

# Project

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January 11, 2022

**Problem 1** Consider a one dimensional infinite chain on the  $z$  direction consisting of metallic balls, each of which have radius  $a$  and is made of a metal with permittivity

$$\epsilon_r = 1 - \frac{\omega_p^2}{\omega(\omega + i\gamma)}. \quad (1)$$

When  $a \rightarrow 0$ , we have

$$\alpha(\omega) = 4\pi\epsilon_0 a^3 \frac{\epsilon_r(\omega) - 1}{\epsilon_r(\omega) + 2}, \quad (2)$$

We use Mathematica to plot the real and the imaginary part of  $\alpha(\omega)$  in Figure 1 on page 1. TODO: features

**Problem 2** We need to solve

$$\mathbf{p}_m = \alpha(\mathbf{E}_{\text{ext}}(\mathbf{r}_m) + \omega^2 \mu_0 \sum_{n \neq m}^{\leftrightarrow} \mathbf{G}(\mathbf{r}_m - \mathbf{r}_n) \cdot \mathbf{p}_n), \quad (3)$$

and when there is no external field, by the Bloch condition

$$\mathbf{p}_m = \mathbf{u} e^{ikz_m}, \quad (4)$$

we have

$$\mathbf{u} e^{ikz_m} = \alpha \omega^2 \mu_0 \sum_{n \neq m}^{\leftrightarrow} \mathbf{G}(\mathbf{r}_m - \mathbf{r}_n) \cdot \mathbf{u} e^{ikz_n},$$

$$\left( \overset{\leftrightarrow}{\mathbf{I}} - \alpha \omega^2 \mu_0 \sum_{n \neq m}^{\leftrightarrow} \mathbf{G}(\mathbf{r}_m - \mathbf{r}_n) e^{ikz_n} e^{-ikz_m} \right) \mathbf{u} = 0,$$

and we have

$$\overset{\leftrightarrow}{\mathbf{M}} = \alpha^{-1} \overset{\leftrightarrow}{\mathbf{I}} - \omega^2 \mu_0 \sum_{n \neq m}^{\leftrightarrow} \mathbf{G}(\mathbf{r}_m - \mathbf{r}_n) e^{ik(z_n - z_m)}, \quad \overset{\leftrightarrow}{\mathbf{M}} \mathbf{u} = 0, \quad (5)$$

and we need to evaluate

$$\overset{\leftrightarrow}{\mathbf{W}} = \omega^2 \mu_0 \sum_{n \neq m}^{\leftrightarrow} \mathbf{G}(\mathbf{r}_m - \mathbf{r}_n) e^{ik(z_n - z_m)}. \quad (6)$$

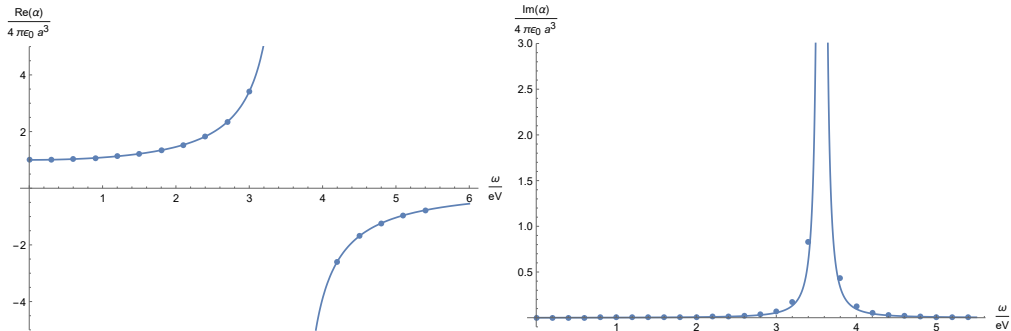


Figure 1: The real and the imaginary part of  $\alpha(\omega)$ . The lines are plotted by definition, and the scattered points are obtained by K-K relations. (a) The real part. (b) The imaginary part.

The dyadic Green function is

$$\overset{\leftrightarrow}{\mathbf{G}}(\mathbf{R}) = \frac{k_0}{4\pi} \frac{e^{ik_0 R}}{k_0 R} \left( \overset{\leftrightarrow}{\mathbf{I}} \left( 1 - \frac{4\pi R}{3k_0^2} \delta(\mathbf{R}) - \frac{1}{k_0^2 R^2} + \frac{i}{k_0 R} \right) + \frac{\mathbf{R}\mathbf{R}}{R^2} \left( \frac{3}{k_0^2 R^2} - 1 - \frac{3i}{k_0 R} \right) \right). \quad (7)$$

Since  $\mathbf{R} = \mathbf{r}_m - \mathbf{r}_n \neq 0$ , the  $\delta$ -function term vanishes. Since  $\mathbf{r}_m - \mathbf{r}_n$  is along the  $z$  axis, We have

$$\frac{\mathbf{R}\mathbf{R}}{R^2} = \mathbf{e}_z \mathbf{e}_z, \quad R = |z_m - z_n|.$$

