

# Masked Computation of the Floor Function and Its Application to the FALCON Signature

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**Abstract.** Falcon is candidate for standardization of the new Post Quantum Cryptography (PQC) primitives by the National Institute of Standards and Technology (NIST). However it remains a challenge to define efficient countermeasures against side channel attacks (SCA). Falcon is a lattice-based signature which relies of rational numbers which is unusual in the cryptography field. While recent work proposed a solution to mask the addition and the multiplication, some roadblocks remains, most noticeably how to protect the floor function. We propose in this work to complete the existing first trials of hardening Falcon against SCA. We perform the mathematical proofs of our methods as well as formal security proof in the probing model using the Non-Interference concepts.

**Keywords:** Floor Function · Floating-Point Arithmetic · Post-Quantum Cryptography · FALCON · Side-Channel Analysis · Masking

## 1 Introduction

With the rise of quantum computing, mathematical problems which were hard to solve with current technologies will be easier to breach and among the concerned problems, the Discrete Logarithm Problem (DLP) could be solved in polynomial times by the Shor quantum algorithm [29]. As much of the current asymmetric primitives rely on this problem and will be breach, new cryptographic primitives are studied. The National Institute of Standards and Technology (NIST) launched a post-quantum standardization process [8]. The finalists are CRYSTALS Kyber [6,23], CRYSTALS Dilithium [10,22], SPHINCS+ [3,24] and FALCON [26].

Another concern for the security of cryptographic primitives is their robustness to a Side-Channel opponent. Side-Channel Analysis (SCA) was first introduced by Paul Kocher [19] in the mid-1990. This new branch of cryptanalysis focuses on studying the impact of a cryptosystem on its surroundings. AS computations take time and energy, an opponent able of accessing the variation of one or both

could find correlations between its physical observations and the data manipulated, thus resulting in a leakage and a security breach. Thus, the study of weaknesses in implementations of new primitives and the ways to protect them is an active field of research.

While they have been many works focusing on CRYSTALS Dilithium and CRYSTALS Kyber, summed up by Ravi et al. [27], FALCON is noticeably harder to protect. Indeed, the algorithm relies on floating-point arithmetic, for which there is little literature on how to protect it.

**Related Work** Previous works have identified two main weaknesses within the signing process of Falcon: the pre-image computation and the Gaussian sampler, it was proved vulnerable by Karabulut and Aysu [18] using an ElectroMagnetic (EM) attack. Their work was later improved by Guereau et al. [14]. To counter those attacks, Chen and Chen [7] propose a masked implementation of the addition and multiplication of FALCON. However, they did not delve into the second weakness of Falcon, the Gaussian sampler.

The Gaussian sampler is vulnerable to timing attacks, as shown by previous work [13,11,21,25]. A isochronous design was proposed by Howe et al. [15]. However, a successful single power analysis (SPA) was proposed by Guereau et al. [14] and further improved by Zhang et al. [30]. There is currently no masking countermeasure for FALCON’s Gaussian Sampler. Existing work [12] tends to re-write the Gaussian Sampler to remove the use of floating arithmetic, thus avoiding the challenge of masking the floor function.

**Our Contribution** In this work we further expand the countermeasure from Chen and Chen [7] and apply it to the Gaussian Sampler. We propose a masking method based on the mantissa truncation to compute the floor function. We also propose a generic method to arithmetize the computation of the floor function. We discuss the application of both methods to masking FALCON.

Relying on the previous work of Chen and Chen [7], we also verify the higher-order security of our method in the probing model. Our formal proofs rely on the Non-Interference (NI) security model first introduced by Barthe et al. [1].

Finally, we provide some performances of our methods and compare them with the reference unmasked implementation and the previous work of Chen and Chen [7]. The implementation is tested on a personal computer with an Intel-Core i7-11850H CPU.

## 2 Notation and Background

### 2.1 Notation

- We denote by  $\mathbb{R} \setminus \mathbb{Z}$  any real number which is not an integer. For  $x \in \mathbb{R}$ , we denote the floor function of  $x$  by  $\lfloor x \rfloor$ .

- We will use the dot  $.$  as the separator between the integer part  $i$  and the fractional part  $f$  of a real number  $x = i.f$ .
- We denote the floor function of  $x$  with  $n$  decimals of absolute precision by  $\lfloor x \rfloor_n$ . Absolute precision implies  $\lfloor x \rfloor_n = \lfloor x \rfloor + 0.\{0\}^n \llbracket [0; 9]^* \rrbracket$ . This also implies that  $0 \leq \lfloor x \rfloor_n - \lfloor x \rfloor < 1 \times 10^{-n}$ .
- We denote the floor function of  $x$  with  $n$  decimals of relative precision  $\alpha \in [0.1; 1)$  by  $\lfloor x \rfloor_n^\alpha$ . Relative precision implies  $-\alpha \times 10^{-n} < \lfloor x \rfloor_n^\alpha - \lfloor x \rfloor < \alpha \times 10^{-n}$ .
- Let  $a, b \in \mathbb{R}^2$ . Let  $k \in \mathbb{N}$ . We say that  $a \equiv_k b$  if  $\lfloor a \rfloor = \lfloor b \rfloor$  and they have the same number of 0 at least for the first  $k$  decimals:  $\lfloor a \rfloor + 0.\{0\}^k \llbracket [0; 9]^* \rrbracket$ . For instance,  $4.05 \equiv_k 4.09$  at precisions  $k = 0$  and  $k = 1$  but not at precision  $k = 2$ .
- If  $(b_i)$  is a 1-bit Boolean shares for value  $b$ , we denote  $(-b_i)$  as the 64-bit Boolean shares for  $2^{64} - b$ . It means that if  $b = 0$ ,  $(-b_i)$  is a 64-bit boolean shares for 0, and  $b = 1$ ,  $(-b_i)$  is a 64-bit boolean shares for  $0xFFFFFFFF$ .

## 2.2 Diagram Legend

The following diagrams (Figures 5.1, 5.5) use the same legend:

- Probing sets are denoted by  $P_i$  or  $O$  and are colored in **red**.
- Simulation sets are denoted by  $S_i^j$  and are colored in **blue**.
- $t$ -SNI gadgets are colored in **green**.
- $t$ -NI gadgets are colored in black.

## 2.3 FALCON Sign

FALCON [26] is a Lattice-Based signature using the GPV framework over the NTRU problem. In this paper we will focus on the Gaussian Sampler used in the signature algorithm. For more details on the key generation or the verification, please refer to the reference paper [26].

**Signature** The signature follows the Hash-Then-Sign strategy. The message  $m$  is salted with a random value  $r$  and then hashed into a challenge  $c$ . The remainder of the signature aims at building an instance of the SIS problem upon  $c$  and a public key  $h$ , *id est* finding  $\mathbf{s} = (s_1, s_2)$  such as  $s_1 + s_2 h = c$ . To do so, the need to compute  $\mathbf{s} = (\mathbf{t} - \mathbf{z})\mathbf{B}$ , with  $\mathbf{t}$  a pre-image vector and  $\mathbf{z}$  provided by a Gaussian Sampler. Chen and Chen [7] focuses on masking the pre-image vector computation. In this work we intend to mask the Gaussian Sampler. The signature algorithm is detailed in Algorithm ??.

**Gaussian Sampler** The Gaussian Sampler is the composition of built from the following functions:

*ApproxExp*. This function return  $2^{63} \times ccs \times e^{-x}$  and depends of a matrix  $C$  defined in page ? of [26]:

---

**Algorithm 1:** ApproxExp(x,ccs) [26]

---

**Data:** Floating-point values  $x \in [0, \ln(2)]$  and  $ccs \in [0, 1]$   
**Result:** An integral approximation of  $2^{63} \cdot ccs \cdot \exp(-x)$

```

1  $y \leftarrow C[0];$  //  $y$  and  $z$  remain in  $\{0 \dots 2^{63} - 1\}$  the whole algorithm
2  $z \leftarrow \lfloor 2^{63} \cdot x \rfloor;$ 
3 for  $i$  from 1 to 12 do
4    $y \leftarrow C[i] - (z \cdot y) >> 63;$ 
5    $z \leftarrow \lfloor 2^{63} \cdot ccs \rfloor;$ 
6    $y \leftarrow (z \cdot y) >> 63;$ 
7 return  $y;$ 
```

---

*BerExp*. This function return 1 with proba  $ccs \times e^{-x}$ :

