

# Principles of Programming Languages (H)

Matteo Pradella

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# Overview

- 1 Introduction on purity and evaluation
- 2 Basic Haskell
- 3 More advanced concepts

# A bridge toward Haskell

- We will consider now some basic concepts of Haskell, by implementing them in Scheme:
- What is a *pure* functional language?
- *Non-strict* evaluation strategies
- *Currying*

# What is a **functional** language?

- In mathematics, **functions** do not have **side-effects**
- e.g. if  $f : \mathbb{N} \rightarrow \mathbb{N}$ ,  $f(5)$  is a fixed value in  $\mathbb{N}$ , and do not depend on *time* (also called **referential transparency**)
- this is clearly not true in conventional programming languages, Scheme included
- Scheme is *mainly* functional, as programs are **expressions**, and computation is evaluation of such expressions
- but some expressions have **side-effects**, e.g. `vector-set!`
- Haskell is **pure**, so we will see later how to manage inherently side-effectful computations (e.g. those with I/O)

# Evaluation of functions

- We have already seen that, in **absence of side effects** (purely functional computations) from the point of view of the result the **order** in which functions are applied **does not matter** (almost).
- However, it matters in other aspects, consider e.g. this function:

```
(define (sum-square x y)
  (+ (* x x)
     (* y y)))
```

# Evaluation of functions (Scheme)

- A possible evaluation:

```
(sum-square (+ 1 2) (+ 2 3))  
;; applying the first +  
= (sum-square 3 (+ 2 3))  
;; applying +  
= (sum-square 3 5)  
;; applying sum-square  
= (+ (* 3 3) (* 5 5))  
...  
= 34
```

- is it that of Scheme?

# Evaluation of functions (alio modo)

```
(sum-square (+ 1 2) (+ 2 3))  
;; applying sum-square  
= (+ (* (+ 1 2)(+ 1 2))(* (+ 2 3)(+ 2 3)))  
;; evaluating the first (+ 1 2)  
= (+ (* 3 (+ 1 2))(* (+ 2 3)(+ 2 3)))  
...  
= (+ (* 3 3)(* 5 5))  
...  
= 34
```

- The two evaluations differ in the **order** in which function applications are evaluated.
- A function application ready to be performed is called a **reducible expression** (or **redex**)

# Evaluation strategies: call-by-value

- in the first example of evaluation of `mult`, redexes are evaluated according to a (leftmost) **innermost strategy**
- i.e., when there is more than one redex, the leftmost one that does not contain other redexes is evaluated
- e.g. in `(sum-square (+ 1 2) (+ 2 3))` there are 3 redexes: `(sum-square (+ 1 2) (+ 2 3))`, `(+ 1 2)` and `(+ 2 3)` the innermost that is also leftmost is `(+ 1 2)`, which is applied, giving expression `(sum-square 3 (+ 2 3))`
- in this strategy, **arguments** of functions are always evaluated **before** evaluating the function itself - this corresponds to passing arguments **by value**.
- note that Scheme does not require that we take the *leftmost*, but this is very common in mainstream languages



# Evaluation strategies: call-by-name

- a dual evaluation strategy: redexes are evaluated in an **outermost** fashion
- we start with the redex that is **not contained in any other redex**, i.e. in the example above, with `(sum-square (+ 1 2) (+ 2 3))`, which yields `(+ (* (+ 1 2) (+ 1 2)) (* (+ 2 3) (+ 2 3)))`
- in the outermost strategy, functions are always **applied before their arguments**, this corresponds to passing arguments **by name** (like in Algol 60).

