QUANTITATIVE ASPECTS OF LINEAR AND AFFINE CLOSED LAMBDA TERMS

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ABSTRACT. Affine λ -terms are λ -terms in which each bound variable occurs at most once and linear λ -terms are λ -terms in which each bound variable occurs once. and only once. In this paper we count the number of closed affine λ -terms of size n, closed linear λ -terms of size n, affine β -normal forms of size n and linear β -normal forms of size n, for different ways of measuring the size of λ -terms. From these formulas, we show how we can derive programs for generating all the terms of size n for each class. The foundation of all of this is specific data structures, which are contexts in which one counts all the holes at each level of abstractions by λ 's.

Keywords:

1. Introduction

The λ -calculus [1] is a well known formal system designed by Alonzo Church [8] for studying the concept of function. It has three kinds of basic operations: variables, application and abstraction (with an operator λ which is a binder of variables). We assume the reader familiar with the λ -calculus and with de Bruijn indices.¹

In this paper we are interested in terms in which bound variables occur once. A closed λ -term is a λ -term in which there is no free variable, i.e., only free variables. An affine λ -term is a λ -term in which bound variables occur at most once. A linear λ -term is a λ -term in which bound variables occur once and only once

In this paper we propose a method for counting and generating (including random generation) linear and affine closed λ -terms based on a data structure which we call SwissCheese because of its holes. Actually we count those λ -terms up-to α -conversion. Therefore it is adequate to use de Bruijn indices [10], because a term with de Bruijn indices represents an α -equivalence class. An interesting aspect of these terms is the fact that they are simply typed [16, 15]. For instance, generated by the program of Section 5 6, there are 16 linear terms of natural size 8:

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 (\lambda 0 \ (\lambda 0 \ \lambda 0)) \ (\lambda 0 \ \lambda (\lambda 0 \ 0)) \ (\lambda 0 \ \lambda (0 \ \lambda 0)) \ ((\lambda 0 \ \lambda 0) \ \lambda 0) \ (\lambda (\lambda 0 \ 0) \ \lambda 0) \ (\lambda (0 \ \lambda 0) \ \lambda 0) \ \lambda (\lambda 0 \ (\lambda 0 \ 0)) \ \lambda (\lambda 0 \ (\lambda 0 \ 0)) \ \lambda (\lambda 0 \ (\lambda 0 \ \lambda 0)) \ \lambda (\lambda 0 \ \lambda 0) \ \lambda (
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\lambda x.x \ (\lambda x.x \ \lambda x.x) \quad \lambda x.x \ \lambda y.(\lambda x.x \ y) \quad \lambda x.x \ \lambda y.(y \ \lambda x.x) \quad (\lambda x.x \ \lambda x.x) \ \lambda x.x
\lambda y.(\lambda x.x \ y) \quad \lambda x.x \quad \lambda y.(y \ \lambda x.x) \ \lambda x.x \quad \lambda y.(\lambda x.x \ (\lambda x.x \ y)) \quad \lambda y.(\lambda x.x \ (y \ \lambda x.x))
\lambda y.((\lambda x.x \ \lambda x.x) \ y) \quad \lambda y.(\lambda z.(\lambda x.x \ z) \ y) \quad \lambda y.((\lambda x.x \ \lambda x.x) \ y) \quad \lambda y.(y \ (\lambda x.x \ \lambda x.x))
\lambda y.(y \ \lambda z.(\lambda x.x \ z)) \quad \lambda y.(y \ \lambda z.(z \ \lambda x.x)) \quad \lambda y.((\lambda x.x \ y) \ \lambda x.x) \quad \lambda y.((y \ \lambda x.x) \ \lambda x.x)
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and there are 25 affine terms of natural size 7:

The Haskell programs of this development are on GitHub: https://github.com/PierreLescanne/CountingGeneratingAfffineLinearClosedLambdaterms.

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¹If the reader is not familiar with the λ -calculus, we advise him to read the introduction of [14], for instance.

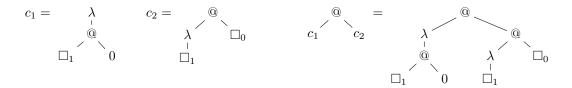


FIGURE 1. Building a SwissCheese by application

Notations. In this paper we use specific notations.

Given a predicate p, the Iverson notation written [p(x)] is the function taking natural values which is 1 if p(x) is true and which is 0 if p(x) is false.

Let $\mathbf{m} \in \mathbb{N}^p$ be the *p*-tuple $(m_0, ..., m_{p-1})$. In Section 5, we consider infinite tuples. Thus $\mathbf{m} \in \mathbb{N}^{\omega}$ is the sequence $(m_0, m_1, ...)$.

- p is the *length* of \mathbf{m} , which we write also length \mathbf{m}
- The p-tuple (0,...,0) is written 0^p . 0^ω is the infinite sequence made of 0's.
- The *increment* of a *p*-tuple at *i* is:

$$\mathbf{m}^{\uparrow i} = \mathbf{n} \in \mathbb{N}^p$$
 where $n_j = m_j$ if $j \neq i$ and $n_i = m_i + 1$

 \bullet Putting an element x as head of a tuple is written

$$x: \mathbf{m} = x: (m_0, ...) = (x, m_0, ...)$$

tail removes the head of an tuple:

$$tail(x : \mathbf{m}) = \mathbf{m}.$$

 \bullet \oplus is the componentwise addition on tuples.

2. SwissCheese

The basic concept is this of **m-SwissCheese** or **SwissCheese** if there is no ambiguity on **m**. That is a λ -term with holes at p levels, which are all counted, using **m**. The p levels of holes are $\square_0, \ldots \square_{p-1}$. A hole \square_i is meant to be a location for a variable at level i, that is under i λ 's. According to the way bound variables are inserted when creating abstractions (see below), we consider linear or affine SwissCheeses. The holes have size 0. An **m**-SwissCheese has m_0 holes at level 0, m_1 holes at level 1, ... m_{p-1} holes at level p. Let $l_{n,\mathbf{m}}$ (resp. $a_{n,\mathbf{m}}$) count the linear (resp. the affine) **m**-SwissCheese of size p. p in p is finite, length p in p in p is finite, length p in p in

2.1. **Growing a SwissCheese.** Given two SwissCheeses, we can build a SwissCheese by application like in Fig 1. In Fig. 1, c_1 is a (0, 1, 0, 0, 0)-SwissCheese, c_2 is a (1, 1, 0, 0, 0)-SwissCheese and $c_1@c_2$ is a (1, 2, 0, 0, 0)-SwissCheese.

