# Speech Information Technology

Lecture 3

Parametric representation of speech signals

Information and Communications Engineering Course

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### Lecture Plan

- 1. Speech communication and speech interface systems Motivation, outline, general topics
- 2. Signal analysis
- 3. Parametric representation of speech signals
- 4. Basics of probability distributions
- 5. Principles of speech recognition and synthesis
- 6. Graphical model
- 7. Markov and Hidden Markov models
- 8. Weighted finite state transducer
- 9. Dynamic programming and Viterbi algorithm
- 10. Bayesian inference
- 11. Basics of artificial neural network
- 12. Neural network based speech recognition and synthesis
- 13. Markov decision process and dialogue systems
- 14. Reinforcement learning and spoken language acquisition

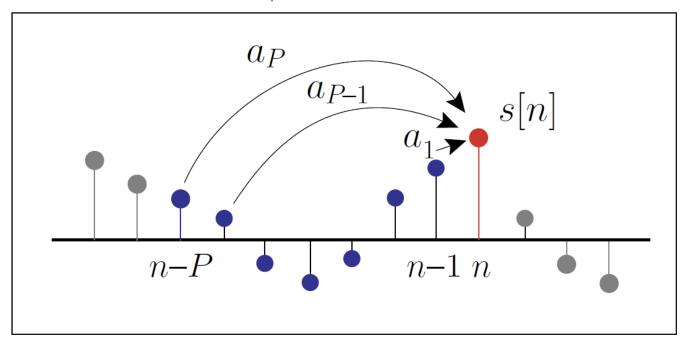
Math oriented

# Linear Predictive Analysis

 Consider predicting the current sample based on past P samples by a linear regression

$$\hat{s}[n] = -\sum_{m=1}^{P} a_m s[n-m]$$

(negation is for later convenience in formulation)



#### LPC Coefficients

- The coefficients  $\{a_1, a_2, \cdots, a_P\}$  are estimated by fitting the model to an observed speech signal
- The set of coefficients is called Linear prediction coding (LPC) coefficients, and is used as a parametric representation of the signal
- The model is fit to the signal by first defining an error measure, and then minimizing it

- Many of widely used speech coding algorithms are based on LPC
- LPC was proposed by Fumitada Itakura and Shuzo Saito in 1966

### **Prediction Error**

• Residual signal e[n] is defined as a difference of true and predicted values

$$e[n] = s[n] - \hat{s}[n]$$

$$= s[n] + a_1 s[n-1] + a_2 s[n-2] + \dots + a_P s[n-P]$$

$$= s[n] + \sum_{m=1}^{P} a_m s[n-m] = \sum_{m=0}^{P} a_m s[n-m] \qquad (a_0 \triangleq 1)$$

Residual energy is defined as a sum of squared residual signal

$$\sigma^{2} = \sum_{n=-\infty}^{\infty} e^{2}[n]$$

$$= \sum_{n=-\infty}^{\infty} \left[ \sum_{m=0}^{P} a_{m} s[n-m] \right]^{2}$$

$$= \sum_{m=0}^{P} \sum_{l=0}^{P} a_{m} a_{l} \sum_{n=-\infty}^{\infty} s[n-m] s[n-l]$$

#### Autocorrelation

• Autocorrelation  $R_l$  at lag l is defined as:

$$R_l = \sum_{n=-\infty}^{\infty} s[n] \, s[n-l]$$

$$R_{-l} = \sum_{n=-\infty}^{\infty} s[n] s[n+l]$$

$$= \sum_{\hat{n}=-\infty}^{\infty} s[\hat{n}-l] s[\hat{n}] = R_l$$

$$\hat{n} \triangleq n+l$$
:

Using autocorrelation, the residual energy is rewritten as:

$$\sigma^{2} = \sum_{m=0}^{P} \sum_{l=0}^{P} a_{m} a_{l} \sum_{n=-\infty}^{\infty} s[n-m] s[n-l]$$

$$= \sum_{m=0}^{P} \sum_{l=0}^{P} a_{m} a_{l} \sum_{\acute{n}=-\infty}^{\infty} s[\acute{n}] s[\acute{n}+m-l] \qquad \text{Let } \acute{n} \triangleq n-m$$

$$= \sum_{m=0}^{P} \sum_{l=0}^{P} a_{m} R_{m-l} a_{l}$$

### Matrix Representation of Residual Energy

$$\sigma^{2} = \sum_{m=0}^{P} \sum_{l=0}^{P} a_{m} R_{m-l} a_{l}$$

$$= [a_0, a_1, \dots, a_P] \begin{bmatrix} R_0 & R_1 & \cdots & R_P \\ R_1 & R_0 & \ddots & \vdots \\ \vdots & \ddots & \ddots & R_1 \\ R_P & \cdots & R_1 & R_0 \end{bmatrix} \begin{bmatrix} a_0 \\ a_1 \\ \vdots \\ a_P \end{bmatrix}$$

