



**Risk factors and predictors of hypothermia and dropouts  
during open water swimming competitions**

Journal:	<i>International Journal of Sports Physiology and Performance</i>
Manuscript ID	IJSPP.2020-0875.R1
Manuscript Type:	Original Investigation
Date Submitted by the Author:	19-Jan-2021
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Keywords:	exercise physiology, sport physiology, body composition

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Manuscripts

Dear editors, Dear reviewers,

Please find attached an amended version of the manuscript of our work previously IJSPP.2020-0875 entitled: "Core temperature decreases down to 32.4 in elite open water swimmers: incidence for performance and risk factors for hypothermia" (and now "Risk factors and predictors of hypothermia during open water swimming competitions") which we hope you will consider for publication in your journal.

We would like to thank the reviewers and editors for their time spent on reviewing our manuscript. Their very valuable comments and suggestions have significantly helped us improve our work.

As suggested, the authors made a major modification by removing the only non-finisher swimmer from the nH group and correcting the statistical analyses with the new study sample. In addition, they significantly modified the abstract to emphasize the suggestion, especially regarding the effect of experience on  $T_{core}$ .

Below, we address all of the comments point-by-point, outlining the subsequent modifications, where appropriate:

- **bold quotes: reviewers' comments**
- quotes: response to the reviewer
- *italic quote: changes in the revised manuscript (L. for line number in the manuscript)*

#### Reviewer 1

**P4 line 40: please consider another word for “worse performance” This phrase is awkward in English – consider “main causes of dropout or poor performance in OWS”**

JD: Thank you for this suggestion, the authors have added the suggested content to the manuscript

*L43: Hypothermia has been reported as one of the main causes of dropout or poor performance in OWS<sup>6</sup>*

**P4 line 42 : I believe the medical term is SIPE: Swimming induced pulmonary edema.**

JD: Thank you for the correction, the authors have added the suggested content to the manuscript

L44: ...and are caused by swimming induced pulmonary edema (SIPE)...

**P5 line 62: FINA also has a marathon series with races up to 57 k (river race in South America) etc. However 25k is the upper distance limit at the FINA World Championships. Please correct that it is the longest FINA race at the World Championships. FINA has longer races in our Marathon series.**

JD: Thank you for the correction, the authors have added the suggested content to the manuscript

L58: As 25 km is the longest and therefore slowest FINA race at the World Championships<sup>13</sup>...

**P4 lines 84-5: I assume the relevance of swallowing disorders, transit disorders or scheduled MRI in 48h is related to the ingested temperature pill. For clarity for readers who are unaware, please consider prefacing with the phrase. No participants had contraindications to the ingestible temperature sensor including swallowing disorders, transit disorders, or scheduled MRI within 48 hours post event..**

JD: The authors have added the suggested content to the manuscript

L81: No participants had contraindications to the ingestible temperature sensor including swallowing disorders, transit disorders, or scheduled MRI within 48 hours post event.

**P4 line94: please define what you mean by “authorized supplies”. In Fina language, we call it “feeding” with required fluid replacement and CHO, electrolytes.**

JD: The authors have made the suggested correction to the manuscript.

L91: The 25-km race consisted of 10 laps over a 2500 m course with feeding every 1250 m

**P7lines 101-2: Please consider the english in the sentence “Hypothermia-related dropouts were medical diagnostics based on the complaints and symptoms of the nonfinishers.” I don’t believe you have completed the verb “were”... were ? provided a medical assessment and treatment.....**

JD: In this sentence, the authors wanted to define the hypothermia-related dropouts as medical diagnosis based on symptoms and treatment. To clarify, the authors propose to change the sentence as follow:

L97 Hypothermia-related dropouts were defined as the nonfinishers that were medically diagnosed with hypothermia related symptoms and were provided a medical assessment and treatment.

P8line 129 delimiting is not an English word. I believe you mean “delineating”

JD: The authors have made the suggested correction to the manuscript

L127: *I-buttons (iButton® Maxim Integrated) were attached to the buoys delineating the course of the event*

P9line169 Please consider an English revision. The term “Swimmer taken over by emergency services” is awkward. Perhaps “Swimmer was treated by emergency services.”

JD: The authors have added the suggested content to the manuscript

L168: *with the lowest  $T_{core}$  reached during the race being 32.4°C in a swimmer who was treated by emergency care services.*

P10 line186-7: do you mean “Who had previously participated in a 25k race... and who had not previously participated? The word “previously” is important to include for ease of understanding.

JD: The authors have added the suggested content to the manuscript

L186-7 *The swimmers who had previously participated in a 25-km race also showed a lower drop than the swimmers who had not previously participated in a 25-km race (-0.41 ± 0.89°C vs. -1.78 ± 1.40°C, p = 0.018).*

P10 line 190: the phrase “the best model was significant”.... Is unclear. What do you mean by this? (also line 220) Do you mean the best regression model?

JD: The authors have added the suggested content to the manuscript, and they defined the model (with equation when applicable). The ANCOVA analysis was also checked using a multiple linear regression analysis (with the exact same results), and the authors kept the multiple linear regression statistic as the emphasis was on the dependent outcome variable ( $T_{core}$ ). Also, we removed the Figure 2, because both models were described in the text. If suggested, the authors might add a graphical representation for both multiple linear regression ( $T_{core}$ ) and logistic regression (dropouts) analyses.

L188: *After including covariates with  $p < 0.1$  in univariate analysis (gender, muscle mass, fat mass and previous participation), the multiple linear regression analysis indicated that the*

model including fat-mass and previous participation provided the best fit for predicting  $T_{core}$  (effect of model:  $F(2,18)=4.95, p=0.019, T_{core} = -2.99 + 8.01\% \text{fat-mass} + 0.99 \times \text{experience}[\text{no}=0, \text{yes}=1], R=0.60$ ), with the respective effects of the covariates %fat-mass ( $\beta= 3.77, p= 0.075$ ) and previous participation ( $\beta= 3.57, p= 0.075$ ).

L225 : The best regression model was found after inclusion of the covariates %fat-mass and initial Tcore (effect of model:  $G^2: 17.255, df: 2, p<0.001, \log \text{odds}(H/nH) = 423.18 - 53.86\% \text{fat-mass} - 11.11 \times \text{initial Tcore}$ , overall prediction 90.5%), with the respective effects of the covariates %fat-mass ( $p=0.043$ ) and initial Tcore ( $p=0.056$ ) (Figure 2).

P11 line 203: instead of “null” i think you mean “nil”. (= zero)

JD: The authors have made the suggested correction to the manuscript

L204: and nil in swimmers who had already participated in a 25-km race

P12 line 288 the word “Cautious” should be “caution” and followed with a “,”

JD: The authors have made the correction content to the manuscript

L309: These results should be interpreted with caution,...

## Reviewer 2

### Major Revisions or Comments

1. The title, with its inclusion of a specific core temperature, read quite awkwardly at present and makes it appear as if it is a case study. I would suggest simplifying the title was something along the lines of “Risk factors and predictors of hypothermia during open water swimming competitions.”

JD: Thank you for the suggestion, the authors have added the suggested content to the manuscript

Title: Risk factors and predictors of hypothermia and dropouts during open water swimming competitions

2. Abstract. It seems strange to specifically state that you will be comparing the hypothermia with the non-hypothermia dropout group in the abstract, yet provide no information about it and the rest of the abstract?

JD: Based on this comment and the following comments, and as suggested, the authors provided a revision of the entire manuscript with removing the nH nonfinisher ( $n=1$ ) and revised all the analysis with the new results ( $n=21$ ).

L12: Hypothermia-related dropouts (*H* group) were compared to finishers (*nH* group).

**3. Not absolutely required, but it might be relevant to confirm/cite whether the telemetric pills are valid for individuals who are underage or potentially of smaller body size.**

Thank you for this comment, as suggested the authors cited a reference about the use of the telemetric pill in young adolescents.

*L118: This system has previously been used to monitor elite athletes in official competitions performed in hot<sup>16</sup> and cold environments<sup>4</sup>, and has also been used to monitor  $T_{core}$  in young adolescents<sup>17</sup>.*

**4. The methods have minimal information on how you are quantifying speed changes or speed variance. As this is one of your main parameters, I would suggest providing much more detailed information on how this is being quantified.**

JD: As suggested, the authors added some information about the quantification of speed changes. Also, we added a sentence in the limitation section

*L 130: Speed. The speed was calculated as an average speed on each lap using the official lap time.*

*L315 Also, the speed was calculated as the average speed on each lap, and not by monitoring the continuous speed changes, but the repeated correlation analysis was based on the association between the changes in speed and temperature over each lap. Further research on the association between speed and  $T_{core}$  might use GPS devices for stroke count quantification and swimming velocity.*

**5. There is also minimal information on how the comparisons between groups are being made. For example, from the methods it is not clear whether the *nH* and the finisher are being put together as one main group or whether they are being analysed separately.**

JD: As suggested, the authors provided a revision of the entire manuscript and revised analysis with removing the *nH* nonfinisher (*n*=1)

**6. I am also unclear on the rationale for analysing the nonfinisher (non-hypothermic) at all? It might be relevant if that one participant completed 20+ kilometres? If you are going to include that individual, it would be good to provide some more context such as the distance completed.**

JD: As suggested, the authors provided a revision of the entire manuscript and revised analysis with removing the *nH* nonfinisher (*n*=1) from the *nH* group and from the analysis

L78: and one participant dropped out because of muscle pain before mid-race.

7. Line 158. It is only reaching here that I'm now understanding that the nH group represents finishers and the nonfinisher (non-hypothermic). It is likely that other readers will be as equally confused as I was, so I would recommend that you go through the first part of the manuscript to make this much clearer.

JD: As suggested, the authors provided a revision of the entire manuscript and revised analysis with removing the nH nonfinisher ( $n=1$ ) from the nH group and from the analysis

8. Consider adding more context about what rewarming facilities were available for both finishers and the H group.

As suggested, we provided this information and added the following sentence:

*L101 For all participants, rewarming facilities on the site were heated baths, access to a place of shelter with showers, dry towels and/or blankets, warm beverages and food/fruit*

9. Line 188-192. Is there an actual regression equation being presented here? Is it in a figure or table? All I'm seeing is that the model is significant but no information on the model itself?

JD: The authors have added the suggested content to the manuscript

*L188: After including covariates with  $p < 0.1$  (gender, muscle mass, fat mass and previous participation) with backward stepwise selection were conducted, the ANCOVA model was significant ( $F = 6.82$ ,  $p = 0.019$ )*

*L225 : The best regression model was found after inclusion of the covariates %fat-mass and initial Tcore (effect of model:  $G^2: 17.255$ , df: 2,  $p < 0.001$ , log odds(H/nH) = 423.18 - 53.86 \*%fat-mass - 11.11 \*initial Tcore, overall prediction 90.5%), with the respective effects of the covariates %fat-mass ( $p = 0.043$ ) and initial Tcore ( $p = 0.056$ ) (Figure 2).*

10. Line 250. Definition of “elite.” Considering that more than half of the individuals have not previously participated in a 25 km race and also that half of the participants were at or under 18 years old, I'm wondering whether the term “elite” is actually appropriate?

