



*Introduction to Visualization and Computer Graphics*

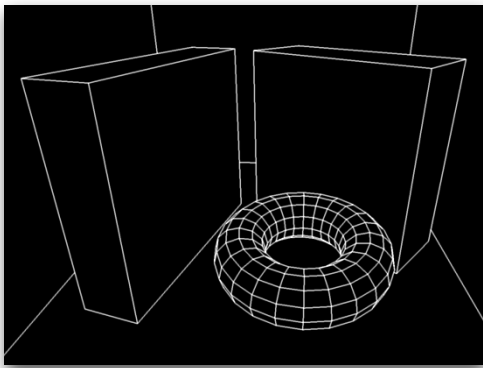
*DH2320, Fall 2015*

*Prof. Dr. Tino Weinkauff*

# ***Introduction to Visualization and Computer Graphics***

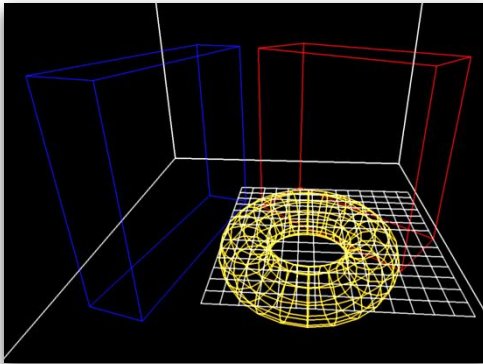
Visibility  
Shading

# 3D Rendering

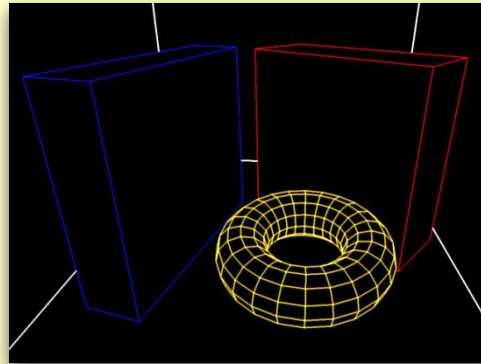


Geometric Model

Color



Perspective



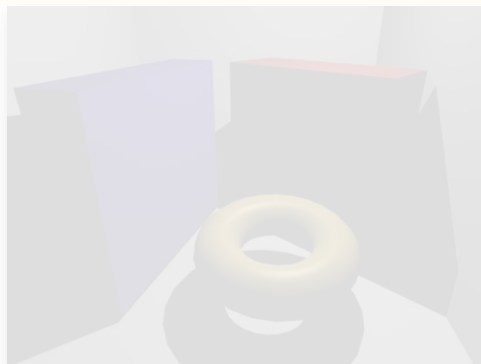
Visibility



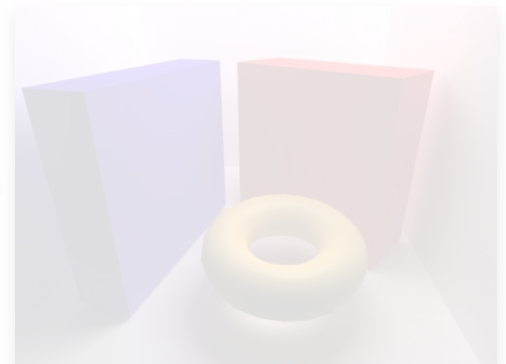
Local Illumination



Smooth Shading

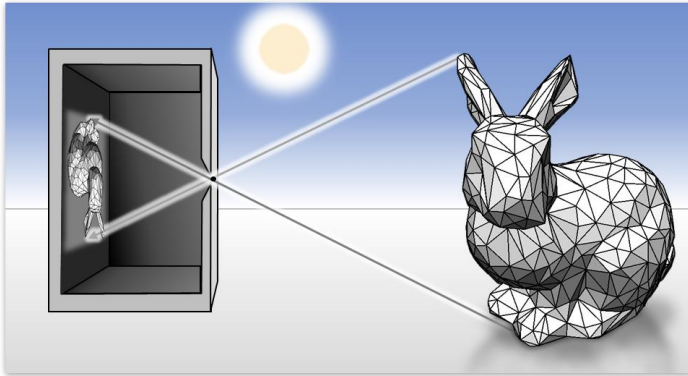


Simple Shadows



Global Illumination

# Visibility Algorithms



# Two Rendering Pipelines

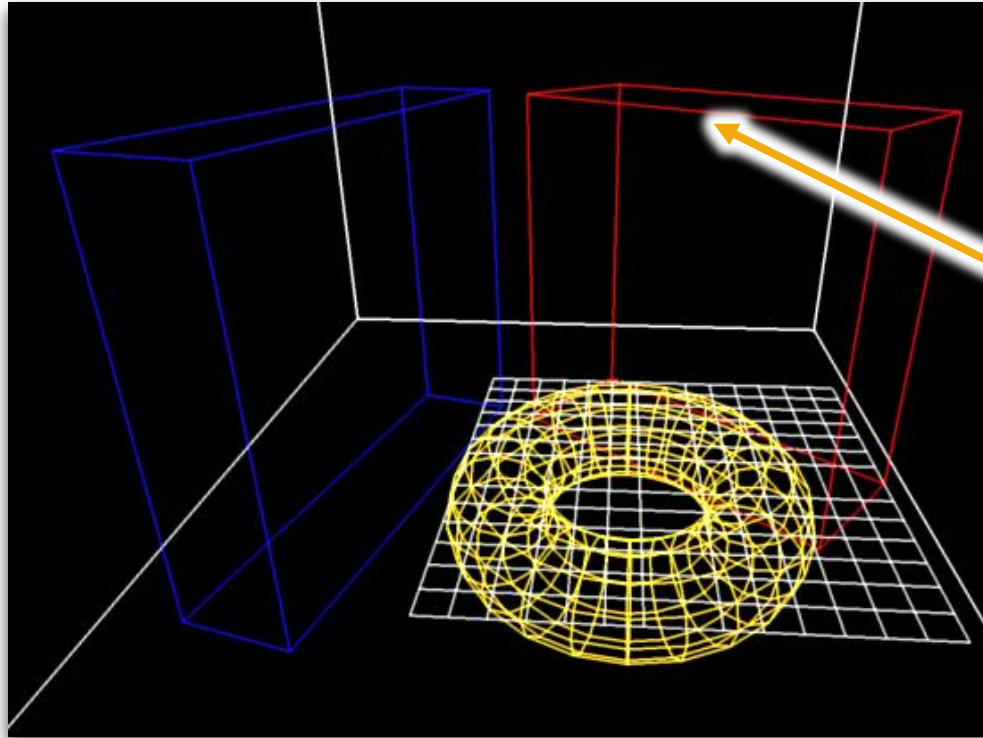
## **Rasterization**

- Project all triangles to the screen
- Rasterize them (convert to pixels)
- Determine visibility
- Apply shading (compute color)

## **Raytracing**

- Iterate over all pixels
- Determine visible triangle
- Compute shading, color pixel
- ➔ next lecture

# Triangle / Polygon Rasterization



After Perspective Projection

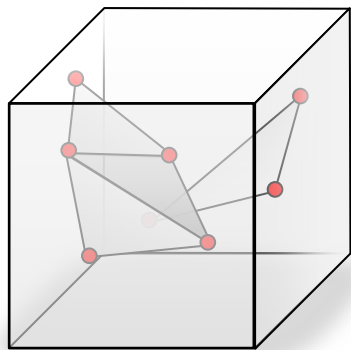
## Observations

*Straight lines* remain *straight*!

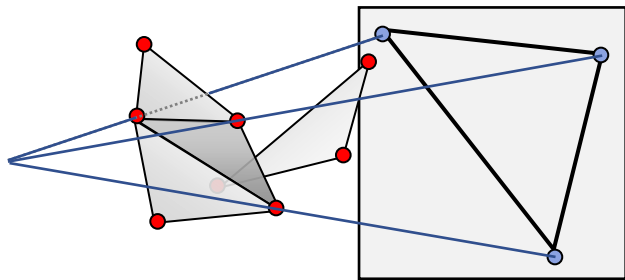
*Triangles* mapped to *triangles*

*Polygons* to *polygons*

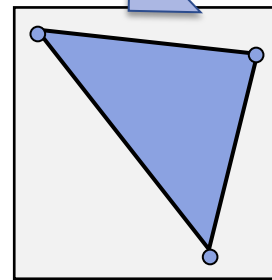
# Rasterization



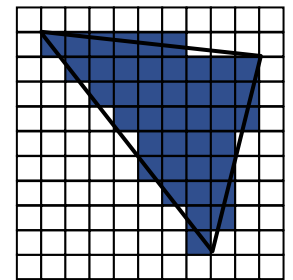
3D Scene



Projection



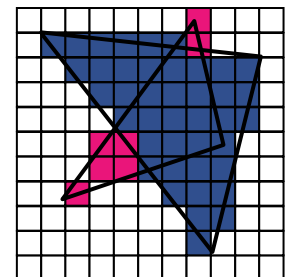
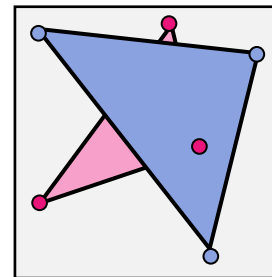
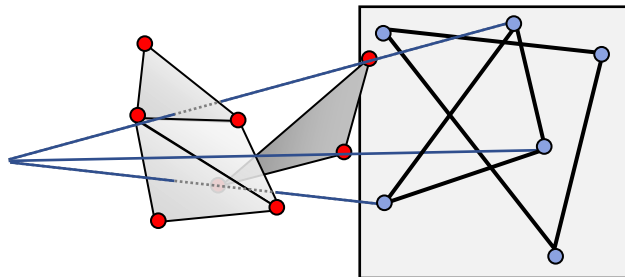
Visibility



Rasterization

## Visibility

- preprocessing or
- during rasterization



# Rasterization

## Two main algorithms

- Painter's algorithm (old)
  - Simple version
  - Correct version
- z-Buffer algorithm
  - Dominant real-time method today

# Painter's Algorithm



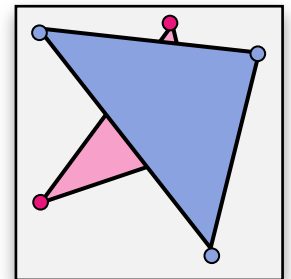
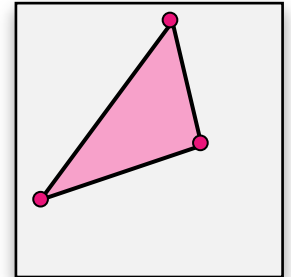
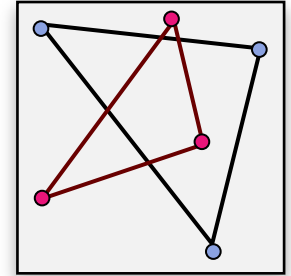
# Painter's Algorithm

## Painters Algorithm

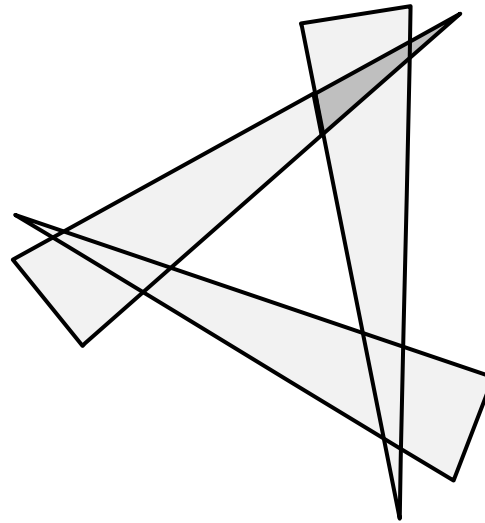
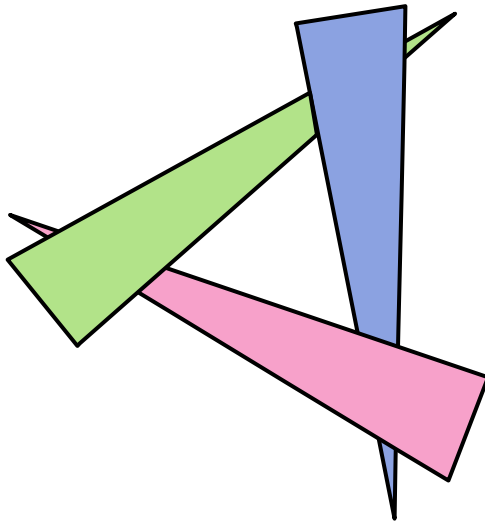
- Sort primitives back-to-front
- Draw with overwrite

## Drawbacks

- Slowish
  - $\mathcal{O}(n \cdot \log n)$  for  $n$  primitives
  - “Millions per second”
- Wrong
  - Not guaranteed to always work



# Counter Example



## Correct Algorithm

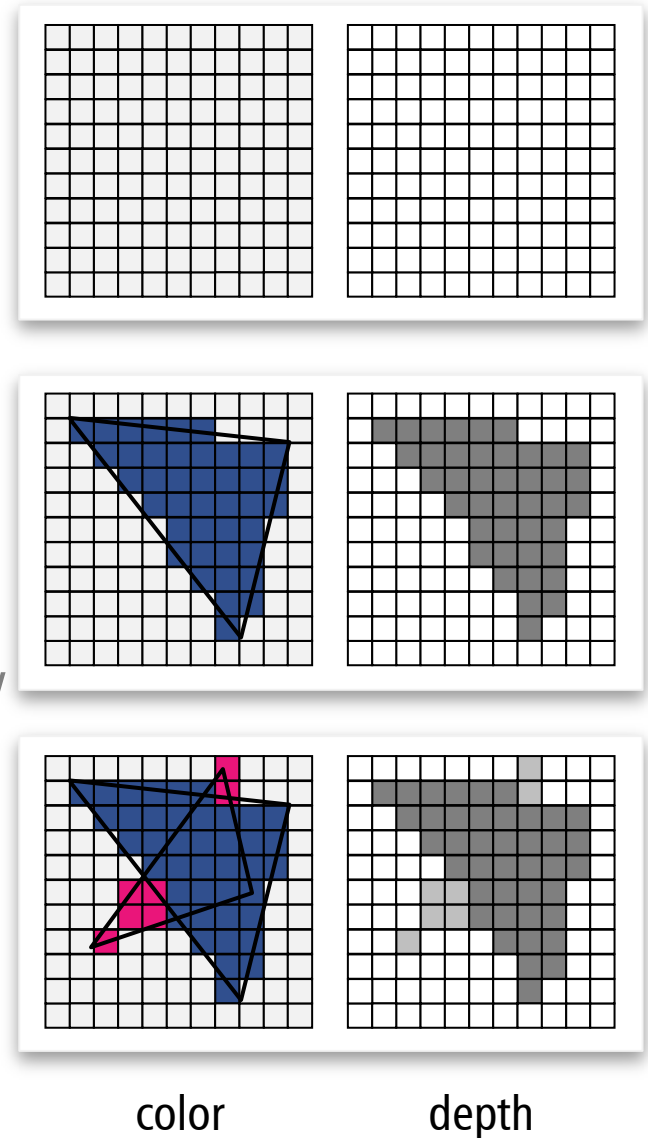
- Need to cut primitives
- Several strategies
  - Notable: BSP Algorithm in Quake
  - Old graphics textbooks list many variants
  - No need for us to go deeper

# z-Buffer Algorithm

# z-Buffer Algorithm

## Algorithm

- Store depth value for each pixel
- Initialize to MAX\_FLOAT
- Rasterize all primitives
  - Compute fragment depth & color
  - Do not overwrite if fragment is farer away than the one stored in the buffer



# Discussion: z-Buffer

## Advantages

- Extremely simple
- Versatile – only primitive rasterization required
- Very fast
  - GeForce 2 Ultra: 2GPixel /sec  
(release year: 2000)
  - GeForce 700 GTX Titan: 35 GPixel / sec  
(release year: 2013)

# Discussion: z-Buffer

## Disadvantages

- Extra memory required
  - This was a serious obstacle back then...
  - Invented in 1974 (Catmull / Straßer)
- Only pixel resolution
  - Need painter's algorithm for certain vector graphics computations
- No transparency
  - This is a real problem for 3D games / interactive media
  - Often fall-back to sorting
  - Solution: A-Buffer, but no hardware support