Given a SwissCheese, there are two ways to grow a SwissCheese to make another SwissCheese by abstraction.

- (1) We put a λ on the top of a **m**-SwissCheese c. This increases the levels of the holes: a hole \Box_i becomes a hole \Box_{i+1} . λc is a $(0:\mathbf{m})$ -SwissCheese. See Fig 2 on the left. This way, no index is bound by the top λ , therefore this does not preserve linearity (it preserves affinity however). Therefore this construction is only for building affine SwissCheeses, not for building linear SwissCheeses. In Figure 2 (left), we colour the added λ in blue and we call it abstraction with \underline{no} binding.
- (2) In the second method for growing a SwissCheese by abstraction, we select first a hole \square_i , we top the SwissCheese by a λ , we increment the levels of the other holes and we replace the chosen box by S^i0 . In Figure 2 (right), we colour the added λ in green and we call it abstraction <u>with</u> binding.

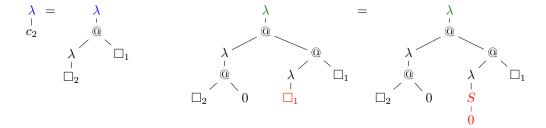


FIGURE 2. Abstracting SwissCheeses without and with binding

- 2.2. **Measuring Swiss Cheese.** We considers several ways of measuring the size of a Swiss Cheese derived from what is done on λ -terms. In all these sizes, applications @ and abstractions λ have size 1 and holes have size 0. The differences are in the way variables are measured.
 - Variables have size 0, we call this variable size 0.
 - Variables have size 1, we call this variable size 1.
 - Variables (or de Bruijn indices) S^{i0} have size i+1, we call this **natural size**.

3. Counting linear closed terms

We start with counting linear terms since they are slightly simpler. We will give recursive formulas first for the numbers $l_{n,\mathbf{m}}^{\nu}$ of linear SwissCheeses of natural size n with holes set by \mathbf{m} , then for the numbers $l_{n,\mathbf{m}}^0$ of linear SwissCheeses of size n, for variable size 0, with holes set by \mathbf{m} , eventually for the numbers $l_{n,\mathbf{m}}^1$ of linear SwissCheeses of size n, for variable size 1, with holes set by \mathbf{m} . When we do not want to specify a chosen size, we write only $l_{n,\mathbf{m}}$ without superscript.

- 3.1. Natural size. First let us count linear SwissCheeses with natural size. This is given by the coefficient l^{ν} which has two arguments: the size n of the SwissCheese and a tuple \mathbf{m} which specifies the number of holes of each level. In other words we are interested by the quantity $l_{n,\mathbf{m}}$. We assume that the length of \mathbf{m} is p, greater than n.
 - $\mathbf{n} = \mathbf{0}$: whatever size is considered, there is only one SwissCheese of size 0 namely \square_0 . This means that the number of SwissCheeses of size 0 is 1 if and only if $\mathbf{m} = (1, 0, 0, ...)$:

$$l_{0,\mathbf{m}} = [m_0 = 1 \land \bigwedge_{j=1}^{p-1} m_j = 0]$$

 $\mathbf{n} \neq \mathbf{0}$ and application: if a λ -term of size n has holes set by \mathbf{m} and is an application, then it is obtained from a λ term of size k with holes set by \mathbf{q} and a λ term of size n-k-1 with holes set by \mathbf{r} , with $\mathbf{m} = \mathbf{q} \oplus \mathbf{r}$:

$$\sum_{\mathbf{q}\oplus\mathbf{r}=\mathbf{m}}\;\sum_{k=0}^n\,l_{k,\mathbf{q}}\,l_{n-1-k,\mathbf{r}}$$

 $\mathbf{n} \neq \mathbf{0}$ and abstraction with binding: consider a level i, that is a level of hole \square_i . In this hole we put a term S^{i-1} 0 of size i. There are m_i ways to choose a hole \square_i . Therefore there are m_i $l^{\nu}_{n-i-1,\mathbf{m}}$ SwissCheeses which are abstractions with binding in which a \square_i has been replaced by the de Bruijn index S^{i-1} 0 among $l^{\nu}_{n,0:\mathbf{m}^{\downarrow i}}$ SwissCheeses, where $\mathbf{m}^{\downarrow i}$ is \mathbf{m} in which m_i is decremented. We notice that this refers only to an \mathbf{m} starting with 0. Hence by summing over i and adjusting \mathbf{m} , this part contributes as:

$$\sum_{i=0}^{p-1} (m_i + 1) \ l_{n-i, \mathbf{m}^{\uparrow i}}^{\nu}$$

to $l_{n+1,0:\mathbf{m}}^{\nu}$

We have the following recursive definitions of $l_{n,\mathbf{m}}^{\nu}$:

$$\begin{array}{rcl} l_{n+1,0:\mathbf{m}}^{\nu} & = & \displaystyle\sum_{\mathbf{q}\oplus\mathbf{r}\,=\,0:\mathbf{m}} \, \sum_{k=0}^{n} \, l_{k,\mathbf{q}}^{\nu} \, l_{n-k,\mathbf{r}}^{\nu} + \sum_{i=0}^{p-1} (m_{i}+1) \, \, l_{n-i,\mathbf{m}^{\uparrow i}}^{\nu} \\ \\ l_{n+1,(h+1):\mathbf{m}}^{\nu} & = & \displaystyle\sum_{\mathbf{q}\oplus\mathbf{r}=(h+1):\mathbf{m}} \, \sum_{k=0}^{n} \, l_{k,\mathbf{q}}^{\nu} \, l_{n-k,\mathbf{r}}^{\nu} \end{array}$$

Numbers of closed linear terms with natural size are given in Figure 3.

