# **Introduction to Logical Foundations**

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# 0.1 Judgment

Analytic judgments are those that become evident by conceptual analysis.

$$\frac{J_1 \quad \dots \quad J_n}{J_c}$$

1. Syntax: well-formed

E.g.

$$\frac{A \text{ wf} \quad B \text{ wf}}{A \circ B \text{ wf}}$$

- 2. **Semantics**: true
  - 1. **I**: *introduce* a connective
  - 2. E: extract info out of a pure connective

E.g.

$$\frac{A \quad B}{A \wedge B} \wedge I \qquad \frac{A \wedge B}{A} \wedge E_1$$

# 0.2 Hypothetical Reasoning

Given P, Q holds.

1.  $\Rightarrow$  *I*: Internalizing a reasoning process.

E.g. 
$$\frac{P_1 \quad P_2}{\frac{\cdots}{Q}}$$
 
$$\frac{P_1, P_2 \Rightarrow Q}{P_1, P_2 \Rightarrow Q}$$

Note that *P*s and *Q*s cease to exist in the conclusion, thus "internalized".

1.  $\Rightarrow$  *E*: modus ponens

# 0.3 Properties of assumtions (structural)

Weakening: assumptions can be unused

$$\frac{A}{A} \frac{u \quad \text{(unused)} - w}{B} \Rightarrow I^{w}$$

$$\frac{B \Rightarrow A}{A \Rightarrow B \Rightarrow A} \Rightarrow I^{u}$$

Contraction: assumptions can be used multiple times

Substitution: TODO

### 0.4 Context

Notice the two dimensional rule of  $\Rightarrow$  *I*:

$$\frac{P_1 \quad P_2}{\frac{\dots}{Q}}$$

$$P_1, P_2 \Rightarrow Q$$

It's kind of awkward to keep this hypothetical strcture around. Instead, we write

$$\frac{\Gamma, h_1: P_1, h_2: P_2 \vdash Q}{\Gamma \vdash P_1, P_2 \Rightarrow Q}$$

Note that we declare

$$\frac{x:A\in\Gamma}{\Gamma\vdash A}x$$

By following the same principle, we can rewrite **Weakening** and **Contraction**.

Weakening:

$$\frac{\Gamma \vdash B}{\Gamma, x : A \vdash B}$$

**Contraction:** 

$$\frac{\Gamma, x : A \vdash B}{\Gamma, x : A, y : A \vdash B}$$

**Substitution**:

$$\frac{\Gamma, x : A \vdash C \qquad \Gamma \vdash A}{\Gamma \vdash C}$$

# 0.5 Local Soundness/Completeness

**Local** in the sense that these properties only discuss **a single connective**, not the whole system. It's a weak witness that this system makes sense.

1. **Local Soundness**: the combination of intro and elim is not too strong, i.e. they don't allow us to infer more than what's already known.

E.g. the proof

$$\frac{\Gamma \vdash A \qquad \Gamma \vdash B}{\frac{\Gamma \vdash A \times B}{\Gamma \vdash A} \times E_1} \times I$$

collapses to

$$\frac{\Gamma \vdash A}{\Gamma \vdash A}$$

so there's no additional information provided by the intro and elim rules.

2. **Local Completeness**: the combination of intro and elim is sufficiently strong, in terms of rebuilding the info we have.

E.g. there's no information loss in the rebuild process of the connective  $A \times B$ 

$$\frac{\Gamma \vdash A \times B}{\Gamma \vdash A} \times E_1 \qquad \frac{\Gamma \vdash A \times B}{\Gamma \vdash B} \times E_2$$

$$\frac{\Gamma \vdash A \times B}{\Gamma \vdash A \times B} \times I$$

### 0.6 C.H.

- Propositions Types
- Proof Programs
- Nat. Ded.  $\lambda$ -calculus

### Importance:

- 1. Guiding program language design
- 2. Basis of Type Theory
- 3. Proving logic consistency by looking at programs

### 0.6.1 Typing Judgment

$$\Gamma \vdash M : A$$

Given some assumptions in the context  $\Gamma$ ,

- *M* is a proof term corresponding to the proposition *A*.
- *M* is a program that has a type *A*.

