

# Lead Sheet Transcription

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# Abstract

This skeleton demonstrates how to use the `infthesis` style for undergraduate dissertations in the School of Informatics. It also emphasises the page limit, and that you must not deviate from the required style. The file `skeleton.tex` generates this document and should be used as a starting point for your thesis. Replace this abstract text with a concise summary of your report.

# **Research Ethics Approval**

This project was planned in accordance with the Informatics Research Ethics policy. It did not involve any aspects that required approval from the Informatics Research Ethics committee.

## **Declaration**

I declare that this thesis was composed by myself, that the work contained herein is my own except where explicitly stated otherwise in the text, and that this work has not been submitted for any other degree or professional qualification except as specified.

*(Pierre Lardet)*

# Acknowledgements

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# Chapter 1

## Introduction

### 1.1 Motivation

Lead sheets are a common way of representing music in a simple and concise format. They consist of an aligned melody and harmony through chord symbols. Lead sheets are widely used in the music industry as they provide a quick and easy way to learn and perform music, especially in jazz music where improvisation is common. Lead sheets are also used in music education, as they provide a simple way to introduce students to music theory and notation. However, creating lead sheets can be a time-consuming and error-prone process for complex pieces of music. This project aims to develop a model that can automatically generate lead sheets from audio recordings of music, which could be used to help musicians, educators, and students create lead sheets more easily and accurately.

However, there is no good source of lead sheets for many songs. Popular websites such as Ultimate Guitar [XX] and HookTheory [XX] provide user-submitted chord annotations for almost any song, but the quality of these annotations can vary significantly [XX]. Tools like Chordify [XX] can automatically generate chord annotations from audio recordings, but it is paid and designed for popo music. Online forums where a variety of leads can be found do exist, such as MusicNotes [XX] and SheetMusicDirect [XX], but are expensive, have limited selections and are also user submitted, meaning their quality cannot be guaranteed.

To this end, we investigate the use of machine learning models for lead sheet generation, requiring the model to perform automatic chord recognition and melody transcription.

### 1.2 Aims

The aims of this project are:

- To implement a state-of-the-art model for automatic chord recognition.
- Investigate methods of improving on this baseline model for music

- To investigate the use of synthetic data generation for improving the performance of the model.

## 1.3 Outline

The report is structured as follows:

- **Chapter 2** provides background information on chord transcription and related work.
- **Chapter 3** describes the datasets and evaluation metrics used in this project.
- **Chapter 4** compares various models from the literature and investigates improvements.
- **Chapter 5** extends this work with synthetic data generation and compares results on a new dataset.
- **Chapter 6** concludes the report and provides suggestions for future work.



# Chapter 2

## Background & Related Work

### 2.1 Background

#### 2.1.1 Lead Sheets

Lead sheets are a form of musical notation that contain both the melody and harmony. Only the dominant melody is present which is often the vocal line and is written in standard musical notation on a staff. The harmony is represented by chord symbols, which are written above the staff at the relevant time. A lead sheet also contains the key, time signature and, optionally, the lyrics of a song. An example of a lead sheet is shown in Figure 2.1.

**Yesterday**

Lennon and McCartney

Yes ter day, all my trou bles seemed so far a way. Now it looks as though they're here to stay, oh I be lieve in yes ter day.

Figure 2.1: An example of a lead sheet for 'Yesterday' by the Beatles.

Lead sheets are most notably used in jazz music where their origins lie. They date back to the mid 20th century, and were originally called 'fake sheets' because they were used by musicians to 'fake' their way through a song (Invisible, 2021). Any jazz musician worth their salt owns a 'real book', so called to distinguish it from the fake books that

were used in the past. Real books contain lead sheets for hundreds of jazz standards, and are still an essential tool for any seasoned jazz musician.

More recently they have served as a useful tool for musicians in other genres too, such as pop and rock, who want to learn and perform songs quickly and easily. They allow efficient communication of the important elements of a song, without the need for a full score, encouraging further improvisation and personalisation of the song.