3.2. Variable size 0. The only difference is that the inserted de Bruijn index has size 0. Therefore we have $m_i \, l_{n-1,\mathbf{m}}^0$ where we had $m_i \, l_{n-i-1,\mathbf{m}}^{\nu}$ for natural size. Hence the formulas:

$$l_{n+1,0:\mathbf{m}}^{0} = \sum_{\mathbf{q}\oplus\mathbf{r}=0:\mathbf{m}} \sum_{k=0}^{n} l_{k,\mathbf{q}}^{0} l_{n-k,\mathbf{r}}^{0} + \sum_{i=0}^{p-1} (m_{i}+1) l_{n,\mathbf{m}\uparrow i}^{0}$$

$$l_{n+1,(h+1):\mathbf{m}}^{0} = \sum_{\mathbf{q}\oplus\mathbf{r}=(h+1):\mathbf{m}} \sum_{k=0}^{n} l_{k,\mathbf{q}}^{0} l_{n-k,\mathbf{r}}^{0}$$

The sequence $l_{n,0^n}^0$ of the numbers of closed linear terms is 0,1,0,5,0,60,0,1105,0,27120,0,828250, which is sequence A062980 in the *On-line Encyclopedia of Integer Sequences* with 0's at even indices.

3.3. Variable size 1. The inserted de Bruijn index has size 1. We have $m_i l_{n-2,\mathbf{m}}^1$ where we had $m_i l_{n-i-1,\mathbf{m}}^{\nu}$ for natural size.

$$l_{n+1,0:\mathbf{m}}^{1} = \sum_{\mathbf{q} \oplus \mathbf{r} = 0:\mathbf{m}} \sum_{k=0}^{n} l_{k,\mathbf{q}}^{1} l_{n-k,\mathbf{r}}^{1} + \sum_{i=0}^{n-1} (m_{i} + 1) l_{n-1,\mathbf{m}^{\uparrow i}}^{1}$$

$$l_{n+1,(h+1):\mathbf{m}}^{1} = \sum_{\mathbf{q} \oplus \mathbf{r} = (h+1):\mathbf{m}} \sum_{k=0}^{n} l_{k,\mathbf{q}}^{1} l_{n-k,\mathbf{r}}^{1}$$

As noticed by Grygiel et al. [12] (§ 6.1) There are no linear closed λ -terms of size 3k and 3k+1. However for the values 3k+2 we get the sequence: 1,5,60,1105,27120,... which is again sequence A062980 of the On-line Encyclopedia of Integer Sequences.

4. Counting affine closed terms

We have just to add the case $n \neq 0$ and abstraction without binding. Since no index is added, the size increases by 1. The numbers are written $a_{n,\mathbf{m}}^{\nu}$, $a_{n,\mathbf{m}}^{0}$, $a_{n,\mathbf{m}}^{1}$, and $a_{n,\mathbf{m}}$ when the size does not matter. There are $(0:\mathbf{m})$ -SwissCheeses of size n that are abstraction without binding. We get the recursive formulas:

4.1. Natural size.

$$a_{n+1,0:\mathbf{m}}^{\nu} = \sum_{\mathbf{q}\oplus\mathbf{r}=0:\mathbf{m}} \sum_{k=0}^{n} a_{k,\mathbf{q}}^{\nu} a_{n-k,\mathbf{r}}^{\nu} + \sum_{i=0}^{p-1} (m_{i}+1) a_{n-i,\mathbf{m}\uparrow i}^{\nu} + a_{n,\mathbf{m}}^{\nu}$$

$$a_{n+1,(h+1):\mathbf{m}}^{\nu} = \sum_{\mathbf{q}\oplus\mathbf{r}=(h+1):\mathbf{m}} \sum_{k=0}^{n} a_{k,\mathbf{q}}^{\nu} a_{n-k,\mathbf{r}}^{\nu}$$

The numbers of closed affine size with natural size are given in Figure 4.

4.2. Variable size 0.

$$\begin{array}{lcl} a_{n+1,0:\mathbf{m}}^{0} & = & \displaystyle\sum_{\mathbf{q}\oplus\mathbf{r}=0:\mathbf{m}} \, \displaystyle\sum_{k=0}^{n} \, a_{k,\mathbf{q}}^{0} \, a_{n-k,\mathbf{r}}^{0} + \displaystyle\sum_{i=0}^{p-1} (m_{i}+1) \, \, a_{n,\mathbf{m}^{\uparrow i}}^{0} + a_{n,\mathbf{m}}^{0} \\ \\ a_{n+1,(h+1):\mathbf{m}}^{0} & = & \displaystyle\sum_{\mathbf{q}\oplus\mathbf{r}=(h+1):\mathbf{m}} \, \displaystyle\sum_{k=0}^{n} \, a_{k,\mathbf{q}}^{0} \, a_{n-k,\mathbf{r}}^{0} \end{array}$$

The sequence $a_{n,0}^0$ of the numbers of affine closed terms for variable size 0 is

 $0, 1, 2, 8, 29, 140, 661, 3622, 19993, 120909, 744890, 4887401, 32795272, \dots$

It does not appear in the On-line Encyclopedia of Integer Sequences. It corresponds to the coefficients of the generating function A(z,0) where

$$\mathcal{A}(z, u) = u + z(\mathcal{A}(z, u))^{2} + z\frac{\partial \mathcal{A}(z, u)}{\partial u} + z\mathcal{A}(z, u).$$

4.3. Variable size 1.

$$\begin{array}{lcl} a_{n+1,0:\mathbf{m}}^1 & = & \displaystyle\sum_{\mathbf{q}\oplus\mathbf{r}=0:\mathbf{m}} \, \sum_{k=0}^{n-1} a_{k,\mathbf{q}}^1 \, a_{n-k,\mathbf{r}}^1 + \sum_{i=0}^{n-1} (m_i+1) \, a_{n-1,\mathbf{m}^{\uparrow i}}^1 + a_{n,\mathbf{m}}^1 \\ a_{n+1,(h+1):\mathbf{m}}^1 & = & \displaystyle\sum_{\mathbf{q}\oplus\mathbf{r}=(h+1):\mathbf{m}} \, \sum_{k=0}^{n} \, a_{k,\mathbf{q}}^1 \, a_{n-k,\mathbf{r}}^1 \end{array}$$

The sequence $a_{n,0}^1$ of the numbers of affine closed terms for variable size 1 is

$$0, 0, 1, 2, 3, 9, 30, 81, 242, 838, 2799, 9365, 33616, 122937, 449698, 1696724, 6558855, \dots$$

This is sequence A281270 in the On-line Encyclopedia of Integer Sequences. However it corresponds to the coefficient of the generating function $\hat{\mathcal{A}}(z,0)$ where $\hat{\mathcal{A}}(z,u)$ is the solution of the functional equation:

$$\hat{\mathcal{A}}(z,u) = zu + z(\hat{\mathcal{A}}(z,u))^2 + z\frac{\partial \hat{\mathcal{A}}(z,u)}{\partial u} + z\hat{\mathcal{A}}(z,u).$$

Notice that this corrects the wrong assumptions of [12] (Section 6.2).