---

**Algorithm 2:** BerExp(x,ccs) [26]

---

**Data:** Floating-point values  $x, ccs \geq 0$   
**Result:** A single bit, equal to 1 with probability  $\approx ccs \cdot \exp(-x)$

```

1  $s \leftarrow \lfloor x / \ln(2) \rfloor;$  // Compute the unique decomposition  $x = \ln(2^s) + r$  with  $(r, s) \in [0, \ln(2)) \times \mathbb{Z}^+$ 
2  $r \leftarrow x - s \cdot \ln(2);$ 
3  $s \leftarrow \min(s, 63);$ 
4  $z \leftarrow (2 \cdot \text{APPROXEXP}(r, ccs) - 1) >> s;$ 
5  $i \leftarrow 64;$ 
6 do
7    $i \leftarrow i - 8;$ 
8    $w \leftarrow \text{UNIFORMBITS}(8) - ((z >> i) \& 0\text{xFF});$ 
9 while  $((w = 0) \text{ and } (i > 0));$ 
10 return  $\llbracket w < 0 \rrbracket;$ 
```

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*SamplerZ*. The gaussian Sampler:

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**Algorithm 3:** SamplerZ( $\mu, \sigma'$ ) [26]

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**Data:** Floating-point values  $\mu, \sigma' \in \mathcal{R}$  such that  $\sigma' \in [\sigma_{\min}, \sigma_{\max}]$   
**Result:** An integer  $z \in \mathbb{Z}$  sampled from a distribution very close to  $D_{\mathbb{Z}, \mu, \sigma'}$

```

1  $r \leftarrow \mu - \lfloor \mu \rfloor;$ 
2  $ccs \leftarrow \sigma_{\min} / \sigma';$ 
3 while 1 do
4    $z_0 \leftarrow \text{BASESAMPLER}();$ 
5    $b \leftarrow \text{UNIFORMBITS}(8) \& 0\text{x1};$ 
6    $z \leftarrow b + (2 \cdot b - 1)z_0;$ 
7    $x \leftarrow \frac{(z-r)^2}{2\sigma'^2} - \frac{z_0^2}{2\sigma_{\max}^2};$ 
8   if  $\text{BEREXP}(x, ccs) = 1$  then
9      $\lfloor$  return  $z + \lfloor \mu \rfloor;$ 
```

---

**Algorithm 4:** BaseSampler() [26]

---

**Data:** –  
**Result:** An integer  $z_0 \in \{0, \dots, 18\}$  such that  $z \sim \chi$

```

1  $u \leftarrow \text{UNIFORMBITS}(72)$ ;
2  $z_0 \leftarrow 0$ ;
3 for  $i$  from 0 to 17 do
4    $z_0 \leftarrow z_0 + \llbracket u < \text{RCDT}[i] \rrbracket$ ;
5 return  $z_0$ ;
```

---

where RCDT is define in Falcon Specification [26].

## 2.4 Floor Function

The floor function is defined as follows:

**Definition 1.**  $\forall x \in \mathbb{R}$ , the floor function of  $x$ , denoted by  $\lfloor x \rfloor$ , returns the greatest integer  $z$  such as  $z \leq x$ .

There are several ways of computing the floor function. The first one relies on floating-point arithmetic. A floating-point is composed of a sign bit, exponent bits and a mantissa [17]. Computing the floor function on a floating-point is performed by truncating the mantissa according to the value of the exponent and of the sign. However, while this method is fast and efficient, it requires the use of the exponent and the sign. We propose here a method to perform this truncation in a secure manner.

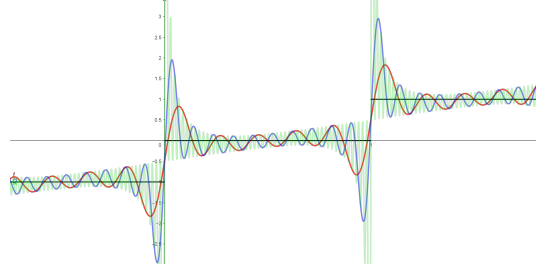
Interpolating a function is classic way to mask it by using the homomorphic properties of the masking method. Hence, we can obtain a polynomial expression that approximate the floor function by computing its associated Fourier series:

$$\forall x \in \mathbb{R} \sim \mathbb{Z}, \lfloor x \rfloor = x - \frac{1}{2} + \frac{1}{\pi} \sum_{k=1}^n \frac{\sin(2\pi kx)}{k}, \text{ with } n \rightarrow \infty \quad (1)$$

However, due to its discontinuities, Equation 1 suffers from the Gibbs phenomenon [5], as illustrated in Figure 2.4 and its convergence speed is very slow. It means this series cannot be used as it is to mask the floor function in reasonable time. Indeed, we extrapolate through empirical observations that we would require  $n = 2.5 \times 10^{13}$  to have at least one decimal of absolute precision on the floor computation of  $1 \times 10^{-16}$ . Nonetheless, we show in this work how to adapt this series to compute the floor function in a secure way and in reasonable time with the required precision.

## 2.5 Masking

Masking is a generic countermeasure to SCA at the software level. Instead of processing a sensitive data, it is split in random shares which are processed separately, like in Boolean and Arithmetic masking [20]. Masking security can



**Fig. 1.** Gibbs phenomenon for Equation 1 with  $n = 5, 10, 50$  and  $\text{floor}(x)$  in black

be evaluated thanks to the  $t$ -probing model, first introduced in [16]. A gadget is then said secured against  $t$ -order attacks if no information can be recovered by any set of  $t$  intermediate values. However, for the composition of gadgets we use a stronger model introduced in [1]: the (Strong) Non-Interference model.

**Definition 2.** (*t-Non Interference (t-NI) security*). A gadget is said *t-Non Interference (t-NI)* if every set of  $t$  intermediate values can be simulated by no more than  $t$  shares of each of its inputs.

$t$ -NI gadgets composition does not imply  $t$ -NI security. We need a stronger definition for this:

**Definition 3.** (*t-Strong Non Interference (t-SNI) security*). A gadget is *t-Strong Non Interference (t-SNI)* secure if for every set of  $t_I$  of internal intermediate values and  $t_O$  of its output shares with  $t_I + t_O \leq t$ , they can be simulated by no more than  $t_I$  shares of each of its inputs.

We will use those models in Section 5 to demonstrate the security of our design.

### 3 Masking of the Floor Function

#### 3.1 Arithmetization method

The floor function can be arithmetized using Equation 1. As mentioned above, for efficiency reason we propose a strategy to optimize this arithmetization.

**Absolute Precision** The first idea relies on constructing the floor of  $x \in \mathbb{R}$  rather than computing it. Indeed, if we can compute the floor of  $x$  with one decimal of absolute precision, we can then multiply the result by 10 and then reapply our computation to the new value  $y = 10 \times \lfloor x \rfloor_1$ . We have the following proposition:

**Proposition 1.** Let  $x \in \mathbb{R}$ . Let  $y = 10 \times \lfloor x \rfloor_1$ . Then  $\lfloor y \rfloor_1 / 10 \equiv_2 \lfloor x \rfloor_2$ .

*Proof.* Let recall that  $\lfloor x \rfloor_1 = \lfloor x \rfloor + 0.0\| [0; 9]^*$ . Thus,  $y = 10 \times \lfloor x \rfloor_1 = \lfloor x \rfloor \| 0 + 0.\| [0; 9]^*$  as multiplying by 10 can be seen as a left-shift in base 10. By computing the floor of  $y$  with absolute precision 1 we have  $y_1 = \lfloor y \rfloor_1 = \lfloor x \rfloor \| 0 + 0.0\| [0; 9]^*$ . Finally,  $y_1/10 = \lfloor x \rfloor + 0.00\| [0; 9]^* \equiv_2 \lfloor x \rfloor_2$  as dividing by 10 can be seen as a right-shift in base 10.  $\square$

We can use Proposition 1 to construct the floor of  $x$  with the following Algorithm 5:

---

**Algorithm 5:** ConstructFloor( $x, prec$ )

---

**Data:**  $x \in \mathbb{R}$  and the precision  $prec \in \mathbb{N}$

**Result:**  $\lfloor x \rfloor_{prec}$

1 **for**  $i$  **from** 0 **to**  $prec-1$  **do**

2      $y \leftarrow \lfloor x \rfloor_1$ ;

3      $x \leftarrow 10 \times y$ ;

4 **return**  $x \times 10^{-prec}$

---

**Theorem 1.** Let  $x \in \mathbb{R}$ . Algorithm 5 returns  $\lfloor x \rfloor_{prec}$  for precision  $prec$ .

*Proof.* We use a recursive proof. The initial state is proven by Proposition 1. Assuming the theorem 1 is true for precision  $prec-1$ , then we have  $X = \lfloor x \rfloor_{prec-1}$  the output of Algorithm 5. We reapply Algorithm 5 to  $X \times 10^{prec-1}$  but with precision 1 and then divide by  $10^{prec-1}$ . This is stricly equal to applying Algorithm 5 with precision  $prec$ . Algorithm 5 with precision 1 is proven by Proposition 1. Thus, we have  $\lfloor X \rfloor_1 = \lfloor x \rfloor \| \{0\}^{prec-1} + 0.0\| [0; 9]^*$ . By dividing by  $10^{prec-1}$ , we shift all the zeros stored in the integer part of  $X$  into the fractional part. Thus we have  $\lfloor X \rfloor_1 / 10^{prec-1} = \lfloor x \rfloor + 0.\{0\}^{prec}\| [0; 9]^* \equiv_{prec} \lfloor x \rfloor_{prec}$ .  $\square$

**Relative Precision** We discuss how to achieve absolute precision from relative precision. In relative precision, we have the possibility that the error from the result can be negative. This results in  $\lfloor x \rfloor_n^\alpha = \lfloor x \rfloor - 0.\{0\}^n\| [0; 9]^* = \lfloor x \rfloor - 1 + 0.\{9\}^n\| [0; 9]^*$ . Thus, we cannot construct the floor function using directly  $\lfloor x \rfloor_n^\alpha$ , as in Algorithm 5. We introduce a second idea: controlled bias.