*stream surfaces around a delta wing rendered using A-buffers*

# Rasterization and Clipping

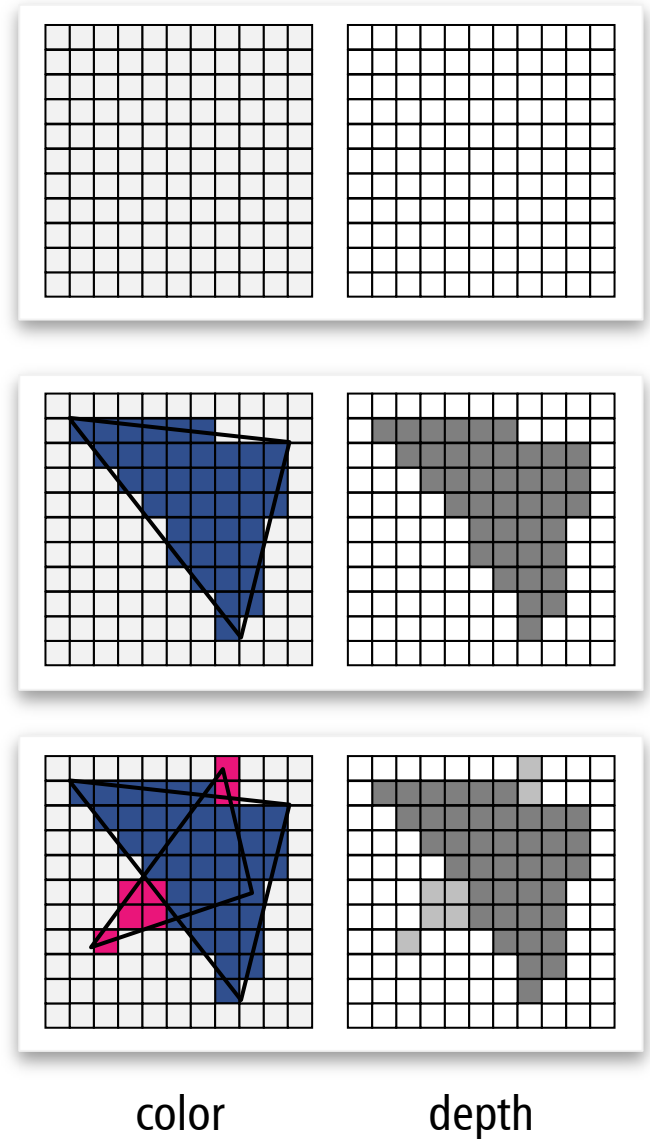


# Rasterization

## How to rasterize Primitives?

### Two problems

- Rasterization
- Clipping

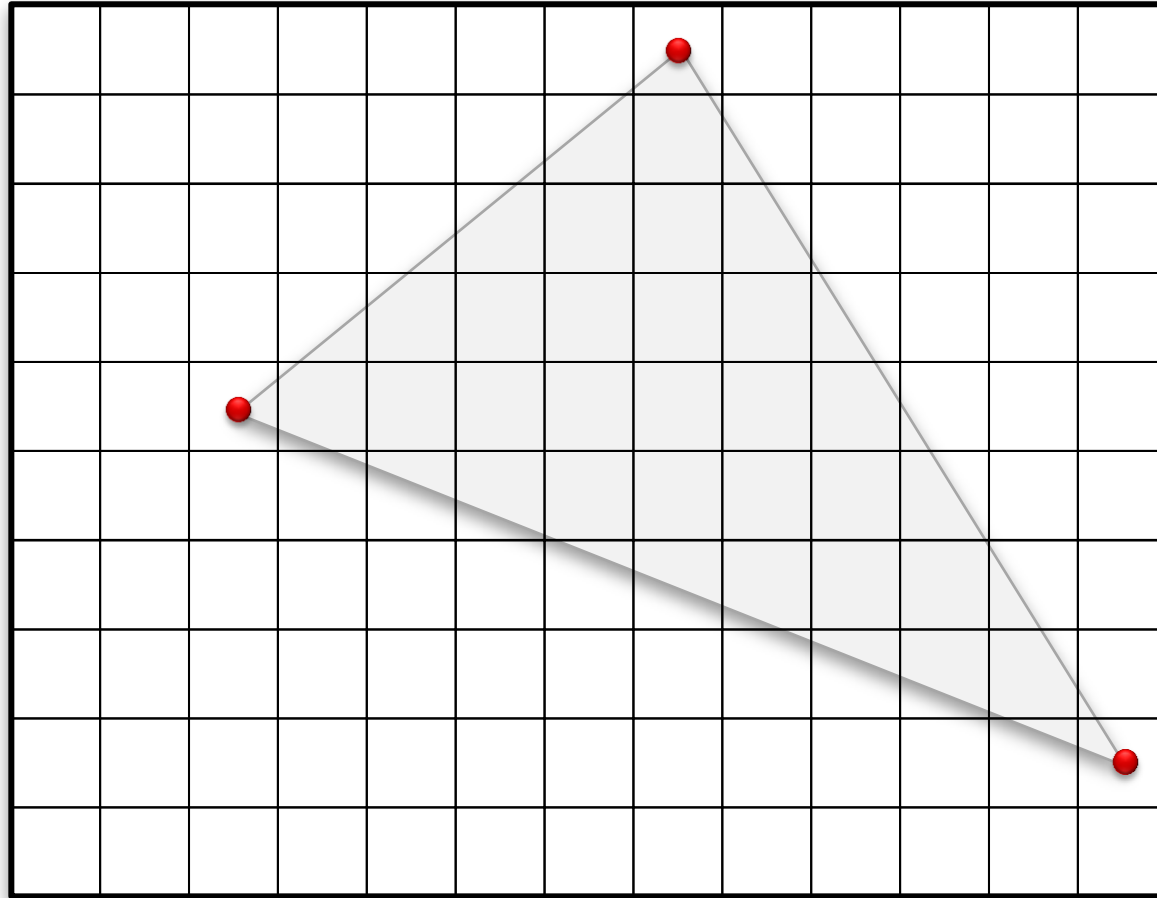


# Rasterization

## **Assumption**

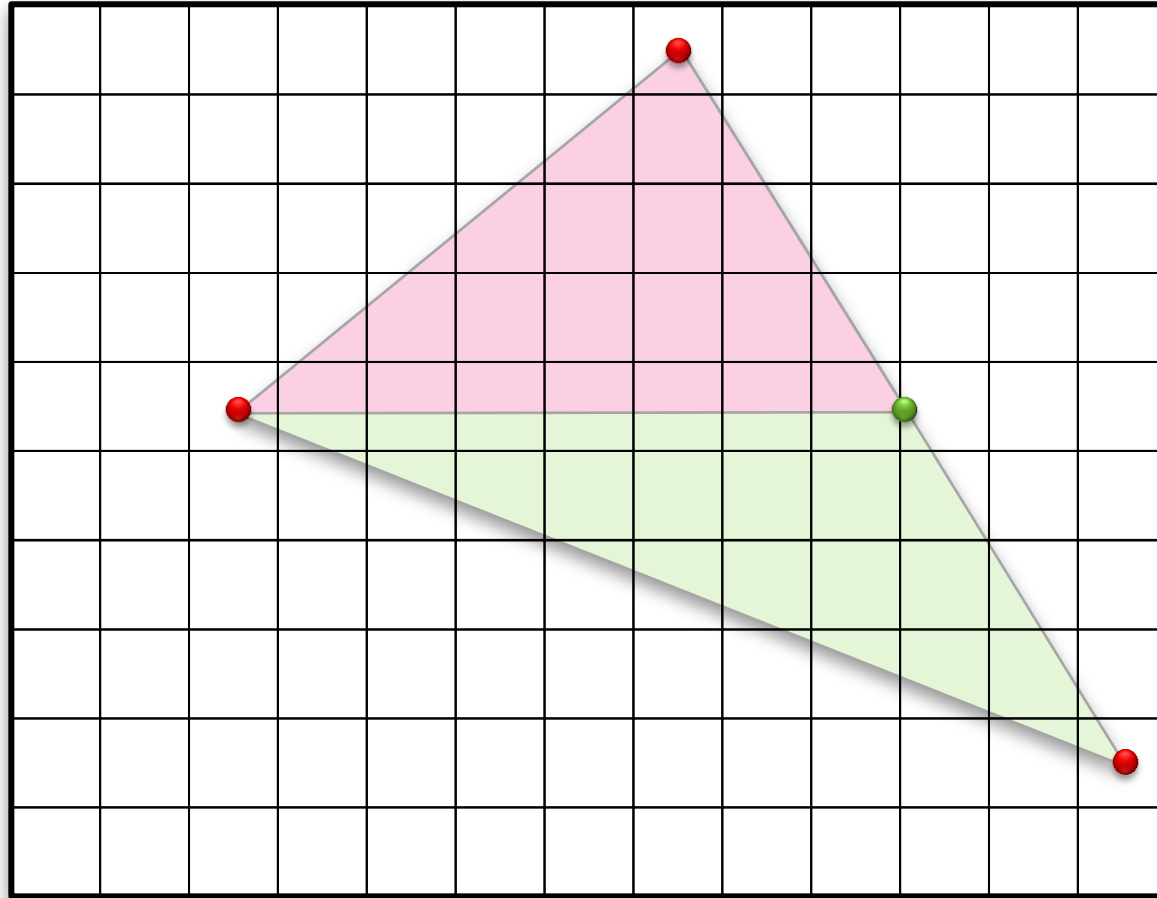
- Triangles only
- Triangle not outside screen
- No clipping required

# Triangle Rasterization



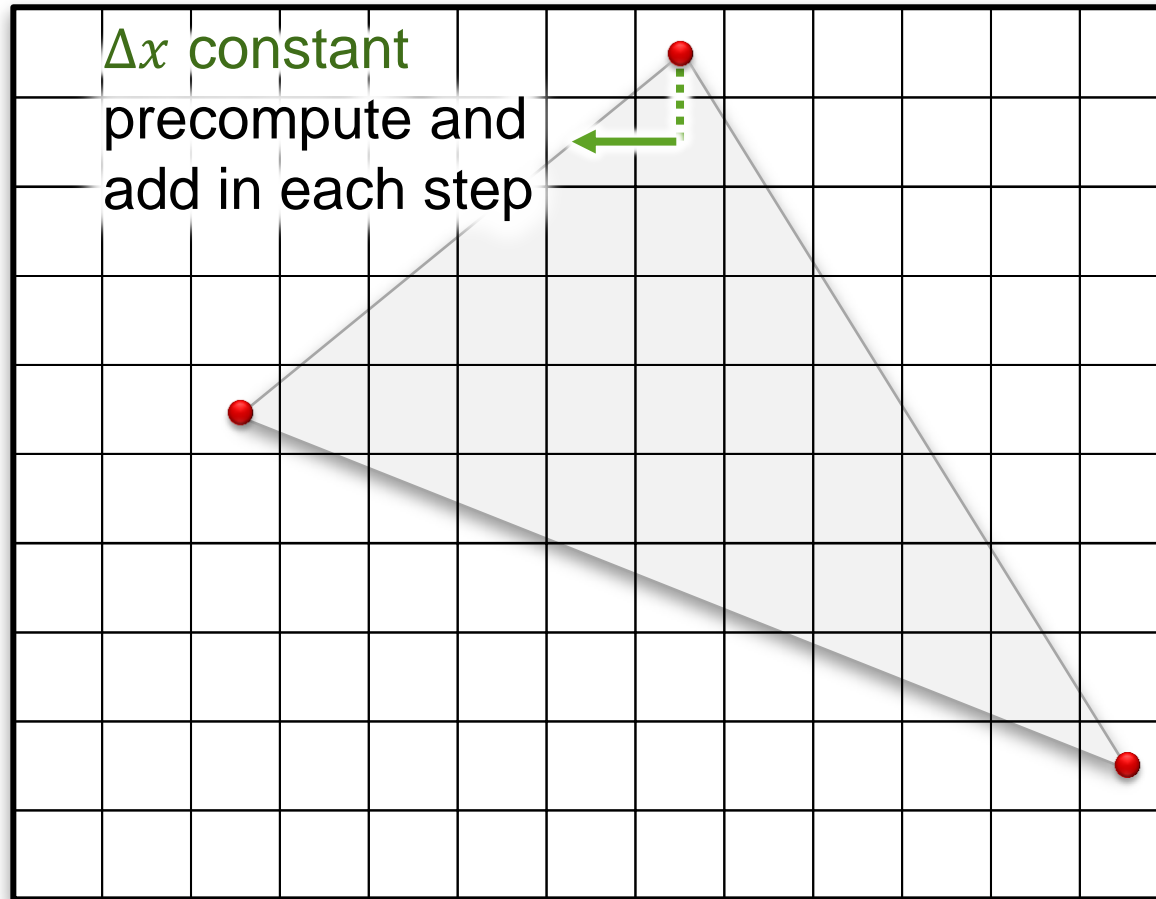
## Several Algorithms...

# Triangle Rasterization



**Example:** two slabs

# Triangle Rasterization



**Incremental rasterization**

# Incremental Rasterization

## **Precompute steps in x, y-direction**

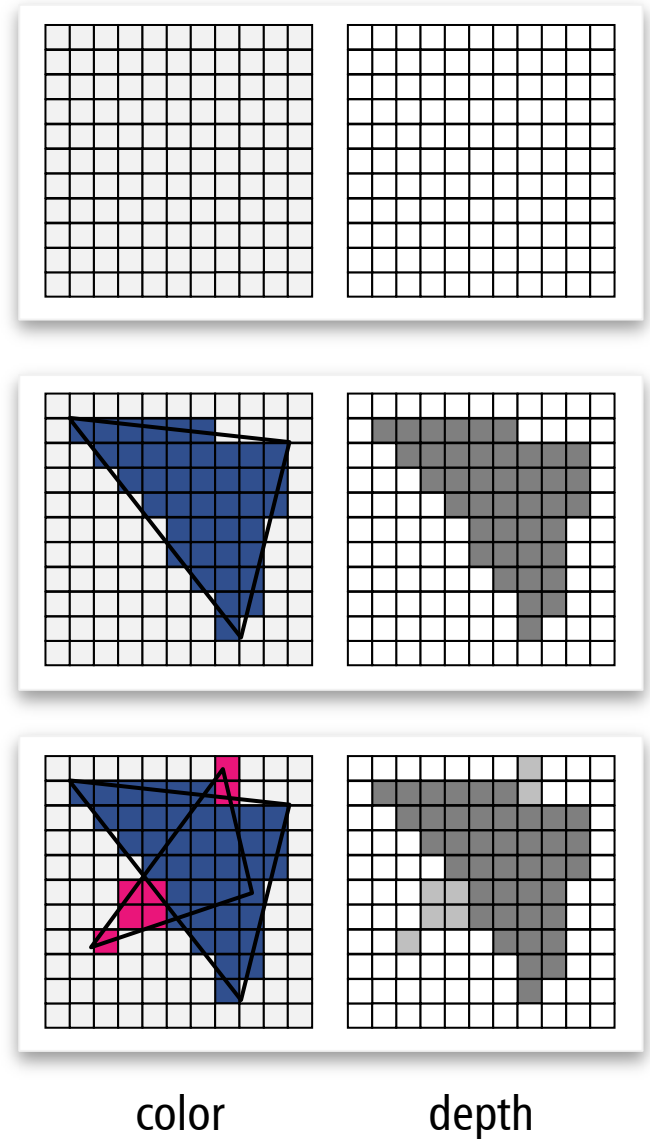
- For boundary lines
- For linear interpolation within triangle
  - Colors
  - Texture coordinates (more later)
- Inner loop
  - Only one addition (“DDA” algorithm)
  - Floating point value
  - Strategies
    - Fixed-point arithmetics
    - Bresenham / midpoint algorithm  
(requires if; problematic on modern GPUs)

# Rasterization

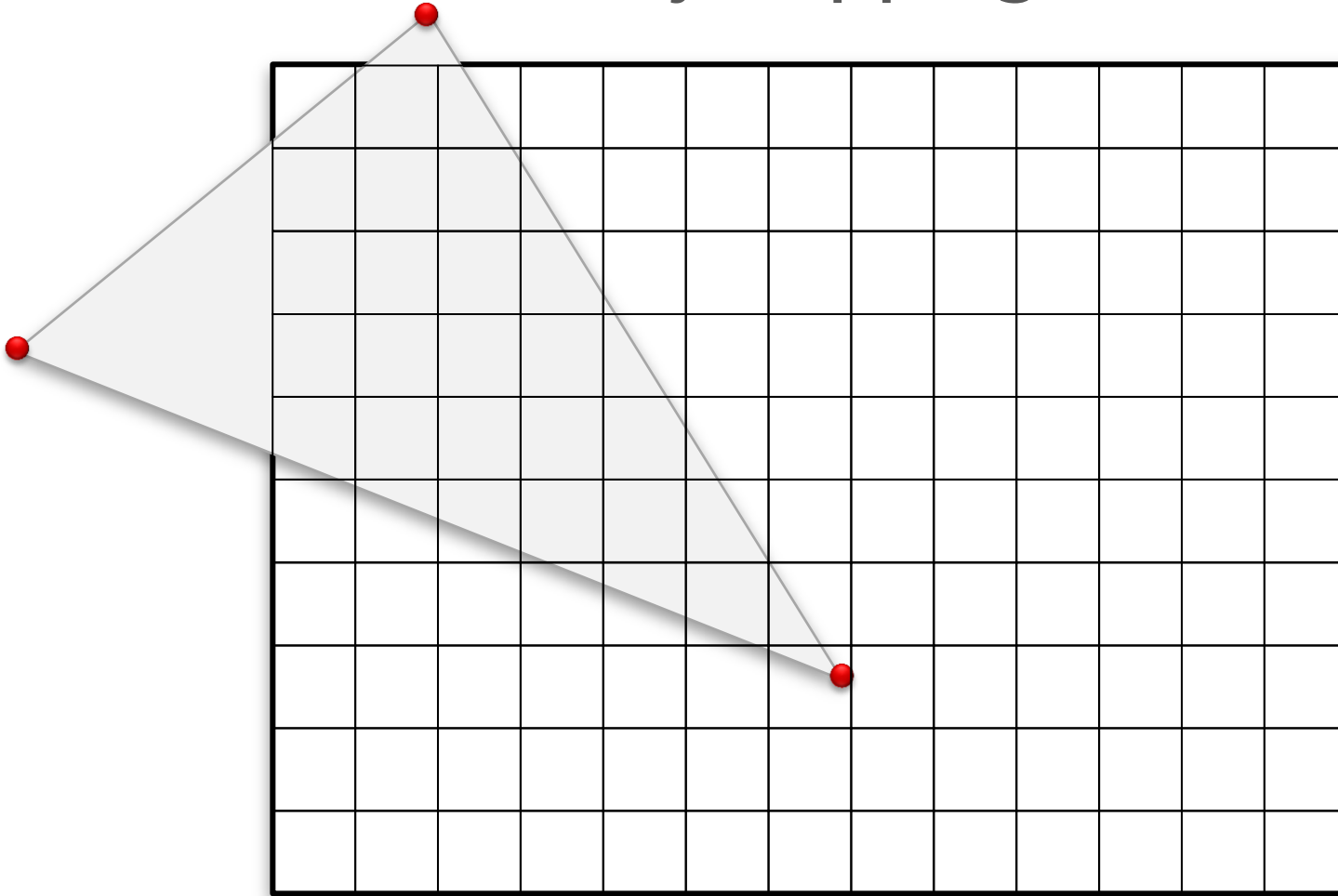
## How to rasterize Primitives?