= 
$$\begin{bmatrix} 1, \boldsymbol{a}_P^{\mathsf{T}} \end{bmatrix} \begin{bmatrix} R_0 & \boldsymbol{r}_P^{\mathsf{T}} \\ \boldsymbol{r}_P & \boldsymbol{R}_{P-1} \end{bmatrix} \begin{bmatrix} 1 \\ \boldsymbol{a}_P \end{bmatrix}$$

$$\sigma^2 = R_0 + \boldsymbol{r}_P^{\mathsf{T}} \boldsymbol{a}_P + \boldsymbol{a}_P^{\mathsf{T}} \boldsymbol{r}_P + \boldsymbol{a}_P^{\mathsf{T}} \boldsymbol{R}_{P-1} \boldsymbol{a}_P$$

#### $R_P$ definition and partitioning

$$\boldsymbol{R}_{P} = \begin{bmatrix} R_{0} & R_{1} & \cdots & R_{P} \\ R_{1} & R_{0} & \ddots & \vdots \\ \vdots & \ddots & \ddots & R_{1} \\ R_{P} & \cdots & R_{1} & R_{0} \end{bmatrix}$$

$$\mathbf{a}_P = [a_1, \dots, a_P]^\mathsf{T}$$
  
 $\mathbf{r}_P = [R_1, \dots, R_P]^\mathsf{T}$ 

### Review of Matrix and Derivative

$$\frac{\partial}{\partial \boldsymbol{a}_{P}} = \left[\frac{\partial}{\partial a_{1}}, \frac{\partial}{\partial a_{2}}, \dots, \frac{\partial}{\partial a_{P}}\right]^{\mathsf{T}}$$

$$\frac{\partial}{\partial \boldsymbol{a}_{P}} (\boldsymbol{r}_{P}^{\mathsf{T}} \boldsymbol{a}_{P}) = \frac{\partial}{\partial \boldsymbol{a}_{P}} (\boldsymbol{a}_{P}^{\mathsf{T}} \boldsymbol{r}_{P})$$

$$= \frac{\partial}{\partial \boldsymbol{a}_{P}} (R_{1} a_{1} + R_{2} a_{2} + \dots + R_{P} a_{P})$$

$$= [R_{1}, R_{2}, \dots, R_{N}]^{\mathsf{T}} = \boldsymbol{r}_{P},$$

$$\frac{\partial}{\partial \boldsymbol{a}_{P}} (\boldsymbol{a}_{P}^{\mathsf{T}} \boldsymbol{a}_{P}) = \frac{\partial}{\partial \boldsymbol{a}_{P}} (a_{1}^{2} + a_{2}^{2} + \dots + a_{P}^{2})$$

$$= [2a_{1}, 2a_{2}, \dots, 2a_{P}]^{\mathsf{T}} = 2\boldsymbol{a}_{P}$$

$$\frac{\partial}{\partial \boldsymbol{a}_{P}} (\boldsymbol{a}_{P}^{\mathsf{T}} \boldsymbol{M} \boldsymbol{a}_{P}) = (\boldsymbol{M} + \boldsymbol{M}^{\mathsf{T}}) \boldsymbol{a}_{P}$$

## Minimization of Residual Energy

$$R_0 + \boldsymbol{r}_P^{\mathsf{T}} \boldsymbol{a}_P + \boldsymbol{a}_P^{\mathsf{T}} \boldsymbol{r}_P + \boldsymbol{a}_P^{\mathsf{T}} \boldsymbol{R}_{P-1} \boldsymbol{a}_P = \sigma^2$$

$$\frac{\partial \sigma^2}{\partial \boldsymbol{a}_P} = 2\boldsymbol{R}_{P-1}\boldsymbol{a}_P + 2\boldsymbol{r}_P = \boldsymbol{0}$$