We have the following Proposition 2:

**Proposition 2.** Let  $x \in \mathbb{R}$ . Then  $\lfloor x \rfloor_1 \equiv_1 \lfloor x \rfloor_2^\alpha + \alpha \times 10^{-2}$ .

*Proof.* We have two cases to cover:

1. The error is negative. Thus  $-\alpha \times 10^{-2} < \lfloor x \rfloor_2^\alpha - \lfloor x \rfloor \leq 0$  and  $0 < \lfloor x \rfloor_2^\alpha + \alpha \times 10^{-2} - \lfloor x \rfloor \leq \alpha \times 10^{-2} < 1 \times 10^{-2}$ . Thus, in this case, we have  $\lfloor x \rfloor_2^\alpha + \alpha \times 10^{-2} \equiv_2 \lfloor x \rfloor_2$  and by construction of  $\lfloor x \rfloor_n$ , we have  $\lfloor x \rfloor_2^\alpha + \alpha \times 10^{-2} \equiv_1 \lfloor x \rfloor_1$ .
2. The error is positive. Thus  $0 \leq \lfloor x \rfloor_2^\alpha - \lfloor x \rfloor < \alpha \times 10^{-2}$  and  $\alpha \times 10^{-2} \leq \lfloor x \rfloor_2^\alpha + \alpha \times 10^{-2} - \lfloor x \rfloor \leq 2\alpha \times 10^{-2}$ . This implies  $0 < \lfloor x \rfloor_2^\alpha + \alpha \times 10^{-2} - \lfloor x \rfloor < 1 \times 10^{-1}$ . Thus, in this case, we have  $\lfloor x \rfloor_2^\alpha + \alpha \times 10^{-2} \equiv_1 \lfloor x \rfloor_1$ .  $\square$

However, we can improve upon Proposition 2 by limiting the maximum value of  $\alpha$ . We have the following proposition:

**Proposition 3.** *Let  $x \in \mathbb{R}$  and  $\alpha < 0.5$ . Then  $\lfloor x \rfloor_1 \equiv_1 \lfloor x \rfloor_1^\alpha + \alpha \times 10^{-1}$ .*

*Proof.* We have already proven in Proposition 2 that for the negative bias,  $\lfloor x \rfloor_1^\alpha + \alpha \times 10^{-1} - \lfloor x \rfloor \leq \alpha \times 10^{-1}$ . As  $\alpha < 0.5$ , we have  $\lfloor x \rfloor_1 \equiv_1 \lfloor x \rfloor_1^\alpha$ . For the positive bias, according to Proposition 2 we have  $\alpha \times 10^{-1} \leq \lfloor x \rfloor_1^\alpha + \alpha \times 10^{-1} - \lfloor x \rfloor \leq 2\alpha \times 10^{-1}$ . As  $\alpha < 0.5$ ,  $2\alpha \times 10^{-1} < 1 \times 10^{-1}$ . We have  $\lfloor x \rfloor_1 \equiv_1 \lfloor x \rfloor_1^\alpha$ .  $\square$

**Fourier Series** We now need a way of computing the floor function with a relative precision of at least 2 in reasonable time. Our final idea revolves around aborting Equation 1 early for a small value of  $n$ . Then, we reapply Equation 1 on the new value, with a small twist:

---

**Algorithm 6:** ArFloorStep( $x, n, iter$ )

---

**Data:**  $x \in \mathbb{R} \sim \mathbb{Z}$ , the series limiter  $n \in \mathbb{N}$  and the number of iterations  
 $iter \in \mathbb{N}$

**Result:**  $\lfloor x \rfloor_2^\alpha + \frac{1}{2}$

```

1 for  $i$  from 0 to  $iter-1$  do
2    $x \leftarrow x + \frac{1}{\pi} \sum_{k=1}^n \frac{\sin(2\pi kx)}{k}$ ;
3 return  $x$ 
```

---

Instead of computing the floor function, Algorithm 6 gives the floor function with a positive bias of  $\frac{1}{2}$ . Indeed, applying Equation 1 and aborting without this bias does not ensure correctness. For instance, for a value close to 0, using Equation 1 with a small  $n$  results in  $-\frac{1}{2} + e$ , with  $e$  small. Reapplying Equation 1 will give  $-\frac{1}{2} + e - \frac{1}{2} + e_2 \approx -1$  as a result, which is not correct. Thus, it is important to remove  $-\frac{1}{2}$  and only apply it after the successive early aborts and reapplications. As an example, Algorithm 6 is proven by Theorem 2 for a specific set of parameters:

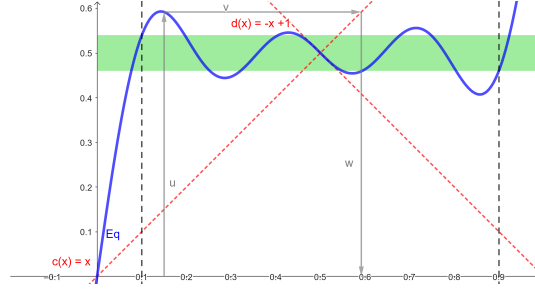
**Theorem 2.** *Let  $x \in (0; 1) \subset \mathbb{R} \sim \mathbb{Z}$  with up to 19 decimals, id est  $x = 0.[0; 9]^{19}||0^*$ . We set  $n = 3$  and  $\alpha = 0.4$ . Algorithm 6 returns  $\lfloor x \rfloor_1^\alpha + \frac{1}{2}$  in 24 iterations.*

*Proof.* We denote  $x + \frac{1}{\pi} \sum_{k=1}^3 \frac{\sin(2\pi kx)}{k}$  by  $f(x)$ . Let set  $(U_n)_{n \in \mathbb{N}}$  such as  $U_{n+1} = f(U_n)$ . First, we will prove the convergence of  $(U_n)_{n \in \mathbb{N}}$ . Then, we use the Newton's method and a visual proof to demonstrate Theorem 2.

### PREUVE DE LA CONVERGENCE A FAIRE!!!!!!

For the second part of the proof, we use the Newton's method on a graphical representation of  $f(x)$  (labeled Eq). The Newton's method, in grey arrows in Figure , allows to graphically compute the next term  $U_{n+1}$  from  $U_n$ . We start at the point  $(U_n, 0)$  (0.15 in this example) and find the point  $(U_n, f(U_n))$  (arrow labeled u). We then find the point of  $c(x) = x$  with ordinate  $f(U_n)$  (arrow labeled v) and look at its abscissa (arrow labeled w), which gives us  $U_{n+1}$ . Thanks to this method, we can visually compute the number of iterations of Algorithm 6 required to have  $0.46 \leq U_{n+1} \leq 0.54$  (green area). The amount of iterations is shown in Table 1.





**Fig. 2.** Equation 1 with  $n = 3$  and Newton's method

Finally, we have tested the maximum number of iterations required on extreme points for our set of parameters using a *PoC* based on the MPFR library from GMP. Hence the limit to 24 iterations for this set of parameters.