### Two problems

- Rasterization
- Clipping



# Why Clipping?



**Crashes – write to off-screen memory!**



# Clipping Strategies

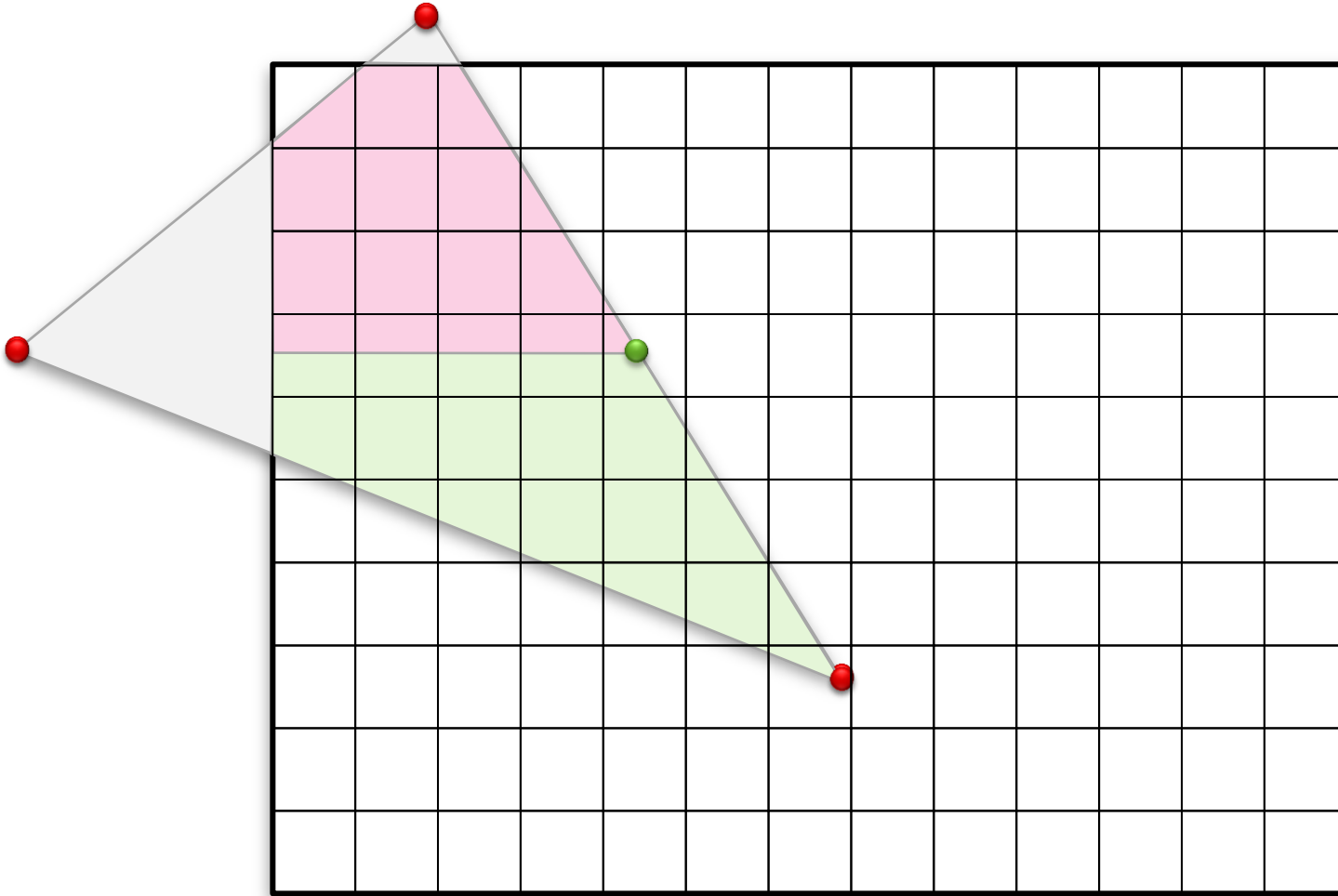
## Pixel Rejection

- "if  $(x,y \notin \text{screen})$  continue;"
  - Can be arbitrarily slow (large triangles)
  - Nope. Not a good idea.

## Screen space clipping

- Modify rasterizer to jump to visible pixels
- Efficient
- Still problems with when crossing camera plane ( $w = 0$ )  $\Rightarrow$  a semi-good idea

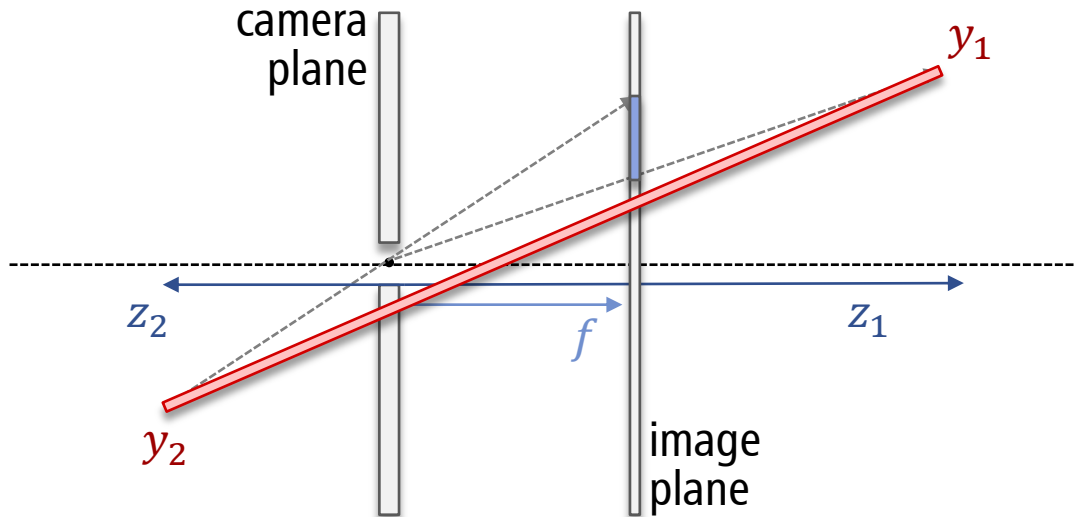
# Smart Slab Renderer



**Does not crash, optimal complexity**

- $O(k)$  for  $k$  output fragments

# Problem

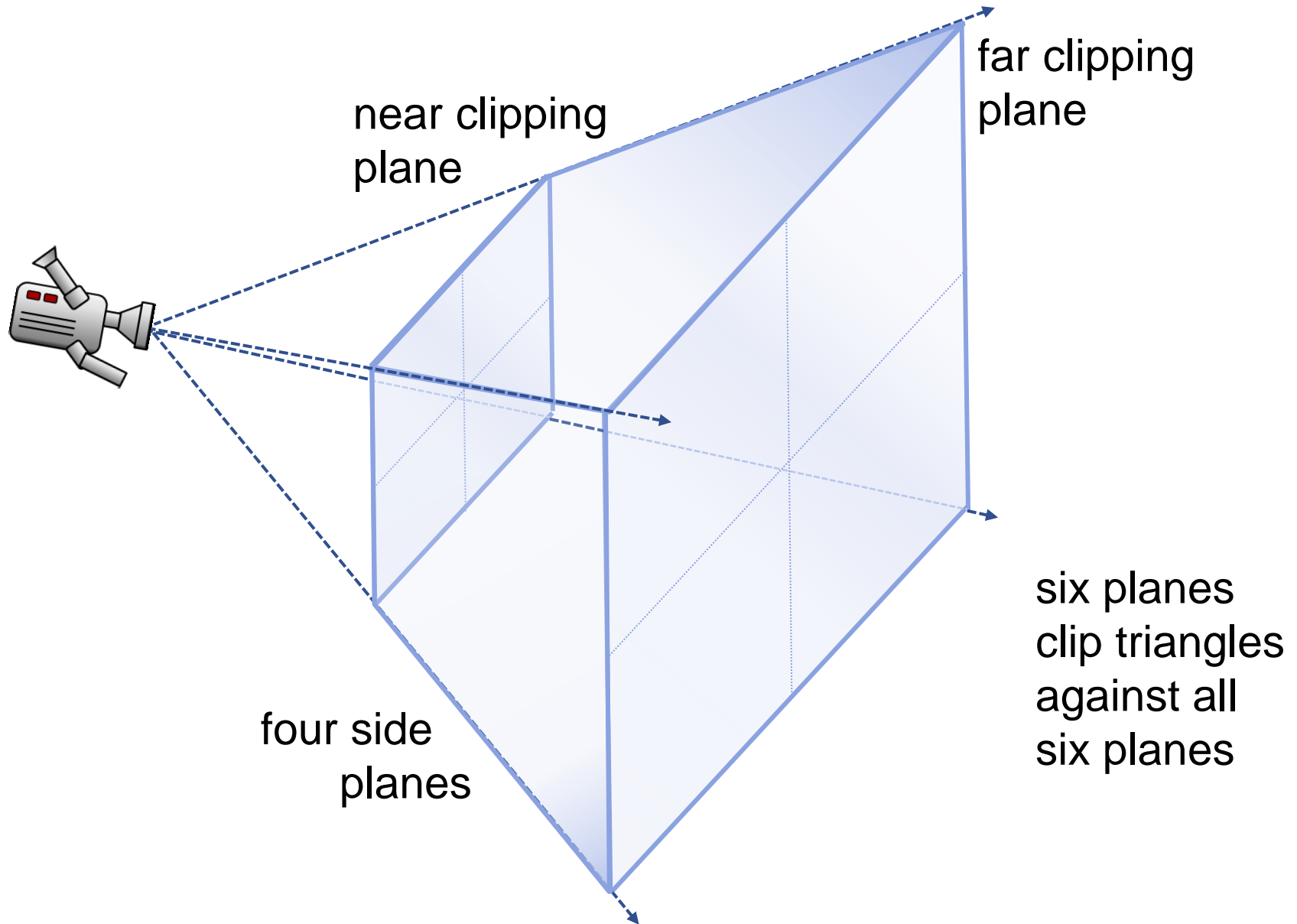


$$y' = f \frac{y}{z}$$

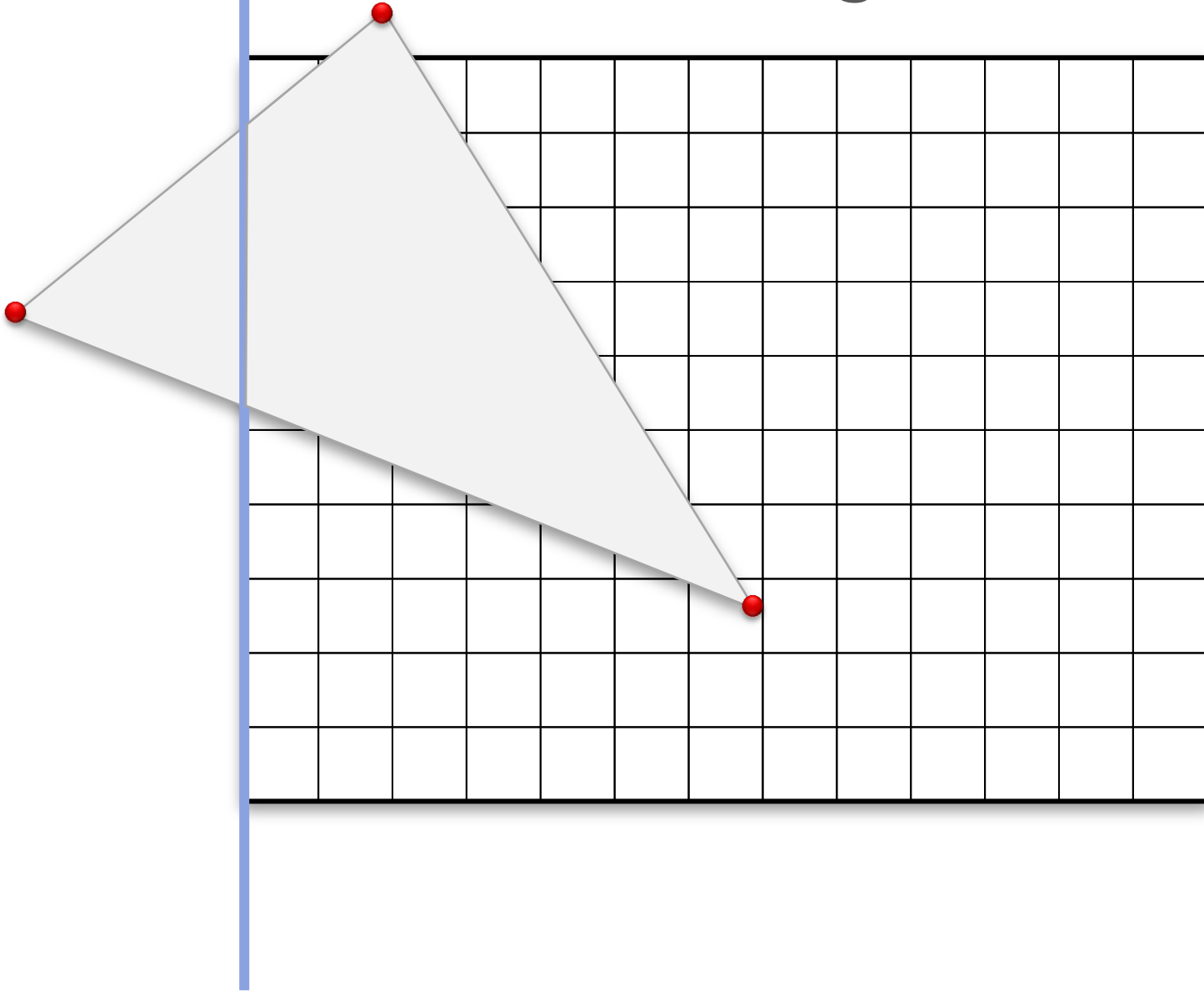
## Problem:

- Triangles crossing camera plane!
  - Wrong results
- Need object space clipping