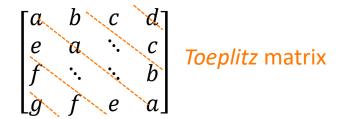
Yule-Walker equations

$$\boldsymbol{R}_{P-1}\boldsymbol{a}_{P} = -\boldsymbol{r}_{P} \qquad \begin{bmatrix} R_{0} & \cdots & R_{P-1} \\ \vdots & \ddots & \vdots \\ R_{P-1} & \cdots & R_{0} \end{bmatrix} \begin{bmatrix} a_{1} \\ \vdots \\ a_{P} \end{bmatrix} = - \begin{bmatrix} R_{1} \\ \vdots \\ R_{P} \end{bmatrix}$$

In general, the computational cost to solve a system of linear equations is  $O(P^3)$ . (e.g. Gaussian elimination)

### Toeplitz Matrix

Toeplitz matrix is a matrix in which each descending diagonal from left to right is constant



• When a system of linear equations has a Toeplitz matrix, Levinson-Durbin Algorithm is applicable. It is an efficient recursive algorithm that runs in  $O(P^2)$ 

$$\begin{bmatrix} R_0 & \cdots & R_{P-1} \\ \vdots & \ddots & \vdots \\ R_{P-1} & \cdots & R_0 \end{bmatrix} \begin{bmatrix} a_1 \\ \vdots \\ a_P \end{bmatrix} = - \begin{bmatrix} R_1 \\ \vdots \\ R_P \end{bmatrix}$$

Solves order P system using the result of order P-1 system

$$\begin{bmatrix} R_0 & R_1 & R_2 \\ R_1 & R_0 & R_1 \\ R_2 & R_1 & R_0 \end{bmatrix} \begin{bmatrix} a_{3,1} \\ a_{3,2} \\ a_{3,3} \end{bmatrix} = -\begin{bmatrix} R_1 \\ R_2 \\ R_3 \end{bmatrix} \begin{bmatrix} R_0 & R_1 & R_2 & R_3 \\ R_1 & R_0 & R_1 & R_2 \\ R_2 & R_1 & R_0 & R_1 \\ R_3 & R_2 & R_1 & R_0 \end{bmatrix} \begin{bmatrix} a_{4,1} \\ a_{4,2} \\ a_{4,3} \\ a_{4,4} \end{bmatrix} = -\begin{bmatrix} R_1 \\ R_2 \\ R_3 \\ R_4 \end{bmatrix}$$

## Levinson-Durbin Algorithm

```
Initialization: \sigma_0^2 = R_0
                                                              Regards 0 or skip
Iteration: For i = 1, ..., P, calculate
                                                              when i = 1
        k_i = -\frac{R_i + \sum_{m=1}^{i-1} a_{i-1,m} R_{i-m}}{\sigma_{i-1}^2}
       a_{i,m} = a_{i-1,m} + k_i a_{i-1,i-m}, m = 1, ..., i-1
       a_{i,i} = k_i
       \sigma_i^2 = (1 - k_i^2)\sigma_{i-1}^2
Solution: \sigma^2 = \sigma_P^2 and a_m = a_{P.m}, m = 1, ..., P
```

### Z-Transform of LP Analysis

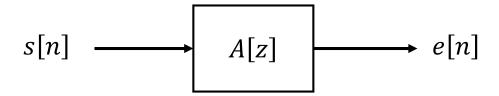
#### • Residual signal e[n]