5. Generating functions

Consider families $F_{\mathbf{m}}(z)$ of generating functions indexed by \mathbf{m} , where \mathbf{m} is an infinite tuple of naturals. In fact, we are interested in the infinite tuples \mathbf{m} that are always 0, except a finite number of indices, in order to compute $F_{0\omega}(z)$, which corresponds to closed λ -terms. Let \mathbf{u} stands for the infinite sequences of variables (u_0, u_1, \ldots) and $\mathbf{u}^{\mathbf{m}}$ stands for $(u_0^{m_0}, u_1^{m_1}, \ldots, u_n^{m_n}, \ldots)$ and tail (\mathbf{u}) stand for (u_1, \ldots) . We consider the series of two variables z and z or double series associated with z

$$\mathcal{F}(z, \mathbf{u}) = \sum_{\mathbf{m} \in \mathbb{N}^{\omega}} F_{\mathbf{m}}(z) \, \mathbf{u}^{\mathbf{m}}.$$

Natural size. $L_{\mathbf{m}}^{\nu}(z)$ is associated with the numbers of closed linear Swiss Cheeses for natural size:

$$L_{0:\mathbf{m}}^{\nu}(z) = z \sum_{\mathbf{m}' \oplus \mathbf{m}'' = 0:\mathbf{m}} L_{\mathbf{m}'}^{\nu}(z) L_{\mathbf{m}''}^{\nu}(z) + z \sum_{i=0}^{\infty} (m_i + 1) z^i L_{\mathbf{m}^{\uparrow i}}^{\nu}(z)$$

$$L_{(h+1):\mathbf{m}}^{\nu}(z) = [h = 0 + \bigwedge_{i=0}^{\infty} m_i = 0] + z \sum_{\mathbf{m}' \oplus \mathbf{m}'' = (h+1):\mathbf{m}} L_{\mathbf{m}'}^{\nu}(z) L_{\mathbf{m}''}^{\nu}(z)$$

 $L_{0\mathbf{w}}^{\nu}$ is the generating function for the closed linear λ -terms. $\mathcal{L}^{\nu}(z, \mathbf{u})$ is the double series associated with $L_{\mathbf{m}}^{\nu}(z)$ and is solution of the equation:

$$\mathcal{L}^{\nu}(z, \mathbf{u}) = u_0 + z(\mathcal{L}^{\nu}(z, \mathbf{u}))^2 + \sum_{i=1}^{\infty} z^i \frac{\partial \mathcal{L}^{\nu}(z, \mathsf{tail}(\mathbf{u}))}{\partial u^i}$$

 $\mathcal{L}^{\nu}(z,0^{\omega})$ is the generating function of closed linear λ -terms

For closed affine SwissCheeses we get:

$$A_{0:\mathbf{m}}^{\nu}(z) = z \sum_{\mathbf{m}' \oplus \mathbf{m}'' = 0:\mathbf{m}} A_{\mathbf{m}'}^{\nu}(z) A_{\mathbf{m}''}^{\nu}(z) + z \sum_{i=0}^{\infty} (m_i + 1) z^i A_{\mathbf{m}^{\uparrow i}}^{\nu}(z) + z A_{\mathbf{m}}^{\nu}(z)$$

$$A_{(h+1):\mathbf{m}}^{\nu}(z) = [h = 0 + \bigwedge_{i=0}^{\infty} m_i = 0] + z \sum_{\mathbf{m}' \oplus \mathbf{m}'' = (h+1):\mathbf{m}} A_{\mathbf{m}'}^{\nu}(z) A_{\mathbf{m}''}^{\nu}(z)$$

 $A_{0\omega}^{\nu}$ is the generating function for the affine linear λ -terms. $\mathcal{A}^{\nu}(z,\mathbf{u})$ is the double series associated with $A_{\mathbf{m}}^{\nu}(z)$ and is solution of the equation:

$$\mathcal{A}^{\nu}(z,\mathbf{u}) \quad = \quad u_0 + z(\mathcal{A}^{\nu}(z,\mathbf{u}))^2 + \sum_{i=1}^{\infty} z^i \frac{\partial \mathcal{A}^{\nu}(z,\mathrm{tail}\left(\mathbf{u}\right))}{\partial u^i} + z \mathcal{A}^{\nu}(z,\mathrm{tail}\left(\mathbf{u}\right))$$

 $\mathcal{A}^{\nu}(z,0^{\omega})$ is the generating function of closed linear λ -terms.

Variable size 0. $L_{\mathbf{m}}^{0}$ is associated with the numbers of closed linear Swiss Cheeses for variable size 0:

$$L_{0:\mathbf{m}}^{0}(z) = z \sum_{\mathbf{m}' \oplus \mathbf{m}'' = \mathbf{m}} L_{\mathbf{m}'}^{0}(z) L_{\mathbf{m}''}^{0}(z) + z \sum_{i=0}^{\infty} (m_i + 1) L_{\mathbf{m}^{\uparrow i}}^{0}(z)$$

$$L^0_{(h+1):\mathbf{m}}(z) = [h = 0 + \bigwedge_{i=0}^{\infty} m_i = 0] + \sum_{\mathbf{m}' \oplus \mathbf{m}'' = \mathbf{m}} z L^0_{\mathbf{m}'}(z) L^0_{\mathbf{m}''}(z)$$

 $L_{0\omega}^0$ is the generating function for the closed linear λ -terms. $\mathcal{L}^0(z, \mathbf{u})$ is the double series associated with $L_{\mathbf{m}}^0(z)$ and is solution of the equation:

$$\mathcal{L}^{0}(z, \mathbf{u}) = u_0 + z(\mathcal{L}^{0}(z, \mathbf{u}))^2 + \sum_{i=1}^{\infty} \frac{\partial \mathcal{L}^{0}(z, \mathsf{tail}(\mathbf{u}))}{\partial u^i}$$

 $\mathcal{L}^0(z,0^{\omega})$ is the generating function of closed linear λ -terms.