JD: The authors have removed the suggested content to the manuscript. The participants were high-level OW swimmers at a national or international level but half were novice for the 25-

km race. Thus, the authors included the term “competitive race” and “national or international level” swimmers instead of “elite” swimmers

**11. To this reviewer, it seems absolutely striking that the dominant predictor for hypothermia is actually being younger (all being 18 or younger) and also inexperience with the 25 km race (all were first-timers). In light of this, I would encourage the authors to perform a separate analysis based on age (18 or younger versus >18) and also experience (first timers versus veterans). For example, are the younger competitors also those with generally less body fat or slower swing speeds? This set of analyses would appear critical in terms of developing health and safety policies. For example, it might be relevant to consider minimal ages for entry into such events and/or increased education for first-time competitors.**

Thank you for this very valuable comment. In the initial version of the manuscript the authors thought that the multiple logistic regression would be sufficient to adjust the predicting model for confounding. As suggested, we specifically tested the variables for potential confounders (among the significant covariates). These analyses highlighted that younger individuals had lower fat-mass and greater muscle mass, but no statistical differences were found according to experience. We made the change in the manuscript as follow:

*L217 “To analyze potential confounders, we tested the effect of age ( $\leq 18$  versus  $> 18$  years) and experience (first timers versus experienced swimmers) on the dependent co-variables. Swimmers  $\leq 18$  years had lower %fat mass ( $13.0 \pm 5.6\%$  vs.  $20.9 \pm 4.9\%$ ,  $t = -3.42$ ,  $p = 0.003$ ) and had greater %muscle mass ( $43.1 \pm 6.3\%$  vs.  $37.2 \pm 3.1\%$ ,  $t = 2.70$ ,  $p = 0.014$ ). No significant association or difference were found for covariates/cofactors according to experience.”*

**12. I would also like to see specific discussion around the age and the experience factors within the discussion.**

JD: Thank you for this very pertinent comment. As suggested, we added a specific discussion on the experience within the Discussion section.

*L280: Also, the participants who never participated in a 25-km race had greater a  $T_{core}$  drop and had greater rates of hypothermia-related dropouts. Higher rates of dropout in novice (vs. experienced) athletes, influenced by psychosocial factors, had previously been described in ultra-trailers, suggesting the importance of preparation and self-efficacy based on past experiences<sup>27</sup>. In OWS, these psychosocial factors should be considered in addition with anthropometric and physiological factors since novice are often the younger participants with low fat mass. These results should draw the attention of professionals on the need to properly prepare novice swimmers for this race and the risk of hypothermia.*

**13. In the discussion, I think it is also important to highlight that this particular competition was at the absolute lowest limit where wetsuits are still banned. This may lead to an important conversation about whether threshold temperatures for wetsuit use may need to be revisited or altered.**

JD: Thank you for this very pertinent comment. As suggested, we added that this particular competition was at the lowest limit where wetsuits are still banned, in the discussion part. Also, we added a comment in the practical application section. Finally, we added this point in the Conclusion section of the Abstract

*Abstract: L24: Conclusion: During a OW 25-km competition at 20-21°C,*

*L255Our results showed that during a 25-km competition at the absolute lowest limit where wetsuits are still banned (20-20.5°C), OW swimmers are exposed to  $T_{core}$  drop and hypothermia and confirmed that hypothermia is a common condition in OWS<sup>6,11,19</sup>*

*L 331: Finally, our results raise the question of adapting the actual wetsuits guidelines for open water competitions, especially in swimmers at higher risk (e.g., minimal water temperature or type of wetsuit for junior male swimmers).*

**14. From figure 1, it appears that at least four of the nH group reached core temperature is at least as low as those in the H group. Is it possible to go back through the data and see what may be unique about these four individuals that allowed them to “survive?” I believe that it is also relevant to mention this fact in either the results or the discussion, namely that it is possible to have low temperatures and yet still complete the event.**

JD: Thank you for this very specific and pertinent comment. After analysis of the curve and data, 2 swimmers (ID 11 and ID12) who had low  $T_{core}$  at 2500 (below 36.0°C) similar to the Ts of the H group and those swimmers increased their speeds during the lap 2500-5000m (for ID 12) and 5000-7500m (ID 11). As a consequence,  $T_{core}$  remain stable or increased, which could allow them to finish the race. Also both swimmers were international level swimmers with previous participation in 25km race or longer events, and experience might have enhanced their ability to manage/cope with low  $T_{core}$ . We added the content in the manuscript as follow:

*L288: Among the finishers, 2 swimmers had low  $T_{core}$  at 2500m (below 36.0°C), but they could increase their speeds during the lap 2500-5000m and 5000-7500m and their  $T_{core}$  remained stable or increased so they were able to finish the race. Also, the 2 swimmers were international level swimmers with previous participation in 25km race and/or longer events, and experience might have enhanced their ability to manage/cope with low  $T_{core}$ .*

**15. Abstract page, line 13. “(incl. x nonfinishers...” Should X be replaced by an actual number? Same with line 100/101.**

JD: This sentence have been removed since the nH group was only made of finishers.

**16. Lines 185/186. Consider changing “swimmer” to “swimmers.” Swimmer makes it read as if only one swimmer was in each group.**

JD: The authors have corrected the suggested content to the manuscript

*L185: The swimmers who had previously participated in a 25-km race also showed a lower drop than the swimmers who had not previously participated in a 25-km race (-0.41 ±0.89°C vs. -1.78 ±1.40°C, p= 0.018).*

**17. Line 251 delete one of the “is as”**

JD: The authors have deleted the suggested content in the manuscript

*255: Our results showed that during a 25-km competition at the absolute lowest limit where wetsuits are still banned (20-20.5°C), OW swimmers are exposed to  $T_{core}$  drop and hypothermia and confirmed that hypothermia is a common condition in OWS<sup>6,11,19</sup>*

**18. Line 265. Change oldest to “older”**

JD: The authors have corrected the suggested content to the manuscript

1 Risk factors and predictors of hypothermia and dropouts during open water swimming

2 competitions

3

4 **ABSTRACT**

5 Purpose: To measure the core temperature ( $T_{core}$ ) in open-water (OW) swimmers during a 25-  
6 km competition and identify the predictors of  $T_{core}$  drop and hypothermia-related dropouts.

7

8 Methods: 24 national and international level OW swimmers participated in the study.

9 Participants completed a personal questionnaire and a body fat / muscle mass assessment before  
10 the race. The race consisted in 10 laps over a 2500 m course and speed was calculated as an  
11 average speed on each lap.  $T_{core}$  was continuously recorded via an ingestible temperature sensor  
12 (e-Celsius, BodyCap). Hypothermia-related dropouts (H group) were compared to finishers  
13 (nH group).

14

15 Results: Average pre-race  $T_{core}$  was  $37.5 \pm 0.3^{\circ}\text{C}$  (n= 21). 7 participants dropped out due to  
16 hypothermia (H, n= 7) with a mean  $T_{core}$  at dropout of  $35.3 \pm 1.5^{\circ}\text{C}$ . Multiple logistic regression  
17 analysis found that body fat percentage and initial  $T_{core}$  were associated with hypothermia-  
18 ( $G^2: 17.26, p < 0.001$ ). Early  $T_{core}$  drop  $\leq 37.1^{\circ}\text{C}$  at 2500m was associated with a greater rate of  
19 hypothermia-related dropouts (71.4% vs. 14.3%, p= 0.017). Multiple linear regression found  
20 that body fat percentage and previous participation were associated with  $T_{core}$  drop ( $F= 4.95,$   
21  $p= 0.019$ ). There was a positive correlation between the decrease in speed and  $T_{core}$  drop ( $r=$   
22  $0.462, p < 0.001$ ).

23

24 Conclusion: During a OW 25-km competition at 20-21°C, lower initial  $T_{core}$  and lower body fat  
25 as well as premature  $T_{core}$  drop were associated with an increased risk of hypothermia-related

26 dropout. Lower body fat and no previous participation as well as decrease in swimming speed  
27 were associated with  $T_{core}$  drop.

28

29 **INTRODUCTION**

30 Open-water swimming (OWS) characterizes any swimming competition in rivers, lakes, oceans  
31 or water channels. The 25-km OWS requires ~5 hours of swimming, during which swimmers  
32 must cope with various environmental and physiological parameters (water temperature, race  
33 strategies, etc.) that may lead to changes in their body temperature<sup>1,2</sup>. The maintenance of core  
34 temperature ( $T_{core}$ ) while swimming depends on the balance between heat production (related  
35 to exercise intensity) and dissipation. However, heat dissipation mechanisms during swimming  
36 differ from land sports, as water has a high specific heat and greater conductivity than air,  
37 increasing conductive and convective transfer<sup>3</sup>. Thus, unlike other sports in cold air, in which  
38  $T_{core}$  increases despite air temperature down to ~0°C<sup>4</sup>, swimming in cold water usually results  
39 in excessive thermolysis, which may not be compensated by metabolic heat production, leading  
40 to a decrease in  $T_{core}$ <sup>2</sup>.

41 Hypothermia is broadly defined as an unintentional fall in  $T_{core}$  below 35°C and can be  
42 classified as mild ( $T_{core}$  32.2–35°C), moderate (32.2–28°C), or severe (<28°C)<sup>5</sup>. Hypothermia  
43 has been reported as one of the main causes of dropout or poor performance in OWS<sup>6</sup>. In  
44 addition, more than 70% of the deaths in triathlons occur during the swimming phase and are  
45 caused by swimming induced pulmonary edema (SIPE) and cardiac arrhythmias, most frequently  
46 in cold water<sup>2,7</sup>. Of note, fatal events are more frequent during competitions than during leisure  
47 or training swims, and it has been hypothesized that an “autonomic conflict” with the  
48 coactivation of the sympathetic and parasympathetic drive during cold-water swimming could  
49 be worsened by anger-induced sympathetic arousal during competition or mass events<sup>2,8</sup>.

50 To reduce the risk of hypothermia in swimmers, the Fédération Internationale de  
51 Natation's (FINA) enacted rules stipulating that water temperature should be at minimum 16°C,  
52 and that wetsuits are compulsory when water temperature is <18°C and are allowed when water  
53 temperature is <20°C<sup>9</sup>. In addition to environmental factors, hypothermia in athletes may also  
54 depend on intrinsic parameters (e.g., sex, age, weight, body composition)<sup>10</sup>. However, few  
55 studies have tested elite athletes during competitions<sup>11,12</sup> and the risk factors associated with a  
56 decrease in  $T_{core}$  and hypothermia-related dropouts during a competitive event remain  
57 unknown.

58 As 25 km is the longest and therefore slowest FINA race at the World Championships<sup>13</sup>, it may represent a particular hypothermic challenge to swimmers. Thus, the objectives of the  
59 current study were to continuously monitor  $T_{core}$  in swimmers during a 25-km race and to  
60 identify the potential predictors of  $T_{core}$  drop and hypothermia-related dropouts. We  
61 hypothesized that OW swimmers are exposed to  $T_{core}$  drop during a 25-km competition and that  
62 individual parameters (youngest swimmers, male) and/or body composition (low fat mass)  
63 could be predictors of  $T_{core}$  drop and dropouts.

64  
65  
66 **METHODS**  
67  
68 The protocol was validated by the Committee for the Protection of Persons (CPP Sud  
69 Méditerranée I Marseille, number 2019-A00542-55 1939) and was registered in an international  
70 database (ClinicalTrials.gov NCT04460339). The study was conducted in accordance with the  
71 Declaration of Helsinki, and written consent was obtained from all participants, as well as their  
72 legal representatives when applicable (i.e., parents for underage participants).