**C.H.**: 
$$\Gamma \vdash A \text{ iff } \Gamma \vdash M : A$$

Example: conjunction

$$\frac{\Gamma \vdash M : A \quad \Gamma \vdash N : B}{\Gamma \vdash \langle M, N \rangle : A \land B} \land I \qquad \frac{\Gamma \vdash M : A \land B}{\Gamma \vdash M.1 : A} \qquad \frac{\Gamma \vdash M : A \land B}{\Gamma \vdash M.2 : B}$$

Example: implication

$$\frac{\Gamma, x : A \vdash \lambda x : A.M : B}{\Gamma \vdash M : A \to B} \Rightarrow I^{x} \qquad \frac{\Gamma \vdash M : A \to B \quad \Gamma \vdash N : A}{\Gamma \vdash MN : B} \Rightarrow E$$

Thm (Local Soundness)

$$\frac{\Gamma \vdash M : A \qquad \Gamma \vdash N : B}{\frac{\Gamma \vdash \langle M, N \rangle : A \land B}{\Gamma \vdash \langle M, N \rangle . 1 : A}} \text{ collapses to } \frac{\Gamma \vdash M : A}{\Gamma \vdash \lambda x : A . M : A \to B} \Rightarrow I^{x}$$

$$\frac{\Gamma, x : A \vdash M : B}{\Gamma \vdash \lambda x : A . M : A \to B} \Rightarrow \Gamma^{x}$$

$$\frac{\Gamma \vdash (\lambda x : A . M) N : B}{\Gamma \vdash (\lambda x : A . M) N : B} \Rightarrow E \text{ collapses to } \frac{\Gamma \vdash M[N/x] : B}{\Gamma \vdash M[N/x] : B}$$

- 1. Logic: not gaining any information through this intro and elim detour.
- 2. Program: type is preserved through this detour.

The "collapse" can then be written as:

**Reduction**  $(M, N).1 \Longrightarrow M$  and  $(\lambda x : A.M)N \Longrightarrow M[N/x]$ .

**Thm** (Subject Reduction)
If 
$$\Gamma \vdash M : A$$
 and  $M \Longrightarrow M'$ , then  $\Gamma \vdash M' : A$ 

**Thm** (Local Completeness)

$$\frac{\Gamma \vdash M : A \land B}{\Gamma \vdash M : A \land B} \land E_1 \qquad \frac{\Gamma \vdash M : A \land B}{\Gamma \vdash M . 2 : B} \land E_2$$

$$\frac{\Gamma \vdash M : A \land B}{\Gamma \vdash M : A \land B} \text{ expands to } \frac{\Gamma \vdash M : A \land B}{\Gamma \vdash M : A \rightarrow B} \land I$$

$$\frac{\Gamma \vdash M : A \rightarrow B}{\Gamma, x : A \vdash M : A \rightarrow B} \qquad \frac{\Gamma, x : A \vdash x : A}{\Gamma, x : A \vdash M : B} \Rightarrow I^x$$

$$\frac{\Gamma \vdash M : A \rightarrow B}{\Gamma \vdash M : A \rightarrow B} \Rightarrow I^x$$

This **exhibits the actual structure** (i.e. **expands its internal term/proof structure**) of M while preserving the type.

### Insight:

- Proof Reduction Program Reduction
- Normalizing Proofs Normalizing Programs

Compare both proofs

TODO fill in the blanks

$$\vdash \lambda x : A \land A.(\lambda y : A.y)x.2 : A \land A \rightarrow A$$

### 0.7 Disjunction

Let's now expand the previous example to disjunction.

### 0.7.1 Rules

$$\frac{\Gamma \vdash M : A}{\Gamma \vdash \iota_{1}M : A \lor B} \lor I_{1}$$

$$\frac{\Gamma \vdash M : B}{\Gamma \vdash \iota_{2}M : A \lor B} \lor I_{2} \qquad \frac{\Gamma \vdash M : A \lor B \quad \Gamma, x : A \vdash N_{1} : C \quad \Gamma, x : B \vdash N_{2} : C}{\Gamma \vdash \text{cases } M \text{ of } \iota_{1}x \Rightarrow N_{1} \mid \iota_{2}x \Rightarrow N_{2} : C} \lor E$$

### 0.8 Local Soundness

TODO Fill in the blanks

 $\Gamma \vdash case$ 

# 1 Modal Logic S4

Truth is living in the moment - here and now.