**Table 1.** Subsets of  $(0; 1)$  and  $[0.1; 0.9]$ , number of iterations to reach  $[0.46; 0.54]$

Iterations	Subsets
1	$U_1 = [0.0767; 0.1002] \vee [0.1962; 0.2522] \vee [0.3213; 0.4060] \vee [0.4514; 0.5486] \vee [0.5940; 0.6787] \vee [0.7478; 0.8037] \vee [0.8998; 0.9233]$
$\leq 2$	$U_2 = U_1 \vee [0.0110; 0.0143] \vee [0.0287; 0.0373] \vee [0.0488; 0.0648] \vee [0.0746; 0.1037] \vee [0.1908; 0.263] \vee [0.3095; 0.6907] \vee [0.7370; 0.8092] \vee [0.8963; 0.9253] \vee [0.9353; 0.9511] \vee [0.9627; 0.9713] \vee [0.9856; 0.9890]$
$\leq 3$	$U_3 = U_2 \vee [0.0016; 0.002] \vee [0.0041; 0.0052] \vee [0.007; 0.0092] \vee [0.0107; 0.0148] \vee [0.0278; 0.0391] \vee [0.0469; 0.1] \vee [0.1; 0.9] \vee [0.9; 0.9532] \vee [0.9608; 0.9722] \vee [0.9851; 0.9893] \vee [0.9907; 0.9930] \vee [0.9947; 0.9959] \vee [0.9979; 0.9985]$

□

*Remark 1.* The choice of setting  $\alpha = 0.4$  in Theorem 2 is not the most optimal as taking  $\alpha = 0.5$  is more advantageous as shown in Proposition 3. However, by taking  $\alpha = 0.4$ , we have that Algorithm 6 returns  $\lfloor x \rfloor + err$  such as  $0.46 \leq err \leq 0.54$ . Thus, by retrieving to this result 0.45, we have  $0.01 \leq err - 0.45 \leq 0.09$ . By multiplying by 10, we have  $0.1 \leq 10 * (err - 0.45) \leq 0.9$ . As a result, when applying Algorithm 5 we avoid some extreme points and reduce the number of iterations required by Algorithm 6. This strategy is not specific to the current set of parameters and will always benefit our method.

According to Table 1, all values of  $x \in [0.1; 0.9]$  converge towards  $[0.46; 0.54]$  in less than 3 iterations. Thus, in accordance with Remark 1 we can limit the number of iterations in the following steps of our floor computation to only 3. We

can use Algorithm 7 to compute the floor function of  $x \in \mathbb{R} \leadsto \mathbb{Z}$  in reasonable times.

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**Algorithm 7:** ArFloor( $x, prec$ )

---

**Data:**  $x \in \mathbb{R} \leadsto \mathbb{Z}$  and the precision  $prec \in \mathbb{N}$

**Result:**  $\lfloor x \rfloor_{prec}$

```

1  $x \leftarrow \text{ArFloorStep}(x, 3, 24) - 0.45;$ 
2  $x \leftarrow 10 \times x;$ 
3 for  $i$  from 1 to  $prec-1$  do
4    $x \leftarrow \text{ArFloorStep}(x, 3, 3) - 0.45;$ 
5    $x \leftarrow 10 \times x;$ 
6 return  $x \times 10^{-prec}$ 
```

---

### 3.2 Complexity

A COMPLETER

## 4 Truncation method : Masked Floor Function

In this part we denote by  $f$  as one of these three functions : floor, truncature and round. Differences will be discussed when necessary.

### 4.1 Overview

Recall that we're working with floating-point using the Binary64 – IEEE 754 [17] standard representation. Its encoding is defined as follows: Let  $x \in \mathbb{R}$ .  $x$  is represented by a tuple of three elements  $(s, e, m)$ , where  $s$  is a 1-bit sign,  $e$  a 11-bit exponent and  $m$  a 52-bit mantissa such as:

$$x = (-1)^s \times 2^{e-1023} \times (1 + m \times 2^{-52}) \quad (2)$$

. We have the following Lemma:

**Lemma 1.** *Let  $f$  be floor or truncate or rounding. Computing  $f$  mainly depends on the exponent  $e$ , with three different cases:*

1. *If  $e - \text{Zero}_f < 0$ , then  $f(x) = 0$ .  $\text{Zero}_f$  depends on  $f$ . For  $f$ =floor or truncate, we take  $\text{Zero}_f = 1023$ . For  $f$ =rounding we take  $\text{Zero}_f = 1022$ .*
2. *If  $e - 1075 \geq 0$ , then  $f(x) = x$ ;*
3. *If  $0 \leq e - \text{Zero}_f \leq 51$ , the result must be computed by removing the  $52 - (e - \text{Zero}_f)$  decimals from  $x$  depending on  $f$ .*

*Proof.* 1.  $e - \text{Zero}_f < 0$ . This case covers  $x \in (-1; 1)$  According to Equation 2 we have for  $\text{Zero}_f = 1023$ :

$$|x| = 2^{e-1023} \times (1 + m \times 2^{-52}) \leq 2^{-1} \times (1 + m \times 2^{-52}) < 1. \quad (3)$$

Hence  $\text{floor}(x) = \text{truncate}(x) = 0$ . For  $\text{Zero}_f = 1022$ , we have:

$$|x| = 2^{e-1023} \times (1 + m \times 2^{-52}) \leq 2^{-2} \times (1 + m \times 2^{-52}) < 0.5. \quad (4)$$

Hence  $\text{rounding}(x) = 0$ .

2.  $e - 1075 \geq 0$ . This case covers  $x \in \mathbb{Z}$ . According to Equation 2 we have:

$$|x| = 2^{e-1023} \times (1 + m \times 2^{-52}) \geq 2^{52} \times (1 + m \times 2^{-52}) \geq 2^{52} + m \in \mathbb{N}. \quad (5)$$

Hence  $f(x) = x \in \mathbb{Z}$ .

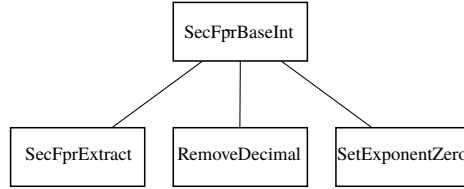
3. The last case is function specific but covers  $x \in \mathbb{R} \setminus (-1; 1) \setminus \mathbb{Z}$ .

□

These three distinguished cases provide a fixed structure shown in algorithm 8 for  $f$ . We must compute securely all the checks on masked values, and to change in consequence our mantissa, exponent and eventually our sign bit.

## 4.2 Masked Floor Function

The function `SecFprBaseInt` is the main function to compute masked floor, masked truncature or masked round. Its result depends on the inputed function: gadgets and  $\text{Zero}_f$  are different.



**Fig. 3.** `SecFprBaseInt` and its gadgets

In this subsection, suppose  $f = \text{floor}$ . We will first present the main structure and then present the gadgets. Gadgets from `trunc` and `round` function can be found in annexe (the little differences between gadgets will be explain too):

- `SetFprExtract`;
- `RemoveDecimaltrunc` and `SetExponentZerotrunc`;
- `RemoveDecimalround` and `SetExponentZeroround`.

The particularity of our shift method for calculating floor function is that it requires the gadgets proposed by Chen and Chen [7]. So by proposing this method, we are extending their work to mask the Gaussian sampler by using only the gadgets of their creation. By way of summary, we propose a table showing the functions used to create our gadgets and the sources from which they come.

Algorithm	Description	Security	Reference
SecAnd	AND of Boolean shares	$t$ -SNI	[16], [1]
SecAdd	Addition of Boolean shares	$t$ -SNI	[9], [2]
A2B	Arithmetic to Boolean conversion	$t$ -SNI	[28]
B2A	Boolean to Arithmetic conversion	$t$ -SNI	[4]
RefreshMasks	$t$ -NI refresh of masks	$t$ -NI	[1], [4]
Refresh	$t$ -SNI refresh of masks	$t$ -SNI	[1]
SecOr	OR of Boolean shares	$t$ -SNI	[7]
SecNonZero	NonZero check of shares	$t$ -SNI	[7]
SecFprUrsh	Right-shift keeping sticky bit updated	$t$ -SNI	[7]
SecFprNorm64	Normalization to $[2^{63}, 2^{64})$	$t$ -NI	[7]

**SecFprBaseInt<sub>f</sub> – algorithm 8** We present a global structure for all three functions  $f = \text{floor}/\text{round}/\text{truncate}$ .

---

**Algorithm 8:** SecFprBaseInt<sub>f</sub>(x)

---

**Data:** 64-bit boolean shares  $(x_i)_{1 \leq i \leq n}$  for value  $x$   
**Result:** 64-bit boolean shares  $(y_i)_{1 \leq i \leq n}$  for mantissa value  $y = f(x)$ .