Therefore, by the definition (6), we have

$$\begin{aligned} \overset{\leftrightarrow}{\mathbf{W}} &= \omega^2 \mu_0 \sum_{m \neq n} e^{ik(z_n - z_m)} \frac{k_0}{4\pi} e^{ik_0 R} \left( \overset{\leftrightarrow}{\mathbf{I}} \left( \frac{1}{k_0 R} + \frac{i}{k_0^2 R^2} - \frac{1}{k_0^3 R^3} \right) \right. \\ &\quad \left. + \mathbf{e}_z \mathbf{e}_z \left( -\frac{1}{k_0 R} - \frac{3i}{k_0^2 R^2} + \frac{3}{k_0^3 R^3} \right) \right) \\ &= \omega^2 \mu_0 \frac{k_0}{4\pi} \left( \sum_{z_m < z_n} + \sum_{z_m > z_n} \right) e^{ik_0 R - ik(z_m - z_n)} \left( \overset{\leftrightarrow}{\mathbf{I}} \left( \frac{1}{k_0 R} + \frac{i}{k_0^2 R^2} - \frac{1}{k_0^3 R^3} \right) \right. \\ &\quad \left. + \mathbf{e}_z \mathbf{e}_z \left( -\frac{1}{k_0 R} - \frac{3i}{k_0^2 R^2} + \frac{3}{k_0^3 R^3} \right) \right) \\ &= \omega^2 \mu_0 \frac{k_0}{4\pi} \left( \sum_{l=1}^{\infty} e^{i(k_0 - k)\Lambda l} + \sum_{l=1}^{\infty} e^{i(k_0 + k)\Lambda l} \right) \left( \overset{\leftrightarrow}{\mathbf{I}} \left( \frac{1}{k_0 \Lambda l} + \frac{i}{k_0^2 \Lambda^2 l^2} - \frac{1}{k_0^3 \Lambda^3 l^3} \right) \right. \\ &\quad \left. + \mathbf{e}_z \mathbf{e}_z \left( -\frac{1}{k_0 \Lambda l} - \frac{3i}{k_0^2 \Lambda^2 l^2} + \frac{3}{k_0^3 \Lambda^3 l^3} \right) \right). \end{aligned}$$

Using formulae from [Wikipedia](#), we have

$$\left( \sum_{l=1}^{\infty} e^{i(k_0 - k)\Lambda l} + \sum_{l=1}^{\infty} e^{i(k_0 + k)\Lambda l} \right) \frac{1}{l^s} = \text{Li}_s(e^{i(k_0 - k)\Lambda}) + \text{Li}_s(e^{i(k_0 + k)\Lambda}),$$

So finally we have

$$\begin{aligned} \overset{\leftrightarrow}{\mathbf{W}} &= \frac{\omega^2 \mu_0 k_0}{4\pi} \left( \frac{1}{k_0 \Lambda} (\overset{\leftrightarrow}{\mathbf{I}} - \mathbf{e}_z \mathbf{e}_z) (\text{Li}_1(e^{i(k_0 - k)\Lambda}) + \text{Li}_1(e^{i(k_0 + k)\Lambda})) \right. \\ &\quad \left. + \frac{i}{k_0^2 \Lambda^2} (\overset{\leftrightarrow}{\mathbf{I}} - 3\mathbf{e}_z \mathbf{e}_z) (\text{Li}_2(e^{i(k_0 - k)\Lambda}) + \text{Li}_2(e^{i(k_0 + k)\Lambda})) \right. \\ &\quad \left. - \frac{1}{k_0^3 \Lambda^3} (\overset{\leftrightarrow}{\mathbf{I}} - 3\mathbf{e}_z \mathbf{e}_z) (\text{Li}_3(e^{i(k_0 - k)\Lambda}) + \text{Li}_3(e^{i(k_0 + k)\Lambda})) \right). \end{aligned} \quad (8)$$

**Problem 3** When the system is in a single mode, we have  $\mathbf{p}_m = \alpha_{\text{eff}} \mathbf{E}_{\text{eig}}$ , and from (3) we have

$$\alpha^{-1} \mathbf{p}_m = \alpha_{\text{eig}}^{-1} \mathbf{p}_m + \omega^2 \mu_0 \sum_{n \neq m} \overset{\leftrightarrow}{\mathbf{G}}(\mathbf{r}_m - \mathbf{r}_n) \cdot \mathbf{p}_n,$$

and we find it is equivalent to

$$\overset{\leftrightarrow}{\mathbf{M}} \cdot \mathbf{u} = \frac{1}{\alpha_{\text{eff}}} \mathbf{u} = \lambda \mathbf{u}. \quad (9)$$