Lead sheets do not contain all the information of a full score, such as dynamics, articulation, or specific voicings of chords. Furthermore, they are not suitable for all types of music, such as classical music, where a full score is necessary to convey the composer's intentions, or rap music where the lyrics and beat are normally the most important elements. However, they are a useful tool for many musicians, and are a common way of representing music for both learning and performing.

### 2.1.2 Automatic Music Transcription

Automatic Music Transcription (AMT) is a field within Music Information Retrieval (MIR) that aims to construct models that convert musical audio into symbolic representations. (Bhattarai and Lee, 2023) provide a comprehensive review of different forms of transcription. For lead sheet transcription, we are interested in their highest level of transcription: notation-level. We want to be able to take an audio recording of a song and generate a lead sheet that contains the melody and harmony of the song. This is a challenging task, as it requires the model to isolate and transcribe a melody, transcribe the chordal information, and then combine these two elements into a coherent lead sheet where the melody and harmony are aligned in time/beat.

There are three sub-fields of musical transcription that are of interest to lead sheet generation: Automatic Chord Recognition (ACR), Melody Transcription (previously called F0 estimation) and Beat Detection.

### 2.1.3 Music Features

Recorded music can be represented in a variety of ways as input to a machine learning model. The simplest way is to leave the data as a waveform: a time-series vector of amplitudes. Data in the raw audio domain has seen successful use in generative models such as Jukebox (Dhariwal et al., 2020) and RAVE (Caillon and Esling, 2021).

A very commonly used representation of general audio data, and specifically musical data, is the spectrogram. A spectrogram is a conversion of the time-series data into the time-frequency domain, calculated by a SFTF (short-time Fourier Transform) Spectrograms are commonly used in many audio processing tasks, such as speech recognition, music recognition (Wang, 2003) and music transcription, specifically polyphonic transcription (Toyama et al., 2023). However, as noted by Pauwels et al. (2019), only logarithmic spectrograms have been used in ACR tasks, and linear spectrograms have been used in melody transcription tasks.

A common version of the spectrogram used in music transcription is the Constant-Q Transform (CQT), originally proposed by Brown (1991). The CQT is a version of a

spectrogram with frequency bins that are logarithmically spaced and bin widths that are proportional to the frequency. This is motivated by the logarithmic nature of how humans perceive pitch: a sine wave that is perceived as one octave higher than another has double the frequency. The CQT is used in many music transcription tasks, such as automatic chord recognition (Humphrey and Bello, 2012), and a popular alternative, Melspectrograms, have found use in melody transcription (Toyama et al., 2023).

Chroma vectors are a 12-dimensional time-series representation, where each dimension corresponds to a pitch class. Each element represents the presence of each pitch class in the Western chromatic scale in a given time frame. Such features have been generated by deep learning methods (Miller et al., 2022) or by hand-crafted methods (Mauch and Dixon, 2010).

More recently, features extracted generative models have been used as input. The proposed benefit is that the vast quantities of data used to train these models allows for rich representations of the music. Chris Donahue and Liang (2022) use features from JukeBox (Dhariwal et al., 2020) to train a transformer (Vaswani et al., 2023) for both melody transcription and chord recognition and combine these into a lead sheet generation model. They found that these features outperformed hand-crafted features in melody transcription tasks but did not perform experiments in ACR.

## 2.2 Related Work

### 2.2.1 Lead Sheet Transcription

Older work has attempted to automatically produce lead sheets (Ryynänen and Klapuri, 2008; Weil et al., 2009). They use hand-crafted feature extractions and probabilistic models for melody and chord classification. AMT has developed dramatically since this work which leaves much room for improvement.

(Chris Donahue and Liang, 2022) is the only recent work we found that produces a full lead sheet generation model. They propose the use of features extracted from middle layers of Jukebox (Dhariwal et al., 2020) which were found to lead to the best performance in downstream tasks (Castellon et al., 2021). These features are used to train a transformer, with a primary focus on melody transcription. The model and code is available<sup>1</sup>. They use the same methodology to train an ACR model, and combine these with beat detection and engraving software to produce a lead sheet.