73  
74 **Subjects**

75 Twenty-four (12 males, 12 females; age range 15-32 years old; 6 underage) of the 39  
76 competitors of the 25-km national Open-Water Championship (France, 2019) volunteered for  
77 this study. However, one participant did not start due to a muscle-tendinous injury, one  
78 participant had incomplete data **and one participant dropped out because of muscle pain before**  
79 **mid-race.** The characteristics of the 21 remaining participants are presented in Table 1. Ten  
80 swimmers were international-level swimmers, and 3 were medalists in international FINA  
81 championships. **No participants had contraindications to the ingestible temperature sensor**  
82 **including swallowing disorders, transit disorders, or scheduled MRI within 48 hours post event.**

83

#### 84 **Design**

85 Participants completed a personal questionnaire and a body composition assessment the  
86 day before the event. They were given an ingestible temperature sensor (e-Celsius®,  
87 BodyCap®, Caen, France) with the instructions to swallow it immediately before going to bed,  
88 the night before the race. All sensors were checked on the day of the 25-km event, and if the  
89 sensor could not be detected, the participant had to swallow another sensor immediately (in five  
90 swimmers).

91 **The 25-km race consisted of 10 laps over a 2500 m course with feeding every 1250 m.** No  
92 instruction was given to the swimmers participating in our study concerning the management  
93 of their race. The water temperature was between 20.2°C and 21.0°C during the race, and the  
94 use of wetsuits was prohibited. For the nonfinishers, the time of abandonment, mileage swum  
95 and cause of abandonment were obtained from the organizing staff (or medical staff if needed),  
96 and nonfinishers were also asked about the cause of dropouts and the perception of cold.  
97 Hypothermia-related dropouts (H group) were compared to finishers (nH group). **Hypothermia-**  
98 **related dropouts were defined as the nonfinishers that were medically diagnosed with**  
99 **hypothermia related symptoms and were provided a medical assessment and treatment.** For the

100 other participants, the performance (time) and the final classification on the 25-km race were  
101 collected. For all participants, rewarming facilities on the site were heated baths, access to a  
102 place of shelter with showers, dry towels and/or blankets, warm beverages and food/fruits

103

104 **Methodology**

105 *Prerace questionnaire.* The data collected were sex, age, level of competition, best  
106 performance, average number of training sessions per week and total swimming distance per  
107 week.

108 *Body composition.* Fat mass (in kg, index and % of body mass), fat-free mass (in kg, index and  
109 % of body mass) and muscle mass (total, trunk and upper-lower limbs) were estimated using  
110 bioelectrical impedance analysis (mBCA 525, Seca, Germany). Body muscle-to-fat ratio was  
111 calculated as total muscle mass: fat mass. Measurements were performed in the supine position  
112 using eight electrodes on both sides with the subject lying on a nonconductive surface<sup>14</sup>.  
113 Adhesive gel electrodes (Kendall, H59P, Covidien IIc, Mansfield, MA, USA) were placed at  
114 defined anatomical sites on the dorsal surfaces of the hand, wrist, ankle and foot according to  
115 the manufacturer's instructions.

116 *Core temperature.* Gastrointestinal temperature was monitored using an ingestible electronic  
117 sensor (e-Celsius, BodyCap, Caen, France) that provides a continuous, reliable and validated  
118 measurement of  $T_{core}$ <sup>15</sup>. This system has previously been used to monitor elite athletes in  
119 official competitions performed in hot<sup>16</sup> and cold environments<sup>4</sup>, and has also been used to  
120 monitor  $T_{core}$  in young adolescents<sup>17</sup>. Temperature was monitored every 30 s with the data being  
121 stored within the ingestible sensor and downloaded to an external receiver after the race (e-  
122 Viewer®, BodyCap, Caen, France).

123 *Perceptual responses.* Immediately at the end of the race, participants' perception of cold was  
124 assessed using the Cold Discomfort Scale (CDS), which is a reliable and valid subjective

125 judgment scale for the assessment of patient thermal state in a cold environment and ranges  
126 from 0 (no perception of cold) to 10 (maximum feeling of cold)<sup>18</sup>.

127 *Water temperature.* I-buttons (iButton® Maxim Integrated) were attached to the buoys  
128 delineating the course of the event, 50 cm below the water, and temperature data were  
129 continuously measured and collected during the race.

130 *Speed.* The speed was calculated as an average speed on each lap using the official lap time.

131

132 **Statistical analyses**

133 The normal distribution of the data was checked by the Kolmogorov-Smirnov test. Student's t-  
134 test was used to establish the effect of swimmers' characteristics on body composition  
135 parameters and  $T_{core}$ . Regression analysis with an F-test and the r-squared ( $r^2$ ) statistic was used  
136 to determine the effect of co-variables on  $T_{core}$  and variables with p-value  $\leq 0.1$  in univariate  
137 analysis were included in a multiple linear regression for predicting  $T_{core}$ . Student's t-test and  
138 Fisher's Exact test were used to compare the variables in the H and nH groups and variables  
139 with p-value  $\leq 0.1$  in univariate analysis were included in a multiple logistic regression analysis.

140 The level of significance was fixed at  $p < 0.05$ . To assess the predictive accuracy of early  $T_{core}$   
141 change on the risk of dropouts, we tested the area under the curve (AUC) of the receiver  
142 operating characteristic (ROC) curve. The correlation between speed and  $T_{core}$  was assessed  
143 with repeated measures correlations. Statistical analyses were performed with Analyse-it for  
144 Microsoft Excel 5.40.2 (Analyse-it Software Ltd, The Tannery, 91 Kirkstall Road, Leeds, LS3  
145 1HS, United Kingdom) and R 3.6.1 (R Core Team, R Foundation for Statistical Computing,  
146 Vienna, Austria). Data are presented as the means  $\pm$  standard deviations (SDs).

147

148 **RESULTS**

149

**150 Prerace assessments**

151 Participants swam an average of 10 training sessions (i.e., 60 km) per week. Their mean height  
152 and weight were  $171.9 \pm 6.6$  cm and  $65.8 \pm 8.5$  kg, respectively, with a mean BMI of  $22.2 \pm 2.2$   
153 kg/m<sup>2</sup>, a mean fat mass of  $11.1 \pm 4.7$  kg (i.e., %fat=  $16.8 \pm 6.5\%$ ) and a mean muscle mass  $26.5$   
154  $\pm 5.4$  kg (i.e., %muscle=  $40.2 \pm 5.7\%$ ).

155

**156 Performances**

157 Among the 21 participants, 14 swimmers finished the race (nH group, n= 14), 7 dropped out  
158 due to hypothermia (H group, n= 7). The mean time for finishers was 6h 03min (best time for  
159 men: 5h 26min; best time for women: 5h 37min).

160

**161 Body core temperature changes**

162 The average  $T_{core}$  before the race was  $37.5 \pm 0.3^\circ\text{C}$ . Individual kinetics of  $T_{core}$  changes during  
163 the race are presented in Figure 1. The average  $T_{core}$  drop between the initial  $T_{core}$  and lowest  
164  $T_{core}$  during the race was  $-1.4 \pm 1.3^\circ\text{C}$  with non-statistically different larger values in the H group  
165 than in the nH group ( $-2.1 \pm 1.5^\circ\text{C}$  vs.  $-1.1 \pm 1.0^\circ\text{C}$ ,  $t = -1.53$ ,  $p = 0.143$ ). The  $T_{core}$  at arrival in  
166 the nH group was significantly higher than the  $T_{core}$  at dropout in the H group ( $37.0 \pm 1.0$  vs  $35.3$   
167  $\pm 1.5^\circ\text{C}$ ,  $t = 2.77$ ,  $p = 0.012$ ), with the lowest  $T_{core}$  reached during the race being  $32.4^\circ\text{C}$  in a  
168 swimmer who was treated by emergency care services. The average  $T_{core}$  drop between the  
169 initial  $T_{core}$  and  $T_{core}$  at arrival/dropout was in the  $-1.9 \pm 1.5^\circ\text{C}$  in the H group and  $-0.6 \pm 1.1^\circ\text{C}$   
170 nH group ( $t = -2.24$ ,  $p = 0.037$ ). Regarding perception of cold, the mean CDS scores at arrival  
171 were  $4.0 \pm 3.2$  in the nH group and  $8.1 \pm 1.5$  in the H group ( $t = -3.15$ ,  $p = 0.005$ ) with a  
172 nonsignificant negative correlation at  $r = -0.35$  ( $p = 0.125$ ) between CDS and  $T_{core}$  drop<sub>(end)</sub>.

173 Thirty minutes after arrival or dropout,  $T_{core}$  was  $35.5 \pm 1.7^\circ\text{C}$  in the H group and  $37.0$   
174  $\pm 0.6^\circ\text{C}$  in the nH group ( $t = -2.85$ ,  $p = 0.010$ ).

175

176 **Predictive factors of  $T_{core}$  drop**

177 The effect of sex on  $T_{core}$  before the race (female  $37.4 \pm 0.2^\circ\text{C}$ , male  $37.6 \pm 0.3^\circ\text{C}$ ,  $t= 1.501$   $p=$   
178  $0.150$ ) did not reach statistical significance. The drop in  $T_{core}$  during the race was larger in male  
179 compared to female swimmers ( $-2.0 \pm 1.5^\circ\text{C}$  vs.  $-0.8 \pm 1.1^\circ\text{C}$ ,  $t= -2.122$ ,  $p= 0.047$ ). The drop in  
180  $T_{core}$  was not correlated with the initial  $T_{core}$  ( $r= -0.03$ ,  $p= 0.902$ ), age ( $r= 0.13$ ,  $p= 0.569$ ), weekly  
181 training distance ( $r= 0.03$ ,  $p= 0.908$ ), or BMI ( $r= 0.30$ ,  $p= 0.194$ ). The drop in  $T_{core}$  was however  
182 positively correlated with fat mass ( $r= 0.46$ ,  $p= 0.034$ ) and %fat mass ( $r= 0.49$ ,  $p= 0.024$ ) and  
183 negatively correlated with %muscle mass ( $r= -0.45$ ,  $p= 0.041$ ) and muscle-to-fat ratio ( $r= -0.56$ ,  
184  $p= 0.008$ ). The swimmers who had previously participated in a 25-km race also showed a lower  
185 drop than the swimmers who had not previously participated in a 25-km race ( $-0.45 \pm 0.94^\circ\text{C}$   
186 vs.  $-1.78 \pm 1.40^\circ\text{C}$ ,  $t= 2.359$ ,  $p= 0.029$ ).

187 After including covariates with  $p < 0.1$  in univariate analysis (gender, muscle mass, fat  
188 mass and previous participation), the multiple linear regression analysis indicated that the  
189 model including fat-mass and previous participation provided the best fit for predicting  $T_{core}$   
190 (effect of model:  $F(2,18)=4.95$ ,  $p=0.019$ ,  $T_{core}= -2.99 + 8.01*\%fat-mass$   
191  $+0.99*\text{experience}[\text{no}=0,\text{yes}=1]$ ,  $R=0.60$ ), with the respective effects of the covariates %fat-  
192 mass ( $\beta= 3.77$ ,  $p= 0.075$ ) and previous participation ( $\beta= 3.57$ ,  $p= 0.075$ ).