The eigenvalues are inverse effective polarizabilities. (9) is equivalent to

$$\alpha^{-1} \mathbf{u} - \overset{\leftrightarrow}{\mathbf{W}} \cdot \mathbf{u} = \alpha_{\text{eig}}^{-1} \mathbf{u},$$

and we known that  $\overset{\leftrightarrow}{\mathbf{W}}$  is diagonal in the  $\mathbf{e}_x, \mathbf{e}_y, \mathbf{e}_z$  basis, so the eigenvectors are just  $\mathbf{e}_x, \mathbf{e}_y, \mathbf{e}_z$ , and it is straightforward to find that the polarizabilities on the  $x$  and  $y$  directions are the same:

$$\begin{aligned} \alpha_{\text{eig},xy}^{-1} &= \alpha^{-1} - \frac{\omega^2 \mu_0 k_0}{4\pi} \left( \frac{1}{k_0 \Lambda} (\text{Li}_1(e^{i(k_0 - k)\Lambda}) + \text{Li}_1(e^{i(k_0 + k)\Lambda})) \right. \\ &\quad \left. + \frac{i}{k_0^2 \Lambda^2} (\text{Li}_2(e^{i(k_0 - k)\Lambda}) + \text{Li}_2(e^{i(k_0 + k)\Lambda})) - \frac{1}{k_0^3 \Lambda^3} (\text{Li}_3(e^{i(k_0 - k)\Lambda}) + \text{Li}_3(e^{i(k_0 + k)\Lambda})) \right), \end{aligned} \quad (10)$$

and the polarizability on the  $z$  direction is

$$\alpha_{\text{eig},z}^{-1} = \alpha^{-1} - \frac{\omega^2 \mu_0 k_0}{4\pi} \left( -\frac{2i}{k_0^2 \Lambda^2} (\text{Li}_2(e^{i(k_0-k)\Lambda}) + \text{Li}_2(e^{i(k_0+k)\Lambda})) \right. \\ \left. + \frac{2}{k_0^3 \Lambda^3} (\text{Li}_3(e^{i(k_0-k)\Lambda}) + \text{Li}_3(e^{i(k_0+k)\Lambda})) \right). \quad (11)$$

**Problem 4** In the  $c \rightarrow \infty$  limit,  $k_0 \rightarrow 0$ , so all  $1/(k_0 \Lambda)^s$  terms in (8) diverges, and only the most divergent terms where  $s = 3$  are important, i.e. we only keep the near field terms in  $\vec{\mathbf{G}}$ , and we have

$$\vec{\mathbf{W}} = \omega^2 \frac{\mu_0 k_0}{4\pi} \left( \frac{3\mathbf{e}_z \mathbf{e}_z - \vec{\mathbf{I}}}{k_0^3 \Lambda^3} \right) (\text{Li}_3(e^{ik\Lambda}) + \text{Li}_3(e^{-ik\Lambda})).$$

In the  $\gamma \rightarrow 0$  limit, we have

$$\frac{1}{\alpha} = \frac{1}{4\pi\epsilon_0 a^3} \frac{\epsilon_r + 2}{\epsilon_r - 1} = \frac{1}{4\pi\epsilon_0 a^3} \frac{\omega_p^2 - 3\omega^2}{\omega_p^2}.$$

So we have

$$\frac{1}{\alpha} = \frac{1}{4\pi\epsilon_0 a^3} \frac{\omega_p^2 - 3\omega^2}{\omega_p^2} \mathbf{u} = \underbrace{\omega^2 \frac{\mu_0}{4\pi} \frac{1}{k_0^2}}_{=\frac{\mu_0 c^2}{4\pi} = \frac{1}{4\pi\epsilon_0}} \left( \frac{3\mathbf{e}_z \mathbf{e}_z - \vec{\mathbf{I}}}{\Lambda^3} \right) (\text{Li}_3(e^{ik\Lambda}) + \text{Li}_3(e^{-ik\Lambda})) \mathbf{u},$$

and finally (5) can be written as

$$\vec{\mathbf{H}} \cdot \mathbf{u} = \frac{\omega^2}{\omega_p^2} \mathbf{u}, \quad (12)$$

where

$$\vec{\mathbf{H}} = \frac{1}{3} \left( 1 - \frac{a^3}{\Lambda^3} (3\mathbf{e}_z \mathbf{e}_z - \vec{\mathbf{I}}) \right) (\text{Li}_3(e^{ik\Lambda}) + \text{Li}_3(e^{-ik\Lambda})) \quad (13)$$

By definition we know that  $(\text{Li}_3(e^{ik\Lambda}) + \text{Li}_3(e^{-ik\Lambda}))$  is a real number, so (13) is a Hermitian matrix, and therefore qualifies as a Hamiltonian. Again, we find that  $\vec{\mathbf{H}}$ 's eigenvectors are  $\mathbf{e}_x$ ,  $\mathbf{e}_y$  and  $\mathbf{e}_z$ , and the eigenvalues are

$$\frac{\omega_{xy}^2}{\omega_p^2} = \frac{1}{3} \left( 1 + \frac{a^3}{\Lambda^3} \right) (\text{Li}_3(e^{ik\Lambda}) + \text{Li}_3(e^{-ik\Lambda})), \quad (14)$$

and

$$\frac{\omega_z^2}{\omega_p^2} = \frac{1}{3} \left( 1 - \frac{2a^3}{\Lambda^3} \right) (\text{Li}_3(e^{ik\Lambda}) + \text{Li}_3(e^{-ik\Lambda})). \quad (15)$$