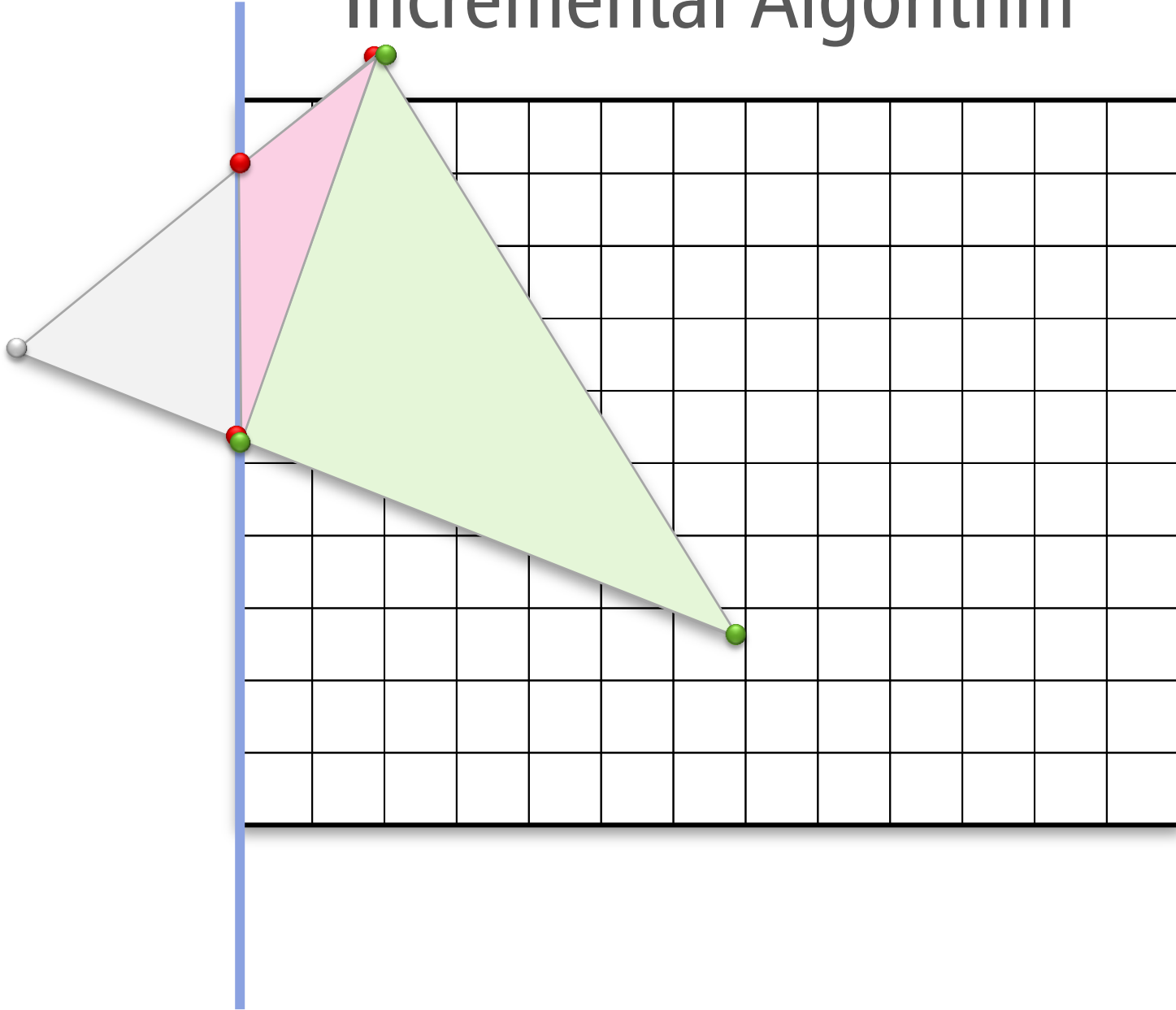
# View Frustum Clipping



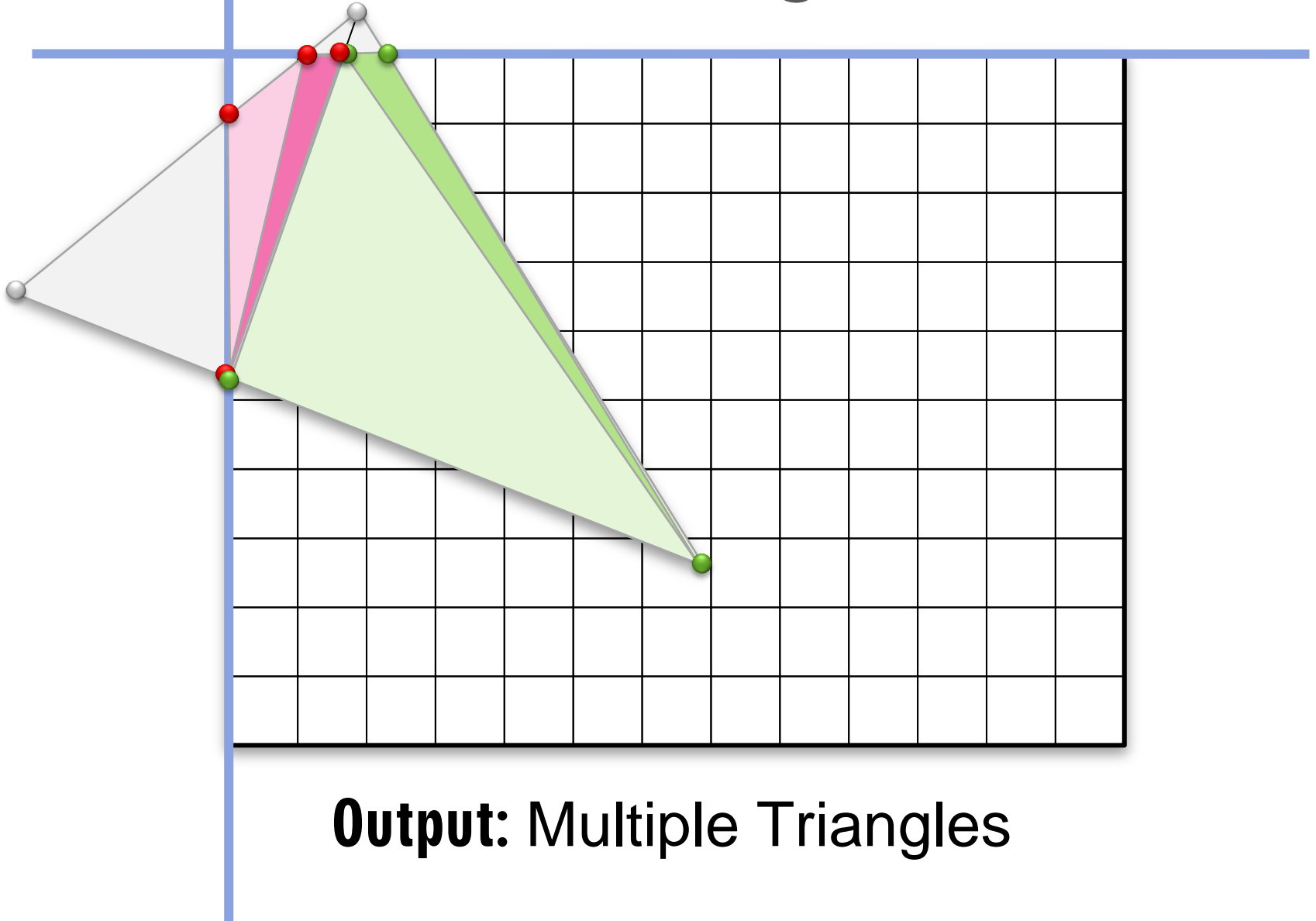
# Incremental Algorithm



# Incremental Algorithm



# Incremental Algorithm



# Further Optimization

## View Frustum Culling

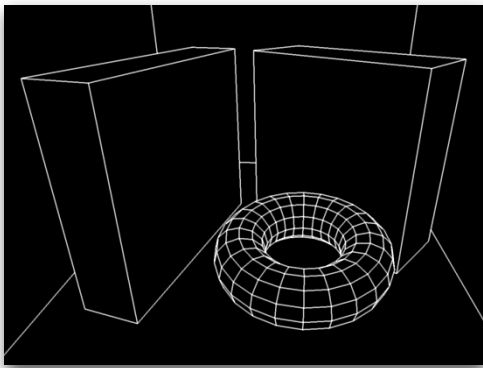
- Complex shapes (whole bunnies)
- Coarse bounding volume (superset)
  - Cube, Sphere
  - Often: Axis-aligned bounding box
- Reject all triangles inside if bounding volume outside view frustum



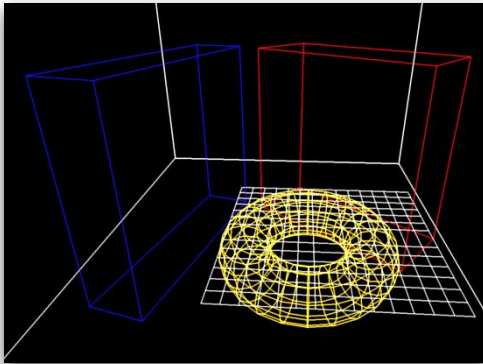
# 3D Rendering



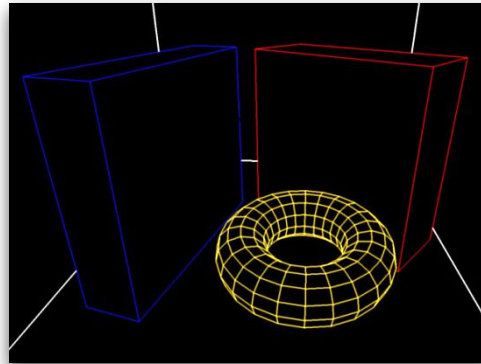
Color



Geometric Model



Perspective



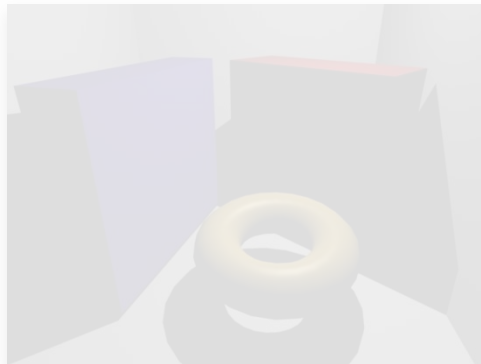
Visibility



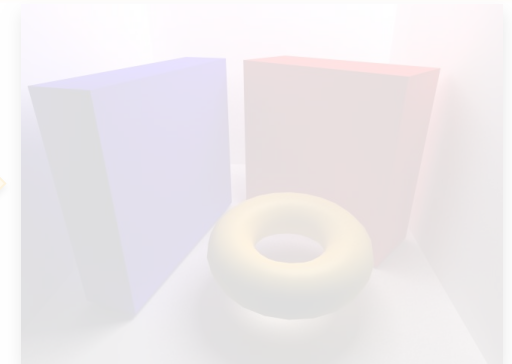
Local Illumination



Smooth Shading

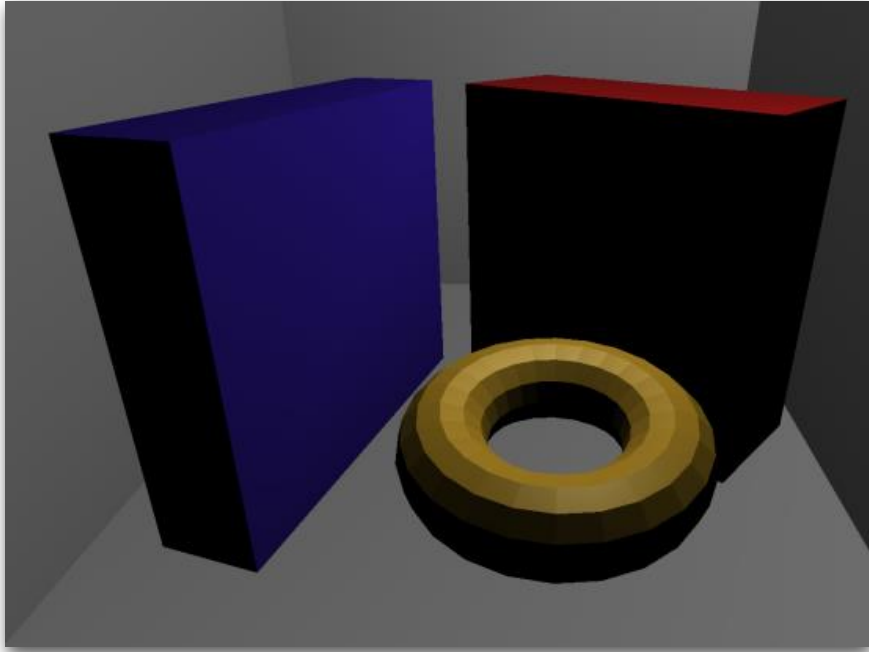


Simple Shadows



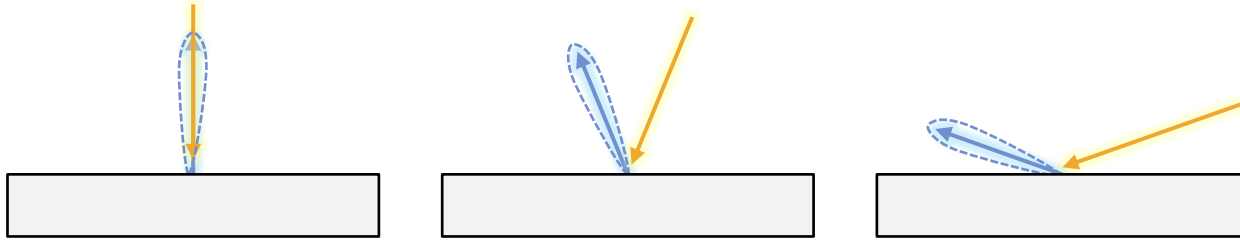
Global Illumination

# Shading Models

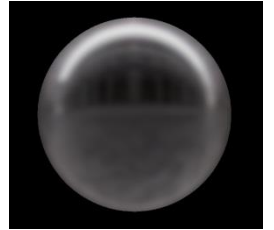
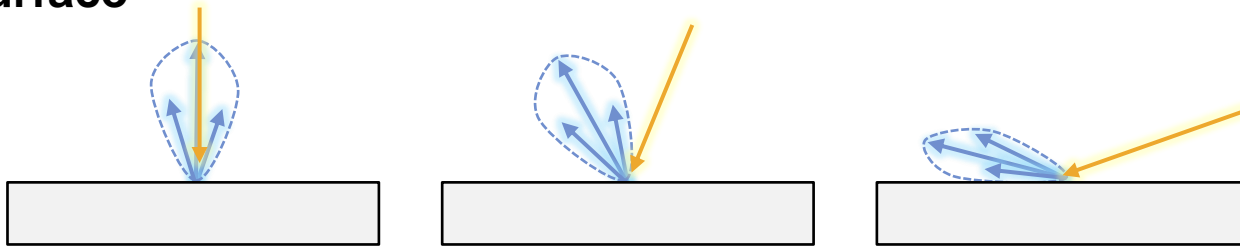


# Reflectance Models

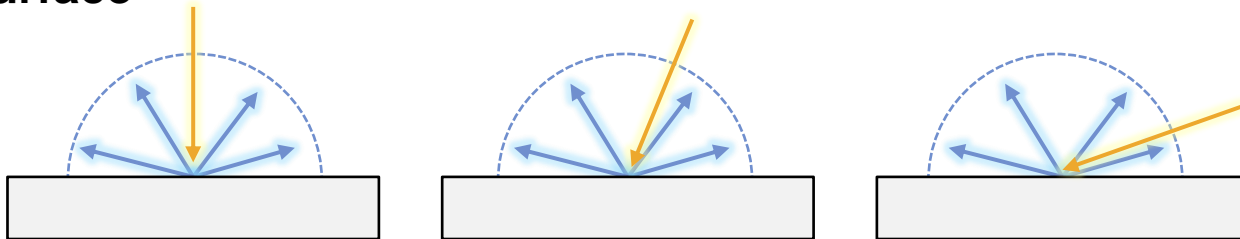
**mirror**



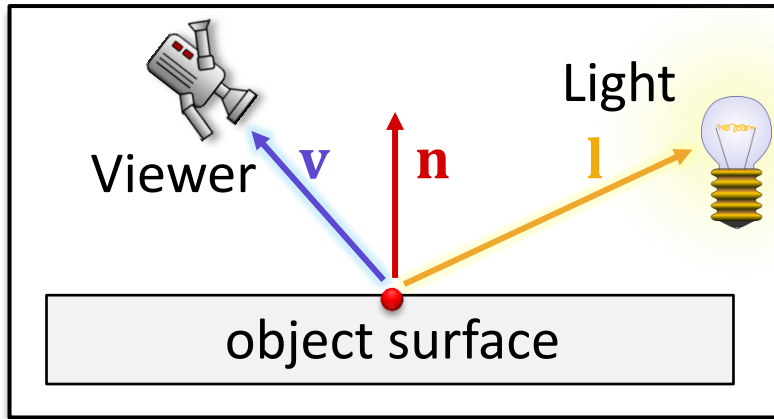
**glossy surface**



**diffuse surface**



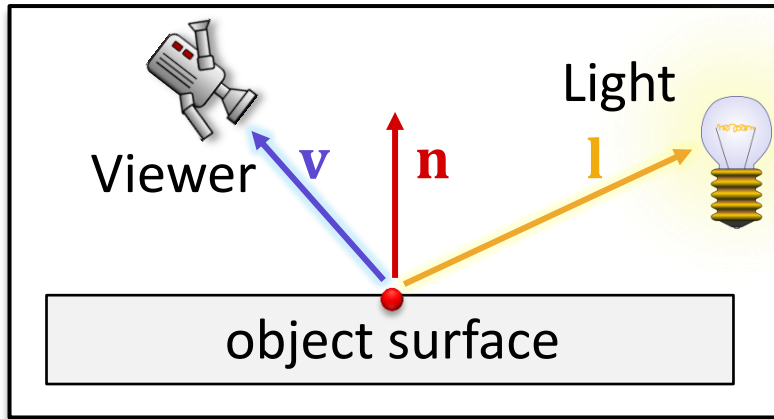
# Interaction with Surfaces



## Local Shading Model

- Single point light source
- Shading model / material model
  - Input: light vector  $\mathbf{l} = (\text{pos}_{light} - \text{pos}_{object})$
  - Input: view vector  $\mathbf{v} = (\text{pos}_{camera} - \text{pos}_{object})$
  - Input: surface normal  $\mathbf{n}$  (orthogonal to surface)
  - Output:  $color$  (RGB)

# Interaction with Surfaces



## General scenario

- Multiple light sources?
  - Light is linear
  - Multiple light sources: add up contributions
  - Double light strength  $\Rightarrow$  double light output

# Remark

## Simplify notation

- Define component-wise vector product

$$\mathbf{x} \circ \mathbf{y} = \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} \circ \begin{pmatrix} y_1 \\ y_2 \\ y_3 \end{pmatrix} := \begin{pmatrix} x_1 \cdot y_1 \\ x_2 \cdot y_2 \\ x_3 \cdot y_3 \end{pmatrix}$$

- No fixed convention in literature
- The symbol “ $\circ$ ” only used in these lecture slides!