- 1  $((my_i), (ey_i), (sy_i)) \leftarrow \text{SecFprExtract}((x_i));$
- 2  $(cx_i) \leftarrow (ey_i);$
- 3  $cx_1 \leftarrow ey_1 - \text{Zero}_f;$
- 4  $(c_i) \leftarrow \text{A2B}((cx_i^{(16)}));$
- 5  $\text{Refresh}((cx_i));$
- 6  $(my_i) \leftarrow \text{SecAnd}((my_i), (\neg(-c_i)));$
- 7  $(my_i), (ey_i), (Rnd_i) \leftarrow \text{RemoveDecimal}_f((my_i), (ey_i), (sy_i), (cx_i));$
- 8  $(my_i), (ey_i) \leftarrow \text{SecFprNorm64}((my_i), (ey_i));$
- 9  $(my_i) \leftarrow (my_i >> 11);$
- 10  $(my_i) \leftarrow (my_i^{[52:1]});$
- 11  $ey_1 \leftarrow ey_1 + 11;$
- 12  $(ey_i), (sy_i) \leftarrow \text{SetExponentZero}_f((ey_i), (\neg(-c_i)), (s_i), (Rnd_i));$
- 13  $(y_i^{(64)}) \leftarrow (sy_i);$
- 14  $(y_i^{[63:53]}) \leftarrow (ey_i);$
- 15  $(y_i^{[53:1]}) \leftarrow (my_i);$
- 16 **return**  $(y_i);$

---

As explained in overview, these three functions can be summarised in a global structure. What will differentiate the three functions will in fact be the gadgets and the  $\text{Zero}_f$  parameter. To perform the floor function, we first extract the data from the encoding and place it in three variables  $s_y, e_y$  and  $m_y$ , which will be linked directly to the output of the algorithm. By extracting this data<sup>4</sup>,

---

<sup>4</sup> Pseudo-code in appendix: SecFprExtract – algorithm 12

we carry out a few operations on the mantissa and the exponent to match the conventions of Chen's implementation. Indeed, the input  $x$  is a 64-bit boolean share, consequently we'll have to transform the exponent into a 16-bit arithmetic share by performing a boolean to arithmetic conversion. Another important thing to add is the bit implicit in the mantissa. This bit which is not present in the  $x$  information, in order to save one bit and gain in precision, is nevertheless very important. In particular, it enables us to normalise our shares correctly. Once these changes have been made, we can start working on the exponent.

The first step consists of checking whether the result is an obvious zero or not. To do so, we compare  $e_y$  and  $\text{Zero}_f$  – which is the corresponding exponent to  $1 = 2^0$  – by calculating  $cx = e_y - \text{Zero}_f$ . If  $cx$  is negative,  $|x| < 1$  and all decimals can be removed by putting  $my = 0$ . We just need to be careful at the end of the algorithm because of some particular cases<sup>5</sup>. This step without taking care of particular case corresponds in reality to the truncature. Otherwise, the  $m_y$  mantissa will remain unchanged to be adjusted during the next steps.

A mathematical explanation of  $\text{Zero}_f$ 's choice can be summarize in a few simple calculus. In case  $f = \text{floor}$  or  $\text{trunc}$ ,  $\text{Zero}_f = 1023$ . Indeed if  $e_y - 1023 < 0$ ,

$$2^{e_x - 1023} \times (1 + m_x \times 2^{-52}) \leq 2^{-1} \times (1 + m_x \times 2^{-52}) < 1$$

We remark a difference for  $f = \text{round}$ . The main reason of this difference is that we want to avoid to reject the case where the binary decomposition of  $x$  contains a 1 at indices  $2^{-1} = 0.5$ , since we will no longer be able to round off the final result. This justify the choice of  $\text{Zero}_f = 1022$  for rounding function. This time, if  $e_y - 1022 < 0$ :

$$2^{e_x - 1023} \times (1 + m_x \times 2^{-52}) \leq 2^{-2} \times (1 + m_x \times 2^{-52}) < 0.5$$

To sum up, after the first step, only  $my$  can change. The two values it can take are  $my$  it-self (no changes) or 0. The second step removes the decimals by shifting them to the right, using `RemoveDecimal` – algorithm 9. As this algorithm does not normalise the mantissa, we then apply the `SecFprNorm64` function, !!!!!!! followed by a refresh (`SecFprNorm64` is a  $t$ -NI gadgets) and !!!!!!! a computation of shifted  $my$  and  $ey$  to set the mantissa back to bits [52 : 1] and update  $ey$ . Finally, the last step in the algorithm, before reformatting the initial encoding, is to apply the specific encoding of "0" if this is the result to be returned. To do this, we apply the `SetExponentZerof` function – algorithm 11.

**RemoveDecimal<sub>floor</sub> – algorithm 9** As we explained at the beginning of this section, we need to remove the decimals from the input number. After checking a first result, the mantissa is equal to 0, if  $e - \text{Zero}_f < 0$ , or has remained unchanged. This leaves us with the case where a shift is needed. To do so, we write  $cx = e - 1023$  as the shift to be performed. The case where  $cx < 0$  has already been treated by modifying (or not) the mantissa, whatever shift is made,

<sup>5</sup> For example, if  $-1 < x < 0$ , we need to return encoding -1, not zero.

---

**Algorithm 9:** RemoveDecimal<sub>floor</sub>(( $my_i$ ), ( $ey_i$ ), ( $sy_i$ ), ( $cx_i$ ))

---

**Data:** 64-bit boolean shares ( $my_i$ )<sub>1≤i≤n</sub> for mantissa value  $my$ ;  
 16-bit arithmetic shares ( $ey_i$ )<sub>1≤i≤n</sub> for exponent value  $ey$ ;  
 1-bit boolean shares ( $sy_i$ )<sub>1≤i≤n</sub> for sign value  $sy$   
 16-bit arithmetic shares ( $cx_i$ )<sub>1≤i≤n</sub> for value  $cx = ex-2013$ .  
**Result:** 64-bit boolean shares ( $my_i$ )<sub>1≤i≤n</sub> for mantissa value  
 $my \gg (52 - cx)$ ;  
 16-bit arithmetic shares ( $ey_i$ )<sub>1≤i≤n</sub> for exponent value  $ey + (52 - cx)$ ;

- 1  $cx_1 \leftarrow cx_1 - 52$ ;
- 2  $(c_i) \leftarrow A2B((cx_i))$ ;
- 3  $(cp_i) \leftarrow (c_i^{(16)})$ ;
- 4  $(cp_i) \leftarrow \text{SecNonZero}(cp_i)$ ;
- 5  $(c'_i) \leftarrow (-cp_i)$ ;
- 6  $(c_i) \leftarrow \text{SecAnd}((c_i), (c'_i))$ ;
- 7  $(cx_i) \leftarrow B2A((c_i))$ ;
- 8  $(cd_i) \leftarrow (-cx_i)$ ;
- 9  $(my_i), (rot_i) \leftarrow \text{SecFprUrsh}_f((my_i), (cd_i))$ ;
- 10  $(b_i) \leftarrow \text{SecNonZero}((rot_i))$ ;
- 11  $(cp_i) \leftarrow \text{SecAnd}((cp_i), (sy_i))$ ;
- 12  $(cp_i) \leftarrow \text{SecAnd}((cp_i), (b_i))$ ;
- 13  $(my_i) \leftarrow \text{SecAdd}((my_i), (cp_i))$ ;
- 14  $(ey_i) \leftarrow (ey_i + cd_i)$ ;
- 15 **return** ( $\text{Refresh}(my_i)$ ,  $\text{Refresh}(ey_i)$ );

---

we would have  $0 \gg cx = 0$ . If  $cx \geq 52$ ,  $x$  is already in the correct form. In fact, we have :

$$|(-1)^s \times 2^{cx} \times (1 + m \times 2^{-52})| \geq 2^{52} \times (1 + m \times 2^{-52}) = 2^{52} + m \in \mathbb{N}$$

To avoid removing information if it isn't needed, we replace  $cx$  by 0. Be careful if we want to round a number : it's important to compare  $cx$  to 53 instead of 52. The reason is that we first subtract to  $ey$  1022 instead of 1023 when we were checking is the result was 0 or not. So here we need to add 1. If  $0 \leq ey - 1023 \leq 51$ , we need to modify the mantissa to remove – if necessary – the decimals. Remarks that even in this case, the mantissa can be in the good format. For example if  $x = 5$ , we have  $0 \leq ey - 1023 = 1025 - 1023 = 2 \leq 51$  and  $x$  is an integer.

After verifying is  $x$  is or isn't a "big" number, i.e. without decimal in its representation, we can shift<sup>6</sup>  $my$  by  $cd = 52 - cx$  by applying a modified<sup>7</sup> SecFprUrsh<sub>f</sub> – algorithm 10: we don't want to keep the sticky bit, so we just removed this part. We had an extra output, returning the part we removed. Shift a value is a good thing, but that's not exactly the floor function. After this step

<sup>6</sup> In pseudo-code the subtraction is implicate due to the format of a masked value.