For closed affine SwissCheeses we get:

$$A_{0:\mathbf{m}}^{0}(z) = z \sum_{\mathbf{m}' \oplus \mathbf{m}'' = 0:\mathbf{m}} A_{\mathbf{m}'}^{0}(z) A_{\mathbf{m}''}^{0}(z) + z \sum_{i=0}^{\infty} (m_{i} + 1) A_{\mathbf{m}\uparrow i}^{0}(z) + z A_{\mathbf{m}}^{0}(z)$$

$$A_{(h+1):\mathbf{m}}^{0}(z) = [h = 0 + \bigwedge_{i=0}^{\infty} m_{i} = 0] + \sum_{\mathbf{m}' \oplus \mathbf{m}'' = (h+1):\mathbf{m}} z A_{\mathbf{m}'}^{0}(z) A_{\mathbf{m}''}^{0}(z)$$

 $A_{0\omega}^0$ is the generating function for the affine linear λ -terms. $\mathcal{A}^0(z,\mathbf{u})$ is the double series associated with $A_{\mathbf{m}}^0(z)$ and is solution of the equation:

$$\mathcal{A}^0(z,\mathbf{u}) = u_0 + z(\mathcal{A}^0(z,\mathbf{u}))^2 + \sum_{i=1}^{\infty} \frac{\partial \mathcal{A}^0(z,\mathsf{tail}\,(\mathbf{u}))}{\partial u^i} + z\mathcal{A}^0(z,\mathsf{tail}\,(\mathbf{u}))$$

 $\mathcal{A}^0(z,0^\omega)$ is the generating function of closed linear λ -terms. We do not present variable size 1, since it goes exactly the same way.

Variable size 1. The generating functions for $l_{n,\mathbf{m}}^1$ are:

$$L_{0:\mathbf{m}}^{1}(z) = z \sum_{\mathbf{m}' \oplus \mathbf{m}'' = \mathbf{m} \atop \infty} L_{\mathbf{m}'}^{1}(z) L_{\mathbf{m}''}^{1}(z) + z^{2} \sum_{i=0}^{\infty} (m_{i} + 1) L_{\mathbf{m}^{\uparrow i}}^{1}(z)$$

$$L^{1}_{(h+1):\mathbf{m}}(z) = [h = 0 + \bigwedge_{i=0}^{\infty} m_{i} = 0] + \sum_{\mathbf{m}' \oplus \mathbf{m}'' = \mathbf{m}} z L^{1}_{\mathbf{m}'}(z) L^{1}_{\mathbf{m}''}(z)$$

Then we get as associated double series:

$$\mathcal{L}^1(z, \mathbf{u}) = u_0 + z(\mathcal{L}^1(z, \mathbf{u}))^2 + z^2 \sum_{i=1}^{\infty} \frac{\partial \mathcal{L}^1(z, \mathsf{tail}(\mathbf{u}))}{\partial u^i}$$

6. Effective computations

The definition of the coefficients $a_{\mathbf{m}}^{\nu}$ and others is highly recursive and requires a mechanism of memoization. In Haskell, this can be done by using the call by need which is at the core of this language. Assume we want to compute the values of $a_{\mathbf{m}}^{\nu}$ until a value bound for n. We use a recursive data structure:

data Mem = Mem [Mem] | Load [Integer]

in which we store the computed values of a function

```
a :: Int -> [Int] -> Integer
```

In our implementation the depth of the recursion of Mem is limited by bound, which is also the longest tuple m for which we will compute $a_{\mathbf{m}}^{\nu}$. Associated with Mem there is a function

```
access :: Mem -> Int -> [Int] -> Integer access (Load 1) n [] = 1 !! n
access (Mem listM) n (k:m) = access (listM !! k) n m
```

The leaves of the tree memory, corresponding to Load, contains the values of the function:

```
memory :: Int -> [Int] -> Mem
memory 0 m = Load [a n (reverse m) | n<-[0..]]
memory k m = Mem [memory (k-1) (j:m) | j<-[0..]]</pre>
```

```
The memory relative to the problem we are interested in is
theMemory = memory (bound) []
and the access to the Memory is given by a specific function:
acc :: Int -> [Int] -> Integer acc n m = access theMemory n m
Notice that a and acc have the same signature. This is not a coincidence, since acc accesses values of a
already computed. Now we are ready to express a:
a 0 m = iv (head m == 1 && all ((==) 0) (tail m))
a n m = aAPP n m + aABSwB n m + aABSnB n m
aAPP counts affine terms that are applications:
aAPP n m = sum (map (\((q,r),(k,nk))->(acc k q)*(acc nk r))
                         (allCombinations m (n-1))
where all combinations returns a list of all the pairs of pairs (\mathbf{m}', \mathbf{m}'') such \mathbf{m} = \mathbf{m}' \oplus \mathbf{m}'' and of pairs
(k, nk) such that k + nk = n. aABSwB counts affine terms that are abstractions with binding.
aABSwB n m
   | head m == 0 = sum [aABSAtD n m i |i \leftarrow [1..(n-1)]]
    otherwise = 0
aABSAtD counts affine terms that are abstractions with binding at level i:
  aABSAtD  n  m  i = (fromIntegral (1 + m!!i))*(acc (n-i-1) (tail (inc i m) ++ [0]))  
aABSnB counts affine terms that are abstractions with no binding:
aABSnB n m
     \mid head m == 0 = (acc (n-1) (tail m ++ [0]))
      otherwise = 0
```

Anyway the efficiency of this program is limited by the size of the memory, since for computing $a_{n,0}^{\nu}$, for instance, we need to compute $a_{\mathbf{r}}^{\nu}$ for about n! values.