# Termination and call-by-name

- e.g. first we define the following two simple functions:

```
(define (infinity)
  (+ 1 (infinity)))

(define (fst x y) x)
```

- consider the expression  $(\text{fst } 3 \text{ (infinity)})$ :
  - Call-by-value:  $(\text{fst } 3 \text{ (infinity)}) = (\text{fst } 3 \text{ (+ 1 (infinity))}) = (\text{fst } 3 \text{ (+ 1 (+ 1 (infinity))})) = \dots$
  - Call-by-name:  $(\text{fst } 3 \text{ (infinity)}) = 3$
- if there is an evaluation for an expression that terminates, **call-by-name terminates**, and produces the same result (Church-Rosser confluence)

# Haskell is lazy: call-by-need

- In call-by-name, if the argument is not used, it is never evaluated; if the argument is used several times, it is **re-evaluated each time**
- **Call-by-need** is a **memoized** version of call-by-name where, if the function argument is evaluated, that value is **stored for subsequent uses**
- In a “pure” (effect-free) setting, this produces the same results as call-by-name, and it is usually faster

# Call-by-need implementation: macros and thunks

- we saw that macros are different from function, as they do not evaluate and are expanded at **compile time**
- a possible idea to overcome the nontermination of `(fst 3 (infinity))`, could be to use **thunks** to prevent evaluation, and then **force** it with an explicit call
- indeed, there is already an implementation in Racket based on **delay** and **force**
- we'll see how to implement them with macros and thunks

# Delay and force: call-by-name and by-need

- Delay is used to return a **promise** to execute a computation (implements **call-by-name**)
- moreover, it caches the result (**memoization**) of the computation on its first evaluation and returns that value on subsequent calls (implements **call-by-need**)

# Promise

```
(struct promise
  (proc    ; thunk or value
    value? ; already evaluated?
  ) #:mutable)
```

## Delay (code)

```
(define-syntax delay
  (syntax-rules ()
    ((_ (expr ...))
     (promise (lambda ()
                 (expr ...)) ; a thunk
               #f)))) ; still to be evaluated
```

# Force (code)

- **force** is used to force the evaluation of a promise:

```
(define (force prom)
  (cond
    ; is it already a value?
    ((not (promise? prom)) prom)
    ; is it an evaluated promise?
    ((promise-value? prom) (promise-proc prom))
    (else
     (set-promise-proc! prom
                        ((promise-proc prom)))
     (set-promise-value?! prom #t)
     (promise-proc prom))))
```



# Examples

```
(define x (delay (+ 2 5))) ; a promise
(force x) ;; => 7

(define lazy-infinity (delay (infinity)))
(force (fst 3 lazy-infinity))           ; => 3
(fst 3 lazy-infinity)                   ; => 3
(force (delay (fst 3 lazy-infinity))) ; => 3
```

- here we have call-by-need only if we make every function call a promise
- in Haskell call-by-need is the default: if we need call-by-value, we need to *force* the evaluation (we'll see how)

# Currying

- in Haskell, functions have only **one** argument!
- this is not a limitation, because functions with more arguments are **curried**
- we see here in Scheme what it means. Consider the function:

```
(define (sum-square x y)
  (+ (* x x)
     (* y y)))
```

- it has signature  $\text{sum-square} : \mathbb{C}^2 \rightarrow \mathbb{C}$ , if we consider the most general kind of numbers in Scheme, i.e. the complex field

# Currying (cont.)

- curried version:

```
(define (sum-square x)
  (lambda (y)
    (+ (* x x)
       (* y y))))

;; shorter version:
(define ((sum-square x) y)
  (+ (* x x)
     (* y y)))
```

- it can be used *almost* as the usual version: `((sum-square 3) 5)`
- the curried version has signature  $\text{sum-square} : \mathbb{C} \rightarrow (\mathbb{C} \rightarrow \mathbb{C})$   
i.e.  $\mathbb{C} \rightarrow \mathbb{C} \rightarrow \mathbb{C}$  ( $\rightarrow$  is right associative)

# Currying in Haskell

- in Haskell every function is automatically curried and consequently managed
- the name *currying*, coined by Christopher Strachey in 1967, is a reference to logician Haskell Curry
- the alternative name *Schönfinkelisation* has been proposed as a reference to Moses Schönfinkel but didn't catch on