Validity is living forever and everywhere.

- Brigitte Pientka

# **1.1 Necessity Modality** $\Box A$

> Note what I originally wrote as  $\Gamma \vdash A$  is now  $\Gamma \vdash A$  true to make a clear distinction between **validity** and **true**.

### 1.1.1 Validity

- If  $\varepsilon \vdash A$  true then A valid
- If A valid then  $\Gamma \vdash A$  true

Notice how the second rule allows weakening while the first rule does not. This means that **validity does not depend on any local assumptions**.

### 1.1.2 Judgment

 $\Delta$ ;  $\Gamma \vdash A$  true

- $\Delta$  the **global** context. It contains valid assumptions that holds **forever**.
- $\Gamma$  the **local** context. It contains assumptions that hold **here and now**.

So, the definition of validity can be written as:

$$\underline{y: A \text{ true} \in \Gamma}$$

$$\Delta; \Gamma \vdash A \text{ true}$$

$$x: A \text{ valid} \in \Delta$$

$$\Delta; \Gamma \vdash A \text{ true}$$

Now we start to introduce the modality □:

$$\frac{\Delta; \cdot \vdash A \text{ true}}{\Delta; \Gamma \vdash \Box A \text{ true}} \Box I$$

Just as  $\rightarrow$  is internalizing reasoning on implication,  $\Box$  is internalizing reasoning on validity.

T law (reflexivity).

<sup>&</sup>quot;The world is full of possibilities, but not today."

$$\frac{\cdot; x: \Box A \vdash A \text{ true}}{\cdot: \cdot \vdash \Box A \to A \text{ true}} \to I^x$$

i.e. If it's true everywhere and forever, then it's also true here and now.

### A detour

One may be tempted to define  $\Box_E$  as such

$$\frac{\Delta; \Gamma \vdash \Box A}{\Delta; \Gamma \vdash A}$$

Let's see if it's locally complete as a sanity check.

 $\Delta$ ;  $\Gamma \vdash \Box A$  true

should be able to expand to

 $\Delta$ ;  $\Gamma \vdash \Box A$  true

$$\frac{\frac{\dots}{\Delta; \cdot \vdash A \text{ true}} ???}{\Delta; \Gamma \vdash \Box A \text{ true}} \Box I$$

Boom!

### The correct solution

A let-style definition!

$$\frac{\Delta; \Gamma \vdash \Box A \text{ true} \quad \Delta, u : A \text{ valid}; \Gamma \vdash C \text{ true}}{\Delta; \Gamma \vdash C \text{ true}} \Box E \qquad \frac{\Delta; \cdot \vdash A \text{ true}}{\Delta; \Gamma \vdash \Box A \text{ true}} \Box I$$

Let's see if it's locally sound.

$$\frac{\Delta; \cdot \vdash A \text{ true}}{\Delta; \Gamma \vdash \Box A \text{ true}} \Box I \qquad \Delta, u : A \text{ valid}; \Gamma \vdash C \text{ true} \\ \Delta; \Gamma \vdash C \text{ true}$$

 $(\Delta; \cdot \vdash A \text{ true goes to } \Delta, u : A \text{ valid}; \Gamma \vdash C \text{ true via modal substitution})$ 

collapses to

 $\Delta$ ;  $\Gamma \vdash C$  true

### Lemma.

- 1. (*Substitution*) If  $\Delta$ ;  $\Gamma$ , x: A true  $\vdash C$  true and  $\Delta$ ;  $\Gamma \vdash A$  true, then  $\Delta$ ;  $\Gamma \vdash C$  true.
- 2. (*Modal Substitution*) If  $\Delta$ , y : A valid;  $\Gamma \vdash C$  true and  $\Delta$ ;  $\cdot \vdash A$  true, then  $\Delta$ ;  $\Gamma \vdash C$  true.

**Thm** (T).  $\Box$  satisfies *reflexivity*.

**Thm** (*Distributivity*). □ satisfies *distributivity*.

$$\Box(A \to B) \to \Box A \to \Box B$$

**Thm** (4). □ satisfies *transitivity*.

$$\Box A \rightarrow \Box \Box A$$

To introduce a  $\square$ , one may attempt to use  $\square_I$ , but this would leave us with an empty  $\Gamma$  which is not good. So, we need to first preserve x forever, which leads us to the use of  $\square_E$  - saving it to  $\Delta$ .