7. Generating affine and linear terms

By relatively small changes it is possible to build programs which generate linear and affine terms. For instance for generating affine terms we get.

```
amg :: Int -> [Int] -> [SwissCheese]
amg 0 m = if (head m == 1 && all ((==) 0) (tail m)) then [Box 0] else []
amg n m = allAPP n m ++ allABSwB n m ++ allABSnB n m
allAPP :: Int -> [Int] -> [SwissCheese]
allAPP n m = foldr (++) [] (map (\((q,r),(k,nk))-> appSC (cartesian (accAG k q))
                                                                      (accAG nk r))
                            (allCombinations m (n-1))
allABSAtD :: Int -> [Int] -> Int -> [SwissCheese]
allABSAtD n m i = foldr (++) [] (map (abstract (i-1)) (accAG (n - i - 1)
                                                       (tail (inc i m) ++ [0])))
allABSwB :: Int -> [Int] -> [SwissCheese]
allABSwB n m
  | head m == 0 = foldr (++) [] [allABSAtD n m i |i \leftarrow [1..(n-1)]]
  otherwise = []
allABSnB :: Int -> [Int] -> [SwissCheese]
allABSnB n m
  | head m == 0 = map (AbsSC . raise) (accAG (n-1) (tail <math>m ++ [0]))
  otherwise = []
memoryAG :: Int -> [Int] -> MemSC
memoryAG 0 m = LoadSC [amg n (reverse m) \mid n<-[0..]]
memoryAG k m = MemSC [memoryAG (k-1) (j:m) | j < [0..]]
```

theMemoryAG = memoryAG (upBound) []

```
accAG :: Int -> [Int] -> [SwissCheese]
accAG n m = accessSC theMemoryAG n m
```

From this, we get programs for generating random affine terms or random linear terms as follows: if we want a random closed linear term of a given size n, we throw a random number, say p, between 1 and $l_{n,0^n}$ and we look for the p^{th} in the list of all the closed linear terms of size n. Haskell laziness mimics the unranking. Due to high requests in space, we cannot go further than the random generation of closed linear terms of size 23. There are similar programs for generating all the terms of size n for variable size 0 and variable size 1.

8. Normal forms

From the method used for counting affine and linear closed terms, it is easy to deduce method for counting affine and linear closed normal forms. Like before, we use SwissCheeses. In this section we consider only natural size.

8.1. Natural size.

Affine closed normal forms. Let us call $anf_{n,\mathbf{m}}^{\nu}$ the numbers of affine SwissCheeses with no β -redex and $ane_{n,\mathbf{m}}^{\nu}$ the numbers of neutral affine SwissCheeses, i.e., affine SwissCheeses with no β -redexes that are sequences of applications starting with a de Bruijn index. In addition we count:

- $anf^{\nu}\lambda w_{n,m}$ the number of affine SwissCheeses with no β -redex which are abstraction with a binding of a de Bruijn index,
- $anf^{\nu}\lambda n_{n,m}$ the number of affine SwissCheeses with no β -redex which are abstraction with no binding.

$$anf_{0,\mathbf{m}}^{\nu} = ane_{0,\mathbf{m}}^{\nu}$$

$$anf_{n+1,\mathbf{m}}^{\nu} = ane_{n+1,\mathbf{m}}^{\nu} + anf^{\nu}\lambda w_{n+1,m} + anf^{\nu}\lambda n_{n+1,m}$$

where

$$ane_{0,\mathbf{m}}^{\nu} = m_0 = 1 \wedge \bigwedge_{j=1}^{p-1} m_j = 0$$

$$ane_{n+1,\mathbf{m}}^{\nu} = \sum_{\mathbf{q} \in \mathbf{r} = 0: \mathbf{m}} \sum_{k=0}^{n} ane_{k,\mathbf{q}}^{\nu} anf_{n-k,\mathbf{r}}^{\nu}$$

and

$$anf^{\nu}\lambda w_{n+1,m} = \sum_{i=0}^{n} (m_i + 1) \ anf^{\nu}_{n-i,\mathbf{m}^{\uparrow i}}$$

and

$$anf^{\nu}\lambda n_{n+1,m} = anf^{\nu}_{n,m}$$

There are two generating functions, \mathcal{A}^{nf} and \mathcal{A}^{ne} , which are associated to $anf_{n,\mathbf{m}}^{\nu}$ and $anf_{n,\mathbf{m}}^{\nu}$:

$$\begin{split} \mathcal{A}^{nf}(z,\mathbf{u}) &=& \mathcal{A}^{ne}(z,\mathbf{u}) + \sum_{i=1}^{\infty} z^i \frac{\partial \mathcal{A}^{nf}(z,\mathsf{tail}\left(\mathbf{u}\right))}{\partial u^i} + z \mathcal{A}^{nf}(z,\mathsf{tail}\left(\mathbf{u}\right)) \\ \mathcal{A}^{ne}(z,\mathbf{u}) &=& u_0 + z \mathcal{A}^{ne}(z,\mathbf{u}) \mathcal{A}^{nf}(z,\mathbf{u}) \end{split}$$

Linear closed normal forms. Let us call $lnf_{n,\mathbf{m}}^{\nu}$ the numbers of linear SwissCheeses with no β -redex and $lne_{n,\mathbf{m}}^{\nu}$ the numbers of neutral linear SwissCheeses, linear SwissCheeses with no β -redexes that are sequences of applications starting with a de Bruijn index. In addition we count $lnf^{\nu}\lambda w_{n,m}$ the number of linear SwissCheeses with no β -redex which are abstraction with a binding of a de Bruijn index.

$$\begin{array}{lcl} lnf^{\nu}_{0,\mathbf{m}} & = & lne^{\nu}_{0,\mathbf{m}} \\ lnf^{\nu}_{n+1,\mathbf{m}} & = & lne^{\nu}_{n+1,\mathbf{m}} + lnf^{\nu}\lambda w_{n+1,m} \end{array}$$

where

$$lne_{0,\mathbf{m}}^{\nu} = m_0 = 1 \wedge \bigwedge_{j=1}^{p-1} m_j = 0$$

$$lne_{n+1,\mathbf{m}}^{\nu} = \sum_{\mathbf{q} \oplus \mathbf{r} = 0 : \mathbf{m}} \sum_{k=0}^{n} lne_{k,\mathbf{q}}^{\nu} lnf_{n-k,\mathbf{r}}^{\nu}$$

and

$$lnf^{\nu}\lambda w_{n+1,m} = \sum_{i=0}^{p-1} (m_i + 1) \, lnf^{\nu}_{n-i,\mathbf{m}^{\uparrow i}}$$

with the two generating functions:

$$\begin{split} \mathcal{L}^{nf,\nu}(z,\mathbf{u}) &=& \mathcal{L}^{ne,\nu}(z,\mathbf{u}) + \sum_{i=1}^{\infty} z^i \frac{\partial \mathcal{L}^{nf,\nu}(z,\mathsf{tail}\,(\mathbf{u}))}{\partial u^i} \\ \mathcal{L}^{ne,\nu}(z,\mathbf{u}) &=& u_0 + z \mathcal{L}^{ne,\nu}(z,\mathbf{u}) \mathcal{L}^{nf,\nu}(z,\mathbf{u}) \end{split}$$

