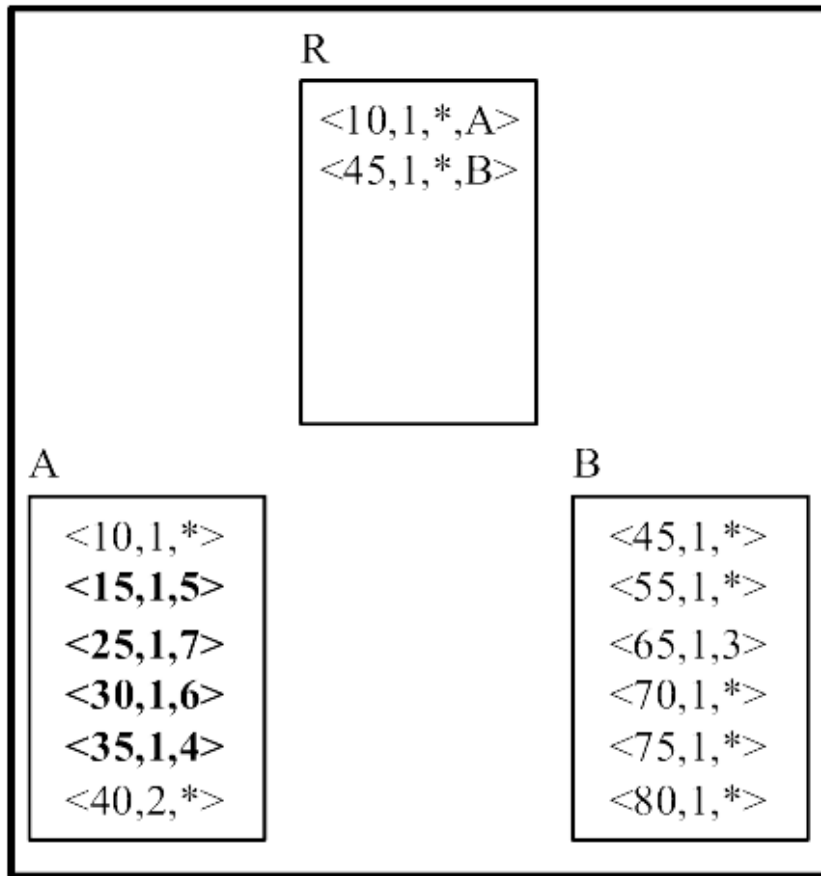
Version Split Example



Resulting "tree"

(Version 8)

Weak V. Underflow Example



(Version 7)

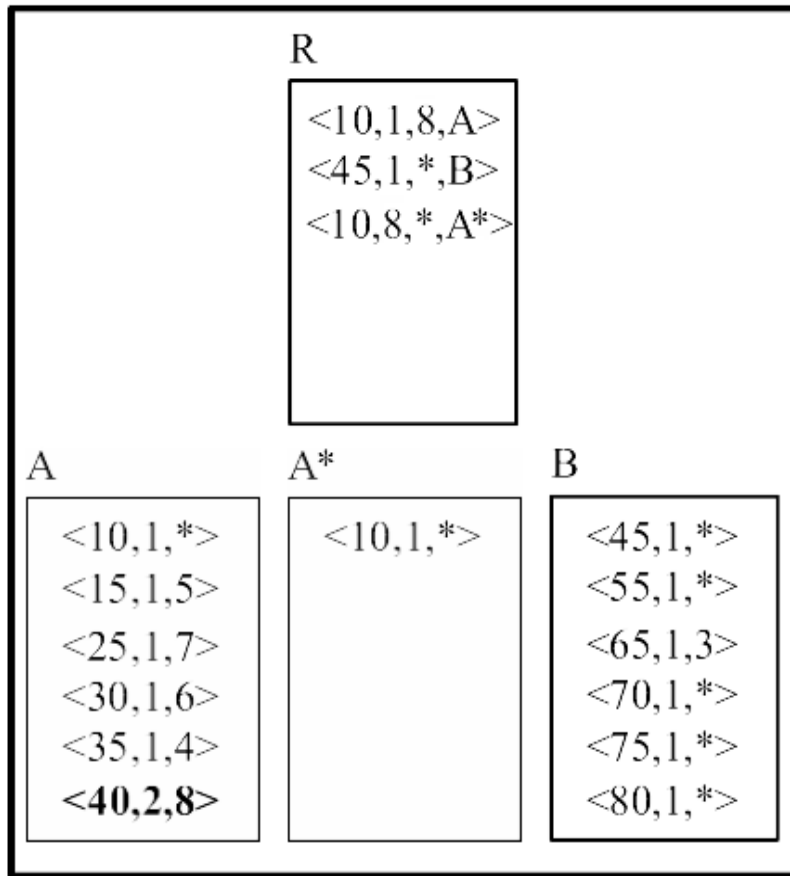
Suppose $b=6$, $d=2$, $\epsilon=0.5$

(d : the minimum # of current version entries in the block)