$$e[n] = s[n] - \hat{s}[n]$$
  
=  $s[n] + a_1 s[n-1] + a_2 s[n-2] + \dots + a_P s[n-P]$ 

#### Z-transform

$$E(z) = S(z)(1 + a_1 z^{-1} + a_2 z^{-2} + \dots + a_P z^{-P}) = S(z)A(z)$$

$$A(z) = 1 + a_1 z^{-1} + a_2 z^{-2} + \dots + a_P z^{-P}$$

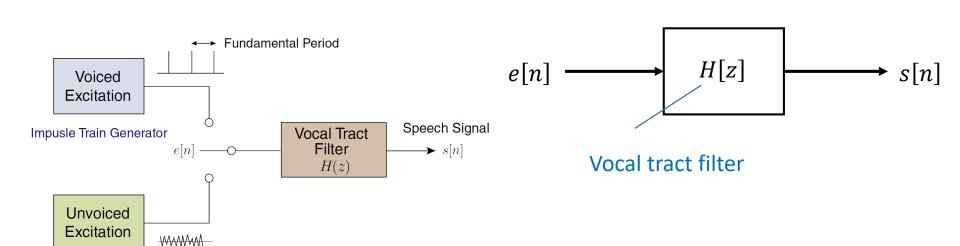


### Speech Synthesis Filter by LPC Coefficients

$$S(z)A(z) = E(z)$$

$$S(z) = \frac{1}{A(z)}E(z) = H(z)E(z) \qquad H(z) \triangleq \frac{1}{A(z)}$$

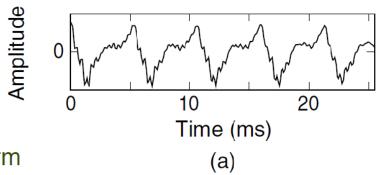
$$H(z) = \frac{1}{A(z)} = \frac{1}{1 + a_1 z^{-1} + a_2 z^{-2} + \dots + a_P z^{-P}}$$
All pole model



White Noise Generator

A(z) is called as an LPC inverse filter

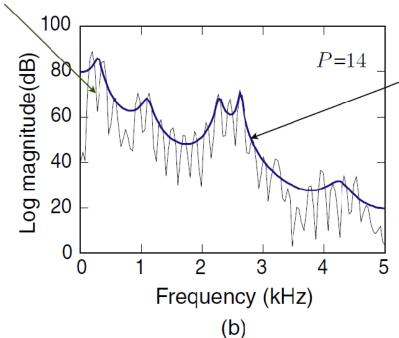
### LPC Spectrum



Waveform s[n]

Fourier transform

 $\quad \text{of } s[n]$ 



Frequency response of vocal tract filter H(z)

$$z = exp(j\omega) = exp\left(j\frac{2\pi f}{f_S}\right)$$

$$f = \frac{\omega}{2\pi} f_{S}$$

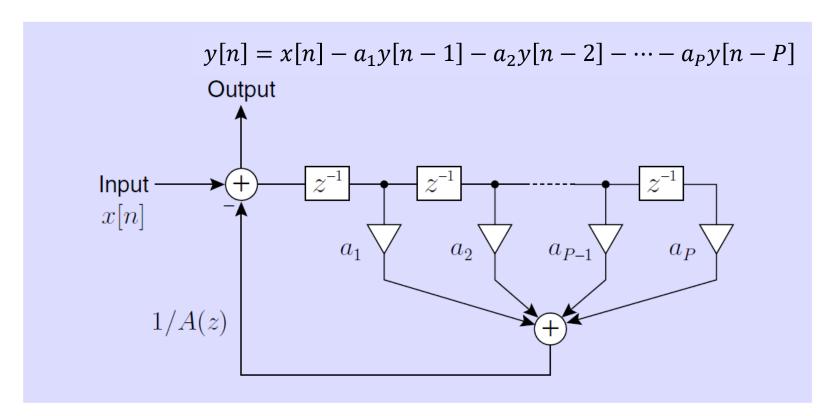
*f* : frequency

 $f_{S}$ : Sampling frequency

 $\omega$  : Normalized angular frequency

### Digital Filter Realization of All Pole Model

$$H_0(z) = \frac{1}{A(z)} = \frac{1}{1 + a_1 z^{-1} + a_2 z^{-2} + \dots + a_P z^{-P}}$$



### Exercise

Suppose P=2,  $R_0=10$ ,  $R_1=5$ ,  $R_2=-2$ . Using the Levinson-Durbin Algorithm, answer the following questions

3-1)

Obtain  $k_1$ 

3-2)

Obtain  $a_2$ 

### PARCOR Analysis

#### Problem of LPC

- When LPC is used to analyze and re-synthesize speech sound as a compression method for digital communication, high accuracy is required to store the coefficients  $\{a_1, a_2, \cdots, a_P\}$
- If the quantization error is large to represent the coefficients, the re-synthesis becomes unstable

#### PARCORE

- Robust for the quantization error
- PARCORE and LPC coefficients are mutually convertible (cf. lpc2par and par2lpc commands in SPTK. See appendix for more details)