<sup>7</sup> If we want to use Chen's algorithm, it's possible. We just need to compute one shift less then described in our algorithm and then compute an extra shift manually. The disadvantages of using it, is that you need to check if  $cx$  is different from 0 – to not compute a shift if it isn't needed – and the cost of computing the sticky bit.

we just have the truncature. When  $x$  positive there's no problem at all, floor function is equal to truncature. But when  $x$  is negative there is one. It comes from floor properties. Indeed if  $x < 0$  :

$$\text{floor}(-x) = \begin{cases} \text{trunc}(-x) & \text{if } x \in \mathbb{N} \\ \text{trunc}(-x) - 1 & \text{if } x \in \mathbb{R}/\mathbb{N} \end{cases} \quad (6)$$

On one hand, checking if  $x$  is negative is quite easy : we just have to take a look at if  $sy$  is equal to 1. On the other hand, checking that  $x$  is an integer is trickier. This is where the second modification to  $\text{SecFprUrsh}_f$  comes in handy. As this function performs a rotation, the bit information is retained until a mask is applied to calculate the shift. We therefore decided to invert the mask in order to retain the suppressed information. If this information has zero Hamming weight then  $x$  is an integer. We can then use the  $\text{SecNonZero}$  gadget on this information, which will return 0 if  $x$  is an integer, and 1 if not. We denote this result  $b = \text{SecNonZero}((my_i^{[52-c_x:1]}))$ . In the following truth table (Figure 4) if the result  $cp = s \wedge b$  is 1, the mantissa must be changed. Another question is now

$sy$	$b$	$cp = sy \wedge b$	Interpretation
0	$b$	0	Positive number
1	0	0	$x$ is an integer
1	1	1	Negative real

**Fig. 4.**  $cp = sy \wedge b$  truth table and interpretation

: how to subtract  $s$  from the mantissa if the mantissa is always positive? The sign of  $x$  is only define by  $sy$ , so  $|x| = 2^{ey-1023} \times (1 + my \times 2^{-52})$ . We already know that if  $x$  is positive or an integer,  $my = my \pm 0 = my \pm cp$ . Now let's focus on  $x$  a non integer negative number. Let  $x$  be a positive real, from Equation 6, we can write :

$$my = |\text{floor}(-x)| = |\text{trunc}(-x) - 1| \quad (7)$$

By using  $|\text{trunc}(-x)| = |-\text{trunc}(x)|$ , we can transform Equation 7 :

$$my = |\text{floor}(-x)| = |-\text{trunc}(x) - 1| = |\text{trunc}(x) + 1| = my + cp \quad (8)$$

From this, we only have to compute a secure addition between  $cp$  and  $my$ . The last step of this algorithm is to add the shift  $cd$  to  $ey$  to keep this data update.

**SetExponentZero<sub>floor</sub> – algorithm 11** This last function is useful in the algorithm and uses the data collected throughout the calculations of the whole algorithm to modify  $ey$  and  $sy$  if the expected result is 0. The encoding of 0 is special because it is encoded by itself. It must therefore be possible to update

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**Algorithm 10:** SecFprUrsh<sub>floor</sub>(( $my_i$ ), ( $cx_i$ ))

---

**Data:** 6-bit arithmetic shares ( $cx_i$ )<sub>1 ≤ i ≤ n</sub> for value  $cx$ ;  
64-bit boolean shares ( $my_i$ )<sub>1 ≤ i ≤ n</sub> for sign value  $my$ .  
**Result:** 64-bit boolean shares ( $my'_i$ )<sub>1 ≤ i ≤ n</sub> for value  $my \gg cx$   
64-bit boolean shares ( $rot_i$ )<sub>1 ≤ i ≤ n</sub> for value  $my^{[cx:1]}$ .

```

1 ( $m_i$ )1 ≤ i ≤ n  $\leftarrow$  ((1 << 63), 0,  $\dots$ , 0);
2 for  $i$  from 1 to  $n$  do
3   Right-Rotate ( $my_i$ ) by  $cx_i$ ;
4   ( $my_i$ )  $\leftarrow$  RefreshMasks(( $my_i$ ));
5   Right-Rotate ( $m_i$ ) by  $cx_i$ ;
6   ( $m_i$ )  $\leftarrow$  RefreshMasks(( $m_i$ ));
7  $len \leftarrow 1$ ;
8 while  $len \leq 32$  do
9   ( $m_i$ )  $\leftarrow$  ( $m_i \oplus (m_i \gg len)$ );
10   $len \leftarrow len << 1$ ;
11 ( $my'_i$ )  $\leftarrow$  SecAnd(( $my_i$ ), ( $m_i$ ));
12 ( $m_i$ )  $\leftarrow$  ( $\neg(m_i)$ );
13 ( $rot_i$ )  $\leftarrow$  SecAnd(( $my_i$ ), ( $m_i$ ));
14 return (( $my'_i$ ), ( $rot_i$ ));
```

---



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**Algorithm 11:** SetExponentZero<sub>floor</sub>(( $ey_i$ ), ( $sy_i$ ), ( $b_i$ ))

---

**Data:** 16-bit arithmetic shares ( $ey_i$ )<sub>1 ≤ i ≤ n</sub> for exponent value  $ey$ ;  
1-bit boolean shares ( $sy_i$ )<sub>1 ≤ i ≤ n</sub> for sign value  $sy$   
64-bit boolean shares ( $b_i$ )<sub>1 ≤ i ≤ n</sub>.  
**Result:** 16-bit boolean shares ( $ey_i$ )<sub>1 ≤ i ≤ n</sub> for exponent value  $ey + (52 - cx)$ ;  
1-bit boolean shares ( $sy_i$ )<sub>1 ≤ i ≤ n</sub> for sign value.

```

1 ( $ey_i$ )  $\leftarrow$  A2B(( $ey_i$ ));
2 ( $b'_i$ )  $\leftarrow$  ( $-sy_i$ );
3 ( $b'_i$ )  $\leftarrow$  SecOr(( $b'_i$ ), ( $b_i$ ));
4 ( $ey_i$ )  $\leftarrow$  SecAnd(( $ey_i$ ,  $b'_i$ ));
5 ( $sy_i$ )  $\leftarrow$  SecAnd(( $sy_i$ ,  $b'_i$ ));
6 return (( $ey_i$ ), ( $sy_i$ ));
```

---



$ey$  and  $sy$  if necessary. For the floor function, we need the  $sy$  sign bit and the  $b$  mantissa zero condition. The desired result is zero only if  $|x| < 1$  and  $sy = 0$ . Recall that if  $sy = 1$  and  $|x| < 1$ ,  $\text{floor}(x) = -1$ . Minus 1's encoding is  $sy = 1$ ,  $ey = 1023$  and  $my = 0$ .

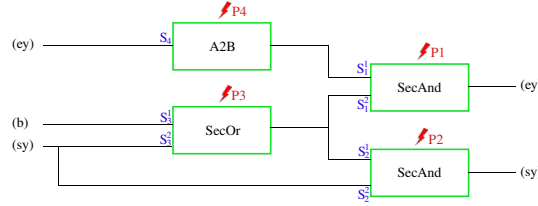
$-sy$	$b$	$  $	$-sy \vee b$	Interpretation
$0 \dots 0$	$0 \dots 0$	$  $	$0 \dots 0$	"Small" positive number : $ey = 0$ and $sy = 0$
$1 \dots 1$	$0 \dots 0$	$  $	$1 \dots 1$	"Small" negative number : $ey = 1023$ and $sy = 1$
$-sy$	$1 \dots 1$	$  $	$01 \dots 1$	Non zero number : $ey = ey$ and $sy = sy$

**Fig. 5.** Encoding 0, minus 1 or others: Truth table

## 5 Security Proof

In this section we will cover the  $t$ -SNI security of our design with  $n = t + 1$  shares.

**Lemma 2.** *The gadget  $\text{SetExponentZero}_{\text{floor}}$  (Algorithm 11) is  $t$ -SNI secure.*

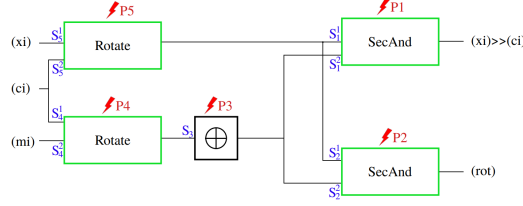


**Fig. 6.** Abstract diagram of SetExponentZero

*Proof.* We use an abstract diagram in Figure 5 for our demonstration. The gadget only contains  $t$ -SNI gadgets and the input shares are distinct from the output shares for each gadgets. By composition of  $t$ -SNI gadgets and independence of input and output shares,  $\text{SetExponentZero}_{\text{floor}}$  is itself  $t$ -SNI.