We also deduce programs for generating all the closed affine or linear normal forms of a given size from which we deduce programs for generating random closed affine or linear normal forms of a given size. For instance, here are three randoms linear closed normal forms (using de Bruijn indices) of natural size 28:

$$\lambda\lambda\lambda\lambda(2\ \lambda((1\ 2)\ \lambda(0\ (5\ 1))))\quad \lambda(0\ \lambda\lambda(1\ \lambda\lambda((0\ (2\ \lambda\lambda((1\ \lambda0)\ 0)))\ 1)))\quad \lambda((0\ \lambda0)\ \lambda\lambda((0((1\ \lambda0)\lambda\lambda(1\ (0\ \lambda0))))\lambda0)))$$

8.2. Variable size 0.

Linear closed normal forms. A little like previously, let us call $lnf_{n,\mathbf{m}}^0$ the numbers of linear SwissCheeses with no β -redex and $lne_{n,\mathbf{m}}^0$ the numbers of neutral linear SwissCheeses, linear SwissCheeses with no β -redexes that are sequences of applications starting with a de Bruijn index. In addition we count $lnf^0\lambda w_{n,m}$ the number of linear SwissCheeses with no β -redex which are abstraction with a binding of a de Bruijn index. We assume that the reader knows now how to proceed.

$$\begin{array}{rcl} lnf_{0,\mathbf{m}}^0 &=& lne_{0,\mathbf{m}}^0 \\ lnf_{n+1,\mathbf{m}}^0 &=& lne_{n+1,\mathbf{m}}^0 + lnf^0 \lambda w_{n+1,m} \end{array}$$

where

$$lne_{0,\mathbf{m}}^{0} = m_{0} = 1 \wedge \bigwedge_{j=1}^{p-1} m_{j} = 0$$

$$lne_{n+1,\mathbf{m}}^{0} = \sum_{\mathbf{q} \oplus \mathbf{r} = 0 : \mathbf{m}} \sum_{k=0}^{n} lne_{k,\mathbf{q}}^{0} lnf_{n-k,\mathbf{r}}^{0}$$

$$lnf^{0}\lambda w_{n+1,m} = \sum_{i=0}^{n} (m_{i}+1) \, lnf_{n,\mathbf{m}^{\uparrow i}}^{0}$$

and the two generating functions:

$$\mathcal{L}^{nf,0}(z, \mathbf{u}) = \mathcal{L}^{ne,0}(z, \mathbf{u}) + \sum_{i=1}^{\infty} \frac{\partial \mathcal{L}^{nf,0}(z, \mathsf{tail}(\mathbf{u}))}{\partial u^{i}}$$

$$\mathcal{L}^{ne,0}(z, \mathbf{u}) = u_0 + z \mathcal{L}^{ne,0}(z, \mathbf{u}) \mathcal{L}^{nf,0}(z, \mathbf{u})$$

With no surprise we get for $lnf_{n,0}^0$ the sequence:

 $0, 1, 0, 3, 0, 26, 0, 367, 0, 7142, 0, 176766, 0, 5304356, \dots$

mentioned by Zeilberger in [18] and listing the coefficients of the generating function $\mathcal{L}^{nf,0}(z,0^{\omega})$.

We let the reader deduce how to count closed affine normal forms for variable size 0 and closed linear and affine normal forms for variable size 1 alike. Notice that the Haskell programs are on the GitHub site.

9. Related works and Acknowledgement

There are several works on counting λ -terms, for instance on natural size [3, 2], on variable size 1 [5, 9, 17], on variable size 0 [13], on affine terms with variable size 1 [7, 6], on linear λ -terms [20, 18, 19], also on a size based binary representation of the λ -calculus [14] (see [11] for a synthetic view of both natural size and binary size).

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10. Conclusion

This work on counting closed terms opens new perspective on the generation, for instance, the random generation of closed lambda terms in the line of [14, 4].