Proposed by Fumitada Itakura and Shuzo Saito in 1969

#### Forward and Backward Prediction Errors

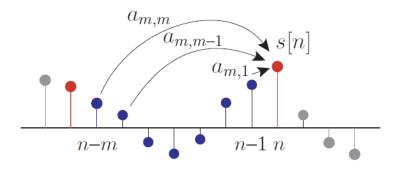
 $e_m^f[n]$  : Forward prediction error,  $e_m^b[n]$  : Backward prediction error

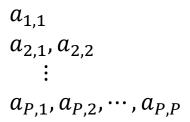
For 
$$m = 0$$
:  $e_0^f[n] = s[n], e_0^b[n] = s[n-1]$ 

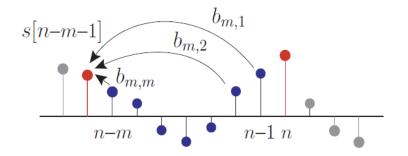
For 
$$m = 1, 2, \dots, P - 1$$
:

$$e_m^f[n] = s[n] + a_{m,1}s[n-1] + a_{m,2}s[n-2] + \dots + a_{m,m}s[n-m]$$
  

$$e_m^b[n] = b_{m,1}s[n-1] + b_{m,2}s[n-2] + \dots + b_{m,m}s[n-m] + s[n-m-1]$$







$$b_{1,1}$$
 $b_{2,1}, b_{2,2}$ 
 $\vdots$ 
 $b_{P,1}, b_{P,2}, \cdots, b_{P,P}$ 

### PARCORE Coefficients

• PARCORE coefficient  $k_m$  between s[n] and s[n-m] is the correlation coefficient between  $e_{m-1}^f[n]$  and  $e_{m-1}^b[n]$ , for  $m=1,2,\cdots,P$ 

$$k_{m} = \frac{\sum_{n=-\infty}^{\infty} e_{m-1}^{f}[n] e_{m-1}^{b}[n]}{\sqrt{\sum_{n=-\infty}^{\infty} (e_{m-1}^{f}[n])^{2}} \sqrt{\sum_{n=-\infty}^{\infty} (e_{m-1}^{b}[n])^{2}}}$$

### PARCOR and LPC Coefficients

LPC coefficients of order P

$$A(z) = 1 + a_1 z^{-1} + a_2 z^{-2} + \dots + a_P z^{-P}$$

• Consider a set of LP models of order m ( $m=1,2,\cdots,P$ )

$$A_m(z) = 1 + a_{m,1}z^{-1} + a_{m,2}z^{-2} + \dots + a_{m,m}z^{-m}$$
  
where  $A_P(z) = A(z), a_{P,i} = a_i, i = 1, 2, \dots, P$ 

• All the coefficients  $\{a_{m-1,k}\}$  are obtained recursively from  $\{a_{P,i}\}$ 

$$a_{m-1,k} = \frac{a_{m,k} - a_{m,m} a_{m,m-k}}{1 - a_{m,m}^2}$$
  $m = P, P - 1, \dots, 2$   
 $k = 1, 2, \dots, m - 1$ 

• PARCORE coefficients  $k_m$  is equal to  $a_{m,m}$ 

$$k_m = a_{m,m}, m = 1, 2, \cdots, P$$

### Durbin's Recursion and PARCOR

• PARCOR coefficients are equal to the variables  $k_i$  in the Durbin's recursion used to obtain LPC coefficients

Initialization: 
$$\sigma_0^2 = R_0$$
Iteration: For  $i=1,\ldots,P$ , calculate
$$k_i = -\frac{R_i + \sum_{m=1}^{i-1} a_{i-1,m} R_{i-m}}{\sigma_{i-1}^2}$$

$$a_{i,m} = a_{i-1,m} + k_i a_{i-1,i-m}, \ m=1,\ldots,i-1$$

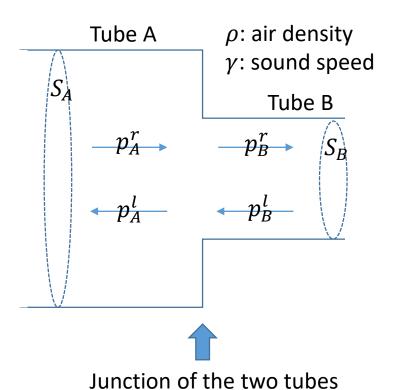
$$a_{i,i} = k_i$$

$$\sigma_i^2 = \left(1 - k_i^2\right) \sigma_{i-1}^2$$
Solution:  $\sigma^2 = \sigma_P^2$  and  $a_m = a_{P,m}, \ m=1,\ldots,P$ 

### Acoustic Tube Model

Let two tubes A and B are concatenated, where their cross section area are  $S_A$  and  $S_B$ . Let  $p_A$  and  $p_B$  be pressure deviations from the mean pressure, and  $u_A$  and  $u_B$  be volume velocities in tube A and B, respectively.