NOTE We usually put something to eternality via  $\Box_E$  rule. Note that we are gaining information via  $\Box_E$  while we give up information via  $\Box_I$ .

$$\frac{u : A \text{ valid}; \vdash A \text{ true}}{u : A \text{ valid}; \vdash \Box A \text{ true}} \Box I \\
\underline{u : A \text{ valid}; \vdash \Box A \text{ true}} \Box I \\
\underline{u : A \text{ valid}; \vdash \Box A \text{ true}} \Box I \\
\underline{v : x : \Box A \text{ true} \vdash \Box \Box A \text{ true}} \to I^{x} \\
\underline{\vdots \vdash \Box A \to \Box \Box A \text{ true}}$$

### 1.2 Syntax

Terms 
$$M ? x \mid \lambda x : A.M \mid M N \mid \langle M, N \rangle \mid M.1 \mid M.2 \mid u \mid box M \mid$$

NOTE u for valid assumptions.

Now let's add proof terms to logic rules.

$$\frac{\Delta; \cdot \vdash M : A \text{ true}}{\Delta; \Gamma \vdash \text{box } M : \Box A \text{ true}} \Box I \text{ } (M \text{ is a closed term w.r.t. } local \text{ } (runtime) \text{ assumptions})$$

$$\frac{\Delta; \Gamma \vdash M : \Box A \text{ true}}{\Delta; \Gamma \vdash \text{let box } u := M \text{ in } N : C \text{ true}} \Box E$$

$$\frac{x : A \text{ true} \in \Gamma}{\Delta; \Gamma \vdash x : A \text{ true}} \qquad \frac{u : A \text{ valid} \in \Delta}{\Delta; \Gamma \vdash u : A \text{ true}}$$

And now we have

1. (*Substitution*) If  $\Delta$ ;  $\Gamma$ , x: A true  $\vdash C$  true and  $\Delta$ ;  $\Gamma \vdash A$  true, then  $\Delta$ ;  $\Gamma \vdash C$  true. e.g.

$$(\operatorname{box} N)[M/x] = \operatorname{box} N$$

2. (*Modal Substitution*) If  $\Delta$ , u: A valid;  $\Gamma \vdash C$  true and  $\Delta$ ;  $\cdot \vdash A$  true, then  $\Delta$ ;  $\Gamma \vdash C$  true. e.g.

$$(box N)[M/u] = box (N[M/u])$$

Now let's try to rephrase locally soundness

$$\frac{\Delta; \Gamma \vdash M : A \text{ true}}{\Delta; \Gamma \vdash \text{box } M : \Box A \text{ true}} \Box I$$

$$\Delta; \Gamma \vdash \text{let box } u := \text{box } M \text{ in } N : C \text{ true}$$

$$\Box E^{u}$$

collapses to

$$\Delta$$
;  $\Gamma \vdash N[M/u] : C$ 

# 1.3 Real Programming Example

This function does not do anything if you only pass it an integer. It just sits there, not producing anything meaningful.

How to avoid this situation and force it generate a real function?

```
1 nth: int -> □(bool_vec -> bool)
2 nth 0 = box (fun v -> hd v)
3 nth (S n) =
4 let box r = nth n in box (fun v -> r (tl v))
```

In this case, box makes sure the function generated does not depend on int.

Compare

```
1 nth 1
2 = fun v → tl (nth 0 v)
3 = fun v → tl (hd v)
```

with

```
1 nth 1
2 = let box r = nth 0 in box (fun v → r (tl v))
3 = let box r = box (fun v → hd v) in (fun v → r (tl v))
4 = box (fun v → (fun v0 → hd v0) (tl v))
```

Notice how the returned function is not a closure over *n*.

However, if you compare these two functions you still find the latter one not satisfying cuz it's returning a redex and it's in a box so it get stuck.

**Contextual types** to the rescue!

## 1.4 Contextual types

Previously, we wrote  $\Box A$  to mean A starts with an empty context, which is not sufficient in many cases. So instead, let's allow specifying a context  $\Gamma$  for A.