# Remark

## Lighting Calculations

- Need to perform calculations for  $r$ ,  $g$ ,  $b$ -channels
- Often:

$$output_r = light_r \cdot material_r \cdot function(\mathbf{v}, \mathbf{l}, \mathbf{n})$$

$$output_g = light_g \cdot material_g \cdot function(\mathbf{v}, \mathbf{l}, \mathbf{n})$$

$$output_b = light_b \cdot material_b \cdot function(\mathbf{v}, \mathbf{l}, \mathbf{n})$$

- Shorter

$$\mathbf{output} = \mathbf{light\_strength} \circ \mathbf{material} \cdot function(\mathbf{v}, \mathbf{l}, \mathbf{n})$$

# Shading Effects

## Shading effects

- Diffuse reflection
- "Ambient reflection"
- Perfect mirrors
- Glossy reflection
  - Phong / Blinn-Phong
  - (Cook Torrance)
- Transparency & refraction

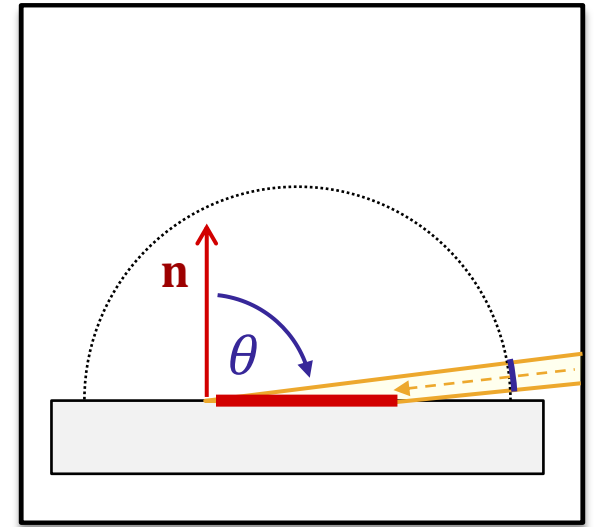
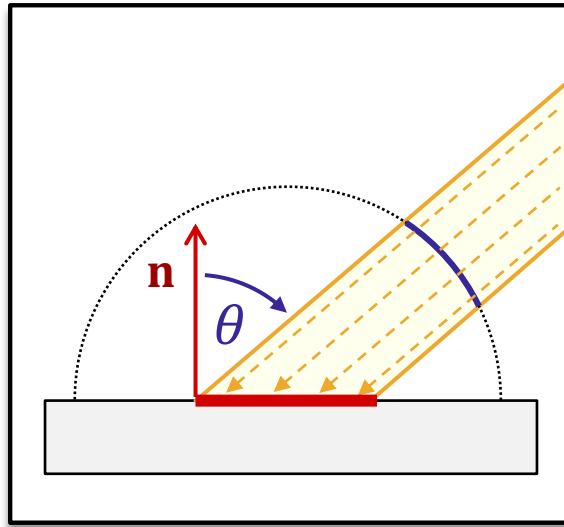
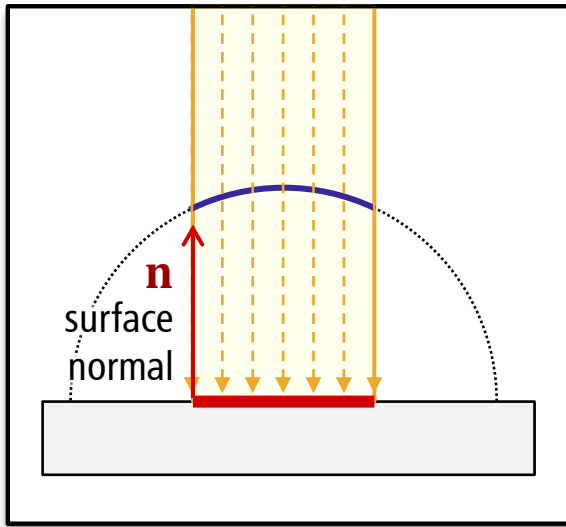


# Shading Effects

## Shading effects

- Diffuse reflection
- "Ambient reflection"
- Perfect mirrors
- Glossy reflection
  - Phong / Blinn-Phong
  - (Cook Torrance)
- Transparency & refraction

# Diffuse ("Lambertian") Surfaces



## Equation

(set to zero if negative)

$$c \sim \cos \theta$$

- Less light received at flat angles

$$\mathbf{c} = \mathbf{c}_r \circ \mathbf{c}_l \cdot \cos \theta$$

light color  
surface color

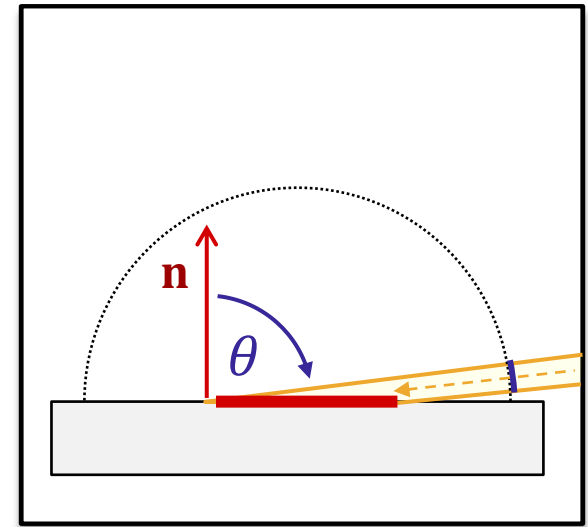
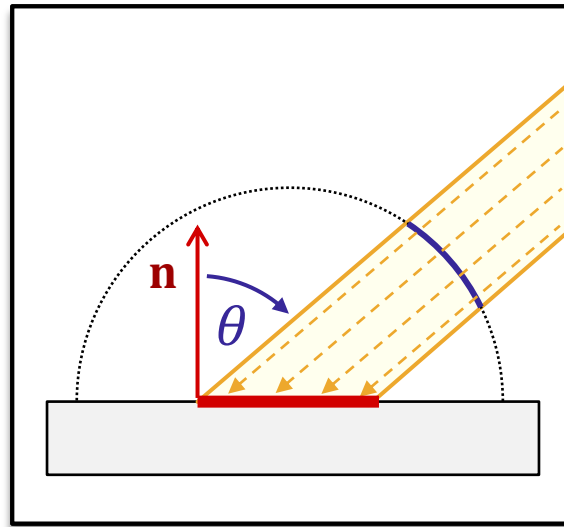
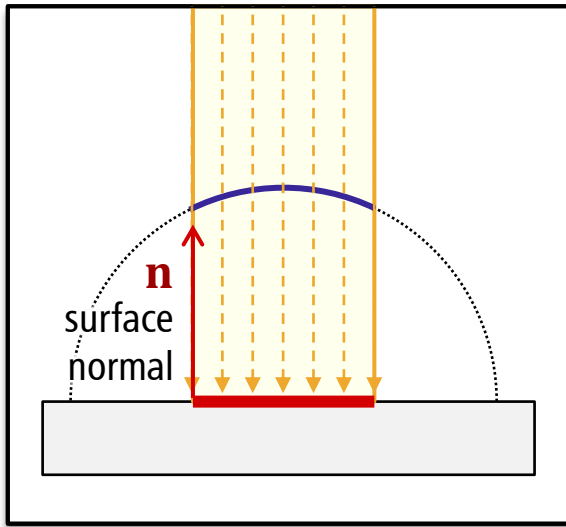
$c$  – intensity (scalar)

$\mathbf{c}$  – color ( $\text{RGB}$ ,  $\mathbb{R}^3$ )

$\mathbf{c}_r$  – surface color ( $\text{RGB}$ )

$\mathbf{c}_l$  – light color ( $\text{RGB}$ )

# Diffuse ("Lambertian") Surfaces



## Equation

$$c \sim \cos \theta \frac{1}{dist^2}$$

- Less light received at flat angles

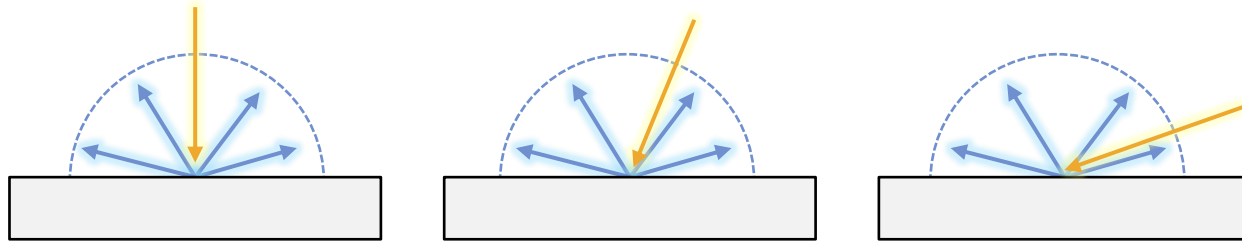
Attenuation:  $\frac{1}{dist^2}$   
(point lights)

$$\mathbf{c} = \mathbf{c}_r \circ \mathbf{c}_l \cdot \cos(\theta) \cdot \frac{1}{dist^2}$$

↑
light color  
↑
surface color

$c$  – intensity (scalar)  
 $\mathbf{c}$  – color ( $\text{RGB}, \mathbb{R}^3$ )  
 $\mathbf{c}_r$  – surface color ( $\text{RGB}$ )  
 $\mathbf{c}_l$  – light color ( $\text{RGB}$ )

# Diffuse Reflection



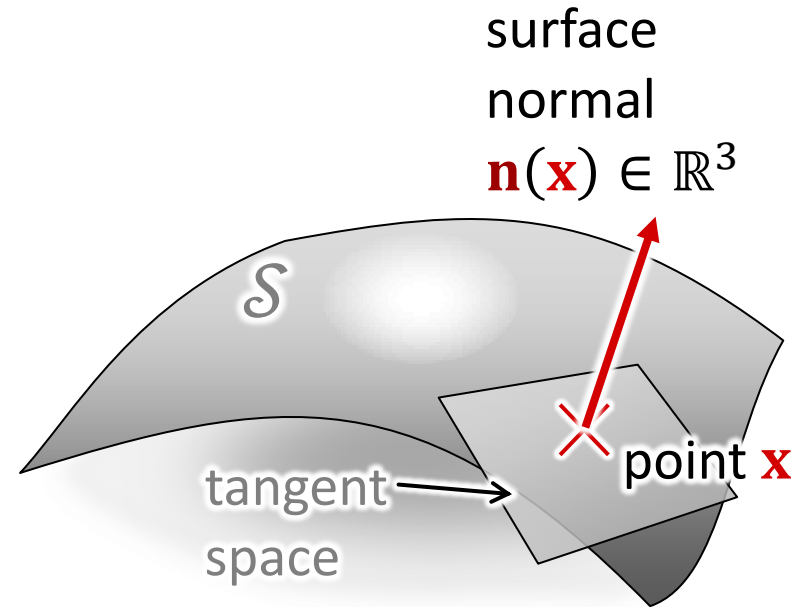
## Diffuse Reflection

- Very rough surface microstructure
- Incoming light is scattered in all directions uniformly
- “Diffuse” surface (material)
- “Lambertian” surface (material)

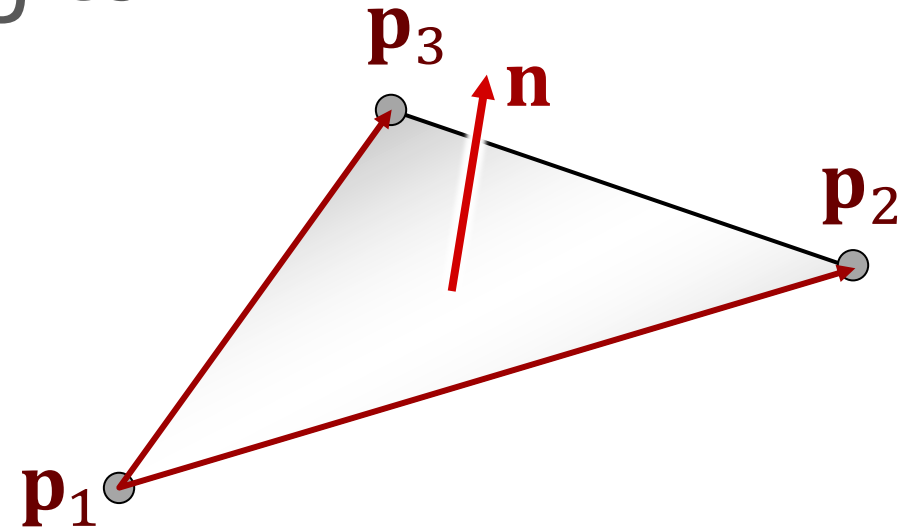
# Surface Normal?