193

194 **Predictive factors for hypothermia-related dropout**

195 The characteristics of the participants among the nH and H groups are presented in the  
196 Table 1. The 7 hypothermia-related dropouts included 5 males and 2 females ( $p= 0.159$ , Fisher's  
197 Exact Test). The rate of hypothermia-related dropouts was 63.6% in swimmers  $\leq 18$  years ( $n=$   
198 7 of 11) and null in swimmers above  $> 18$  years ( $n= 0$  of 10,  $p= 0.004$ , Fisher's Exact Test). The

199 age of swimmers was lower in the H group than in the nH group with a trend toward statistical  
200 significance ( $17.1 \pm 1.2$  vs.  $20.4 \pm 4.12$  years,  $t = -2.00$ ,  $p = 0.061$ ).

201 The distance swum per week was lower in the H group than in the nH group, with a  
202 trend toward significance ( $48.9 \pm 13.3$  vs.  $66.4 \pm 15.5$  km,  $t = -2.56$   $p = 0.019$ ). The rate of  
203 hypothermia-related dropouts was 53.8% in swimmers who had never participated (n= 7) and  
204 nil in swimmers who had already participated in a 25-km race (n= 0), and Fisher's analysis  
205 showed a significant difference in distribution by previous participation in the H and nH groups  
206 ( $p = 0.018$ ).

207 The difference in BMI of swimmers was not significant in the H group compared with  
208 the nH group ( $21.3 \pm 1.3$  vs.  $22.7 \pm 2.4$  kg/m<sup>2</sup>,  $t = -1.49$ ,  $p = 0.154$ ). The fat mass parameters were  
209 significantly lower in the H group for fat mass ( $7.2 \pm 2.4$  kg vs.  $13.0 \pm 4.4$  kg,  $t = -3.18$ ,  $p = 0.005$ )  
210 and %fat mass ( $11.8 \pm 4.7\%$  vs.  $19.2 \pm 6.0\%$ ,  $t = -2.88$ ,  $p = 0.010$ ). The difference in %muscle  
211 mass of swimmers was significantly higher in the H group than in the nH group ( $44.4 \pm 6.4\%$   
212 vs.  $38.2 \pm 4.3\%$ ,  $t = -2.67$ ,  $p = 0.016$ ). The body muscle-to-fat ratio of participants was  
213 significantly higher in the H group than in the nH group ( $4.29 \pm 1.7$  vs.  $2.33 \pm 1.3$ ,  $t = 2.97$ ,  $p =$   
214  $0.008$ ).

215 The initial  $T_{core}$  was significantly lower in the H group than in the nH group ( $37.3 \pm 0.2^\circ\text{C}$   
216 vs.  $37.6 \pm 0.2^\circ\text{C}$ ,  $t = -2.24$ ,  $p = 0.037$ ).

217 To analyze potential confounders, we tested the effect of age ( $\leq 18$  versus  $> 18$  years)  
218 and experience (first timers versus experienced swimmers) on the dependent co-variables.  
219 Swimmers  $\leq 18$  years had lower %fat mass ( $13.0 \pm 5.6\%$  vs.  $20.9 \pm 4.9\%$ ,  $t = -3.42$ ,  $p = 0.003$ ) and  
220 had greater %muscle mass ( $43.1 \pm 6.3\%$  vs.  $37.2 \pm 3.1\%$ ,  $t = 2.70$ ,  $p = 0.014$ ). No significant  
221 association or difference were found for covariates/cofactors according to experience.

222 After inclusion of the variables associated with the risk of hypothermia-related dropout  
223 with a maximal p-value of 0.10 (age, fat mass, muscle mass, previous participation, initial  $T_{core}$ )

224 we ran multiple logistic regression analyses to determine the relative contributions of  
225 independent factors to predict the risk of hypothermia-related dropout. The best regression  
226 model was found after inclusion of the covariates %fat-mass and initial  $T_{core}$  (effect of model:  
227  $G^2: 17.26$ , df: 2, p<0.001, log odds(H/nH)=  $423.18 - 53.86 * \% \text{fat-mass} - 11.11 * \text{initial } T_{core}$ ,  
228 overall prediction 90.5%), with the respective effects of the covariates %fat-mass (p=0.043)  
229 and initial  $T_{core}$  (p=0.056).

230

231 ***Effect of early changes in  $T_{core}$  on the risk of hypothermia-related dropout***

232 To assess the effect of the  $T_{core}$  at 2500 m ( $T_{core(2500)}$ ) on the risk of hypothermia-related  
233 dropout, we ran a ROC analysis. The mean  $T_{core(2500)}$  was  $37.3 \pm 0.9^\circ\text{C}$ . The ROC curve for  $T_{core}$   
234 ( $_{(2500)}$  as a predictor of the risk of hypothermia-related dropout showed that  $T_{core(2500)}$  had  
235 accurate predictive ability to discriminate hypothermia-related dropout (ROC analysis, AUC=  
236 0.806, CI95%: 0.616 - 0.996, p= 0.025). The mean  $T_{core(2500)}$  was  $36.6 \pm 0.7^\circ\text{C}$  in the H group  
237 and  $37.6 \pm 0.8^\circ\text{C}$  in the nH group ( $t = -2.317$ , p= 0.032). After interpretation of the Youden  
238 index, the  $T_{core(2500)}$  value with the better predictive parameters was  $T_{core(2500)} \leq 37.1^\circ\text{C}$   
239 (sensitivity: 0.857, specificity: 0.714), and individuals with  $T_{core(2500)} \leq 37.1^\circ\text{C}$  had a 5-fold  
240 greater risk of hypothermia-related dropout (Fisher's Exact Test: p= 0.017) (Table 2).

241

242 ***Relations between speed and  $T_{core}$  changes during the race***

243 The repeated measures correlation tested the change in  $T_{core}$  (e.g.  $T_{core(5000)} - T_{core(2500)}$ )  
244 in relation to the change in speed (mean speed<sub>(lap2)</sub>- mean speed<sub>(lap1)</sub>) for each lap. The analysis  
245 showed a significant positive moderate correlation ( $r = 0.462$ , 95% CI: 0.310-0.590, df: 123, p  
246 <0.001), so decreasing speed resulted in a significant decrease in  $T_{core}$  during the race (Figure  
247 3).

248 **DISCUSSION**

249 The current study showed that a 25-km 25-km OWS competition in 20-20.5°C water  
250 (no wetsuits) was associated with a decrease in  $T_{core}$  and a high rate of hypothermia-related  
251 dropout. Lower initial  $T_{core}$  and/or lower body fat as well as premature  $T_{core}$  drop ( $\leq 37.1^{\circ}\text{C}$  at  
252 2500 m) were associated with an increased risk of hypothermia-related dropout. Moreover,  
253 decreasing swimming speed was associated with increased  $T_{core}$  drop.

254 Our results showed that during a 25-km competition at the absolute lowest limit where  
255 wetsuits are still banned (20-20.5°C), OW swimmers are exposed to  $T_{core}$  drop and hypothermia  
256 and confirmed that hypothermia is a common condition in OWS<sup>6,11,19</sup>. Hypothermia was the  
257 leading cause of dropout. The nonfinishers' rate has been stable over the years for the French  
258 National Open Championship but it is greater than the average dropout rate on the 25km race  
259 of the world championships<sup>20</sup>. However, it is interesting to note that participants in the  
260 Manhattan Island Marathon Swim (46 km, 16-20°C) must be 19 years or older; as all  
261 hypothermia-related dropouts were 18 years or younger in our results, this can explain the lower  
262 nonfinisher rates of approximately 15% in this event<sup>21</sup>.

263 In our study, a low fat mass and a low initial  $T_{core}$  were the most significant independent  
264 parameters that were associated with an increased risk of hypothermia-related dropout. From  
265 our results, fat mass was the only independent factor associated with  $T_{core}$  drop, and swimmers  
266 with a lower % fat mass had the greatest  $T_{core}$  drop during the race irrespective of gender or  
267 BMI. The negative impact of low fat mass on the  $T_{core}$  change during cold-water swimming has  
268 already been studied<sup>6,19,22</sup>, and elite OW swimmers tend to have greater fat mass than triathletes  
269 or pool swimmers do<sup>2,23,24</sup>. These physiological and anthropometric differences can explain the  
270 older age of peak performance in long-distance swimming compared with shorter distances<sup>25</sup>.

271 As initial  $T_{core}$  was predictor of hypothermia-related dropout, it would be interesting to  
272 study the effect of pre-race warm-up strategies to increase initial  $T_{core}$  on the risk of hypothermia  
273 during an OWS race. Also, further studies could explore the impact of the circadian rhythm

274 parameters of body temperature (circadian acrophase, nadir, mesor and amplitude) on OWS  
275 performance<sup>26</sup>.

276 Also, the participants who never participated in a 25-km race had greater a  $T_{core}$  drop  
277 and had greater rates of hypothermia-related dropouts. Higher rates of dropout in novice (vs.  
278 experienced) athletes, influenced by psychosocial factors, had previously been described in  
279 ultra-trailers, suggesting the importance of preparation and self-efficacy based on past  
280 experiences<sup>27</sup>. In OWS, these psychosocial factors should be considered in addition with  
281 anthropometric and physiological factors since novice are often the younger participants with  
282 low fat mass. These results should draw the attention of professionals on the need to properly  
283 prepare novice swimmers for this race and the risk of hypothermia.

284 Another predictor of hypothermia was a lower initial  $T_{core}$  or a premature  $T_{core}$  drop  
285 under 37.1°C, which was associated with a 5-fold risk of hypothermia-related dropout.  
286 Moreover, the decrease in speed during the race was associated with an increased  $T_{core}$  drop  
287 ( $r=0.46$ ,  $p<0.001$ ). Among the finishers, 2 swimmers had low  $T_{core}$  at 2500m (below 36.0°C),  
288 but they could increase their speeds during the lap 2500-5000m and 5000-7500m and their  
289  $T_{core}$  remained stable or increased so they were able to finish the race. Also, the 2 swimmers  
290 were international level swimmers with previous participation in 25km race and/or longer  
291 events, and experience might have enhanced their ability to manage/cope with low  $T_{core}$ .  
292 Additionally, Tipton et al. (1999) showed that swimming efficiency and length of stroke  
293 decreased with  $T_{core}$  drop<sup>28</sup>. Thus, pacing strategies in OW-swimmers could be adapted to  
294 prevent  $T_{core}$  drop and hypothermia outcomes during the race and the vicious cycle of  
295 hypothermia and a decrease in speed.

296 At 30 minutes after dropout in the H group, the  $T_{core}$  drop was still at a mean of -1.7°C,  
297 even after swimsuits were removed and survival blankets and warming strategies (warm fluids  
298 to drink, warm water baths) were used. This suggests a worsening of the  $T_{core}$  drop after

299 abandonment, known as ‘afterdrop’, likely due to the cessation of muscle activity-related heat  
300 production<sup>29</sup>. Thus, during the initial stages of rewarming from hypothermia, the vasodilation  
301 and increased blood flow associated with exercise-induced hyperemia implies a return of cold  
302 blood to the core, causing a further decrease in  $T_{core}$  and, also, counteracting the insulating effect  
303 of unperfused muscle, which accounts for approximately 70% of total insulation<sup>2</sup>. Additionally,  
304 we can hypothesize that the conventional threshold for hypothermia ( $T_{core}$  below 35°C) could  
305 be less appropriate in certain sport situations, as athletes may drop out for hypothermia with  
306  $T_{core}$  above 35°C, and the speed of  $T_{core}$  drop or the paradoxical  $T_{core}$  drop in response to  
307 strenuous exercise can be assimilated to such situations.