### 1.4.1 Examples

### Cooking metaphor

- 1. Add eggs, flour, sugar
- 2. Add (a liquid)

To type \_\_\_\_, it's eggs, flour, sugar ⊩ liquid

### Theorem prover

Holes in programs:

fun  $x \to \square +_{\text{int}} x$ , you can see the hole here accepts an x: int  $\Vdash$  int

Or 
$$\lambda x.\lambda y.$$
  $\square y.2: (A \to B \to C) \to (A \times B) \to C$ , where the hole accepts an  $x: A \to B \to C, y: A \times B \Vdash B \to C$ 

### **1.4.2 Syntax**

Types 
$$A$$
?... |  $\Box(\psi \Vdash A)$   
Terms  $M$ ?... | box  $(\psi.M)$   
Contexts  $\Gamma, \psi$ ?...

E.g. box  $(x : \text{int.} x + x) : \Box(x : \text{int} \Vdash \text{int})$ 

NOTE But how to keep this thing stable under renaming?

$$\frac{\Delta; \psi \vdash M : A \text{ true}}{\Delta; \Gamma \vdash \text{box} (\psi.M) : \Box(\psi \Vdash A) \text{ true}} \Box I$$

$$\frac{\Delta; \Gamma \vdash M : \Box(\psi \Vdash A) \text{ true}}{\Delta; \Gamma \vdash \text{let box} \ u := M \text{ in } N : C \text{ true}} \Box E$$

$$\frac{x : A \text{ true} \in \Gamma}{\Delta; \Gamma \vdash x : A \text{ true}} \qquad \frac{u : \psi \Vdash A \text{ valid} \in \Delta \quad \Delta, \Gamma \vdash \sigma : \psi}{\Delta; \Gamma \vdash \text{clo}(u, \sigma) : A \text{ true}}$$

### **Notes**

•  $\sigma$  - substitution from  $\psi$  to  $\Delta$ ,  $\Gamma$  i.e.

$$\frac{\Delta; \Gamma \vdash \sigma : \psi \quad \Delta; \Gamma \vdash M : A}{\Delta; \Gamma \vdash (\sigma, M/x) : \psi, x : A}$$

•  $\operatorname{clo}(u, \sigma)$  - delayed substitution  $\sigma$  that can be applied once u is available.

# NOTE Computation rules for clo Recall how we have $(box \ N)[M/x] = box \ N$ $(box \ N)[M/u] = box \ (N[M/u])$ Now also, $clo(u,\sigma)[\psi.M/u] = M[\sigma]$ Beware that $M[\sigma]$ is a **local** substitution.

E.g.

$$\lambda x$$
. let box  $u := x$  in box  $(\lambda y.\lambda z.u \ y) : \square(C \to A) \to \square(C \to D \to A)$   
 $\lambda x$ . let box  $u := x$  in box $(y : C, z : D. \operatorname{clo}(u, y/x')) : \square(x' : C \Vdash A) \to \square(y : C, z : D \Vdash A)$ 

With this, we can revise our nth example

```
1 nth: int -> □(bool_vec -> bool)
2 nth 0 = box (fun v -> hd v)
3 nth (S n) =
4  let box r =
5  nth n in box (fun v -> r (tl v))
```

into this

```
1 nth: int -> □(v: bool_vec ⊨ int)
2 nth 0 = box (v: bool_vec. hd v)
3 nth (S n) =
4  let box u = nth n in
5  box (v: bool_vec. clo(u, (tl v)/v)
```

then we make

```
1 nth 1 → Haskell
```

```
2 = let box r = nth 0 in box (fun v -> r (tl v))
3 = let box r = box (fun v -> hd v) in (fun v -> r (tl v))
4 = box (fun v -> (fun v0 -> hd v0) (tl v))
```

into this

```
1 nth 1
2 = let box u = nth 0 in
3   box (v: bool_vec. clo(u, (tl v)/v))
4 = let box u = box (v: bool_vec. hd v) in
5   box (v: bool_vec. clo(u, (tl v)/v))
6 = box (v: bool_vec. clo(hd v0, (tl v)/v0))
7 = box (v: bool_vec. hd (tl v))
```

Notice how the nested evaluation is eager.

TODO What's the difference between functions and clo?