## What is a surface normal?

- Tangent space:
  - Plane approximation at a point  $\mathbf{x} \in \mathcal{S}$
- Normal vector:
  - Perpendicular to that plane
- Oriented surfaces:
  - Pointing outwards (by convention)
  - Orientation defined only for closed solids



# Triangles



## Single Triangle

- Parametric equation

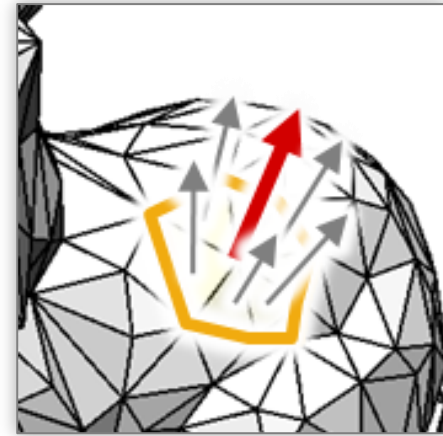
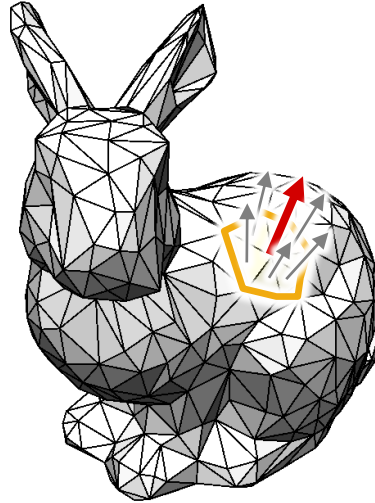
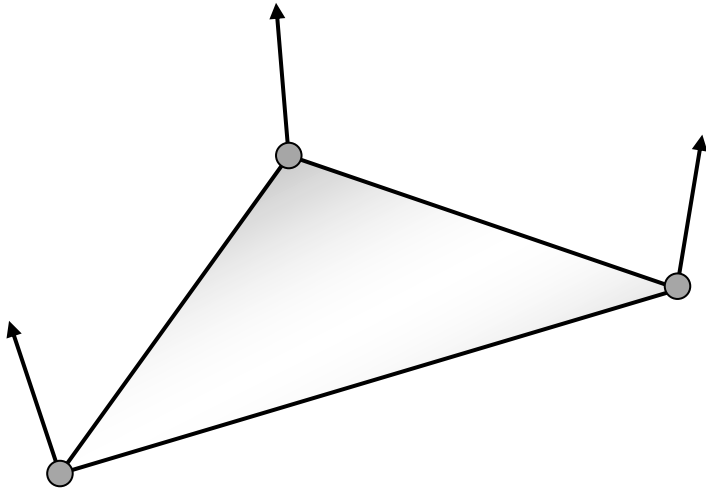
$$\{\mathbf{p}_1 + \lambda(\mathbf{p}_2 - \mathbf{p}_1) + \mu(\mathbf{p}_3 - \mathbf{p}_1) \mid \lambda, \mu \in \mathbb{R}\}$$

- Tangent space: the plane itself
- Normal vector

$$(\mathbf{p}_2 - \mathbf{p}_1) \times (\mathbf{p}_3 - \mathbf{p}_1)$$

- Orientation convention:  
 $\mathbf{p}_1, \mathbf{p}_2, \mathbf{p}_3$  oriented counter-clockwise
- Length: Any positive multiple works (often  $\|\mathbf{n}\| = 1$ )

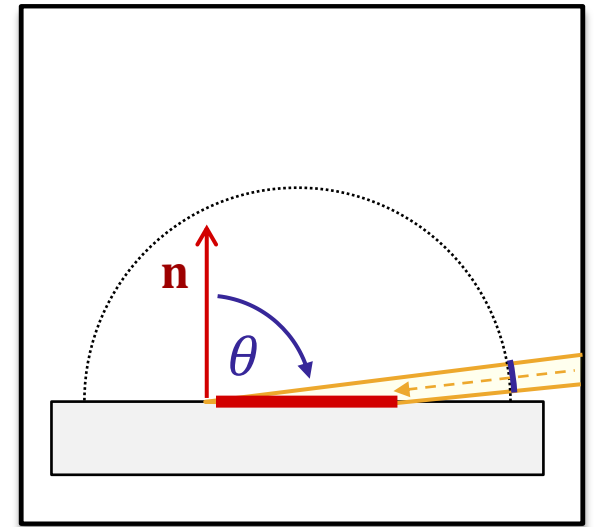
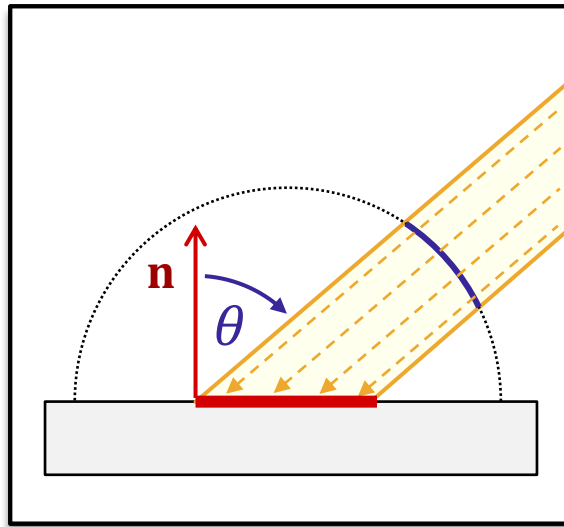
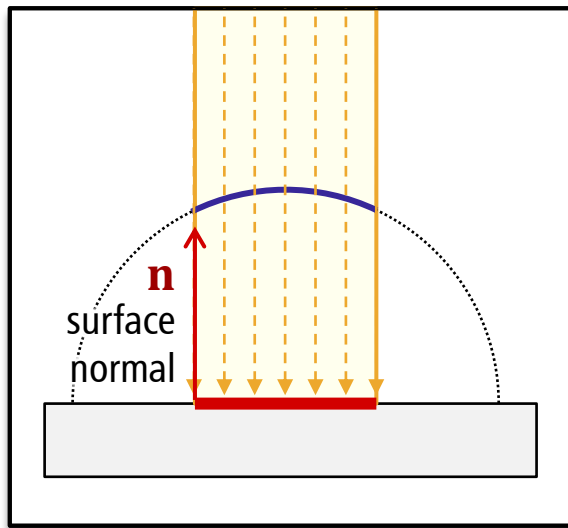
# Triangle Meshes



## Smooth Triangle Meshes

- Store three different “vertex normals”
  - E.g., from original surface (if known)
- Heuristic:  
Average neighboring triangle normals

# Lambertian Surfaces

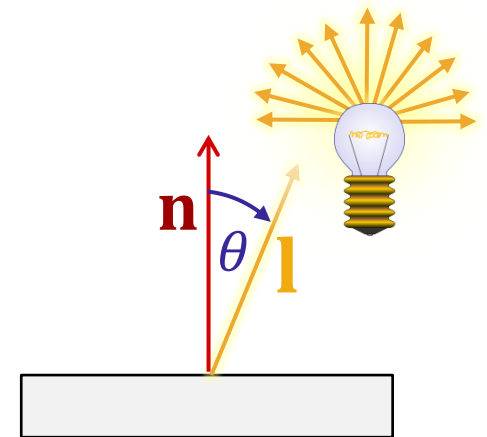


## Equation

$$\begin{aligned} \mathbf{c} &= \mathbf{c}_r \circ \mathbf{c}_l \cdot \cos \theta \\ &= \mathbf{c}_r \circ \mathbf{c}_l \cdot \langle \mathbf{n}, \mathbf{l} \rangle \end{aligned}$$

$\mathbf{l}$  — light direction  
 $\mathbf{n}$  — normal vector

(assuming:  $\|\mathbf{n}\| = \|\mathbf{l}\| = 1$ )

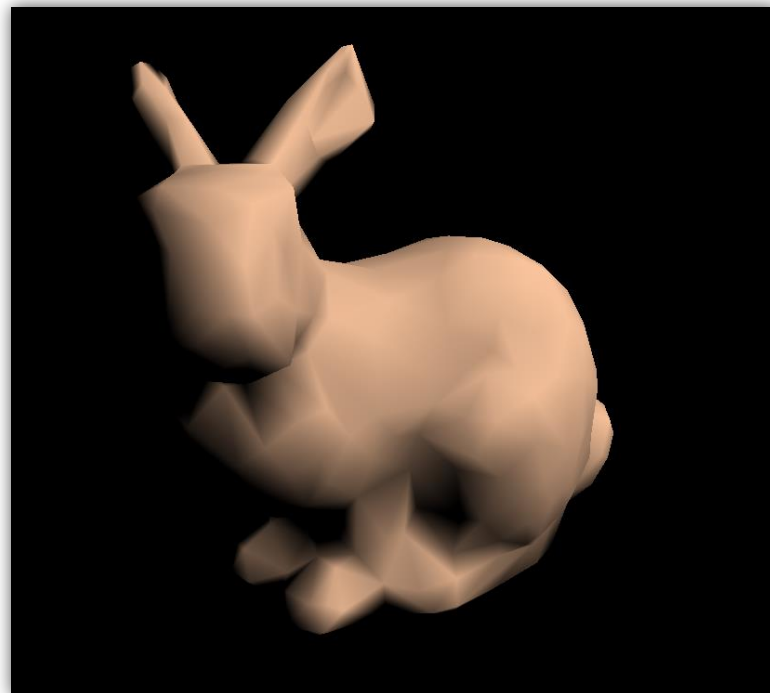




# Lambertian Bunny



Face Normals



Interpolated  
Normals

# Shading Effects

## Shading effects

- Diffuse reflection
- "Ambient reflection"
- Perfect mirrors
- Glossy reflection
  - Phong / Blinn-Phong
  - (Cook Torrance)
- Transparency & refraction

# "Ambient Reflection"

## Problem

- Shadows are pure black
- Realistically, they should be gray
  - Some light should bounce around...
- Solution: Add constant

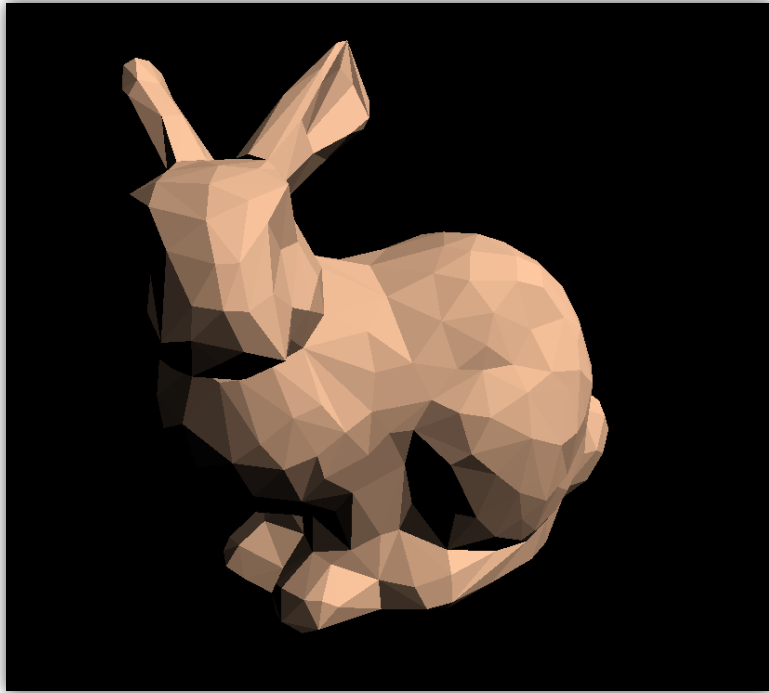
$$\mathbf{c} = \mathbf{c}_a \circ \mathbf{c}_a$$

ambient light color

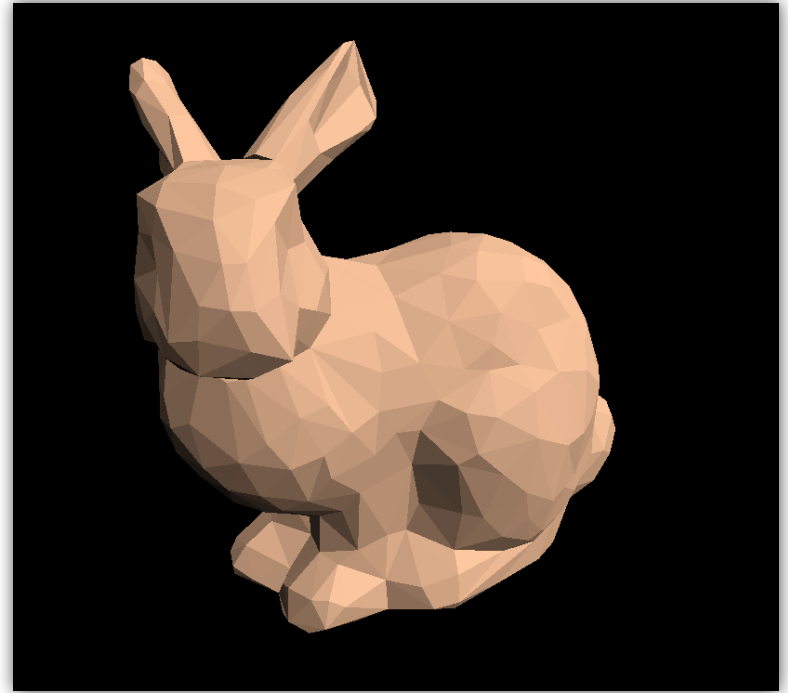
surface color

- Not very realistic
  - Need global light transport simulation for realistic results

# Ambient Bunny



Pure Lambertian



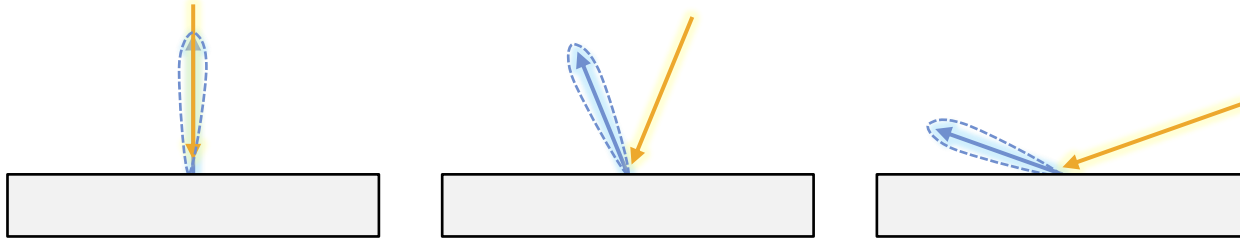
Mixed with Ambient  
Light

# Shading Effects

## Shading effects

- Diffuse reflection
- "Ambient reflection"
- Perfect mirrors
- Glossy reflection
  - Phong / Blinn-Phong
  - (Cook Torrance)
- Transparency & refraction

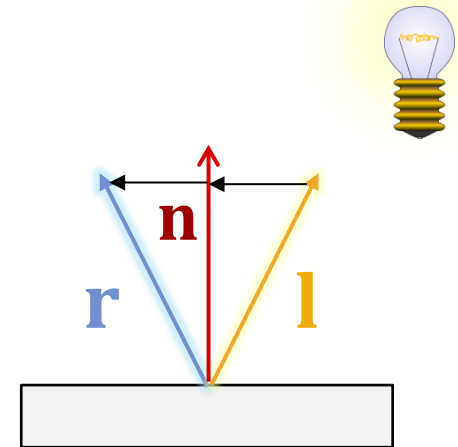
# Perfect Reflection



## Perfect Reflection

- Rays are perfectly reflected on surface
- Reflection about surface normal

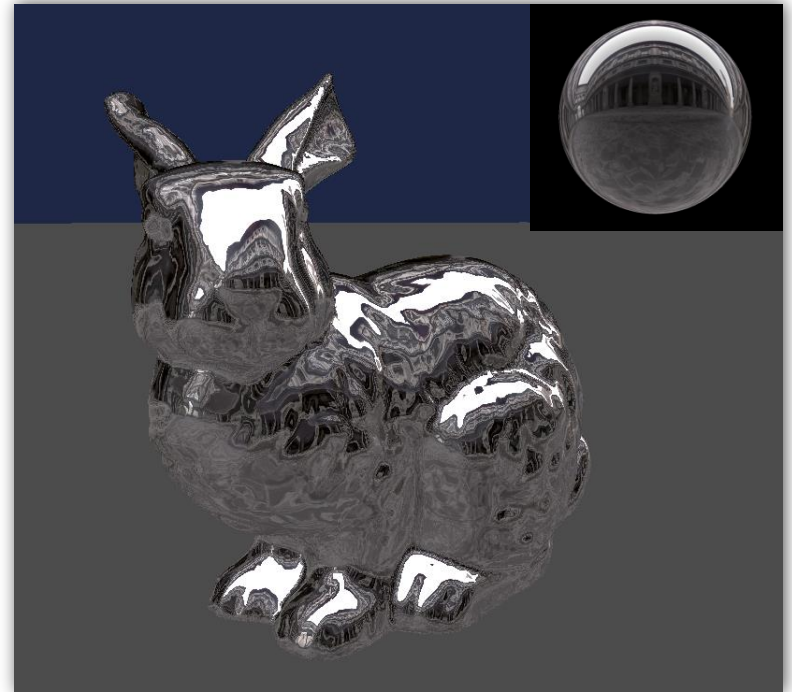
$$\mathbf{r} = 2\langle \mathbf{n}, \mathbf{l} \rangle \mathbf{n} - \mathbf{l}$$



# Silver Bunny

## Perfect Reflection

- Difficult to compute
  - Need to match camera and light emitter
- More later:
  - Recursive raytracing
  - Right image: Environment mapping



Reflective Bunny  
(Interpolated Normals)

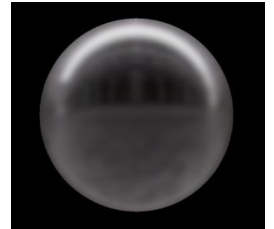
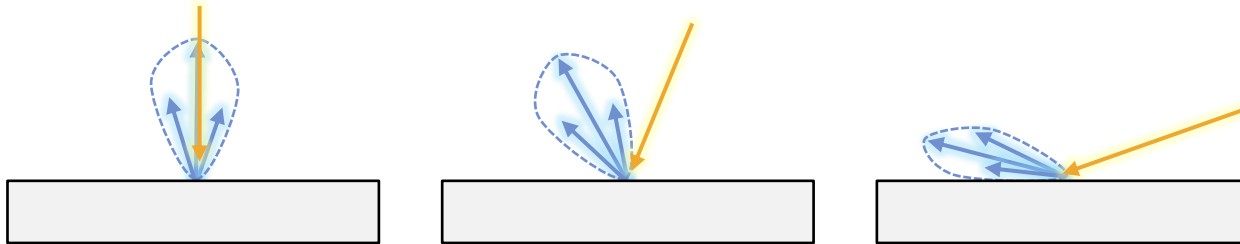
# Shading Effects

## Shading effects

- Diffuse reflection
- "Ambient reflection"
- Perfect mirrors
- Glossy reflection
  - Phong / Blinn-Phong
  - (Cook Torrance)
- Transparency & refraction



# Glossy Reflection



## Glossy Reflection

- Imperfect mirror
- Semi-rough surface
- Various models

# Phong Illumination Model

## **Traditional Model:** Phong Model

- Physically incorrect  
(e.g.: energy conservation not guaranteed)
- But “looks ok”
  - Always looks like plastic
  - On the other hand, our world is full of plastic...