Let  $p_A^l$  and  $p_A^r$  be left and rightward traveling pressure waves in tube A. Similarly, let  $p_B^l$  and  $p_B^r$  be left and right waves in tube B.



We assume wave length is longer than the tube radius.

According to laws of physics, the following equations hold at the junction:

$$p_{A} = p_{B}$$

$$u_{A} = u_{B}$$

$$p_{A} = p_{A}^{r} + p_{A}^{l}$$

$$p_{B} = p_{B}^{r} + p_{B}^{l}$$

$$u_{A} = Y_{A}(p_{A}^{l} - p_{A}^{r})$$

$$Y_{A} = \frac{S_{A}}{\rho \gamma}$$

$$u_{B} = Y_{B}(p_{B}^{l} - p_{B}^{r})$$

$$Y_{B} = \frac{S_{B}}{\rho \gamma}$$

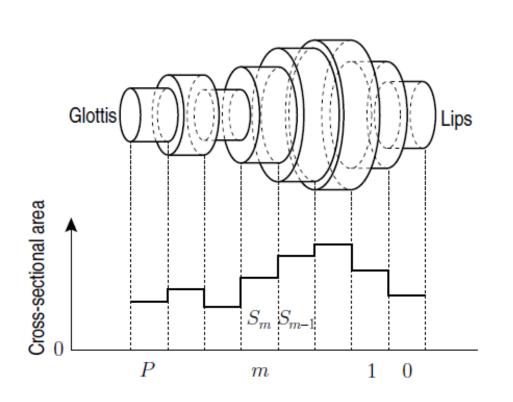
### Reflection of Waves

• By solving the system for  $p_B^r$  and  $p_A^l$ , the following relation is obtained, where R is reflection parameter

$$p_A^l = Rp_A^r + (1 - R)p_B^l p_B^r = (1 + R)p_A^r - Rp_B^l$$
 
$$R = \frac{Y_A - Y_B}{Y_A + Y_B} = \frac{S_A - S_B}{S_A + S_B}$$

- Observation with the reflection
  - When  $S_A$  and  $S_B$  is equal, no reflection occurs
  - Let  $p_B^l = 0$ 
    - When  $S_B$  is very small compared to  $S_A$ ,  $p_A^r$  is completely reflected and  $p_A^l$  becomes equal to  $p_A^r$ . It is an open-end reflection for pressure wave, since the pressure can take arbitral value
    - When  $S_B$  is very large compared to  $S_A$ ,  $p_A^r$  is completely reflected and  $p_A^l$  becomes equal to  $-p_A^r$ . It is an closed-end reflection for pressure wave, since the pressure in B hardly change due to the large volume

#### Tube based Vocal Tract Model



$$R_{m} = \frac{Y_{m} - Y_{m-1}}{Y_{m} + Y_{m-1}} = \frac{S_{m} - S_{m-1}}{S_{m} + S_{m-1}}$$

$$m = P \cdot P - 1 \cdot \dots \cdot 1$$



#### The PARCORE coefficients $k_m$ are identical to $-R_m$ , where $|R_m| < 1$

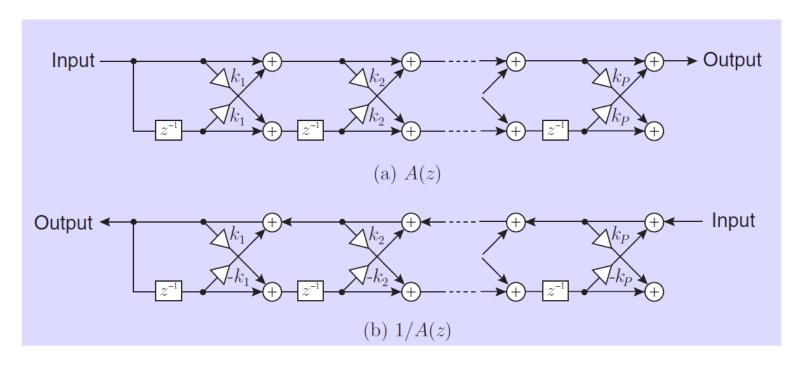
$$k_m = -R_m$$

[J. D. Markel and A. H. Gray Jr., Linear Prediction of Speech, Springer, 1976]