**Lemma 3.** *The gadget  $\text{SecFprUrsh}_{\text{floor}}$  (Algorithm 10) is  $t$ -SNI secure.*

*Proof.* The gadget  $\text{SecFprUrsh}_{\text{floor}}$  is a slight modification of the gadget  $\text{SecFprUrsh}$  from [7]. Ours does not compute the sticky bit but retains the rotated



**Fig. 7.** Abstract diagram of  $\text{SecFprUrsh}_{\text{floor}}$

out information. We rely on their proof regarding the  $t$ -SNI security of the gadget **Rotate** (see [7], Lemma 3 and Figure 2). We now show that the operations following the rotation loop are  $t$ -SNI secure. We use an abstract diagram in Figure 5 for the demonstration. Let an adversary probe the intermediate values sets  $P_1$  of **SecAnd**,  $P_2$  of **SecAnd** and  $P_3$  of **XOR**. As **SecAnd** is  $t$ -SNI secure, one can use the sets  $S_2^1, S_2^2$  (resp.  $S_1^1, S_1^2$ ) to simulate  $P_2$  (resp.  $P_1$ ) and the output shares of  $(\text{rot})$  (resp.  $(xi) \gg (ci)$ ) with sizes no more than  $P_2$  (resp.  $P_1$ ). One can simulate the probing set of  $P_3$  in the **XOR** and the simulation sets  $S_2^2$  and  $S_1^1$  with the output shares  $S_3$  of the rotation of  $(mi)$ . All probes are now simulated with output shares  $S_1^1 \cup S_2^1$  of the rotation of  $(xi)$  and  $S_3$  of the rotation of  $(mi)$ . We have  $|S_1^1 \cup S_2^1| \leq |P_1| + |P_2|$  and  $|S_3| \leq |P_3| + |S_2^2| + |S_1^2| \leq |P_3| + |P_2| + |P_1|$ . Along with the internal probes  $P_5$  and  $P_4$  into the rotation loop, can be simulated by input shares due to the  $t$ -SNI security showed at first in ([7], Lemma 3).

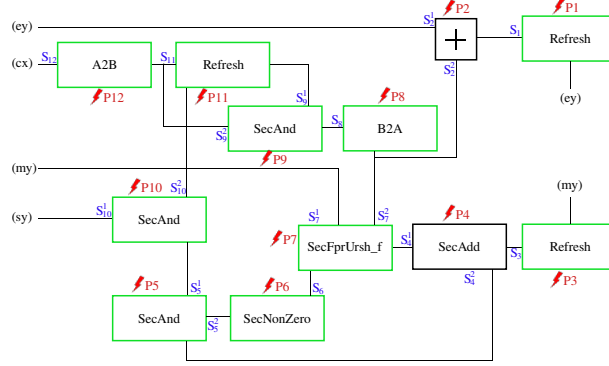
### 5.1 RemoveDecimal<sub>floor</sub>

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**Lemma 4.** *The gadget **RemoveDecimal<sub>floor</sub>** (Algorithm 9) is  $t$ -SNI secure.*

*Proof.* We use an abstract diagram in Figure 5.1 for the demonstration. Let assume an adversary probe the intermediate values sets of the output shares  $O$  and  $P_i$  in each gadget for  $i \in \llbracket 1; 12 \rrbracket$ . We use simulation sets  $S_i^j$  to simulate the values for each gadget.  $t$ -SNI security implies that if the size of all probing sets  $P_i$  is  $t_I \leq t$  and if the size of values required to simulate in each gadget is smaller than  $t$ , then the simulation sets linked to the input shares are not bigger than  $t_I$ .  $t$ -SNI gadgets imply  $|S| \leq |P|$  while  $t$ -NI gadgets imply  $|S| \leq |P| + |O|$ . As **Refresh**, **SecAnd**, **SecNonZero**, **SecFprUrsh<sub>floor</sub>**, **B2A** and **A2B** are all  $t$ -SNI secure while **SecAdd** and  $+$  are  $t$ -NI secure, we can sequentially derive the following:

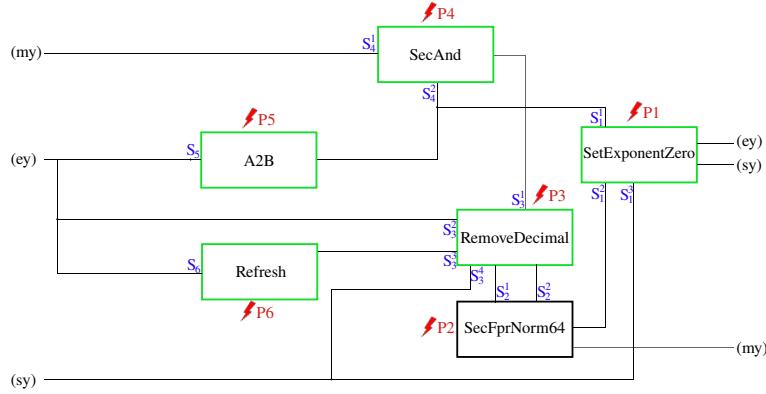
$$\begin{array}{ll}
 - |S_1| \leq |P_1| & - |S_4^1|, |S_4^2| \leq |P_4| + |S_3| \leq |P_4| + |P_3| \\
 - |S_2^1|, |S_2^2| \leq |P_2| + |S_1| \leq |P_2| + |P_1| & - |S_5^1|, |S_5^2| \leq |P_5| \\
 - |S_3| \leq |P_3| & - |S_6| \leq |P_6|
 \end{array}$$

Fig. 8. Abstract diagram of  $\text{RemoveDecimal}_{\text{floor}}$ 

- $|S_7^1|, |S_7^2| \leq |P_7|$
- $|S_8| \leq |P_8|$
- $|S_9^1|, |S_9^2| \leq |P_9|$
- $|S_{10}^1|, |S_{10}^2| \leq |P_{10}|$
- $|S_{11}| \leq |P_{11}|$
- $|S_{12}| \leq |P_{12}|$

Based on the previous inequalities, one can check that no gadget requires more than  $t_I$  values to be simulated. This apply to the input shares as well, with  $|S_{10}^1| \leq |P_{10}|$  for  $(sy)$ ,  $|S_7^1| \leq |P_7|$  for  $(my)$ ,  $|S_{12}| \leq |P_{12}|$  for  $(cx)$  and  $|S_2^1| \leq |P_2| + |S_1| \leq |P_2| + |P_1|$  for  $(ey)$ , no sizes being more than  $t_I$ .

**Theorem 3.** *The gadget  $\text{SecBaseInt}_{\text{floor}}$  (Algorithm 8) is  $t$ -SNI secure.*

Fig. 9. Abstract diagram of  $\text{SecBaseInt}_{\text{floor}}$ 

*Proof.* We use the same method as for the demonstration of Lemma 4. We use an abstract diagram in Figure 3 for the demonstration. Let assume an adversary probe the intermediate values sets of the output shares  $O$  and  $P_i$  in each

gadget for  $i \in \llbracket 1; 6 \rrbracket$ . We use simulation sets  $S_i^j$  to simulate the values for each gadget.  $t$ -SNI security implies that if the size of all probing sets  $P_i$  is  $t_I \leq t$  and if the size of values required to simulate in each gadget is smaller than  $t$ , then the simulation sets linked to the input shares are not bigger than  $t_I$ . As **SetExponentZero**, **RemoveDecimal**, **SecAnd**, **A2B** and **Refresh** are all  $t$ -SNI secure while **SecFprNorm64** is  $t$ -NI secure, we can sequentially derive the following:

$$\begin{array}{ll}
- |S_1^1|, |S_1^2|, |S_1^3| \leq |P_1| & - |S_4^1|, |S_4^2| \leq |P_4| \\
- |S_2^1|, |S_2^2| \leq |P_2| + |O_{(my)}| & - |S_5| \leq |P_5| \\
- |S_3^1|, |S_3^2|, |S_3^3|, |S_3^4| \leq |P_3| & - |S_6| \leq |P_6|
\end{array}$$

Based on the previous inequalities, one can check that no gadget requires more than  $t_I$  values to be simulated. This also apply to the input shares, with  $|S_4^1| \leq |P_4|$  for  $(my)$ ,  $|S_5 \cup S_3^2 \cup S_6| \leq |P_5| + |P_3| + |P_6|$  for  $(ey)$  and  $|S_3^4 \cup S_1^3| \leq |P_3| + |P_1|$  for  $(sy)$ , none being more than  $t_I$ .

## 6 Application to FALCON

### 6.1 Masked Floor Function For FALCON

### 6.2 Masking the Gaussian Sampler

## 7 Performances

## 8 Conclusion

### Acknowledgments

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## Appendix

### Extract

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**Algorithm 12:** SecFprExtract(x)

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**Data:** 64-bit boolean shares  $(x_i)_{1 \leq i \leq n}$  for value x  
**Result:** 64-bit boolean shares  $(mx_i)_{1 \leq i \leq n}$  for mantissa value mx;  
 16-bit arithmetic shares  $(ex_i)_{1 \leq i \leq n}$  for exponent value ex;  
 1-bit boolean shares  $(sx_i)_{1 \leq i \leq n}$  for sign value s.