308 These results should be interpreted with caution, as studies in elite athletes have a  
309 limited sample size. It was also not possible to control fluid intake in competition, and further  
310 interventional studies are needed to evaluate the effect of beverage temperature and hydration  
311 strategies<sup>30</sup>. Also, the speed was calculated as the average speed on each lap and the repeated  
312 correlation analysis was based on the association between the changes in speed and temperature  
313 over each lap. Further research on the association between speed and  $T_{core}$  might use GPS  
314 devices for stroke count quantification and continuous swimming velocity. Finally, as presented  
315 above, our results correspond to a specific situation (20°C, no wetsuit) and may vary in other  
316 conditions, and further studies using the same protocol in different environmental situations  
317 could be interesting for the assessment of the specific effect of environment.

318

319 **PRACTICAL APPLICATIONS**

320 Preconditioning warming strategies or avoiding prolonged wait time in cold environments can  
321 prevent initial low prerace  $T_{core}$ , which is also associated with a higher risk of hypothermia<sup>31</sup>.  
322 Further studies are needed to evaluate the effect of individual variations of circadian-rhythm  
323 periods and specific preconditioning strategies. Moreover, swimmers could avoid a slow

324 starting speed and significant deceleration during the race to lower the risk of an early  $T_{core}$  drop  
325 and hypothermia and manage their race avoiding the classical "herd-behavior" of such races<sup>13</sup>.  
326 Additionally, medical or organization staff could have specific prevention or management  
327 strategies for individuals to identify participants at greater risk and use early predictors (e.g.,  
328 change in speed) to prevent severe hypothermia. Moreover, medical staff should be aware of  
329 hypothermia worsening after cessation of muscle activity and use specific strategies for optimal  
330 warming<sup>29</sup>. Finally, our results raise the question of adapting the actual wetsuits guidelines for  
331 open water competitions, especially in swimmers at higher risk (e.g., minimal water  
332 temperature or type of wetsuit for junior male swimmers).

333

334 **CONCLUSIONS**

335 In summary, a 25-km OWS race resulted in a significant decrease in  $T_{core}$ , with fat mass and  
336 experience being associated with  $T_{core}$  drop. Additionally, a change in speed, especially a  
337 decreased speed, is associated with the  $T_{core}$  drop. Fat mass and prarace  $T_{core}$  were associated  
338 with hypothermia-related dropouts, and participants with early  $T_{core}$  drops ( $\leq 37.1^{\circ}\text{C}$  at 2500 m)  
339 had a 5-fold higher risk of dropping out because of hypothermia. Further studies will focus on  
340 optimal strategies for lowering the risk of hypothermia and improving the performance of  
341 individuals, especially those with greater risk.

342

343 **ACKNOWLEDGEMENTS**

344 The authors would like to thank the subjects for their participation and enthusiasm. We are also  
345 immensely grateful to the organizational staff for their welcome, the Fédération Française de  
346 Natation (FFN), and especially M. Stéphane Lecat, the national technical director of the OWS  
347 department of the FFN, and Dr. Jean-Loup Bouchard, the OWS national team doctor, for their  
348 support.

349

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429

430 **FIGURE LEGENDS**

- 431 **Figure 1.** Changes in core temperature ( $T_{core}$ ) (a) and speed (b) during the 25-km race among  
432 the participants (n= 21)
- 433 **Figure 2.** Repeated measures correlations between change in speed and change in core  
434 temperature ( $T_{core}$ ) during the 25-km race (n= 21)

435

**Table 1.** Characteristics of participants in the total group (n= 21) and among groups (nH, n= 14 and H, n= 7)

	Total (n= 21)	nH group (n= 14)	H group (n= 7)	p-value
<b>Athletes' characteristics</b>				
Age (years)	19.29 ±3.73	20.36 ±4.12	17.14 ±1.21	0.061
Gender				
Female (n & %)	12 57.14%	10 71.43%	2 28.57%	
Male (n & %)	9 42.86%	4 28.57%	5 71.43%	0.159
Training				
Distance per week (km, mean ±SD)	60.57 ±16.77	66.43 ±15.50	48.86 ±13.35	0.019
Previous completion of a 25 km				
None (n & %)	13 59.09%	6 42.86%	7 100.00%	
1-4 (n & %)	6 31.82%	6 42.86%	0 0.00%	
5-8 (n & %)	2 9.09%	2 14.28%	0 0.00%	0.018
<b>Body composition</b>				
Anthropometry				
Height (cm, mean ±SD)	171.88 ±6.56	172.00 ±5.25	171.64 ±9.14	0.910
Weight (kg, mean ±SD)	65.79 ±9.01	67.34 ±9.01	62.71 ±6.90	0.250
BMI (kg/m <sup>2</sup> , mean ±SD)	22.23 ±2.16	22.71 ±2.37	21.26 ±1.31	0.154
Abdominal circumference (cm, mean ±SD)	75.44 ±8.78	75.50 ±9.93	75.33 ±5.69	0.979
Fat mass				
Fat mass (kg, mean ±SD)	11.05 ±4.71	12.97 ±4.43	7.23 ±2.41	0.005
% fat mass (%), mean ±SD)	16.76 ±6.53	19.23 ±5.95	11.80 ±4.72	0.010
Fat-free mass				
Fat-free mass index (kg/m <sup>2</sup> , mean ±SD)	18.52 ±1.61	18.42 ±1.84	18.74 ±1.08	0.673
Muscle mass (kg, mean ±SD)	26.54 ±5.39	25.81 ±5.06	28.01 ±6.14	0.390
Muscle mass (%), mean ±SD)	40.24 ±5.75	38.18 ±4.23	44.38 ±6.44	0.016
Muscle-to-fat ratio (mean ±SD)	2.98 ±1.61	2.33 ±1.31	4.29 ±1.66	0.008

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BMI: body mass index, SD: standard deviation, nH group: nonhypothermia group, H group: hypothermia group

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19

**Table 2.** Receiver operating characteristic (ROC) analysis of  $T_{core}$  at 2500 m ( $T_{core(2500)}$ ) with decision thresholds for predicting hypothermia-related dropout (n= 21)

<b>Group</b>	$T_{core(2500)}$		ROC analysis			Decision thresholds		
	Mean (SD)	<i>p</i> -value	Accuracy (AUC-ROC)	Confidence interval (95%)	<i>p</i> -value	<b>Optimal cut-off</b>	$\chi^2$ statistic	Fisher's test <i>p</i> -value
nH group	37.6 (0.8)					$T_{core(2500)} \leq 37.1^\circ\text{C}$		
H group	36.6 (0.7)	0.032	0.806	0.616 to 0.996	<0.025		6.857	0.017

AUC: area under the curve, ROC: receiver operating characteristic, SD: standard deviation, nH group: nonhypothermia group, H group: hypothermia group

1 Risk factors and predictors of hypothermia and dropouts during open water swimming  
2 competitions

3

4 **ABSTRACT**

5 Purpose: To measure the core temperature ( $T_{core}$ ) in open-water (OW) swimmers during a 25-  
6 km competition and identify the predictors of  $T_{core}$  drop and hypothermia-related dropouts.

7

8 Methods: 24 national and international level OW swimmers participated in the study.

9 Participants completed a personal questionnaire and a body fat / muscle mass assessment before  
10 the race. The race consisted in 10 laps over a 2500 m course and speed was calculated as an  
11 average speed on each lap.  $T_{core}$  was continuously recorded via an ingestible temperature sensor  
12 (e-Celsius, BodyCap). Hypothermia-related dropouts (H group) were compared to finishers  
13 (nH group).

14

15 Results: Average pre-race  $T_{core}$  was  $37.5 \pm 0.3^\circ\text{C}$  (n= 21). 7 participants dropped out due to  
16 hypothermia (H, n= 7) with a mean  $T_{core}$  at dropout of  $35.3 \pm 1.5^\circ\text{C}$ . Multiple logistic regression  
17 analysis found that body fat percentage and initial  $T_{core}$  were associated with hypothermia-  
18 (G<sup>2</sup>:17.26, p<0.001). Early  $T_{core}$  drop  $\leq 37.1^\circ\text{C}$  at 2500m was associated with a greater rate of  
19 hypothermia-related dropouts (71.4% vs. 14.3%, p= 0.017). Multiple linear regression found  
20 that body fat percentage and previous participation were associated with  $T_{core}$  drop (F= 4.95,  
21 p= 0.019). There was a positive correlation between the decrease in speed and  $T_{core}$  drop (r=  
22 0.462, p <0.001).

23

24 Conclusion: During a OW 25-km competition at 20-21°C, lower initial  $T_{core}$  and lower body fat  
25 as well as premature  $T_{core}$  drop were associated with an increased risk of hypothermia-related

26 dropout. Lower body fat and no previous participation as well as decrease in swimming speed  
27 were associated with  $T_{core}$  drop.

28

29 **INTRODUCTION**

30 Open-water swimming (OWS) characterizes any swimming competition in rivers, lakes, oceans  
31 or water channels. The 25-km OWS requires ~5 hours of swimming, during which swimmers  
32 must cope with various environmental and physiological parameters (water temperature, race  
33 strategies, etc.) that may lead to changes in their body temperature<sup>1,2</sup>. The maintenance of core  
34 temperature ( $T_{core}$ ) while swimming depends on the balance between heat production (related  
35 to exercise intensity) and dissipation. However, heat dissipation mechanisms during swimming  
36 differ from land sports, as water has a high specific heat and greater conductivity than air,  
37 increasing conductive and convective transfer<sup>3</sup>. Thus, unlike other sports in cold air, in which  
38  $T_{core}$  increases despite air temperature down to ~0°C<sup>4</sup>, swimming in cold water usually results  
39 in excessive thermolysis, which may not be compensated by metabolic heat production, leading  
40 to a decrease in  $T_{core}$ <sup>2</sup>.

41 Hypothermia is broadly defined as an unintentional fall in  $T_{core}$  below 35°C and can be  
42 classified as mild ( $T_{core}$  32.2–35°C), moderate (32.2–28°C), or severe (<28°C)<sup>5</sup>. Hypothermia  
43 has been reported as one of the main causes of dropout or poor performance in OWS<sup>6</sup>. In  
44 addition, more than 70% of the deaths in triathlons occur during the swimming phase and are  
45 caused by swimming induced pulmonary edema (SIPE) and cardiac arrhythmias, most frequently  
46 in cold water<sup>2,7</sup>. Of note, fatal events are more frequent during competitions than during leisure  
47 or training swims, and it has been hypothesized that an “autonomic conflict” with the  
48 coactivation of the sympathetic and parasympathetic drive during cold-water swimming could  
49 be worsened by anger-induced sympathetic arousal during competition or mass events<sup>2,8</sup>.