Delete(40) results in block A only having 1 current entry

$1 < d$: weak underflow, split A

Strong V. Underflow Example

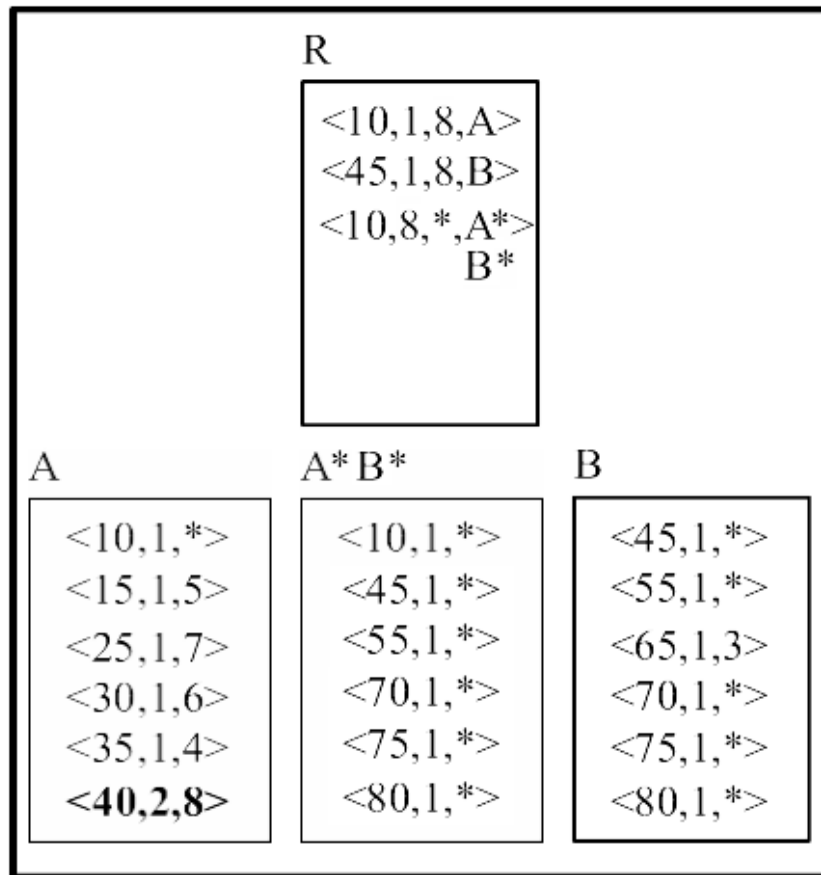


(Processing: Version 7→8)

The version split of A has led to less than $(1 + \epsilon)d = 1.5 * 2 = 3$ entries in the new node
→ strong version underflow

Seek a sibling of A* (in our case, B)
Version split it (to create B*)
Merge B* and A*
to produce block A*B*