The authors found the use of these generative pre-trained features to be beneficial for melody transcription. However, they use community-submitted annotations from an online forum, presumably for lack of a better alternative, whose quality and variety may be lacking. The nature of this data means that the model is only trained on 24 second audio clips, which limits performance on longer segments of audio. The authors claim that the overall model performs well, especially in the verses and choruses of pop music where vocal lines are loud and clear, and that performance across genres is surprisingly good given the pop-centric dataset. However, further analysis is omitted and if the

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<sup>1</sup><https://github.com/chrisdonahue/sheetsage/tree/main>

dataset contains music with few songs with more complex harmonic structures such as jazz music, then the model will produce overly simplistic representations of the chords. Crucially, the work does not explore ACR models beyond simply copying their method and dataset used in melody transcription and there is no quantitative evaluation of performance in ACR. Furthermore, the system can struggle with melody lines shifting between instruments, quiet vocal lines

## 2.2.2 Automatic Chord Recognition

Pauwels et al. (2019) provides an overview of ACR since its inception in 1999 with the work of Fujishima (1999) up to 2019, and provide suggestions for future avenues of research. Among them are the lack of exploration of feature and chord representations and the imbalance with chords classes present in chord datasets.

Datasets that have seen common use in ACR relevant to this work include:

- *McGill Billboard*: 890 chord annotations of songs randomly selected from the Billboard ‘Hot 100’ Chart between 1958 and 1991. (Burgoyne et al., 2011)
- *Isophonics*: 300 annotations of songs from albums by The Beatles, Carole King and Zweieck. (Cannam et al., 2009)
- *RWC-Pop*: 100 pop songs with annotations available<sup>2</sup> for chords. (Goto et al., 2002)
- *USPop*: 195 annotations of a larger dataset with artists chosen for popularity. (Berenzweig et al., 2004)
- *JAAH*: 113 annotations of a collection of jazz recordings. Durán and de la Cuadra (2020)
- *HookTheory*: 50 hours of labelled audio in the form of short musical segments, crowdsourced from the HookTheory website<sup>3</sup>. (Chris Donahue and Liang, 2022)

Other small datasets also exist. Many of these have been compiled together into the *Chord Corpus* by de Berardinis et al. (2023), with standardised annotation formats.

A variety of machine learning methods have been applied to such datasets. Older methods such as Lee and Slaney (2006) use HMMs, but more recent methods use deep learning. Often, CNNs and RNNs are used in combination (Wu et al., 2019; Jiang et al., 2019; McFee and Bello, 2017) with CNNs performing feature extraction from a spectrogram feature, and an RNN (normally Bi-LSTM or Bi-GRU) predicts chord sequences. More recently, transformers have been applied to the entire process Chris Donahue and Liang (2022) and Chen and Su (2019, 2021).

Evaluation is typically done using accuracy and recall of correct chord predictions, or more music-aware measures such as the correct root note, 3rd or the MIREX metric

<sup>2</sup><https://github.com/tmc323/Chord-Annotations>

<sup>3</sup><https://www.hooktheory.com/>

which measures that chords have at least 3 notes in common. These are all implemented by ? in the `mir_eval` library <sup>4</sup>. Qualitative evaluation is also often carried out.

A big problem frequently encountered in ACR is the lack of labelled data. This work uses 1200 labelled songs with audio. This is due to the difficulty and time associated with labelling data aligned in time and the legal sensitivity of the data involved. Data has been scaled up using augmentation and semi-supervised learning (Hung et al., 2023) with some success. Research has been done into the use of synthetic data (Kroher et al., 2023; Sato and Akama, 2024) and supervised learning (Li et al., 2024) for MIR tasks, but not for ACR.

Another problem is that the existing data is often imbalanced, with a large number of common chords like major and minor chords and fewer chords like diminished and augmented chords, or chords with upper extensions and inversions. This can lead to models that are biased towards predicting major and minor chords. Attempts to address this have been made by re-weighting classes (Jiang et al., 2019) or adjusting the sampling of training examples to balance chord classes (Miller et al., 2022).

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<sup>4</sup>[https://mir-evaluation.github.io/mir\\_eval/](https://mir-evaluation.github.io/mir_eval/)

# Chapter 3

## Experimental Setup

This chapter outlines the datasets used in this work, the preprocessing applied to the audio and chord annotations, the evaluation metrics used to compare the models and details of the training process used throughout.