- Born in 1990, designed by committee to be:
  - **purely** functional
  - **call-by-need** (sometimes called **lazy evaluation**)
  - strong **polymorphic** and **static** typing
- Standards: Haskell '98 and '10
- Motto: "Avoid success at all costs"
  - ex. usage: Google's *Ganeti* cluster virtual server management tool
- Beware! There are many *bad* tutorials on Haskell and monads, in particular, available online

# A taste of Haskell's syntax

- more complex and "human" than Scheme: parentheses are optional!
- function call is similar, though: `f x y` stands for `f(x,y)`
- there are infix operators and are made of non-alphabetic characters (e.g. `*`, `+`, but also `<++>`)
- `elem` is  $\in$ . If you want to use it infix, just use `'elem'`
- `--` this is a comment
- lambdas: `(lambda (x y) (+ 1 x y))` is written `\x y -> 1+x+y`

# Types!

- Haskell has **static** typing, i.e. the type of everything must be known at **compile time**
- there is **type inference**, so usually we do not need to explicitly declare types
- *has type* is written `::` instead of `:` (the latter is **cons**)
- e.g.
  - `5 :: Integer`
  - `'a' :: Char`
  - `inc :: Integer -> Integer`
  - `[1, 2, 3] :: [Integer]` – equivalent to `1:(2:(3:[]))`
  - `('b', 4) :: (Char, Integer)`
  - strings are **lists of characters**

# Function definition

- functions are declared through a sequence of *equations*
- e.g.

```
inc n = n + 1

length :: [Integer] -> Integer
length []          = 0
length (x:xs)      = 1 + length xs
```

- this is also an example of **pattern matching**
- arguments are matched with the right parts of equations, top to bottom
- if the match succeeds, the function body is called



# Parametric Polymorphism

- the previous definition of `length` could work with any kind of lists, not just those made of integers
- indeed, if we omit its type declaration, it is inferred by Haskell as having type

```
length :: [a] -> Integer
```

- lower case letters are **type variables**, so `[a]` stands for *a list of elements of type a, for any a*

# Main characteristics of Haskell's type system

- every well-typed expression is guaranteed to have a **unique principal type**
  - it is (roughly) the *least general type that contains all the instances of the expression*
  - e.g. `length :: a -> Integer` is too general, while `length :: [Integer] -> a` is too specific
- Haskell adopts a variant of the **Hindley-Milner** type system (used also in ML variants, e.g. F#)
- and the principal type can be **inferred automatically**
- Ref. paper: L. Cardelli, *Type Systems*, 1997

# User-defined types

- are based on **data declarations**

```
-- a "sum" type (union in C)
data Bool = False | True
```

- **Bool** is the (nullary) **type constructor**, while **False** and **True** are **data constructors** (nullary as well)
- data and type constructors live in separate name-spaces, so it is possible (and common) to use the same name for both:

```
-- a "product" type (struct in C)
data Pnt a = Pnt a a
```

- if we apply a data constructor we obtain a **value** (e.g. Pnt 2.3 5.7), while with a type constructor we obtain a **type** (e.g. Pnt Bool)

# Recursive types

- classical recursive type example:

```
data Tree a = Leaf a | Branch (Tree a) (Tree a)
```

- e.g. data constructor Branch has type:

```
Branch :: Tree a -> Tree a -> Tree a
```

- An example tree:

```
aTree = Branch (Leaf 'a')  
            (Branch (Leaf 'b') (Leaf 'c'))
```

- in this case aTree has type Tree Char

# Lists are recursive types

- Of course, also lists are recursive. Using Scheme jargon, they could be defined by:

```
data List a = Null | Cons a (List a)
```

- but Haskell has special syntax for them; in "pseudo-Haskell":

```
data [a] = [] | a : [a]
```

- `[]` is a data and type constructor, while `:` is an infix data constructor

## An example function on Trees

```
fringe :: Tree a -> [a]

fringe (Leaf x) = [x]
fringe (Branch left right) = fringe left ++
                              fringe right
```

- $(++)$  denotes list concatenation, what is its type?