### PARCOR Synthesis Filter

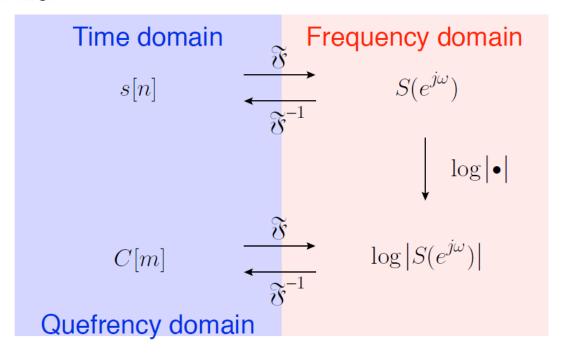
- Lattice form realization of the all-pole transfer function
- Stability for 1/A(z)
  - Necessary and sufficient condition

$$|k_m| < 1 \text{ for } 1 \le m \le P$$

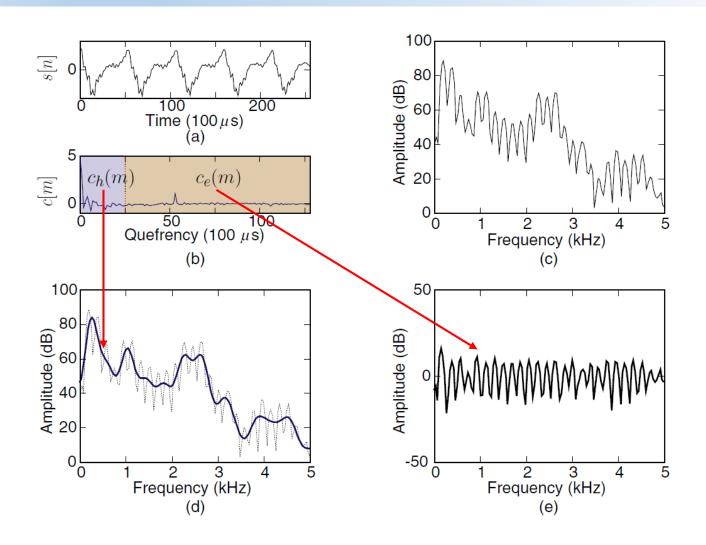


### Cepstrum

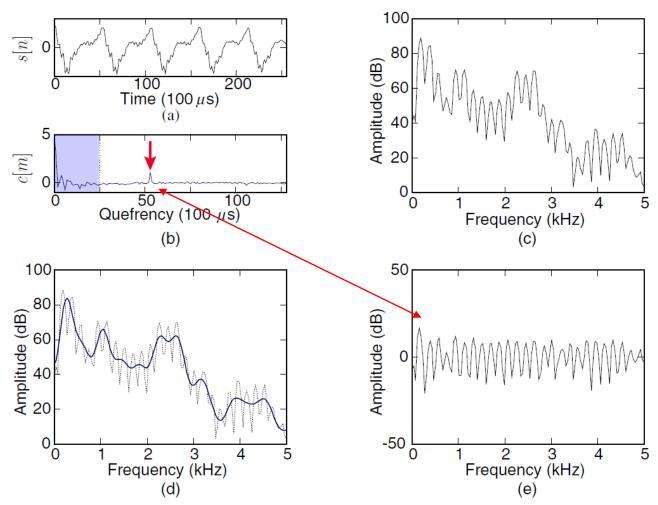
- Terminology
  - Cepstrum: anagram of spectrum
  - Quefrency: anagram of frequency
- Definition



# Example of Cepstral Analysis



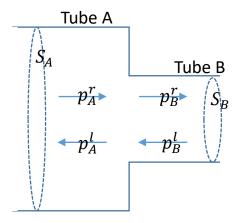
### FO Estimation Based on Cepstral Analysis



Reciprocal of the peak position in Qefrency corresponds to the Fundamental frequency e.g. Quefrency 0.005 (sec)  $\rightarrow$  F0 200 (Hz)

### Exercise

Consider the following acoustic tube.