### 3.1 Datasets

Two ACT datasets are used in this work. The first dataset is simply referred to as the *Pop* dataset, as much of the music in the dataset comes from the Billboard Hot 100 charts, or other popular bands. The second dataset is the *JAAH* (Jazz Annotations and Analysis of Harmony) dataset mentioned in Section 2.2.2. While the chords annotations are publicly available on Github<sup>1</sup>, the audio was kindly given to me by Andrea Poltronieri, a PhD student at the University of Bologna and the author of 'ChoCo' (the Chord Corpus) (de Berardinis et al., 2023). I spent the first weeks of the project contacting authors of several papers which have used these or other datasets and he was the only one helpful enough to provide me with the audio.

This rest of this chapter explains processing applied to the audio and chord annotations common to both datasets, before discussing details of the *Pop* and *JAAH* datasets relevant to each.

#### 3.1.1 Preprocessing

##### 3.1.1.1 Audio to CQT

The audio was first converted to a Constant-Q Transform (CQT) representation explained in Section 2.1.3. The CQT is computed using the `librosa` library (McFee et al., 2015), using the `.cqt` function. A sampling rate of 44100Hz was used, with a hop size of 4096, and 36 bins per octave, 6 octaves and a fundamental frequency corresponding to the note C1. This returns a complex-valued matrix containing phase, frequency and amplitude information. Phase information is discarded by taking the absolute value, before being converted from amplitude to dB, equivalent to taking the logarithm. These

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<sup>1</sup><https://github.com/smashub/choco>

default parameters were chosen to be consistent with previous works (McFee and Bello, 2017). However, the hop size was later varied in order to investigate the effect of different frame lengths on performance. An alternative choice of frame length could be to use some fraction of a bar. For example, Chris Donahue and Liang (2022) use 1/16th of a bar. However, most work in the literature opts for a constant hop size. We follow this convention, as a variable hop size introduces a new source of error in identifying the beats of a song, which the authors note as sometimes being problematic to their method.

This leads to a CQT matrix of a song having dimensions  $216 \times F$  where 216 is the number of frequency bins and  $F$  is the number of frames in the song. For context, the number of frames can be calculated as  $F = \lceil \frac{44100}{4096} L \rceil$  where  $L$  is the length of the song in seconds, 44100 is the sampling rate in Hertz (Hz) and 4096 is hop size in samples. A 3 minute song has just under 2000 frames. To save on computational time, the CQT was pre-computed into a cached dataset rather than re-computing each CQT on every run. This was done for each hop size used.

### 3.1.1.2 Chord Annotations

The chord annotations are represented as a sorted list of dictionaries, each containing the chord, start time and duration. The chord itself is represented as a string in Harte notation (Harte et al., 2005). For example, C major 7 is C:maj7 and A half diminished 7 in its second inversion is A:hdim7/5. However, the majority chords in the dataset are not this complicated. The notation also includes N representing no chord and X representing an out of gamut chord.

This annotation is far too flexible to be used as targets for an ML model. This would lead to thousands of chords. Instead, we define two chord vocabularies. The first is a simple chord vocabulary, which contains only major, minor for each root and a no chord symbol N. Then this vocabulary has 25 labels. Chords outside the vocabulary are mapped to N. For example, C:maj7 would be mapped to C:maj, while A:hdim7/5 would be mapped to N. The second is a more complex vocabulary, which contains 14 qualities for each root: major, minor, diminished, augmented, minor 6, major 6, minor 7, minor-major 7, major 7, dominant 7, diminished 7, half diminished 7, suspended 2, suspended 4. Additionally, there is a no chord N and a dedicated out of gamut chord symbol X, totalling  $12 \cdot 14 + 2 = 170$  chord labels. This vocabulary or similar vocabularies have been used by much of the literature (McFee and Bello, 2017; Humphrey and Bello, 2015; Jiang et al., 2019).