# How does it work?

## Phong Model:

- "Specular" (glossy) part:

$$\underset{\substack{\text{(high-) light} \\ \text{color}}}{\mathbf{c}} = \mathbf{c}_p \circ \mathbf{c}_l \cdot \underbrace{\left\langle \frac{\mathbf{r}}{\|\mathbf{r}\|}, \frac{\mathbf{v}}{\|\mathbf{v}\|} \right\rangle}_{\cos \angle \mathbf{r}, \mathbf{v}}^p$$

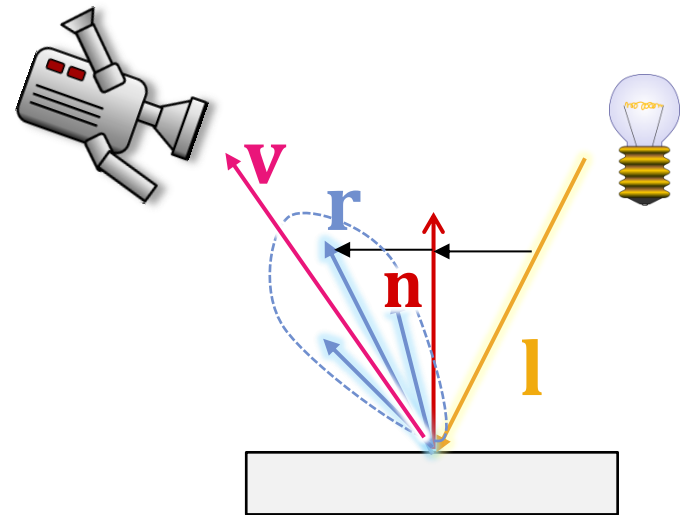
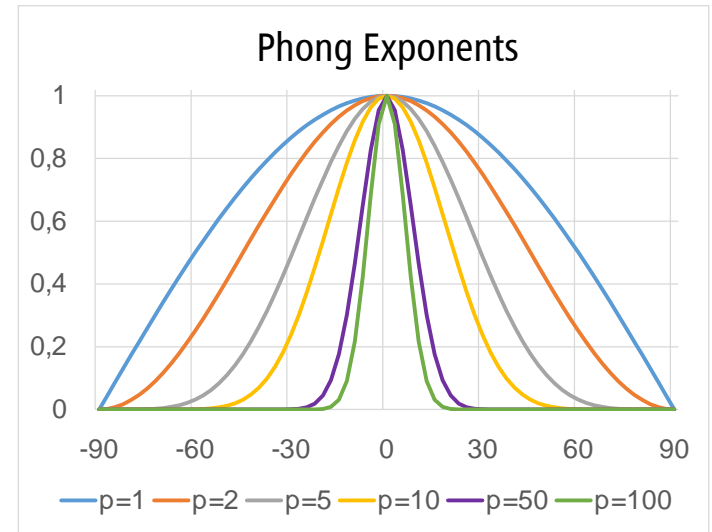
- Ambient part:

$$\mathbf{c} = \mathbf{c}_r \circ \mathbf{c}_a$$

- Diffuse part:

$$\mathbf{c} = \mathbf{c}_r \circ \mathbf{c}_l \cdot \langle \mathbf{n}, \mathbf{l} \rangle$$

- Add all terms together

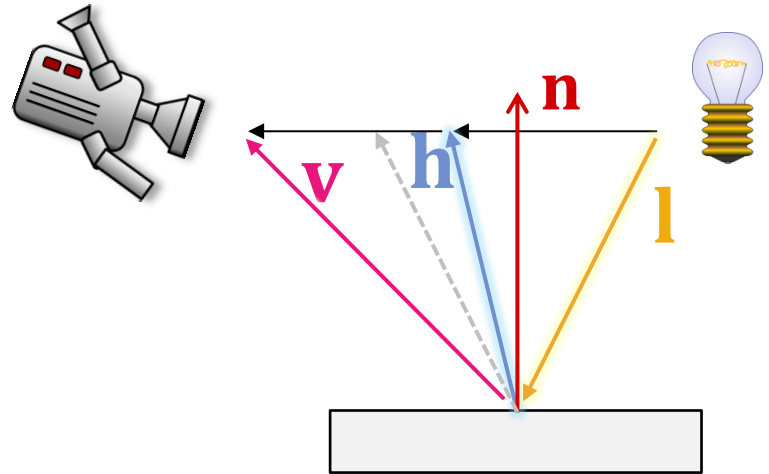


# Blinn-Phong

## Blinn-Phong Model:

- “Specular” (glossy) part:

$$\mathbf{c} = \mathbf{c}_p \circ \mathbf{c}_l \cdot \underbrace{\left\langle \frac{\mathbf{h}}{\|\mathbf{h}\|}, \frac{\mathbf{n}}{\|\mathbf{n}\|} \right\rangle}_{\cos \angle \mathbf{h}, \mathbf{n}}^p$$

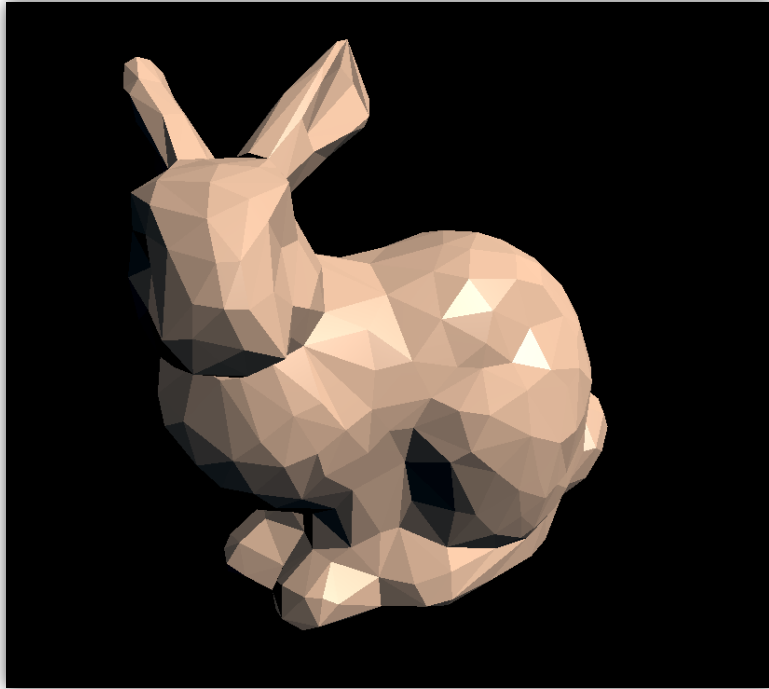


- Half-angle direction

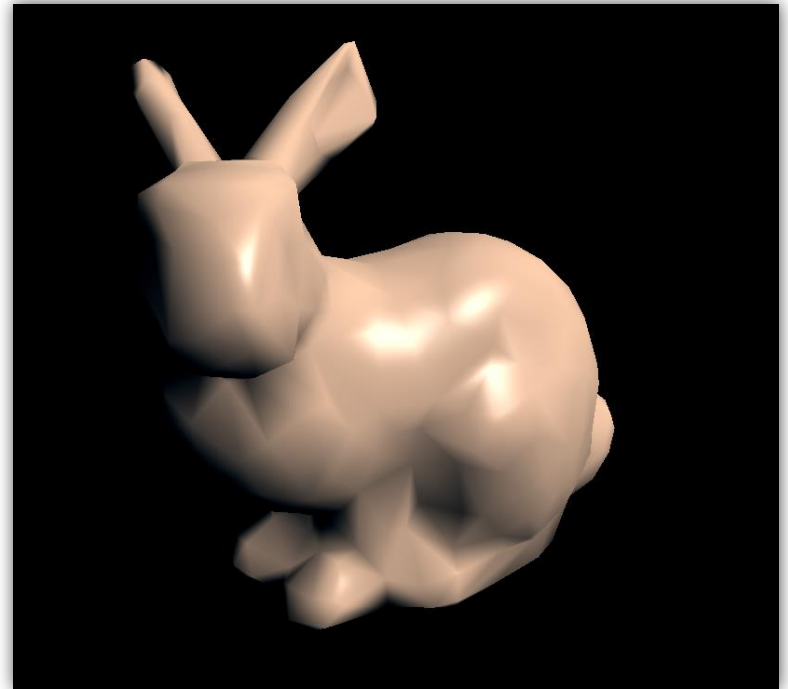
$$\mathbf{h} = \frac{1}{2} \left( \frac{\mathbf{l}}{\|\mathbf{l}\|} + \frac{\mathbf{v}}{\|\mathbf{v}\|} \right)$$

- In the plane:  $\angle \left( \frac{\mathbf{h}}{\|\mathbf{h}\|}, \frac{\mathbf{n}}{\|\mathbf{n}\|} \right) = \frac{1}{2} \angle \left( \frac{\mathbf{r}}{\|\mathbf{r}\|}, \frac{\mathbf{v}}{\|\mathbf{v}\|} \right)$ 
  - Approximation in 3D

# Phong + Diffuse + Ambient Bunny



Blinn-Phong Bunny



Interpolated Normals

# Phong + Diffuse + Ambient Bunny

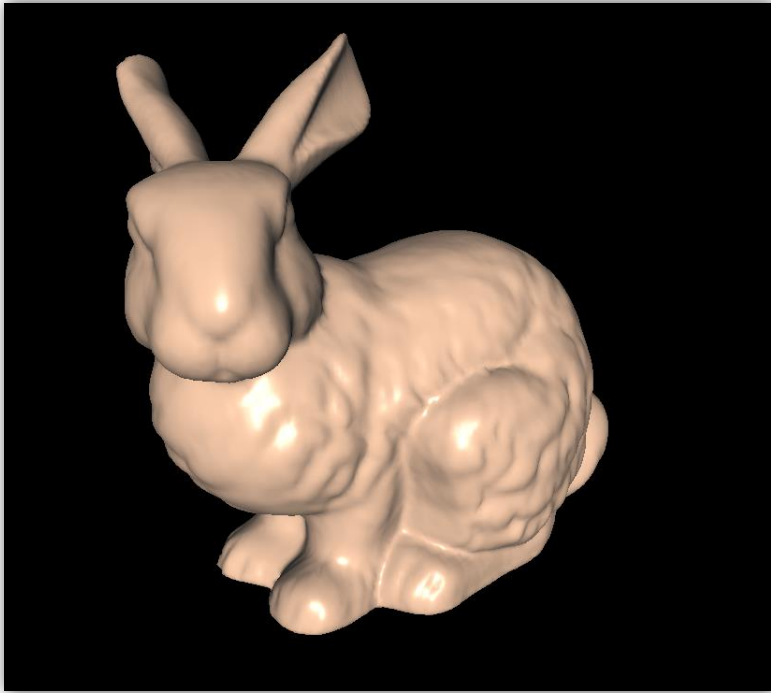


Blinn-Phong Bunny

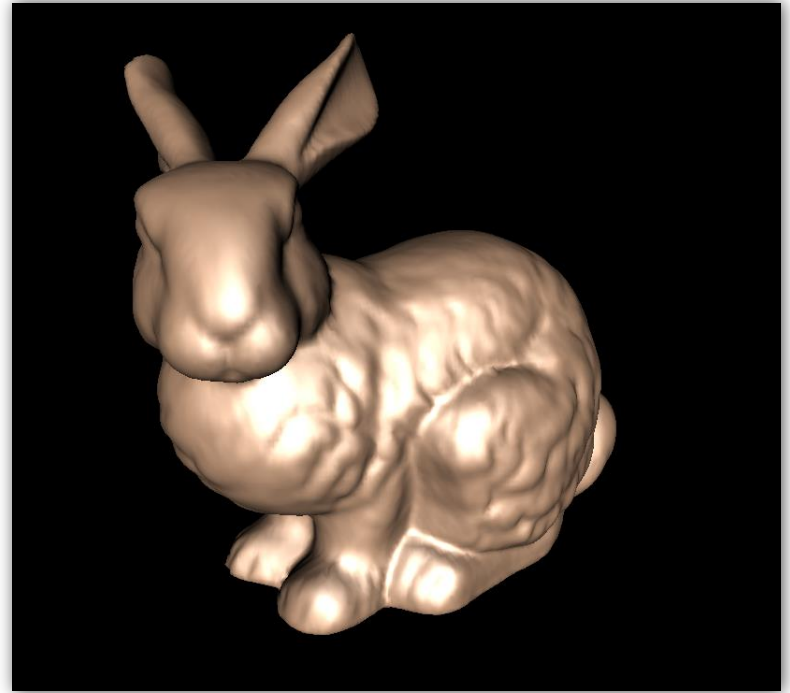


Interpolated Normals

# Better Models



Phong Bunny



Cook-Torrance  
Model

# Shading Effects

## Shading effects

- Diffuse reflection
- "Ambient reflection"
- Perfect mirrors
- Glossy reflection
  - Phong / Blinn-Phong
  - (Cook Torrance)
- Transparency & refraction



# Transparency

## Transparency

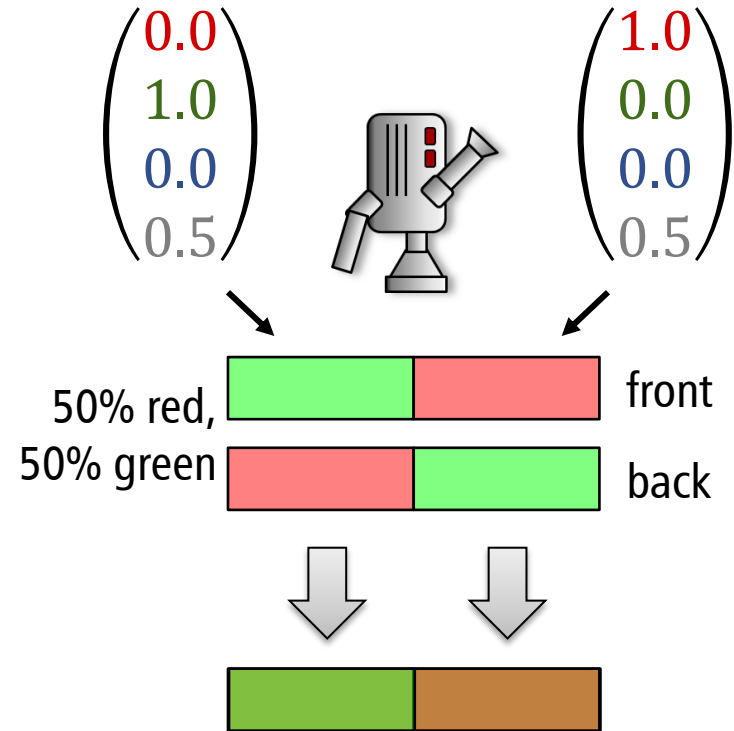
- “Alpha-blending”
- $\alpha$  = “opacity”
- Color + opacity:  $\text{RGB}\alpha$

## Blending

- Mix in  $\alpha$  of front color, keep  $1 - \alpha$  of back color

$$\mathbf{c} = \alpha \cdot \mathbf{c}_{front} + (1 - \alpha) \cdot \mathbf{c}_{back}$$

