

A Global Constraint Framework as a Constrained Variational Principle

1. Ontological Postulate (Global Primacy)

Let $\Omega \subset \mathbb{R}^4$ be a spacetime region with boundary $\partial\Omega$.

Axiom 1 (Global Constraint): Physical states are not defined by local initial data alone, but by *global admissibility* under constraints imposed on $\partial\Omega$.

Formally, the physically realizable fields $\phi(x)$ belong to a constrained function space

$$\phi \in \mathcal{A}(\Omega) \subset \mathcal{F}(\Omega),$$

where admissibility is determined by global constraints

$$\mathcal{C}_i[\phi] = 0.$$

2. Constrained Action Functional

Define the action

$$S[\phi] = \int_{\Omega} \mathcal{L}(\phi, \partial_{\mu}\phi) d^4x$$

subject to *global constraints*

$$\mathcal{C}_i[\phi] \equiv \int_{\Omega} \Omega G_i(\phi, \partial\phi) d^4x + \int_{\partial\Omega} H_i(\phi) d^3\sigma = 0.$$

Introduce Lagrange multipliers λ_i and define the *effective constrained action*

$$S_{\text{eff}}[\phi] = S[\phi] + \sum_i \lambda_i \mathcal{C}_i[\phi].$$

3. Variational Principle

Axiom 2 (Constrained Extremality):

$$\delta S_{\text{eff}}[\phi] = 0 \quad \text{for all } \delta\phi \in T\mathcal{A}.$$

This yields modified Euler–Lagrange equations:

$$\frac{\partial \mathcal{L}}{\partial \phi} - \partial_{\mu} \frac{\partial \mathcal{L}}{\partial (\partial_{\mu} \phi)} + \sum_i \lambda_i \frac{\delta G_i}{\delta \phi} = 0.$$

Crucially:

- λ_i are *global*, not local fields.
- Local dynamics depend parametrically on global constraint satisfaction.

4. Emergence of Conservation Laws

Let $\mathcal{C}[\phi]$ be invariant under a continuous transformation

$$\phi \rightarrow \phi + \epsilon \Delta \phi.$$

Then stationarity of S_{eff} implies

$$\partial_\mu J^\mu = 0.$$

Note: Conservation laws arise from *constraint invariance*, not assumed symmetry of \mathcal{L} . Noether's theorem is recovered as a corollary.

5. Quantization as Constraint Eigenstructure

Admissible solutions satisfy

$$\mathcal{C}[\phi_n] = 0,$$

defining an eigenvalue problem:

$$\mathcal{O}\phi_n = \lambda_n \phi_n.$$

Dimensionless physical constants (e.g., coupling strengths) appear as

$$\alpha_n = f(\lambda_n),$$

so constants are global eigenvalues, not free parameters.

6. Coherence and Decoherence

Define a coherence functional

$$\mathcal{K}[\phi] = \int_{\Omega} \phi^* \phi d^4x.$$

Prediction:

- Decoherence corresponds to loss of admissibility: $\phi \notin \mathcal{A}(\Omega)$.
- There exists a minimum decoherence rate set by constraint incompatibility:

$$\Gamma \geq \Gamma_{\text{constraint}}.$$

7. Locality as an Approximation

If constraints are weak or on large scales,

$$\lambda_i \rightarrow 0 \quad \Rightarrow \quad \text{Standard local field theory recovered.}$$

Thus:

- Local physics is an *effective theory*.
- Global consistency is fundamental.

8. Falsifiability Condition

The framework is falsifiable if any of the following are observed:

1. Arbitrary continuous variation of dimensionless constants.
2. Complete elimination of decoherence via local isolation.
3. Absence of boundary-condition sensitivity in coherent systems.

Summary

Physical law arises from global consistency conditions imposed on admissible histories; local dynamics, conservation laws, and constants emerge as constrained extrema of a global variational principle.