50 To reduce the risk of hypothermia in swimmers, the Fédération Internationale de  
51 Natation's (FINA) enacted rules stipulating that water temperature should be at minimum 16°C,  
52 and that wetsuits are compulsory when water temperature is <18°C and are allowed when water  
53 temperature is <20°C<sup>9</sup>. In addition to environmental factors, hypothermia in athletes may also  
54 depend on intrinsic parameters (e.g., sex, age, weight, body composition)<sup>10</sup>. However, few  
55 studies have tested elite athletes during competitions<sup>11,12</sup> and the risk factors associated with a  
56 decrease in  $T_{core}$  and hypothermia-related dropouts during a competitive event remain  
57 unknown.

58 As 25 km is the longest and therefore slowest FINA race at the World Championships  
59 <sup>13</sup>, it may represent a particular hypothermic challenge to swimmers. Thus, the objectives of the  
60 current study were to continuously monitor  $T_{core}$  in swimmers during a 25-km race and to  
61 identify the potential predictors of  $T_{core}$  drop and hypothermia-related dropouts. We  
62 hypothesized that OW swimmers are exposed to  $T_{core}$  drop during a 25-km competition and that  
63 individual parameters (youngest swimmers, male) and/or body composition (low fat mass)  
64 could be predictors of  $T_{core}$  drop and dropouts.

65  
66 **METHODS**  
67  
68 The protocol was validated by the Committee for the Protection of Persons (CPP Sud  
69 Méditerranée I Marseille, number 2019-A00542-55 1939) and was registered in an international  
70 database (ClinicalTrials.gov NCT04460339). The study was conducted in accordance with the  
71 Declaration of Helsinki, and written consent was obtained from all participants, as well as their  
72 legal representatives when applicable (i.e., parents for underage participants).

73  
74 **Subjects**

75 Twenty-four (12 males, 12 females; age range 15-32 years old; 6 underage) of the 39  
76 competitors of the 25-km national Open-Water Championship (France, 2019) volunteered for  
77 this study. However, one participant did not start due to a muscle-tendinous injury, one  
78 participant had incomplete data and one participant dropped out because of muscle pain before  
79 mid-race. The characteristics of the 21 remaining participants are presented in Table 1. Ten  
80 swimmers were international-level swimmers, and 3 were medalists in international FINA  
81 championships. No participants had contraindications to the ingestible temperature sensor  
82 including swallowing disorders, transit disorders, or scheduled MRI within 48 hours post event.

83

#### 84 ***Design***

85 Participants completed a personal questionnaire and a body composition assessment the  
86 day before the event. They were given an ingestible temperature sensor (e-Celsius®,  
87 BodyCap®, Caen, France) with the instructions to swallow it immediately before going to bed,  
88 the night before the race. All sensors were checked on the day of the 25-km event, and if the  
89 sensor could not be detected, the participant had to swallow another sensor immediately (in five  
90 swimmers).

91 The 25-km race consisted of 10 laps over a 2500 m course with feeding every 1250 m. No  
92 instruction was given to the swimmers participating in our study concerning the management  
93 of their race. The water temperature was between 20.2°C and 21.0°C during the race, and the  
94 use of wetsuits was prohibited. For the nonfinishers, the time of abandonment, mileage swum  
95 and cause of abandonment were obtained from the organizing staff (or medical staff if needed),  
96 and nonfinishers were also asked about the cause of dropouts and the perception of cold.  
97 Hypothermia-related dropouts (H group) were compared to finishers (nH group). Hypothermia-  
98 related dropouts were defined as the nonfinishers that were medically diagnosed with  
99 hypothermia related symptoms and were provided a medical assessment and treatment. For the

100 other participants, the performance (time) and the final classification on the 25-km race were  
101 collected. For all participants, rewarming facilities on the site were heated baths, access to a  
102 place of shelter with showers, dry towels and/or blankets, warm beverages and food/fruits

103

104 **Methodology**

105 *Prerace questionnaire.* The data collected were sex, age, level of competition, best  
106 performance, average number of training sessions per week and total swimming distance per  
107 week.

108 *Body composition.* Fat mass (in kg, index and % of body mass), fat-free mass (in kg, index and  
109 % of body mass) and muscle mass (total, trunk and upper-lower limbs) were estimated using  
110 bioelectrical impedance analysis (mBCA 525, Seca, Germany). Body muscle-to-fat ratio was  
111 calculated as total muscle mass: fat mass. Measurements were performed in the supine position  
112 using eight electrodes on both sides with the subject lying on a nonconductive surface<sup>14</sup>.  
113 Adhesive gel electrodes (Kendall, H59P, Covidien IIc, Mansfield, MA, USA) were placed at  
114 defined anatomical sites on the dorsal surfaces of the hand, wrist, ankle and foot according to  
115 the manufacturer's instructions.

116 *Core temperature.* Gastrointestinal temperature was monitored using an ingestible electronic  
117 sensor (e-Celsius, BodyCap, Caen, France) that provides a continuous, reliable and validated  
118 measurement of  $T_{core}$ <sup>15</sup>. This system has previously been used to monitor elite athletes in  
119 official competitions performed in hot<sup>16</sup> and cold environments<sup>4</sup>, and has also been used to  
120 monitor  $T_{core}$  in young adolescents<sup>17</sup>. Temperature was monitored every 30 s with the data being  
121 stored within the ingestible sensor and downloaded to an external receiver after the race (e-  
122 Viewer®, BodyCap, Caen, France).

123 *Perceptual responses.* Immediately at the end of the race, participants' perception of cold was  
124 assessed using the Cold Discomfort Scale (CDS), which is a reliable and valid subjective

125 judgment scale for the assessment of patient thermal state in a cold environment and ranges  
126 from 0 (no perception of cold) to 10 (maximum feeling of cold)<sup>18</sup>.

127 *Water temperature.* I-buttons (iButton® Maxim Integrated) were attached to the buoys  
128 delineating the course of the event, 50 cm below the water, and temperature data were  
129 continuously measured and collected during the race.

130 *Speed.* The speed was calculated as an average speed on each lap using the official lap time.

131

132 **Statistical analyses**

133 The normal distribution of the data was checked by the Kolmogorov-Smirnov test. Student's t-  
134 test was used to establish the effect of swimmers' characteristics on body composition  
135 parameters and  $T_{core}$ . Regression analysis with an F-test and the r-squared ( $r^2$ ) statistic was used  
136 to determine the effect of co-variables on  $T_{core}$  and variables with p-value  $\leq 0.1$  in univariate  
137 analysis were included in a multiple linear regression for predicting  $T_{core}$ . Student's t-test and  
138 Fisher's Exact test were used to compare the variables in the H and nH groups and variables  
139 with p-value  $\leq 0.1$  in univariate analysis were included in a multiple logistic regression analysis.

140 The level of significance was fixed at  $p < 0.05$ . To assess the predictive accuracy of early  $T_{core}$   
141 change on the risk of dropouts, we tested the area under the curve (AUC) of the receiver  
142 operating characteristic (ROC) curve. The correlation between speed and  $T_{core}$  was assessed  
143 with repeated measures correlations. Statistical analyses were performed with Analyse-it for  
144 Microsoft Excel 5.40.2 (Analyse-it Software Ltd, The Tannery, 91 Kirkstall Road, Leeds, LS3  
145 1HS, United Kingdom) and R 3.6.1 (R Core Team, R Foundation for Statistical Computing,  
146 Vienna, Austria). Data are presented as the means  $\pm$  standard deviations (SDs).

147

148 **RESULTS**

149

**150 Prerace assessments**

151 Participants swam an average of 10 training sessions (i.e., 60 km) per week. Their mean height  
152 and weight were  $171.9 \pm 6.6$  cm and  $65.8 \pm 8.5$  kg, respectively, with a mean BMI of  $22.2 \pm 2.2$   
153 kg/m<sup>2</sup>, a mean fat mass of  $11.1 \pm 4.7$  kg (i.e., %fat=  $16.8 \pm 6.5\%$ ) and a mean muscle mass  $26.5$   
154  $\pm 5.4$  kg (i.e., %muscle=  $40.2 \pm 5.7\%$ ).

155

**156 Performances**

157 Among the 21 participants, 14 swimmers finished the race (nH group, n= 14), 7 dropped out  
158 due to hypothermia (H group, n= 7). The mean time for finishers was 6h 03min (best time for  
159 men: 5h 26min; best time for women: 5h 37min).

160

**161 Body core temperature changes**

162 The average  $T_{core}$  before the race was  $37.5 \pm 0.3^\circ\text{C}$ . Individual kinetics of  $T_{core}$  changes during  
163 the race are presented in Figure 1. The average  $T_{core}$  drop between the initial  $T_{core}$  and lowest  
164  $T_{core}$  during the race was  $-1.4 \pm 1.3^\circ\text{C}$  with non-statistically different larger values in the H group  
165 than in the nH group ( $-2.1 \pm 1.5^\circ\text{C}$  vs.  $-1.1 \pm 1.0^\circ\text{C}$ ,  $t = -1.53$ ,  $p = 0.143$ ). The  $T_{core}$  at arrival in  
166 the nH group was significantly higher than the  $T_{core}$  at dropout in the H group ( $37.0 \pm 1.0$  vs  $35.3$   
167  $\pm 1.5^\circ\text{C}$ ,  $t = 2.77$ ,  $p = 0.012$ ), with the lowest  $T_{core}$  reached during the race being  $32.4^\circ\text{C}$  in a  
168 swimmer who was treated by emergency care services. The average  $T_{core}$  drop between the  
169 initial  $T_{core}$  and  $T_{core}$  at arrival/dropout was in the  $-1.9 \pm 1.5^\circ\text{C}$  in the H group and  $-0.6 \pm 1.1^\circ\text{C}$   
170 nH group ( $t = -2.24$ ,  $p = 0.037$ ). Regarding perception of cold, the mean CDS scores at arrival  
171 were  $4.0 \pm 3.2$  in the nH group and  $8.1 \pm 1.5$  in the H group ( $t = -3.15$ ,  $p = 0.005$ ) with a  
172 nonsignificant negative correlation at  $r = -0.35$  ( $p = 0.125$ ) between CDS and  $T_{core}$  drop <sub>(end)</sub>.

173 Thirty minutes after arrival or dropout,  $T_{core}$  was  $35.5 \pm 1.7^\circ\text{C}$  in the H group and  $37.0$   
174  $\pm 0.6^\circ\text{C}$  in the nH group ( $t = -2.85$ ,  $p = 0.010$ ).

175

176 **Predictive factors of  $T_{core}$  drop**

177 The effect of sex on  $T_{core}$  before the race (female  $37.4 \pm 0.2^\circ\text{C}$ , male  $37.6 \pm 0.3^\circ\text{C}$ ,  $t= 1.501$   $p=$   
178  $0.150$ ) did not reach statistical significance. The drop in  $T_{core}$  during the race was larger in male  
179 compared to female swimmers ( $-2.0 \pm 1.5^\circ\text{C}$  vs.  $-0.8 \pm 1.1^\circ\text{C}$ ,  $t= -2.122$ ,  $p= 0.047$ ). The drop in  
180  $T_{core}$  was not correlated with the initial  $T_{core}$  ( $r= -0.03$ ,  $p= 0.902$ ), age ( $r= 0.13$ ,  $p= 0.569$ ), weekly  
181 training distance ( $r= 0.03$ ,  $p= 0.908$ ), or BMI ( $r= 0.30$ ,  $p= 0.194$ ). The drop in  $T_{core}$  was however  
182 positively correlated with fat mass ( $r= 0.46$ ,  $p= 0.034$ ) and %fat mass ( $r= 0.49$ ,  $p= 0.024$ ) and  
183 negatively correlated with %muscle mass ( $r= -0.45$ ,  $p= 0.041$ ) and muscle-to-fat ratio ( $r= -0.56$ ,  
184  $p= 0.008$ ). The swimmers who had previously participated in a 25-km race also showed a lower  
185 drop than the swimmers who had not previously participated in a 25-km race ( $-0.45 \pm 0.94^\circ\text{C}$   
186 vs.  $-1.78 \pm 1.40^\circ\text{C}$ ,  $t= 2.359$ ,  $p= 0.029$ ).