Chords in Harte notation are mapped to this vocabulary by first converting them to a tuple of integers in the range 0-11 representing pitch classes using the Harte library. These are transposed that 0 is the root. These pitch classes are then matched to a of template identifying the quality of the chord, as described in (McFee and Bello, 2017). However, for some chords this was not sufficient. For example, a C:maj6(9) chord would not fit perfectly with any of these templates due to the added 9th. Therefore, the chord is also passed through Music21's (Cuthbert and Ariza, 2010) chord quality function which matches chords such as the one above to major. This function would not work alone as its list of qualities is not as rich as the one defined above. If the chord is

still not matched, it is mapped to X. This additional step is not done by McFee and Bello (2017) but gives more meaningful labels to roughly one third of the chords previously mapped to X.

### 3.1.2 Pop

The *Pop* dataset consists of songs from the *Mcgill Billboard*, *Isophonics*, *RWC-Pop* and *USPop* datasets mentioned in Section 2.2.2. This collection was originally proposed in work by Humphrey and Bello (2015) in order to bring together most of the known datasets for chord recognition. The dataset consists of 1,217 songs, filtered for duplicates and selected for those with annotations available. The dataset was provided with obfuscated filenames and audio as .mp3 files and annotations as .jams files (Humphrey et al., 2014).

#### 3.1.2.1 Data Integrity

Several possible sources of error in the dataset were investigated.

**Duplicates:** Files were renamed using provided metadata identifying them by artist and song title. This was done to identify duplicates in the dataset. The dataset was first filtered for duplicates, of which there was one - Blondie's 'One Way or Another', which had two different versions. The duplicate was removed from the dataset. Further duplicates may exist under different names but the songs were listened to throughout the project and no other duplicates were found. Automatic analysis of the audio could help, although cannot be guaranteed to find different versions of the same song, as above.

**Chord-Audio Alignment:** 10 songs were manually investigated for alignment issues. This was done by listening to the audio and comparing it to the annotations directly at various points in the song, with a focus on the beginning. It became apparent that precise timings of chord changes are ambiguous. The annotations aired on the side of being slightly early but were all certainly good annotations, with detailed chord labelings including inversions and upper extensions. This observation was borne in mind later when analysing performance of the models at transition frames in Section [XX].

Automatic analysis of the alignment of the audio and chord annotations was also done using cross-correlation of the derivative of the CQT features of the audio over time and the chord annotations, varying a time lag. A maximum correlation at a lag of zero would indicate good alignment as the audio changes at the same time as the annotation changes. First, the CQT of the audio was computed following the procedure defined in Section 3.1.1.1. The derivative of the CQT in the time dimension was then estimated using librosa's `librosa.feature.delta` function. The chord annotations were converted to a binary vector, where each element corresponds to a frame in the CQT, and is 1 if a chord change occurs at that frame, and 0 otherwise. Both the CQT derivatives and binary vectors were normalised by subtracting the mean and dividing by the standard deviation. Finally, cross-correlation was computed using numpy's `numpy.correlate` function. A typical cross-correlation for a song is shown in Figure ??.



We can see that the cross-correlation repeats every 100 frames or so. Listening to the song, we can interpret this as 4 bars repeating. In particular, the transients of the drum beat are likely to be very similar every 4 bars. To check alignment across the dataset, we can plot the lag of the maximum cross-correlations as a histogram. This is shown in Figure ???. If we assume that the annotations are not incorrect by more than approximately 10 seconds, this would mean we could restrict our maximum correlation search to a window of 100 frames either side of 0. A second histogram, with the maximums reduced to a 100 frame window around 0 is shown in Figure ???. XX We see that...

A final simple check was done by looking at the lengths of the audio and chord annotations. A histogram of difference in length is shown in Figure ???. The majority of songs have a difference of 0, with a few having a difference of 1. There are some outliers, but not enough to warrant further investigation.

**Incorrect and Subjective Annotations:** Throughout manual listening, no obviously wrong annotations were found. However, looking at songs which the first trained models perform the worst on, ‘Lovely Rita’ by the Beatles sticks out. The model consistently guessed chords one semitone off, as if it thought the song was in a different key. Upon listening, it became clear that recording’s tuning was not in standard A440 and so the song was removed. No other songs were found to have such issues.