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```
0
                                   51496022711337536
                             51
1
    0
                             52
                                   124591137939086496
    1
                             53
                                   299402908258405410\\
3
    0
                             54
                                   721839933329222924\\
4
    0
                                   1747307145272084192\\
                             55
5
    3
                                   4211741383777966592
                             56
6
                             57
                                   10165998012602469888
7
    0
                             58
                                   24620618729658655936
8
    16
                                   59482734150603634286\\
9
    24
                             60
                                   143764591607556354344
10
    8
                             61
                                   348379929166234350008
11
    117
                             62
                                   843169238563254723200\\
    252
12
                             63
                                   2040572920613086128400
13
    180
                             64
                                   4948102905207104837424\\
14
    1024
                             65
                                   11992521016286173712196
15
    2680
                             66
                                   29059897435554891991144\\
16
    2952
                             67
                                   70516464312280927105392
17
    10350
                                   171105110698292441423968\\
18
    29420
                             69
                                   415095704639682396539232
19
    42776
                             70
                                   1008016383720573882885792\\
20
    116768
                             71
                                   2448305474519849567597826\\
    335520
21
                             72
                                   5945721872300885649415632\\
    587424
                             73
                                   14449388516068567845838736\\
23
    1420053
                             74
                                   35125352062243788817753856
^{24}
    3976424
                             75
                                   85382289240293493116120064\\
25
    7880376
                             76
                                   207650379931166057815603296
26
    18103936
                                   505172267243918348155299780\\
27
    48816576
                             78
                                   1229005880128485245247395000
28
    104890704
                             79
                                   2991079243470267667831893408\\
29
    237500826
                             80
                                   7281852742753184123608419712\\
30
    617733708
                             81
                                   17729171587798767750815341440\\
    1396750576
                             82
                                   43177454620325445122944305984\\
32
    3171222464
                             83
                                   105185452787117035266315446868\\
33
    8014199360
                             84
                                   256273862465425158211948020048\\
34
    18688490336
                             85
                                   624527413292252904584121980208
35
    42840683418
                             86
                                   1522355057007327280427270436480\\
36
    106063081288
                                   3711429775030704772089070886624
                             87
37
    251769197688
                                   9050041253711022076275958636128\\
38
    583690110208
                             89
                                   22073150301758857110072042919800
39
    1425834260080
                                   53844910909398928990641101351664\\
40
    3417671496432
                             91
                                   131371135544173914537076774932576\\
41
    8007221710652
                             92
                                   320588677238085642820920910555968\\
42
    19404994897976
                             93
                                   782465218885869813183863213231424\\
43
    46747189542384
                                   1910077425906069707804966102543936\\
                             94
44
    110498345360800
                             95
                                   4663586586924802791117231052636349\\
45
    266679286291872\\
                             96
                                   11388259565942452837717688743953504
46
    644021392071840
                             97
                                   27813754361897984543467478917223008\\
47
    1533054190557133
                             98
                                   67941781284113201998645699501746176
    3693823999533360
48
                             99
                                   165989485724048964272023600773271424\\
49
    8931109667692464
                             100
                                   405588809305168453963137377442321728\\
    21375091547312128
```

FIGURE 3. Natural size: numbers of closed linear terms of size n from 0 to 100

Data

In the appendix, we give the first values of $l_{n,0^n}^{\nu}$, $a_{n,0^n}^{\nu}$, and $anf_{n,0^n}^{\nu}$.

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0	0		
1	0	51	803928779462727941247
2	1	52	2314623127904669382002
3	1	53	6667810436356967142481
4	2	54	19218411059885449257096
5	5	55	55421020161661024650870
6	12	56	159899218321197381984561
7	25	57	461557020400062903560120
8	64	58	1332920908954281811200519
9	166	59	3851027068336583693412910
10	405	60	11131032444503136571789527
11	1050	61	32186581221116996967632029
12	2763	62	93108410048006285466998584
13	7239	63	269446191702411420790402033
14	19190	64	780043726186403167392453886
15	51457	65	2259043189995515315930349650
16	138538	66	6544612955390252336187266873
17	374972	67	18966737218108971681014445025
18	1020943	68	54985236298270057405776629352
19	2792183	69	159455737350384637847783055311
20	7666358	70	462562848624435724964181323484
$\frac{20}{21}$	21126905	71	1342251884451664733064283251627
$\frac{21}{22}$	58422650	72	3896065622127200625653134100538
23	162052566	73	11312117748805772104795220337816
$\frac{23}{24}$	450742451	74	32853646116456632492645965741531
$\frac{24}{25}$	1256974690	75	95442534633482460553801961967438
26	3513731861	76	277342191547330839640289978813667
$\frac{20}{27}$	9843728012	77	806125189457291902863848267463755
28	27633400879	78	2343682130911232279285707290604156
29	77721141911	79	6815564023736534208079367816340359
30	218984204904	80	19824812322145727566417303371819466
31	618021576627	81	57679033022808238913186144092831856
32	1746906189740	82	167851787082561392384648248846390041
33	4945026080426	83	488574368670832093243802790464796207
34	14017220713131	84	1422426342380883254459783410845365006
35	39784695610433	85	4142104564089044203901190817275864665
36	113057573020242	86	12064305885705003967881526911560653106
37	321649935953313	87	35145647815239737143373764367447378676
38	916096006168770	88	102406303052123097062053564818109468705
39	2611847503880831	89	298446029598661205216170897850336550644
40	7453859187221508	90	869935452705023302189031644932803990417
41	21292177500898858	91	2536229492704354513309696228592784181158
42	60875851617670699	92	7395518143425160073537967606298755947391
43	174195916730975850	93	21568776408467701927134211542478146593789
44	498863759031591507	94	62915493935623036562559989770249004382816
45	1429753835635525063	95	183553775888862113259168150130266362416356
46	4100730353324163138	96	535600661621556969155453544692826625532079
47	11769771167532816128	97	1563109720672526919899689366626240867515144
48	33804054749367200891	98	4562542818801138452310024131223304186909233
49	97151933333668422006	99	13319630286623965617386598746472280781972745
50	279385977720772581435	100	38890520391341859449843201188612375394153776
00	210000011120112001400		

FIGURE 4. Natural size: numbers of closed affine terms of size n from 0 to 100

```
0
                              3037843646560
                         41
1
    0
                         42
                              6895841598615
2
                         43
                              15666498585568
3
    1
                         44
                              35620848278448
4
                         45
                              81052838239593
5
    3
                         46
                              184564847153821
6
                         47
                              420564871255118\\
7
    10
                         48
                              958975854646984\\
8
    20
                              2188068392529104
                         49
9
    40
                         50
                              4995528560788451\\
10
    77
                              11411921511827547
                         51
11
    160
                         52
                              26084524952754538\\
    318
12
                         53
                              59654682828889245
13
    671
                         54
                              136500653558490261\\
14
    1405
                         55
                              312496493161999851
    2981
15
                         56
                              715760763686417314\\
16
    6312
                         57
                              1640194881084692664
17
    13672
                         58
                              3760284787917366081\\
18
    29399
                         59
                              8624561382605096780
    63697
19
                              19789639944299656346\\
                         60
20
    139104
                         61
                              45427337308377290201\\
21
    304153
                         62
                              104320438668034814453
22
    667219
                         63
                              239656248361374562433\\
23
    1469241
                         64
                              550769764273325683828
24
    3247176
                         65
                              1266217774600330829940\\
25
    7184288
                         66
                              2912050679107531357883
26
    15949179
                         67
                              6699418399886008666265\\
27
    35480426
                         68
                              15417663698156810292010\\
28
    79083472
                         69
                              35492710197462925262295\\
29
    176607519
                         70
                              81732521943462960197057\\
30
    395119875
                              188270363628099910161436\\
                         71
    885450388
                         72
                              433807135012774797924026\\
32
    1987289740
                         73
                              999851681931974600766994
33
    4466760570
                         74
                              2305129188866501774481545\\
34
    10053371987
                              5315847675735178072941600
                         75
35
    22656801617
                         76
                              12262083079763320881047944\\
36
    51121124910
                              28292248892584567512609357
                         77
37
    115478296639
                         78
                              65294907440089718078048829\\
38
    261139629999
                         79
                              150729070403767032817820543
39
    591138386440
                              348031015577337732605480908\\
40
    1339447594768
```

FIGURE 5. Natural size: numbers of closed affine normal forms of size n from 0 to 80