- Not commutative! (order matters)
  - unless monochrome



# Refraction: Snell's Law

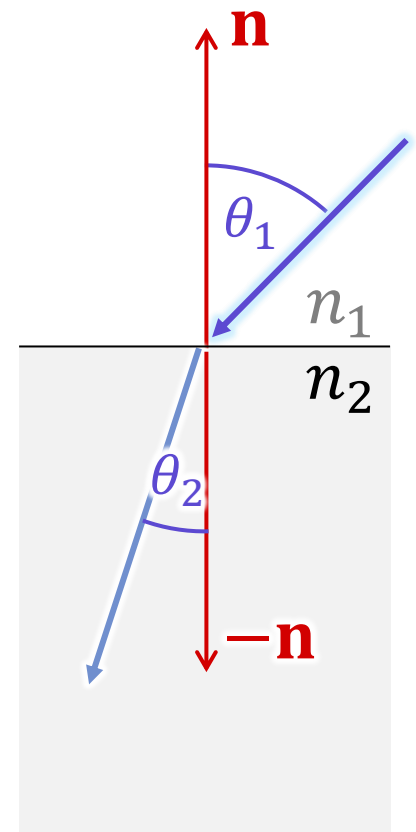
## Refraction

- Materials of different "*index of refraction*"
- Light rays change direction at interfaces

## Snell's Law

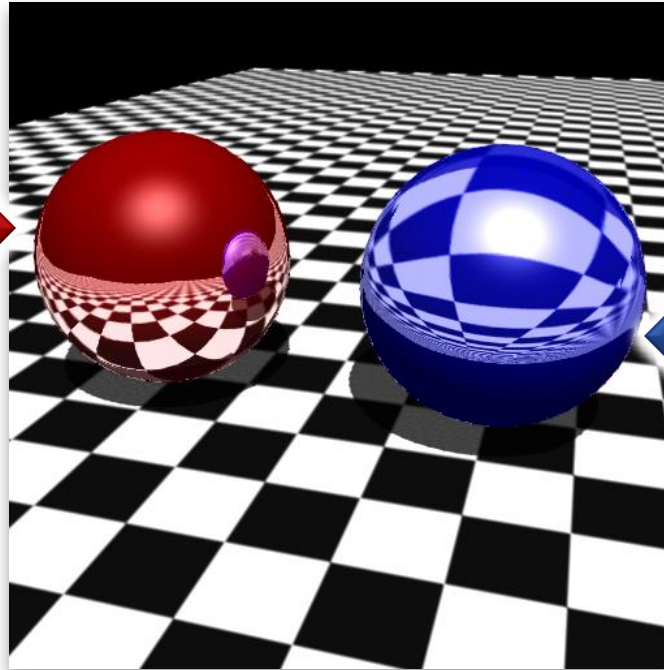
$$\frac{\sin \theta_1}{\sin \theta_2} = \frac{n_2}{n_1}$$

- $n_1, n_2$ : indices of refraction
  - vacuum: 1.0, air: 1.000293
  - water: 1.33, glass: 1.45-1.6



# Refraction

Reflection



Refraction

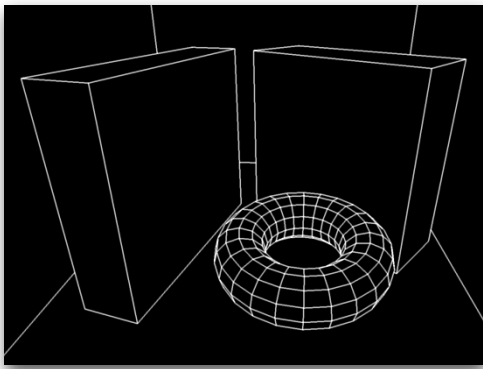


(raytraced)

## Implementation

- Not a local shading model
- Global algorithms: mostly raytracing
- Various “fake” approximations for local shading

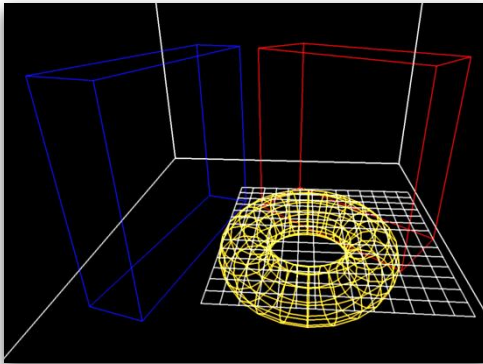
# 3D Rendering



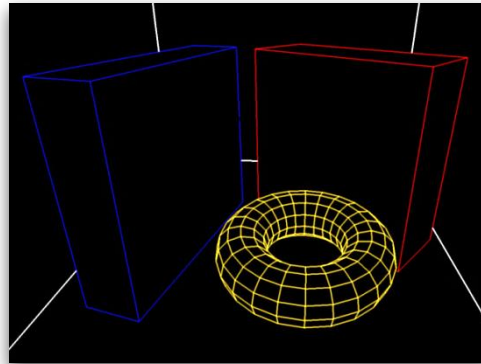
Geometric Model



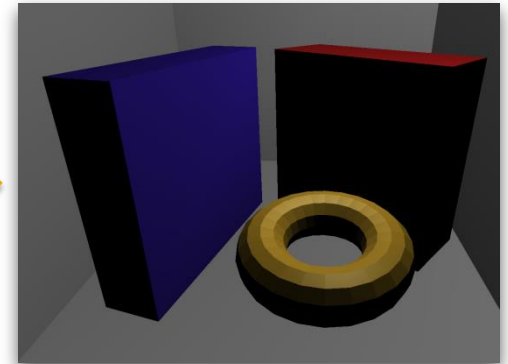
Color



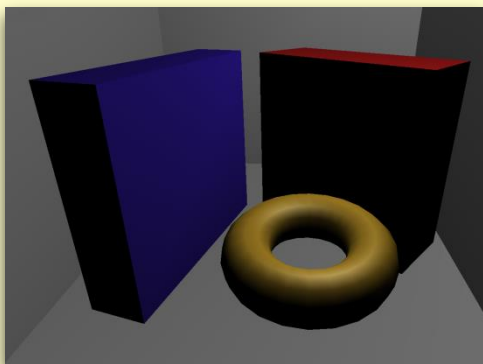
Perspective



Visibility



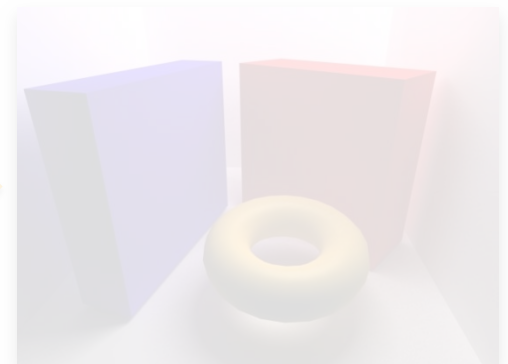
Local Illumination



Smooth Shading

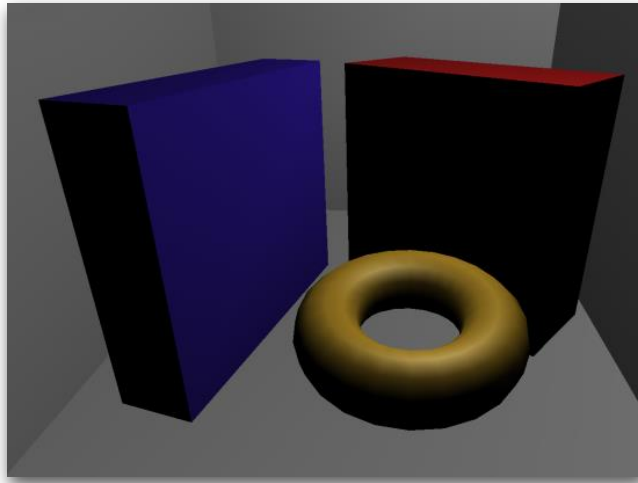
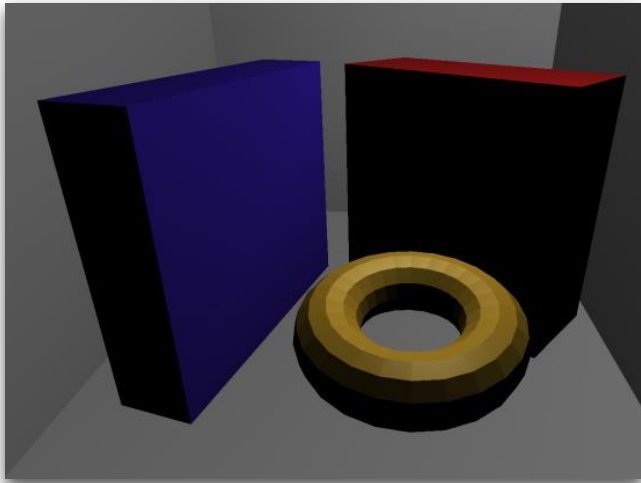


Simple Shadows



Global Illumination

# Shading Algorithms

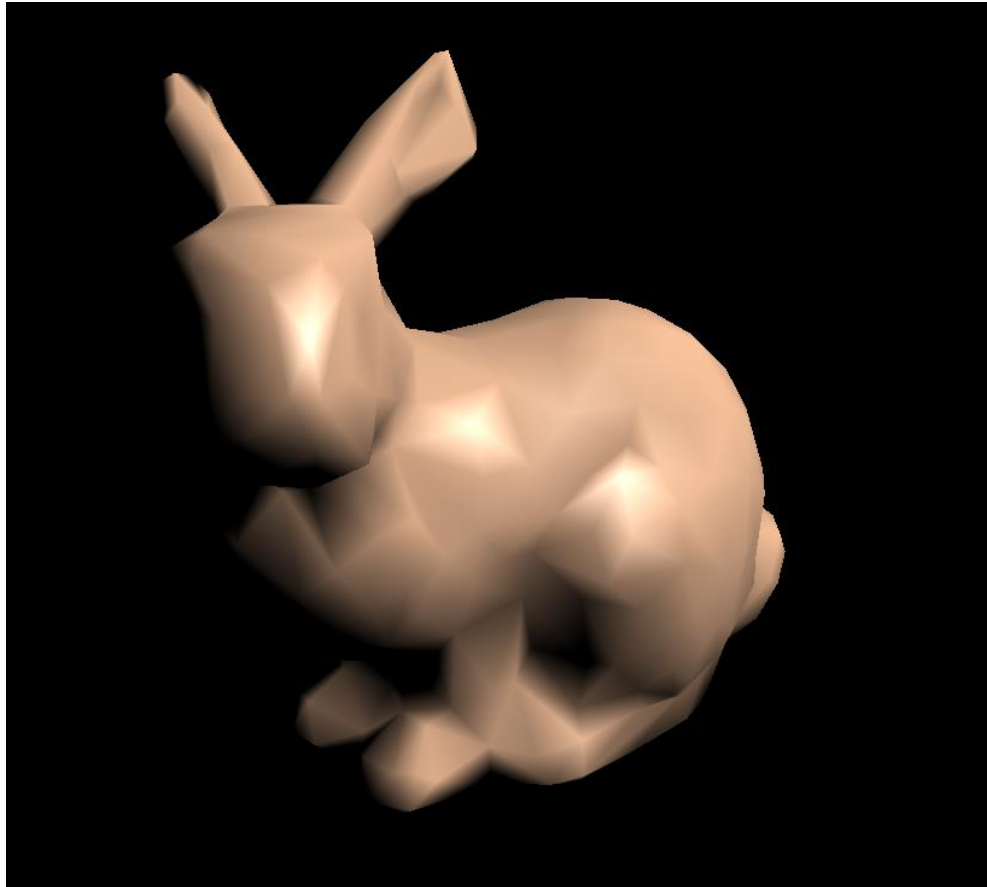


# Flat Shading



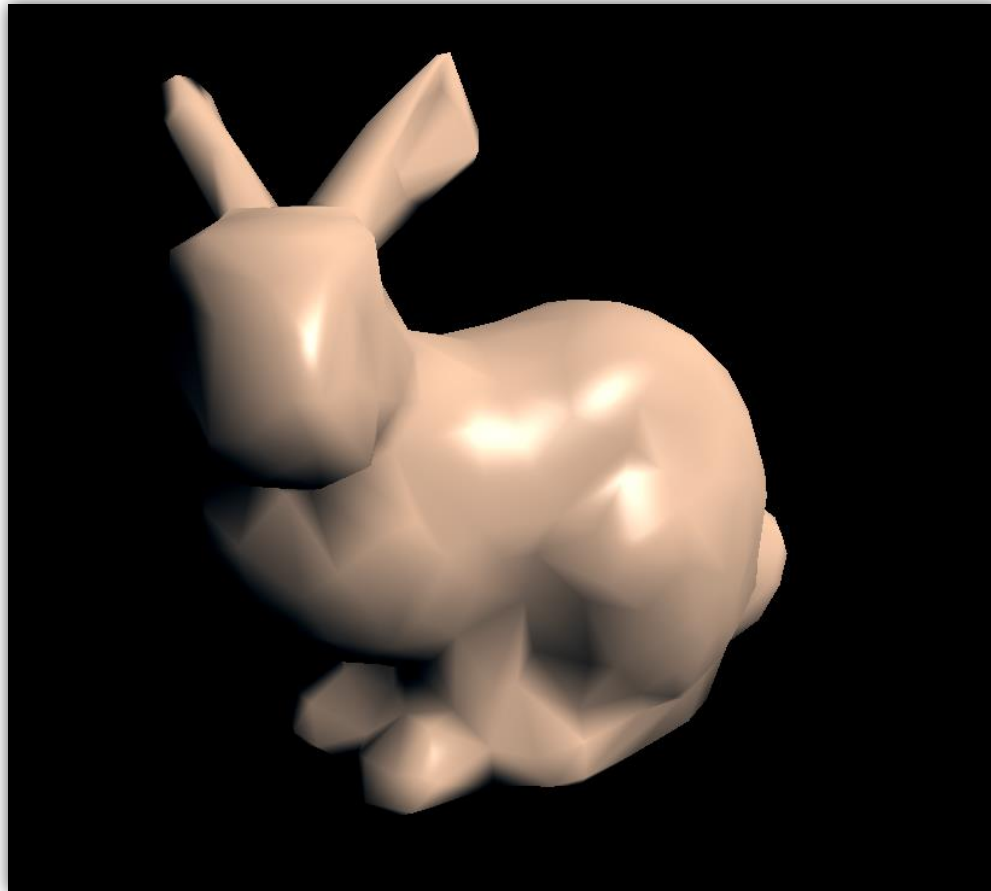
Flat Shading  
constant color per triangle

# Flat Shading



“Gouraud Shading” Algorithm  
compute color at vertices, interpolate color for pixels

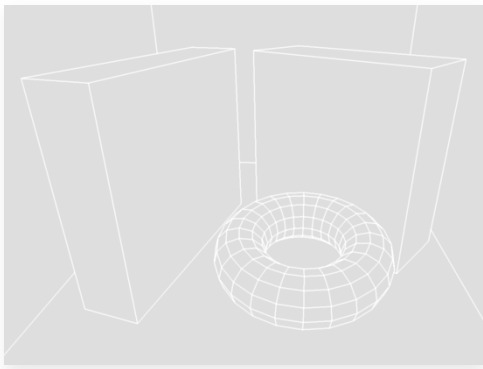
# Flat Shading



“Phong Shading” Algorithm  
interpolate normals for each pixel



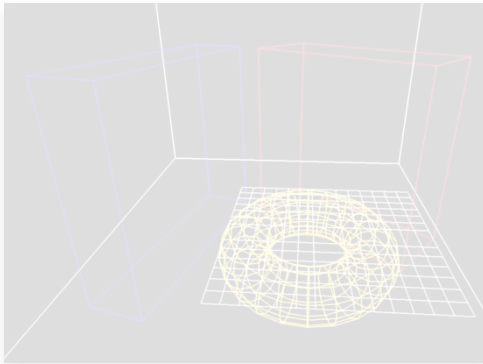
# 3D Rendering



Geometric Model



Color



Perspective



Visibility

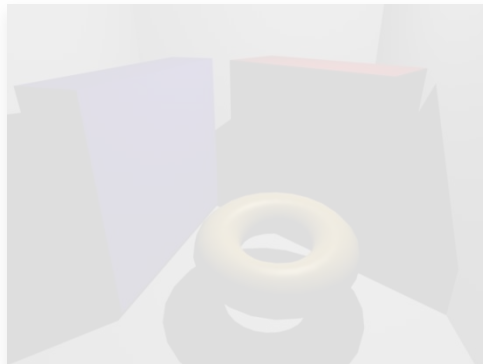


Local Illumination

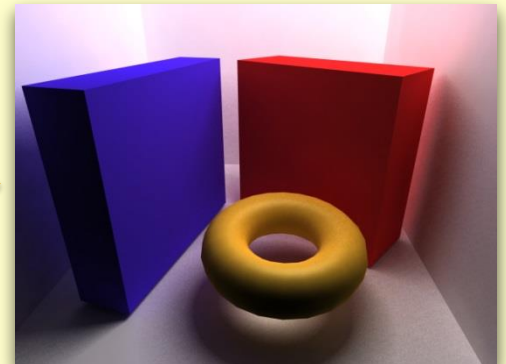
**Global Illumination: next lecture**



Smooth Shading



Simple Shadows



Global Illumination