Chord annotations are inherently subjective to some extent. Detailed examples in this dataset are given by Humphrey and Bello (2015). They also note that there are several songs in the dataset of questionable relevance to ACR, as the music itself is not well-explained by chord annotations. However, these are kept in for consistency with other works as this dataset is often used in the literature. Some works decide to use the median as opposed to the mean accuracy in their evaluations in order to counteract the effect of such songs on performance (McFee and Bello, 2017).

### 3.1.2.2 Chord Distribution

Much of the recent literature has focused on the long tail of the chord distribution [XX], using a variety of methods to attempt to address the issue. It is first helpful to understand the distribution of chords in the datasets, shown in Figure ???. The distribution is broken down both by root and by quality, only for the larger chord vocabulary as the smaller vocabulary does not have the same long-tailed distribution. We can see that [XX]..

### 3.1.3 JAAH Dataset

I was warned by Andrea that the JAAH dataset has not been as commonly used as dataset the Billboard dataset. Therefore he could not guarantee that the audio was aligned for this dataset.

- As yet, JAAH is unused in this work - Data was received as .flac files which were first converted to .mp3 files to be in line with the Billboard dataset - Comparison of the two datasets - Description of the JAAH dataset and its use in this work - Intended to be used as a test set to test the synthetic data generation.

### 3.2 Evaluation

We evaluated models using the standard `mir_eval` library (Raffel et al., 2014). This library provides a variety of metrics for evaluating the performance of chord recognition models. The metrics used in this work follow standard practice, in using `root`, `third`, `seventh` and `mirrex` accuracies. For a given hypothesis and reference chord, these metrics each return 1 if the root/third/seventh/three notes of the chord match and 0 otherwise. They return -1 if the true label is X and as such are ignored during evaluation. Finally, we looked at the overall frame-wise accuracy, called `frame`. We choose not to use `majmin`, `triad` or `tetrad` as these are highly correlated with the previous metrics. This makes sense from a theoretical standpoint as the third and seventh are strong indicators of the triad and tetrad of the chord. This was also verified empirically on some initial results, where the `third` and `majmin` accuracies were always within 1% of one another.

For the above metrics, the mean is computed for each song, and both the mean and median across songs are returned. Although, the mean and median were found to not be very different, the median was consistently slightly higher, likely due to there being more negative outliers than positive outliers. Some of these outliers could be those songs previously identified as being unsuitable for chordal analysis (Humphrey and Bello, 2015). Because of the similarity between the two, we report only the mean throughout this work, chosen as it is more commonly used in recent literature. These metrics can be formally defined as follows:

$$M(f) = \frac{1}{N} \sum_{i=1}^N \frac{1}{T} \sum_{t=1}^T f(y_{i,t}, \hat{y}_{i,t})$$

where  $M$  is the metric in question,  $f$  is a function which calculates the metric for a pair of reference and hypothesis chord labels, defined for each metric as above.  $N$  is the number of songs,  $T$  is the number of frames in a song,  $y_{i,t}$  is the true chord at frame  $t$  of song  $i$ , and  $\hat{y}_{i,t}$  is the predicted chord at frame  $t$  of song  $i$ .

For some experiments, we looked at three more metrics. The first is the overall frame-wise accuracy, calculated across the entire evaluation dataset rather than on a per-song basis, still ignoring X chords, called `frameall`. The second and third are the mean and median class-wise accuracies, called `classmean` and `classmedian` respectively. These are the mean/median accuracy over each chord class. These metrics are formally defined as follows:

$$\text{frame}_{\text{all}} = \frac{1}{N} \sum_{i=1}^N \frac{1}{T} \sum_{t=1}^T \mathbb{1}_{(y_{i,t}=\hat{y}_{i,t})}$$

$$\text{class}_{\text{mean}} = \frac{1}{C} \sum_{c=1}^C \text{acc}(c), \quad \text{class}_{\text{median}} = \text{median}_{c=1}^C [\text{acc}(c)]$$

where  $\text{acc}(c)$  is the accuracy of class  $c$  defined as:

$$\text{acc}(c) = \frac{\sum_{i=1}^N \sum_{t=1}^T \mathbb{1}_{(y_{i,t}=c \wedge \hat{y}_{i,t}=c)}}{\sum_{i=1}^N \sum_{t=1}^T \mathbb{1}_{(y_{i,t}=c)}}$$

where  $C$  is the number of chord classes, and  $N$ ,  $T$ ,  $y_{i,t}$  and  $\hat{y}_{i,t}$  are as defined before.