Strong V. Underflow Condition Violation

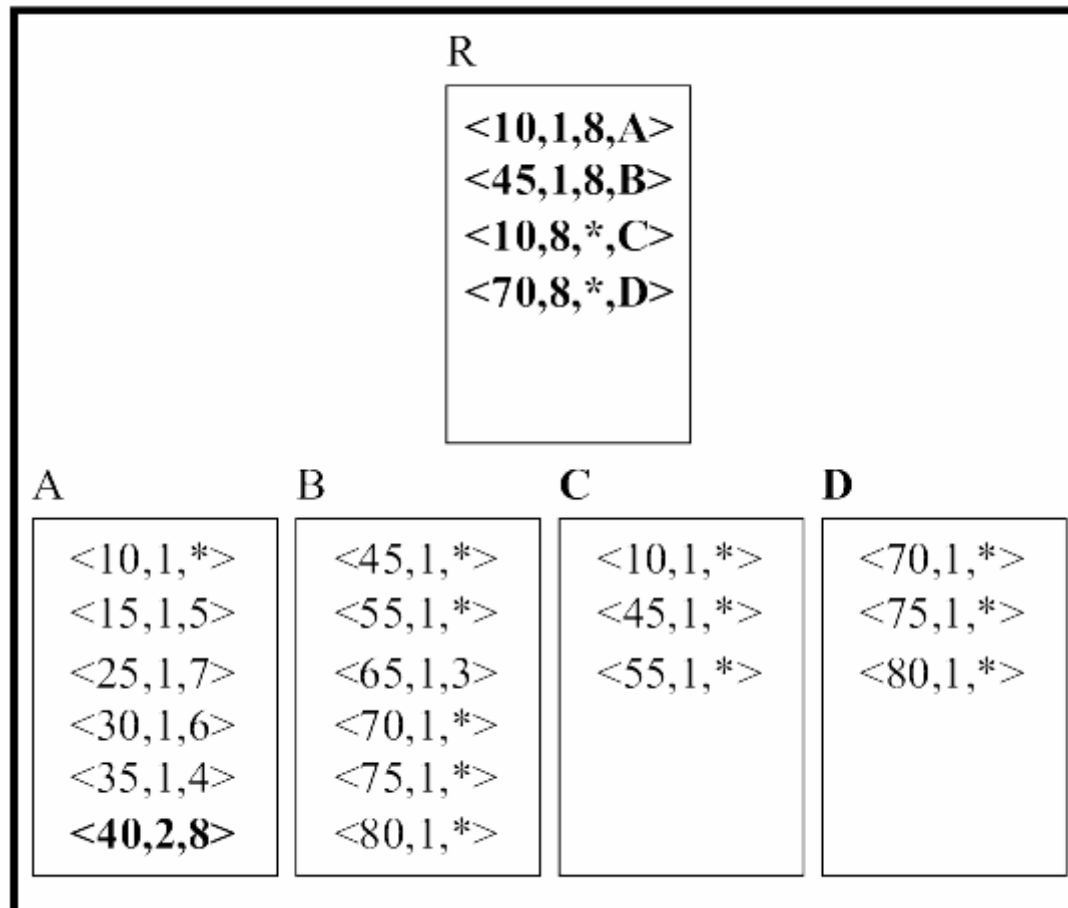


But now, the node A*B* violates the strong version overflow condition and must be split by key into nodes C and D

(Processing: Version 7→8)

Resulting Tree

Example
Query:
(25, 5)



(Version 8)