3-3)

When 
$$S_A = 2$$
,  $S_B = 1$ ,  $p_A^r = 4$ ,  $p_B^l = 1$ , obtain  $p_A^l$ 

## Exercise (How to submit)

• Deadline: 2023/4/24 13:00

Upload to: T2SCHOLA

# Appendix

#### Derivation Process of the Reflection

$$p_{A} = p_{B}$$
  $p_{A} = p_{A}^{r} + p_{A}^{l}$   $u_{A} = Y_{A}(p_{A}^{l} - p_{A}^{r})$   
 $u_{A} = u_{B}$   $p_{B} = p_{B}^{r} + p_{B}^{l}$   $u_{B} = Y_{B}(p_{B}^{l} - p_{B}^{r})$ 

We want to solve the system for  $p_A^l$  and  $p_B^r$ . For simplicity of notation, let's put

$$x_1 = p_A^r, x_2 = p_B^l, y_1 = p_A^l, y_2 = p_B^r, A = Y_A, B = Y_B$$

$$x_1 + y_1 = y_2 + x_2$$

$$A(y_1 - x_1) = B(x_2 - y_2)$$

$$y_1 = \frac{(A - B)x_1 + 2Bx_2}{A + B}$$

$$y_2 = \frac{2Ax_1 - (A - B)x_2}{A + B}$$

Let 
$$R = \frac{A-B}{A+B}$$

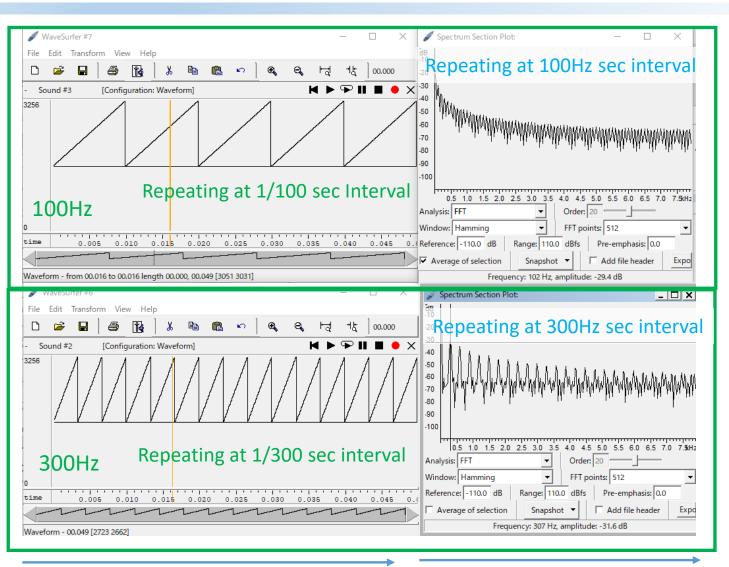
$$1 + R = \frac{2A}{A+B}$$

$$1 - R = \frac{2B}{A+B}$$

$$y_1 = Rx_1 + (1-R)x_2$$

$$y_2 = (1+R)x_1 - Rx_2$$

# C.f. Spectrum of Sawtooth Wave



**Amplitude** 

#### Related Documents

- 板倉 文忠、「統計的手法による音声分析合成系に関する研究」、 名古屋大学博士学位論文、1972PDF copy is available at 名古屋大学学術機関リポジトリ
- 板倉 文忠、「私の本棚紹介」、IEICE 情報・システムソサイエティ誌、第6巻4号、2002 Behind-the-scenes story is introduced https://www.ieice.org/iss/jpn/Publications/society\_mag/pdf/Vol6No4.pdf
- Noboru Sugamura and Fumitada Itakura, "Speech analysis and synthesis methods developed at ECL in NTT --From LPC to LSP-", Speech Communication, Volume 5, Issue 2, June 1986, pp. 199-215 https://www.sciencedirect.com/science/article/pii/0167639386900087 (Tokyo Tech have a license and the PDF is available for free inside University network. From your home, you can access the network by using VPN from Tokyo Tech Portal)
- Stefan Bilbao, "Wave and Scattering Methods for the Numerical Integration of Partial Differential Equation," Ph.D. Thesis, 2001
   https://ccrma.stanford.edu/~bilbao/master/goodcopy.html
   https://ccrma.stanford.edu/papers/wave-and-scattering-methods-numerical-integration-of-partial-differential-equation

### Related Software

 Speech Signal Processing Toolkit (SPTK) http://sp-tk.sourceforge.net/