This metric is intended to measure the model’s performance on the long tail of the chord distribution. It is important to measure both the mean and median as the distribution of accuracies is skewed. These last two metrics are the only ones take into account the entire evaluation dataset at once. Some songs may contain very few chords of a particular chord or quality, making the per-song metrics very noisy.

For the majority of experiments, the metrics on the validation set are used to compare performance. The test set is held out for use only to compare the final performances of selected models.

Finally, other evaluation tools were used, such as confusion matrices and accuracies over particular chord qualities and classes. A small amount of qualitative analysis was also done, looking at the predictions of the model on a few songs. This was done to understand the model’s behaviour and to identify any systematic errors.

### 3.3 Training

Three variants of the dataset were used for training, validation and testing. For training, an epoch consisted of randomly sampling a patch of audio from each song in the training set. The length of this sample was kept as a hyperparameter. For testing, the entire song was used as performance was found to be better if the model was allowed to see the entire song at once. Because songs are of variable length, songs were split into patches of the same length as the training patches when validating mid-way through training. This meant that computation could be parallelised without many padded frames. For all variants, frames in the batch were padded to the maximum length of the batch and ignored for loss or metric calculation.

The models were trained using the PyTorch library (Paszke et al., 2019). All final experiments were run on the ML Teaching Cluster with a variety of Nvidia GPUs, mostly GTX 1060’s or GTX 1080’s. Unless state otherwise, models were trained with the Adam optimiser (Kingma and Ba, 2015) with a learning rate of 0.001 and pytorch’s CosineAnnealingLR scheduler, set to reduce the learning rate to 1/10th of its initial value over the run. Models were trained to minimise the cross entropy loss between the predicted chord and the true chord distributions. The models were trained with a batch size of 64 for a maximum of 150 epochs unless stated otherwise. Validation was conducted every 5 epochs in order to save on computation time. Optionally, training was stopped early if the validation loss did not improve for 25 epochs. The model was saved whenever the validation loss improved. Each training run took approximately 20 minutes of GPU time.

For the majority of experiments, a random 60/20/20% training/validation/test split was used. This contrasts much of the literature which uses a 5-fold cross validation split, as

introduced by Humphrey and Bello (2015). We do not maintain this split in order to obtain clean estimators of the generalisation error using the held-out test set. The split was kept constant across experiments. Later, models were re-trained on the combined training and validation sets and tested on the test set. In Chapter 5, the models were trained on the entire *Pop* dataset and tested on the *JAAH* dataset.

# Chapter 4

## Model Comparison

In this section, we compare various model on the *Pop* dataset. We start by describing a baseline model. We then implement a CRNN from the literature and investigate its behaviour. We look at weighting the loss function, training on a more structured loss function, and performance of a transformer model. Finally, we investigate the use of generative features in the model and compare the best models on the held-out test set.

### 4.1 Logistic Baseline

As a simple baseline, we consider a single layer NN which treats each frame independently. Then the layer takes input of size 216 and outputs a  $V$ -dimensional vector, where  $V$  is the cardinality of the chord vocabulary. The outputs are then passed through a softmax layer to get the probability of each chord and the cross-entropy loss is calculated. We call this model *Logistic*. This can effectively be seen as a logistic regression model trained using SGD. We could have used a logistic regression model implemented in `sklearn` for example which may have found better minima but implementing it as a neural network was fast and easy and unlikely to yield significantly different results.