Roots Can Split, Too

R1

<10,1,8,A>
<45,1,8,B>
<10,8,11,C>
<70,8,15,D>
<10,11,*,E>
<70,15,18,F>
<70,18,*,G>

Overflow Split

R2

<10,11,*,E>
<70,18,*,G>

R1

<10,1,8,A>
<45,1,8,B>
<10,8,11,C>
<70,8,15,D>
<10,11,*,E>
<70,15,18,F>

Roots Can Split, Too

Strong version overflow
with key split and allocation of the
new block

R1

<10,18,*,A>
<25,18,*,B>
<30,21,*,C>
<40,21,*,D>
<55,14,25,E>
<70,14,*,F>
<55,25,*,G>



R2

<10,25,*,R3>
<40,25,*,R4>

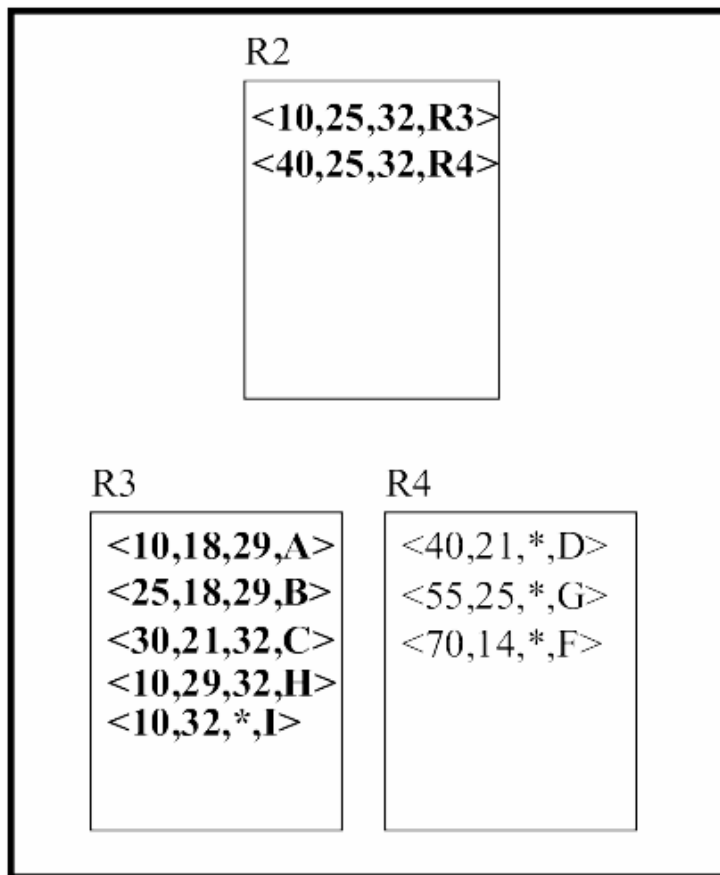
R3

<10,18,*,A>
<25,18,*,B>
<30,21,*,C>

R4

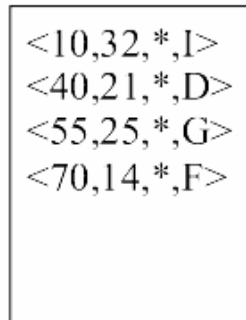
<40,21,*,D>
<55,25,*,G>
<70,14,*,F>

Weak Version Underflow of the Root Node



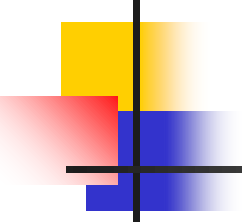
R3 has shrunk → weak underflow
Block copies of R3 and R4 are created and
merged into R5

R5



This causes weak version underflow of R2, so
R5 becomes new root block

Algorithms

- 
- Insertion: Find the leaf node for the new key e , then call blockInsert (say A)
 - blockInsert: enter e in A
 - If block overflow of A then
 - Version split, block insert
 - If strong version underflow then
 - Merge
 - Else if strong version overflow \rightarrow key split



Algorithms

- Delete: blockDelete A
 - Check weak version underflow on A
 - If true, then merge with sibling
- Note that Deletion is easier than the insertion in the MVBT. What about the B+-tree?

Constraints on MVBT Parameters

- What are the restrictions on choices of k and ϵ ?
 - $(k-\epsilon)d+1 \geq (1/\alpha)(1+\epsilon)d$
 - α is the fraction of the entries in a block guaranteed to be in a new node after a key split, 0.5 for B-trees
 - Before key split, A contains at least $(k-\epsilon)d+1$ entries
 - After a key split, both blocks must contain at least $(1+\epsilon)d$ entries.
 - $2d-1 \geq (1+\epsilon)d$
 - Before merge is performed, together there are at least $2d-1$ present version entries in the blocks to be merged

Efficiency Analysis



- The big result is that the MVBT is asymptotically optimal to the B-Tree in the worst case in time and space for all considered operations

- Search time is in

$$O(\lceil \log_b m_i \rceil + \frac{r}{d})$$

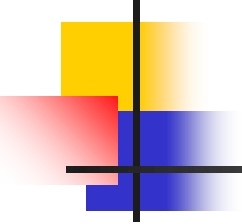
- Space is $O(n/b)$ and update $5\lceil \log_b m_i \rceil$

Additional Issues



- Can store the roots of version interval trees in a B-tree of their own; authors demonstrated that for most practical cases the depth of the root B-tree will never exceed two anyway.

Other Approach

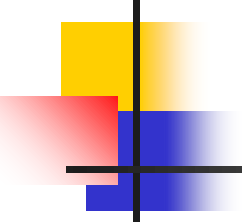
- 
- Overlapping B+-trees
 - Idea: for each update store only the path from the root node to the leaf node, use the rest of the tree for the new version (since it is the same)
 - Better approach, store only the new path
 - Easier to implement but space is higher:
 - $O(n/b \log_b n/b)$



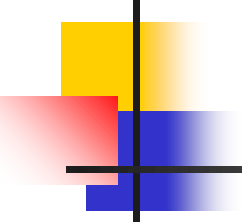
Temporal Hashing

- Hashing is used to answer exact match queries
- Exact-match with time: “find if employee with id=23 was working in the company on 09/08/98”
- One approach: Use MVBT (4-5 I/Os)
- Temporal Hashing: can achieve 2 I/Os

Temporal Hashing

- 
- Treat objects that fall into a given bucket B_i during the whole evolution as an evolving set $B_i(t)$
 - To find if key k is in $S(t)$, reconstruct bucket B_i and search for key k (B_i is the bucket that the key k should have been placed at t)
 - Simply observe how an ephemeral hashing scheme would map the keys of $S(t)$ in buckets and keep the history of each bucket

Temporal Hashing

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- For each bucket use a Snapshot Index
 - Using the SI we can reconstruct the bucket
 - Note that when we split a bucket we treat the keys that moved to the new bucket as deleted for the old bucket, newly inserted for the new
 - Another approach is to keep an evolving list

Bi-temporal Data Indexing



- Bi-temporal Index:
 - R-tree for valid time
 - Partial persistence for transaction time

→ Partially persistent R-tree [Kumar et al. 97]

- What about the case that valid time has an open end_time (now)?
 - A special R-tree to handle that [Saltenis et al. '99]