



ASC Survey-Readiness Self-Assessment Checklist

Instructions:

Answer the 15 yes/no questions below. Check “Yes” (1 point) or “No” (0 points) for each. Tally your score to identify gaps. Conduct monthly self-audits to stay survey-ready year-round.

Credentialing & Privileging

- ☐ Yes ☐ No Are all provider credentials verified within the past 12 months?
- ☐ Yes ☐ No Do you have current FPPE and OPPE for all privileged providers?
- ☐ Yes ☐ No Are privileging decisions documented with clear criteria and approval dates?
- ☐ Yes ☐ No Have you audited your credentialing files in the last 6 months?

Tip: Missing credentialing files is a top survey deficiency.

Solution: Low score? AHS can audit your files.

Policies & Procedures

- ☐ Yes ☐ No Are your policies updated to reflect the latest CMS/AAHC/TJC standards?
- ☐ Yes ☐ No Do staff have access to a current policy manual?
- ☐ Yes ☐ No Have you updated infection control policies in the last 12 months?
- ☐ Yes ☐ No Are policies signed off by leadership and dated within the past year?

Tip: Outdated policies lead to citations.

Solution: Low score? AHS’s retainer includes full policy review and update.

Infection Control

☐ Yes ☐ No Do you conduct monthly infection control audits?

☐ Yes ☐ No Are hand hygiene compliance rates tracked and reported?

☐ Yes ☐ No Is sterilization equipment maintenance logged and up to date?

Tip: Infection control is a major focus for surveyors.

Solution: Low score? AHS provides monthly audits and tracking templates.

Emergency Preparedness

☐ Yes ☐ No Have you conducted a full disaster drill in the past 12 months?

☐ Yes ☐ No Is your emergency preparedness plan updated annually?

☐ Yes ☐ No Are all staff trained on emergency protocols?

☐ Yes ☐ No Do you have an after-action report from your last drill?

Tip: Incomplete or missing drill documentation is a common citation.

Solution: Low score? AHS retainer clients receive drill templates, support, and documentation tools.

Scoring Your Readiness

Total “Yes” Answers: ____ / 15

13–15: Strong

Your ASC is solid, but minor gaps may remain. Administrators: Fine-tune QAPI audits. Owners: Ensure financial compliance. [Contact AHS](#).

9–12: Clear Gaps

You have a foundation but need work in key areas. Check low-scoring sections and [contact us](#).

0–8: At Risk

Significant gaps pose survey risks. [Schedule a consultation](#).

Next Steps for Survey Success

- Stay Survey-Ready Year-Round: AHS's retainer clients receive monthly compliance support, policy updates, mock survey prep, and more. Learn more at <https://ah-strategies.com/retainer>
- Join ASC Central: Get credentialing templates, drill kits, policies, OPPE trackers, and more. [asc-central.com](https://ah-strategies.com/asc-central.com)
- Listen to Our Podcast: [ascpodcast.com](https://ah-strategies.com/asc-podcast.com)
- Talk to a Compliance Expert: info@ah-strategies.com or <https://ah-strategies.com/contact-us/>

Disclaimer: This checklist is for educational purposes only and does not constitute legal or regulatory advice. Always consult qualified professionals for specific guidance.