A grid search on learning rates and learning rate schedulers was conducted on the sets `[0.1, 0.01, 0.001, 0.0001]` and `[Cosine, ReduceLROnPlateau, None]` respectively. The best model was found to be a learning rate of 0.01 with a `Cosine` scheduler. This best model was chosen for having the highest score on the most of the metrics in validation set. All models with learning rates of 0.01 or 0.001 converged within 150 epochs. Although the best model had learning rate 0.01, a learning rate of 0.001 over 150 epochs had a more stable validation accuracy. The model's results can be seen in Table 4.1. Full results are omitted as they are not relevant to the main discussion. The model serves simply as a baseline to compare the more complex models to. These results give us the first empirical evidence that the task is non-trivial. The model is only able to predict the root of the chord with a mean frame-wise accuracy of 0.64 and a mirex of 0.65. The model identifies both the root and the third with an accuracy of 0.56 but struggles more with the seventh with an accuracy of 0.44. The lowest scores are on class-wise accuracies able to predict the class of the chord with `classmean = 0.13` and `classmedian = 0.03`. This gives us the first insight into each of

the evaluation metrics and what we can hope from more complex models and other improvements.

Model	frame	root	third	seventh	mirex	class <sub>mean</sub>	class <sub>median</sub>
<i>Logistic</i>	0.42	0.64	0.56	0.44	0.65	0.13	0.03

Table 4.1: Baseline model results

## 4.2 CRNN

### 4.2.1 Model Description

We implement a Convolutional Recurrent Neural Network (CRNN) as described in McFee and Bello (2017). The model takes as input a matrix of size  $I \times F$  where  $I$  is the number of input features and  $F$  is the number of frames. The model passes the input through a layer of batch normalisation, before being fed through two convolutional layers with ReLU after each one. The first convolutional layer has a  $5 \times 5$  kernel, and outputs only one channel the same size as the input. It is intended to smooth out noise and spread some information across adjacent frames about sustained notes. The second layer has a kernel of size  $1 \times I$ , and outputs 36 values. This essentially acts as a linear layer across frames with shared inputs. The output is passed through a bi-directional GRU (Cho et al., 2014) and a final dense layer with the softmax activation. This produces a vector of length  $V$  for each frame, where  $V$  is the size of the chord vocabulary.

The authors of the model also propose using second GRU as a decoder before the final dense layer, called ‘CR2’. However, we believe that a similar effect could be achieved with more layers in the initial GRU. Furthermore, ‘CR2’ was implemented and results were indistinguishable from the model without it. We therefore do not include it in our final model. Results are omitted as they are neither relevant nor interesting.

### 4.2.2 Small to Large Vocabulary

Initial experiments were conducted on the simpler chord vocabulary with  $V = 25$ . Only if the model could somewhat accurately classify the smaller vocabulary and if performance did not decrease on the smaller chord vocabulary when trained on the larger vocabulary, would we proceed to using the larger vocabulary. In keeping with the methodology in McFee and Bello (2017), we initially run with a learning rate of 0.001. We also reduce the learning rate to half its previous value if the validation loss hasn’t improved in 10 epochs and stop training if it has not improved after 25 epochs, with a maximum of 100 epochs. Model convergence was manually checked using the validation and training losses over epochs.

Results are shown in Table ???. A confusion matrix over chord roots is shown in Figure ??. We see that...

Transition to first large vocab experiments. Compare performance across the two.

While some other works [XX] continue to measure performance on the smaller vocabulary, we believe more metrics distract from the main goal of increasing performance across a wider range of chords. Additionally, the inherent ambiguity of whether a chord should be present in the smaller vocabulary will always lead to some chords being misclassified. We therefore only measure performance on the larger vocabulary.

### **4.2.3 Hyperparameter Tuning**

- Learning rates - Random search

### **4.2.4 Model Analysis**

- Transition frame analysis - Incorrect region analysis

### **4.2.5 Hop Length**

## **4.3 Weighted Loss**

## **4.4 Pitch Augmentation**

## **4.5 Structured Training**

## **4.6 Transformer**

## **4.7 Using Generative Features**

## **4.8 Results on Test Set**

# **Chapter 5**

## **Synthetic Data Generation**

### **5.1 Performance on JAAH**

- The performance of existing models on JAAH

### **5.2 Generation method**

- Generation method

### **5.3 Experiments**

- Brief description of the experiments and metrics I'm looking at

### **5.4 Results**

- Comparison on normal data - Comparison on JAAH

### **5.5 Qualitative Analysis**

- Qualitative analysis of the results



# **Chapter 6**

## **Conclusions**

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# **Appendix A**

## **First appendix**

### **A.1 First section**