187 After including covariates with  $p < 0.1$  in univariate analysis (gender, muscle mass, fat  
188 mass and previous participation), the multiple linear regression analysis indicated that the  
189 model including fat-mass and previous participation provided the best fit for predicting  $T_{core}$   
190 (effect of model:  $F(2,18) = 4.95$ ,  $p=0.019$ ,  $T_{core} = -2.99 + 8.01 * \% \text{fat-mass}$   
191  $+ 0.99 * \text{experience}[\text{no}=0, \text{yes}=1]$ ,  $R=0.60$ ), with the respective effects of the covariates %fat-  
192 mass ( $\beta= 3.77$ ,  $p= 0.075$ ) and previous participation ( $\beta= 3.57$ ,  $p= 0.075$ ).

193

194 **Predictive factors for hypothermia-related dropout**

195 The characteristics of the participants among the nH and H groups are presented in the  
196 Table 1. The 7 hypothermia-related dropouts included 5 males and 2 females ( $p= 0.159$ , Fisher's  
197 Exact Test). The rate of hypothermia-related dropouts was 63.6% in swimmers  $\leq 18$  years ( $n=$   
198 7 of 11) and null in swimmers above  $> 18$  years ( $n= 0$  of 10,  $p= 0.004$ , Fisher's Exact Test). The

199 age of swimmers was lower in the H group than in the nH group with a trend toward statistical  
200 significance ( $17.1 \pm 1.2$  vs.  $20.4 \pm 4.12$  years,  $t = -2.00$ ,  $p = 0.061$ ).

201 The distance swum per week was lower in the H group than in the nH group, with a  
202 trend toward significance ( $48.9 \pm 13.3$  vs.  $66.4 \pm 15.5$  km,  $t = -2.56$   $p = 0.019$ ). The rate of  
203 hypothermia-related dropouts was 53.8% in swimmers who had never participated ( $n = 7$ ) and  
204 nil in swimmers who had already participated in a 25-km race ( $n = 0$ ), and Fisher's analysis  
205 showed a significant difference in distribution by previous participation in the H and nH groups  
206 ( $p = 0.018$ ).

207 The difference in BMI of swimmers was not significant in the H group compared with  
208 the nH group ( $21.3 \pm 1.3$  vs.  $22.7 \pm 2.4$  kg/m<sup>2</sup>,  $t = -1.49$ ,  $p = 0.154$ ). The fat mass parameters were  
209 significantly lower in the H group for fat mass ( $7.2 \pm 2.4$  kg vs.  $13.0 \pm 4.4$  kg,  $t = -3.18$ ,  $p = 0.005$ )  
210 and %fat mass ( $11.8 \pm 4.7\%$  vs.  $19.2 \pm 6.0\%$ ,  $t = -2.88$ ,  $p = 0.010$ ). The difference in %muscle  
211 mass of swimmers was significantly higher in the H group than in the nH group ( $44.4 \pm 6.4\%$   
212 vs.  $38.2 \pm 4.3\%$ ,  $t = -2.67$ ,  $p = 0.016$ ). The body muscle-to-fat ratio of participants was  
213 significantly higher in the H group than in the nH group ( $4.29 \pm 1.7$  vs.  $2.33 \pm 1.3$ ,  $t = 2.97$ ,  $p =$   
214  $0.008$ ).

215 The initial  $T_{core}$  was significantly lower in the H group than in the nH group ( $37.3 \pm 0.2^\circ\text{C}$   
216 vs.  $37.6 \pm 0.2^\circ\text{C}$ ,  $t = -2.24$ ,  $p = 0.037$ ).

217 To analyze potential confounders, we tested the effect of age ( $\leq 18$  versus  $> 18$  years)  
218 and experience (first timers versus experienced swimmers) on the dependent co-variables.  
219 Swimmers  $\leq 18$  years had lower %fat mass ( $13.0 \pm 5.6\%$  vs.  $20.9 \pm 4.9\%$ ,  $t = -3.42$ ,  $p = 0.003$ ) and  
220 had greater %muscle mass ( $43.1 \pm 6.3\%$  vs.  $37.2 \pm 3.1\%$ ,  $t = 2.70$ ,  $p = 0.014$ ). No significant  
221 association or difference were found for covariates/cofactors according to experience.

222 After inclusion of the variables associated with the risk of hypothermia-related dropout  
223 with a maximal p-value of 0.10 (age, fat mass, muscle mass, previous participation, initial  $T_{core}$ )

224 we ran multiple logistic regression analyses to determine the relative contributions of  
225 independent factors to predict the risk of hypothermia-related dropout. The best regression  
226 model was found after inclusion of the covariates %fat-mass and initial  $T_{core}$  (effect of model:  
227  $G^2: 17.26$ , df: 2,  $p < 0.001$ , log odds(H/nH) =  $423.18 - 53.86 * \% \text{fat-mass} - 11.11 * \text{initial } T_{core}$ ,  
228 overall prediction 90.5%), with the respective effects of the covariates %fat-mass ( $p = 0.043$ )  
229 and initial  $T_{core}$  ( $p = 0.056$ ).  
230

231 ***Effect of early changes in  $T_{core}$  on the risk of hypothermia-related dropout***

232 To assess the effect of the  $T_{core}$  at 2500 m ( $T_{core(2500)}$ ) on the risk of hypothermia-related  
233 dropout, we ran a ROC analysis. The mean  $T_{core(2500)}$  was  $37.3 \pm 0.9^\circ\text{C}$ . The ROC curve for  $T_{core}$   
234 ( $_{(2500)}$  as a predictor of the risk of hypothermia-related dropout showed that  $T_{core(2500)}$  had  
235 accurate predictive ability to discriminate hypothermia-related dropout (ROC analysis, AUC =  
236 0.806, CI95%: 0.616 - 0.996,  $p = 0.025$ ). The mean  $T_{core(2500)}$  was  $36.6 \pm 0.7^\circ\text{C}$  in the H group  
237 and  $37.6 \pm 0.8^\circ\text{C}$  in the nH group ( $t = -2.317$ ,  $p = 0.032$ ). After interpretation of the Youden  
238 index, the  $T_{core(2500)}$  value with the better predictive parameters was  $T_{core(2500)} \leq 37.1^\circ\text{C}$   
239 (sensitivity: 0.857, specificity: 0.714), and individuals with  $T_{core(2500)} \leq 37.1^\circ\text{C}$  had a  
240 significantly greater rate of hypothermia-related dropouts (85.7%, Fisher's Exact Test:  $p =$   
241 0.017) (Table 2).  
242

243 ***Relations between speed and  $T_{core}$  changes during the race***

244 The repeated measures correlation tested the change in  $T_{core}$  (e.g.  $T_{core(5000)} - T_{core(2500)}$ )  
245 in relation to the change in speed (mean speed<sub>(lap2)</sub> - mean speed<sub>(lap1)</sub>) for each lap. The analysis  
246 showed a significant positive moderate correlation ( $r = 0.462$ , 95% CI: 0.310-0.590, df: 123,  $p$   
247  $< 0.001$ ), so decreasing speed resulted in a significant decrease in  $T_{core}$  during the race (Figure  
248 3).

**249 DISCUSSION**

250 The current study showed that a 25-km 25-km OWS competition in 20-20.5°C water  
251 (no wetsuits) was associated with a decrease in  $T_{core}$  and a high rate of hypothermia-related  
252 dropout. Lower initial  $T_{core}$  and/or lower body fat as well as premature  $T_{core}$  drop ( $\leq 37.1^{\circ}\text{C}$  at  
253 2500 m) were associated with an increased risk of hypothermia-related dropout. Moreover,  
254 decreasing swimming speed was associated with increased  $T_{core}$  drop.

255 Our results showed that during a 25-km competition at the absolute lowest limit where  
256 wetsuits are still banned (20-20.5°C), OW swimmers are exposed to  $T_{core}$  drop and hypothermia  
257 and confirmed that hypothermia is a common condition in OWS<sup>6,11,19</sup>. Hypothermia was the  
258 leading cause of dropout. The nonfinishers' rate has been stable over the years for the French  
259 National Open Championship but it is greater than the average dropout rate on the 25km race  
260 of the world championships<sup>20</sup> However, it is interesting to note that participants in the  
261 Manhattan Island Marathon Swim (46 km, 16-20°C) must be 19 years or older; as all  
262 hypothermia-related dropouts were 18 years or younger in our results, this can explain the lower  
263 nonfinisher rates of approximately 15% in this event<sup>21</sup>.

264 In our study, a low fat mass and a low initial  $T_{core}$  were the most significant independent  
265 parameters that were associated with an increased risk of hypothermia-related dropout. From  
266 our results, fat mass was the only independent factor associated with  $T_{core}$  drop, and swimmers  
267 with a lower % fat mass had the greatest  $T_{core}$  drop during the race irrespective of gender or  
268 BMI. The negative impact of low fat mass on the  $T_{core}$  change during cold-water swimming has  
269 already been studied<sup>6,19,22</sup>, and elite OW swimmers tend to have greater fat mass than triathletes  
270 or pool swimmers do<sup>2,23,24</sup>. These physiological and anthropometric differences can explain the  
271 older age of peak performance in long-distance swimming compared with shorter distances<sup>25</sup>.

272 As initial  $T_{core}$  was predictor of hypothermia-related dropout, it would be interesting to  
273 study the effect of pre-race warm-up strategies to increase initial  $T_{core}$  on the risk of hypothermia

274 during an OWS race. Also, further studies could explore the impact of the circadian rhythm  
275 parameters of body temperature (circadian acrophase, nadir, mesor and amplitude) on OWS  
276 performance<sup>26</sup>.

277       Also, the participants who never participated in a 25-km race had greater a  $T_{core}$  drop  
278 and had greater rates of hypothermia-related dropouts. Higher rates of dropout in novice (vs.  
279 experienced) athletes, influenced by psychosocial factors, had previously been described in  
280 ultra-trailers, suggesting the importance of preparation and self-efficacy based on past  
281 experiences<sup>27</sup>. In OWS, these psychosocial factors should be considered in addition with  
282 anthropometric and physiological factors since novice are often the younger participants with  
283 low fat mass. These results should draw the attention of professionals on the need to properly  
284 prepare novice swimmers for this race and the risk of hypothermia.

