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EU JRC - Ispra DMI, University of Cagliari Diplnfo, University of Turin Diplnfo, University of Tur

Participants' demographics:

non-native

All participated on a voluntary

Majority within the age range

25-34, a BSc degree and a

background knowledge of

❖ 6 VIP, 26 non-VIP

speakers

FSA, male

* All

basis

(Italy)

(Italy)

(Italy)

(Italy) (Italy)

(Italy)

NOVAGRAPHS FSA CORPUS

- ❖ Dialogues in English between users and a task-oriented conversational agent
- ❖ Interactions revolving around the description of Finite State **Automata (FSA)**
- ❖ Part of the NoVAGraphS project, aimed at developing tools for an easier access to educational content, in particular for Visually Impaired People (VIP) in STEM

http://www.integr-abile.unito.it/progetto-novagraphs/

LABELS

3 ANNOTATION DESIGN & RESULTS

DIALOGUE ACTS: ISO 24617-2 Standard (Bunt et al., 2017, 2020).

Tagset: 5 dimensions (Task - Ta:, Auto-feedback -Auto:, Turn Management - TuM:, Dialogue Structuring - DS:, Own Communication Management – OCM:) + 6 communicative functions (setQuestion, request, propositionalQuestion, checkQuestion, suggest, answer, autoNegative)

Format: DiAML-TabSW (tabular format, instead of XML); multi-label tagging allowed

ERRORS: Adaptation of error annotation scheme from Sanguinetti et al. (2020). Errors intended as violations of gricean maxims (excl. Quality)

Tagset: Quantity: Lack|Excess of information; Relation: Ignoring question/feedback, Repetition; Topic change, Straight wrong response, Off topic (new). Manner: Indirect response, Non-understandable, Grammatical error. Generic: Non-cooperativity

Format: Tabular; multi-label tagging allowed



Turn-level Pair-wise (spreadsheet) annotation

Max. 3 labels per turn

♣ T17	Is there a transition from q0 to q5	Ta:propositionalQuestion	lgnoring question/feedback
 合 T18	From q0 there is only one transition to q2 marked with 1.	Ta:answer	Indirect response
T19	According to your understanding of Automaton 2 what is its optimal spatial representation	Ta:setQuestion	None
் ஹ் T20	I dont' know. Try asking something like, "Describe the automaton."	AutoF:autoNegative; DS:suggest	Topic change
T21	The automaton accepts a language allowing words made of an odd number of Os and 1s	Ta:checkQuestion	lgnoring question/feedback
	The language accepted by this automaton is made of zero or more words formed by a sequence of a pair of 1s followed by a	a Ta:answer	Indirect response

Label distribution & inter-annotator agreement

Dialogue Acts			
Users			
Ta:setQuestion	51.54		
Ta:request	27.45		
Ta:propositionalQuestion	16.25		
Ta:checkQuestion	1.96		
OCM:selfCorrection	1.40		
DS:opening	0.56		
TuM:turnAccept	0.56		
SOM:initGreeting	0.28		
DS			
Ta:answer	48.14		
AutoF:autoNegative	24.79		
DS:suggest	24.79		
Ta:suggest	2.27		

Users			
Repetition	42.75		
Ignoring question/feedback	28.24		
Grammatical error	13.74		
Non-understandable	4.58		
Off-topic	3,82		
Lack of information	3.05		
Non-cooperativity	2.29		
Ill-formed	1.53		
DS			
Topic change	62.30		
Straight wrong response	14.66		
Indirect response	10.47		
Excess of information	7.33		
Lack of information	4.71		
Ignoring question/feedback	0.52		

Errors

Distribution (in %) of single DAs in users' and DS turns

Distribution (in %) of single errors in users' and DS turns (calculated over the total of errors)

Cohen's k

	Phase 1	Phase 2
DAs	0.74	0.96
 Communicative Function 	0.91	0.96
 Dimension 	0.56	0.96
Errors		0.56 (whole corpus)

Inter-Annotator Agreement

2 DATA COLLECTION

Data obtained from interactions between users and a rule-based DS providing information on one of two

FSA used as examples Question Dialogue System AIML AIML Interpreter Rules FSA 2

English

- Collected in Spring 2023 (Phase 1) + August-September 2023 (Phase 2)
- Users interacted with the system via a web interface compliant with the Content Accessibility Guidelines (WCAG) 2.1 (VIP used a keyboard and a screen reader)

Corpus metadata:

- Conversation ID Turn number
- Participant (User|System)
 - ❖ Text VIP (yes|no)

❖ No. Tokens

❖ FSA ID

Non-VIP ΑII 32 # Dialogues # Turns 512 706 194 Turns/Dialogue 32.33 19.69 22.06 Tokens/User's turn 3.87 5.91 5.53

Basic statistics of the collected data

4 EXPERIMENTS

Users' DA Annotation with DIET classifier

We addressed the task of recognizing users' DAs structuring this process as an intent detection task with DIET, the default classifier provided with RASA

	BoW	BERTemb
Ta:setQuestion	0.9852	0.9963
Ta:request	0.9645	0.9655
Ta:propositionalQuestion	0.9293	0.9583
macro-F1	0.9597	0.9734

Pipelines:

- ❖bag-of-word representation of character ngrams (1 to 4), already set in the default configuration
- ❖BERT pre-trained embeddings

Models tested:

❖ Llama 2

❖ Tk-Instruct

ChatGPT 3.5

In both configurations:

❖ 100 epochs, 5-fold cross-validation, 3 runs; selection of the three most frequent users' DAs due to insufficient data instances for training purposes using DIET

Annotating DAs with LLMs

Experiments on LLMs *zero-shot* performance in annotating DAs

Prompt building:

All prompts comprised the following points:

- * task definition (i.e. annotating DAs in turns)
- context (i.e. the dialogue between a student and a DS programmed to answer about a specific FSA)
- * annotation constraints (e.g. one or more DAs can be assigned to each turn, additional co-text)
- presentation of the label set
- ❖ DAs decomposition into dimension and communicative function
- * propositional questions to guide the selection of the most appropriate dimension and communicative function
- the turn to annotate

Protocol:

10 turns to annotate; task divided into two main steps: 1) dimension; 2) communicative function.

Same prompt run three times and independently on each turn

For each turn the maximum attainable score is computed, considering 1 point per each gold DA (0.5 points per subtask: dimension and communicative function).

Assigned 1 point per complete, correct DA, 0.5 if only one subtask is correct, and 0 if the annotation is incorrect or if the model failed to interpret the prompt correctly.

Prompt	ChatGPT	Llama 2	Tk
1	0.33/1	0.00/1	0.00/1
2	0.33/1	0.00/1	0.50/1
3	1.00/1	0.00/1	0.50/1
4	0.00/1	0.17/1	0.00/1
5	0.50/1	0.00/1	0.00/1
6	0.17/1	0.00/1	0.50/1
7	0.83/2	0.00/2	0.50/2
8	1.00/1	0.00/1	0.50/1
9	1.00/2	0.00/2	0.50/2
10	1.17/3	1.00/3	0.50/3
average	0.63/1.40	0.11/1.40	0.35/1.40
SD	0.41/0.70	0.31/0.70	0.24/0.70
success	45.24%	8.33%	25.00%

DATA AVAILABILITY

Corpus available for research purposes by filling in a form available in the resource repository (see QR code)

Additional data available along with the corpus:

- •Two PNG files with the graphical representation of the automata
- •Two HTML files containing the state tables of the automata