- 1  $(mx_i) \leftarrow (x_i^{[52:1]});$
- 2  $(mx_i) \leftarrow \text{SecAdd}((mx_i), (2^{52}, 0, \dots, 0));$  // add implicit bit in the mantissa
- 3  $(ex_i) \leftarrow (x_i^{[63:53]});$
- 4  $(ex_i) \leftarrow \text{B2A}((ex_i));$
- 5  $(sx_i) \leftarrow (x_i^{(64)});$
- 6 **return**  $((mx_i), (ex_i), (sx_i));$

---



**Truncature Function: Gadgets**

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**Algorithm 13:** RemoveDecimal<sub>trunc</sub>(( $my_i$ ), ( $ey_i$ ), ( $sy_i$ ), ( $cx_i$ ))

---

**Data:** 64-bit boolean shares ( $my_i$ )<sub>1≤i≤n</sub> for mantissa value  $my$ ;  
 16-bit arithmetic shares ( $ey_i$ )<sub>1≤i≤n</sub> for exponent value  $ey$ ;  
 1-bit boolean shares ( $sy_i$ )<sub>1≤i≤n</sub> for sign value  $sy$   
 16-bit arithmetic shares ( $cx_i$ )<sub>1≤i≤n</sub> for value  $cx = ex-2013$ .  
**Result:** 64-bit boolean shares ( $my_i$ )<sub>1≤i≤n</sub> for mantissa value  
 $my >> (52 - cx)$ ;  
 16-bit arithmetic shares ( $ey_i$ )<sub>1≤i≤n</sub> for exponent value  $ey + (52 - cx)$ ;  
 1  $cx_1 \leftarrow cx_1 - 52$ ; // check if  $0 \leq c < 51$   
 2 ( $c_i$ )  $\leftarrow$  A2B( $(cx_i)$ );  
 3 ( $cp_i$ )  $\leftarrow$  ( $c_i^{(16)}$ );  
 4 ( $cp_i$ )  $\leftarrow$  SecNonZero( $cp_i$ );  
 5 ( $c'_i$ )  $\leftarrow$  ( $-cp_i$ ); // if  $cp = 0$   $cx = 0$ . if not  $cx = cx$   
 6 ( $c_i$ )  $\leftarrow$  SecAnd( $(c_i), (cp_i)$ );  
 7 ( $cx_i$ )  $\leftarrow$  B2A( $(c_i)$ );  
 8 ( $cd_i$ )  $\leftarrow$  ( $-cx_i$ );  
 9 ( $my_i$ )  $\leftarrow$  SecFprUrsh<sub>f</sub>(( $my_i$ ), ( $cd_i$ )); //  $my >> 52 - cx$   
 10 ( $ey_i$ )  $\leftarrow$  ( $ey_i + cd_i$ );  
 11 **return** (( $my_i$ ), ( $ey_i$ ));

---

---

**Algorithm 14:** SetExponentZero<sub>trunc</sub>(( $ey_i$ ), ( $sy_i$ ), ( $b_i$ ))

---

**Data:** 16-bit arithmetic shares ( $ey_i$ )<sub>1≤i≤n</sub> for exponent value  $ey$ ;  
 1-bit boolean shares ( $sy_i$ )<sub>1≤i≤n</sub> for sign value  $sy$   
 64-bit boolean shares ( $b_i$ )<sub>1≤i≤n</sub>.  
**Result:** 16-bit boolean shares ( $ey_i$ )<sub>1≤i≤n</sub> for exponent value  
 $ey + (52 - cx)$ ;  
 1-bit boolean shares ( $sy_i$ )<sub>1≤i≤n</sub> for sign value.  
 1 ( $ey_i$ )  $\leftarrow$  A2B( $(ey_i)$ );  
 2 ( $ey_i$ )  $\leftarrow$  SecAnd( $(ey_i), (b_i)$ );  
 3 ( $sy_i$ )  $\leftarrow$  SecAnd( $(sy_i), (b_i)$ );  
 4 **return** (( $ey_i$ ), ( $sy_i$ ));

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**Round Function: Gadgets**

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**Algorithm 15:** RemoveDecimal<sub>round</sub>(( $my_i$ ), ( $ey_i$ ), ( $sy_i$ ), ( $cx_i$ ))

---

**Data:** 64-bit boolean shares ( $my_i$ )<sub>1≤i≤n</sub> for mantissa value my;  
 16-bit arithmetic shares ( $ey_i$ )<sub>1≤i≤n</sub> for exponent value ey;  
 1-bit boolean shares ( $sy_i$ )<sub>1≤i≤n</sub> for sign value sy  
 16-bit arithmetic shares ( $cx_i$ )<sub>1≤i≤n</sub> for value cx = ex-2013.  
**Result:** 64-bit boolean shares ( $my_i$ )<sub>1≤i≤n</sub> for mantissa value  
 $my \gg (52 - cx)$ ;  
 16-bit arithmetic shares ( $ey_i$ )<sub>1≤i≤n</sub> for exponent value  $ey + (52 - cx)$ ;  
 1-bit boolean shares ( $Rnd_i$ ) for value Rnd – the bit at position -1.

- 1  $cx_1 \leftarrow cx_1 - 53$ ; // check if  $0 \leq c < 51$
- 2  $(c_i) \leftarrow A2B((cx_i))$ ;
- 3  $(cp_i) \leftarrow (c_i^{(16)})$ ;
- 4  $(cp_i) \leftarrow \text{SecNonZero}(cp_i)$ ;
- 5  $(rshORnot_i) \leftarrow (-cp[i])$ ;
- 6  $(c'_i) \leftarrow (-cp_i)$ ; // if cp = 0 cx = 0. if not cx = cx
- 7  $cx_1 \leftarrow cx_1 + 1$ ;
- 8  $(c_i) \leftarrow A2B((cx_i))$ ;
- 9  $(c_i) \leftarrow \text{SecAnd}((c_i), (cp_i))$ ;
- 10  $(cx_i) \leftarrow B2A((c_i))$ ;
- 11  $(cd_i) \leftarrow (-cx_i)$ ;
- 12  $(my_i) \leftarrow \text{SecFprUrsh}((my_i), (cd_i))$ ; //  $my \gg 53 - cx$
- 13  $(Rnd_i) \leftarrow (my_i^{(1)})$ ;
- 14  $(Rnd_i) \leftarrow \text{SecNonZero}(Rnd_i)$ ;
- 15  $(Rnd_i) \leftarrow \text{SecAnd}((Rnd_i), (rshORnot_i))$ ;
- 16  $(my1_i) \leftarrow (my_i) \gg 1$ ,  $(e1_i) \leftarrow (1, 0, \dots, 0)$ ;
- 17  $(my2_i) \leftarrow (my_i)$ ,  $(e2_i) \leftarrow (0, \dots, 0)$ ;
- 18  $(my1_i) \leftarrow \text{SecAnd}((my1_i), (rshORnot_i))$ ,  $(e1_i) \leftarrow$   
 $\text{SecAnd}((e1_i), (rshORnot_i))$ ;
- 19  $(rshORnot_i) \leftarrow (-rshORnot_i)$ ;
- 20  $(my2_i) \leftarrow \text{SecAnd}((my2_i), (rshORnot_i))$ ,  $(e2_i) \leftarrow$   
 $\text{SecAnd}((e2_i), (rshORnot_i))$ ;
- 21  $(my_i) \leftarrow \text{SecOr}((my1_i), (my2_i))$ ;
- 22  $(my_i) \leftarrow \text{SecAdd}((my_i), (Rnd_i))$ ;
- 23  $(e1_i) \leftarrow \text{SecOr}((e1_i), (e2_i))$ ;
- 24  $(e1_i) \leftarrow B2A((e1_i))$ ;
- 25  $(ey_i) \leftarrow (ey_i + e1_i)$ ;
- 26  $(ey_i) \leftarrow (ey_i + cd_i)$ ;
- 27 **return** (( $my_i$ ), ( $ey_i$ ), ( $Rnd_i$ ));

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**Algorithm 16:** SetExponentZero<sub>round</sub>(( $ey_i$ ), ( $sy_i$ ), ( $b_i$ ), ( $Rnd_i$ ))

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**Data:** 16-bit arithmetic shares ( $ey_i$ ) $_{1 \leq i \leq n}$  for exponent value ey;  
 1-bit boolean shares ( $sy_i$ ) $_{1 \leq i \leq n}$  for sign value sy  
 64-bit boolean shares ( $b_i$ ) $_{1 \leq i \leq n}$ .

**Result:** 16-bit boolean shares ( $ey_i$ ) $_{1 \leq i \leq n}$  for exponent value  
 $ey + (52 - cx)$ ;  
 1-bit boolean shares ( $sy_i$ ) $_{1 \leq i \leq n}$  for sign value.

```

1 ( $ey_i$ )  $\leftarrow$  A2B( $(ey_i)$ );
2 ( $b'_i$ )  $\leftarrow$  ( $Rnd_i$ );
3 ( $b'_i$ )  $\leftarrow$  SecOr( $(b'_i)$ , ( $b_i$ ));
4 ( $ey_i$ )  $\leftarrow$  SecAnd( $(ey_i)$ , ( $b'_i$ ));
5 ( $sy_i$ )  $\leftarrow$  SecAnd( $(sy_i)$ , ( $b'_i$ ));
6 return ( $(ey_i)$ , ( $sy_i$ ));

```

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