285       Another predictor of hypothermia was a lower initial  $T_{core}$  or a premature  $T_{core}$  drop  
286 under 37.1°C, which was associated with a 5-fold risk of hypothermia-related dropout.  
287 Moreover, the decrease in speed during the race was associated with an increased  $T_{core}$  drop  
288 ( $r=0.46$ ,  $p < 0.001$ ). Among the finishers, 2 swimmers had low  $T_{core}$  at 2500m (below 36.0°C),  
289 but they could increase their speeds during the lap 2500-5000m and 5000-7500m and their  
290  $T_{core}$  remained stable or increased so they were able to finish the race. Also, the 2 swimmers  
291 were international level swimmers with previous participation in 25km race and/or longer  
292 events, and experience might have enhanced their ability to manage/cope with low  $T_{core}$ .  
293 Additionally, Tipton et al. (1999) showed that swimming efficiency and length of stroke  
294 decreased with  $T_{core}$  drop<sup>28</sup>. Thus, pacing strategies in OW-swimmers could be adapted to  
295 prevent  $T_{core}$  drop and hypothermia outcomes during the race and the vicious cycle of  
296 hypothermia and a decrease in speed.

297       At 30 minutes after dropout in the H group, the  $T_{core}$  drop was still at a mean of -1.7°C,  
298 even after swimsuits were removed and survival blankets and warming strategies (warm fluids

299 to drink, warm water baths) were used. This suggests a worsening of the  $T_{core}$  drop after  
300 abandonment, known as ‘afterdrop’, likely due to the cessation of muscle activity-related heat  
301 production<sup>29</sup>. Thus, during the initial stages of rewarming from hypothermia, the vasodilation  
302 and increased blood flow associated with exercise-induced hyperemia implies a return of cold  
303 blood to the core, causing a further decrease in  $T_{core}$  and, also, counteracting the insulating effect  
304 of unperfused muscle, which accounts for approximately 70% of total insulation<sup>2</sup>. Additionally,  
305 we can hypothesize that the conventional threshold for hypothermia ( $T_{core}$  below 35°C) could  
306 be less appropriate in certain sport situations, as athletes may drop out for hypothermia with  
307  $T_{core}$  above 35°C, and the speed of  $T_{core}$  drop or the paradoxical  $T_{core}$  drop in response to  
308 strenuous exercise can be assimilated to such situations.

309 These results should be interpreted with caution, as studies in elite athletes have a  
310 limited sample size. It was also not possible to control fluid intake in competition, and further  
311 interventional studies are needed to evaluate the effect of beverage temperature and hydration  
312 strategies<sup>30</sup>. Also, the speed was calculated as the average speed on each lap and the repeated  
313 correlation analysis was based on the association between the changes in speed and temperature  
314 over each lap. Further research on the association between speed and  $T_{core}$  might use GPS  
315 devices for stroke count quantification and continuous swimming velocity. Finally, as presented  
316 above, our results correspond to a specific situation (20°C, no wetsuit) and may vary in other  
317 conditions, and further studies using the same protocol in different environmental situations  
318 could be interesting for the assessment of the specific effect of environment.

319  
320 **PRACTICAL APPLICATIONS**  
321 Preconditioning warming strategies or avoiding prolonged wait time in cold environments can  
322 prevent initial low prerace  $T_{core}$ , which is also associated with a higher risk of hypothermia<sup>31</sup>.  
323 Further studies are needed to evaluate the effect of individual variations of circadian-rhythm

324 periods and specific preconditioning strategies. Moreover, swimmers could avoid a slow  
325 starting speed and significant deceleration during the race to lower the risk of an early  $T_{core}$  drop  
326 and hypothermia and manage their race avoiding the classical "herd-behavior" of such races<sup>13</sup>.  
327 Additionally, medical or organization staff could have specific prevention or management  
328 strategies for individuals to identify participants at greater risk and use early predictors (e.g.,  
329 change in speed) to prevent severe hypothermia. Moreover, medical staff should be aware of  
330 hypothermia worsening after cessation of muscle activity and use specific strategies for optimal  
331 warming<sup>29</sup>. Finally, our results raise the question of adapting the actual wetsuits guidelines for  
332 open water competitions, especially in swimmers at higher risk (e.g., minimal water  
333 temperature or type of wetsuit for junior male swimmers).

334

335 **CONCLUSIONS**

336 In summary, a 25-km OWS race resulted in a significant decrease in  $T_{core}$ , with fat mass and  
337 experience being associated with  $T_{core}$  drop. Additionally, a change in speed, especially a  
338 decreased speed, is associated with the  $T_{core}$  drop. Fat mass and prerace  $T_{core}$  were associated  
339 with hypothermia-related dropouts, and participants with early  $T_{core}$  drops ( $\leq 37.1^{\circ}\text{C}$  at 2500 m)  
340 had a 5-fold higher risk of dropping out because of hypothermia. Further studies will focus on  
341 optimal strategies for lowering the risk of hypothermia and improving the performance of  
342 individuals, especially those with greater risk.

343

344 **ACKNOWLEDGEMENTS**

345 The authors would like to thank the subjects for their participation and enthusiasm. We are also  
346 immensely grateful to the organizational staff for their welcome, the Fédération Française de  
347 Natation (FFN), and especially M. Stéphane Lecat, the national technical director of the OWS

348 department of the FFN, and Dr. Jean-Loup Bouchard, the OWS national team doctor, for their  
349 support.

350

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430

431 **FIGURE LEGENDS**

432 **Figure 1.** Changes in core temperature ( $T_{core}$ ) (a) and speed (b) during the 25-km race among  
433 the participants (n= 21)

434 **Figure 2.** Repeated measures correlations between change in speed and change in core  
435 temperature ( $T_{core}$ ) during the 25-km race (n= 21)

436

**Table 1.** Characteristics of participants in the total group (n= 21) and among groups (nH, n= 14 and H, n= 7)

	Total (n= 21)	nH group (n= 14)	H group (n= 7)	p-value
<b>Athletes' characteristics</b>				
Age (years)	19.29 ±3.73	20.36 ±4.12	17.14 ±1.21	0.061
Gender				
Female (n & %)	12 57.14%	10 71.43%	2 28.57%	
Male (n & %)	9 42.86%	4 28.57%	5 71.43%	0.159
Training				
Distance per week (km, mean ±SD)	60.57 ±16.77	66.43 ±15.50	48.86 ±13.35	0.019
Previous completion of a 25 km				
None (n & %)	13 59.09%	6 42.86%	7 100.00%	
1-4 (n & %)	6 31.82%	6 42.86%	0 0.00%	
5-8 (n & %)	2 9.09%	2 14.28%	0 0.00%	0.018
<b>Body composition</b>				
Anthropometry				
Height (cm, mean ±SD)	171.88 ±6.56	172.00 ±5.25	171.64 ±9.14	0.910
Weight (kg, mean ±SD)	65.79 ±9.01	67.34 ±9.01	62.71 ±6.90	0.250
BMI (kg/m <sup>2</sup> , mean ±SD)	22.23 ±2.16	22.71 ±2.37	21.26 ±1.31	0.154
Abdominal circumference (cm, mean ±SD)	75.44 ±8.78	75.50 ±9.93	75.33 ±5.69	0.979
Fat mass				
Fat mass (kg, mean ±SD)	11.05 ±4.71	12.97 ±4.43	7.23 ±2.41	0.005
% fat mass (%), mean ±SD)	16.76 ±6.53	19.23 ±5.95	11.80 ±4.72	0.010
Fat-free mass				
Fat-free mass index (kg/m <sup>2</sup> , mean ±SD)	18.52 ±1.61	18.42 ±1.84	18.74 ±1.08	0.673
Muscle mass (kg, mean ±SD)	26.54 ±5.39	25.81 ±5.06	28.01 ±6.14	0.390
Muscle mass (%), mean ±SD)	40.24 ±5.75	38.18 ±4.23	44.38 ±6.44	0.016
Muscle-to-fat ratio (mean ±SD)	2.98 ±1.61	2.33 ±1.31	4.29 ±1.66	0.008

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BMI: body mass index, SD: standard deviation, nH group: nonhypothermia group, H group: hypothermia group

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19

**Table 2.** Receiver operating characteristic (ROC) analysis of  $T_{core}$  at 2500 m ( $T_{core(2500)}$ ) with decision thresholds for predicting hypothermia-related dropout (n= 21)

<b>Group</b>	$T_{core(2500)}$		ROC analysis			Decision thresholds		
	Mean (SD)	<i>p</i> -value	Accuracy (AUC-ROC)	Confidence interval (95%)	<i>p</i> -value	<b>Optimal cut-off</b>	$\chi^2$ statistic	Fisher's test <i>p</i> -value
nH group	37.6 (0.8)					$T_{core(2500)} \leq 37.1^\circ\text{C}$		
H group	36.6 (0.7)	0.032	0.806	0.616 to 0.996	<0.025		6.857	0.017

AUC: area under the curve, ROC: receiver operating characteristic, SD: standard deviation, nH group: nonhypothermia group, H group: hypothermia group

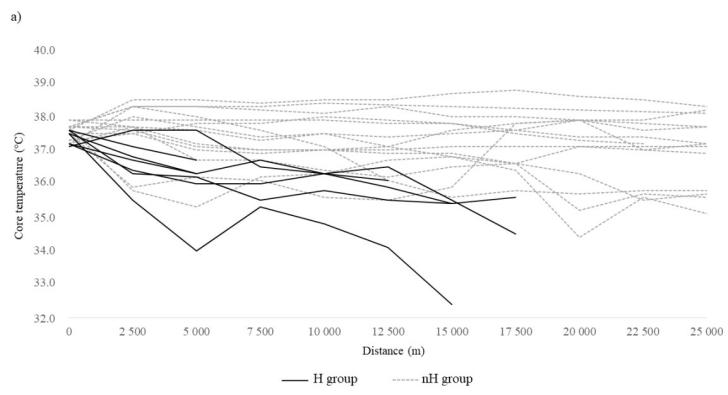


Figure 1 . Changes in core temperature ( $T_{core}$ ) (a) and speed (b) during the 25-km race among the participants ( $n= 21$ )

338x190mm (96 x 96 DPI)

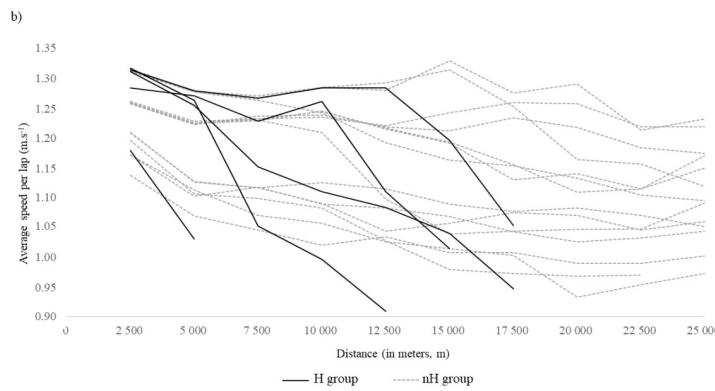


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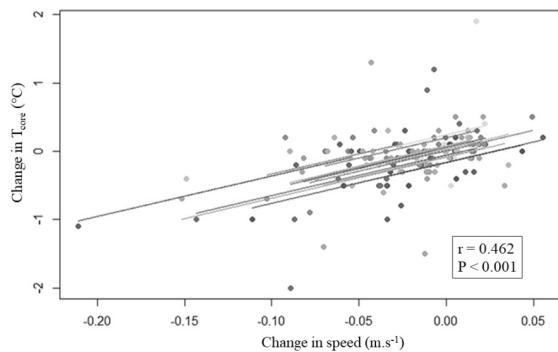


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				(AUC-ROC)	interval (95%)			
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