# Syntax for fields

- as we saw, *product types* (e.g. `data Point = Point Float Float`) are like **struct** in C or in Scheme (analogously, *sum types* are like **union**)
- the access is positional, for instance we may define accessors:

```
pointx Point x _ = x  
pointy Point _ y = y
```

- there is a C-like syntax to have **named fields**:

```
data Point = Point {pointx, pointy :: Float}
```

- this declaration automatically defines two field names `pointx`, `pointy`
- and their corresponding **selector functions**

# Type synonyms

- are defined with the keyword **type**
- some examples

```
type String = [Char]

type Assoc a b = [(a,b)]
```

- usually for readability or shortness



# Newtype

- **newtype** is used when we want to define a type with the same representation and behavior of an existing type (like **type**)
- but having a **separate identity** in the type system (e.g. we want to define a kind of string  $\neq$  [Char])
- e.g.

```
newtype Str = Str [Char]
```

- note: we need to define a data constructor, to distinguish it from String
- its data constructor is **not** lazy (difference with **data**)

# More on functions and currying

- Haskell has **map**, and it can be defined as:

```
map f []      = []  
map f (x:xs) = f x : map f xs
```

- we can partially apply also infix operators, by using parentheses:  
(+ 1) or (1 +) or (+)

```
map (1 +) [1,2,3]  -- => [2,3,4]
```

- `:t` at the prompt is used for getting **type**, e.g.

```
Prelude> :t (+1)
(+1) :: Num a => a -> a
Prelude> :t +
<interactive>:1:1: parse error on input '+'
Prelude> :t (+)

(+) :: Num a => a -> a -> a
```

- **Prelude** is the standard library
- we'll see later the exact meaning of **Num a =>** with **type classes**. Its meaning here is that **a** must be a **numerical type**

# Function composition and \$

- $(.)$  is used for composing functions (i.e.  $(f.g)(x)$  is  $f(g(x))$ )

```
Prelude> let dd = (*2) . (1+)
Prelude> dd 6
14
Prelude> :t (.)
(.) :: (b -> c) -> (a -> b) -> a -> c
```

- $\$$  syntax for avoiding parentheses, e.g.  $(10^*)(5+3) = (10^*) \$ 5+3$

# Infinite computations

- call-by-need is very convenient for dealing with **never-ending computations** that provide data
- here are some simple example functions:

```
ones = 1 : ones  
  
numsFrom n = n : numsFrom (n+1)  
  
squares = map (^2) (numsFrom 0)
```

- clearly, we cannot evaluate them (why?), but there is **take** to get *finite slices* from them
- e.g.

```
take 5 squares = [0,1,4,9,16]
```

# Infinite lists

- Convenient syntax for creating infinite lists:
- e.g. ones before can be also written as `[1,1..]`
- `numsFrom 6` is the same as `[6..]`
- **zip** is a useful function having type  
`zip :: [a] -> [b] -> [(a, b)]`

```
zip [1,2,3] "ciao"  
-- => [(1,'c'),(2,'i'),(3,'a')]
```

- list comprehensions

```
[(x,y) | x <- [1,2], y <- "ciao"]  
-- => [(1,'c'),(1,'i'),(1,'a'),(1,'o'),  
      (2,'c'),(2,'i'),(2,'a'),(2,'o')]
```

## Infinite lists (cont.)

- a list with all the Fibonacci numbers  
(note: tail is cdr, while head is car)

```
fib = 1 : 1 :  
      [a+b | (a,b) <- zip fib (tail fib)]
```

- **bottom** (aka  $\perp$ ) is defined as `bot = bot`
- all errors have value `bot`, a value shared by all types
- `error :: String -> a` is strange because it is polymorphic only in the output
- the reason is that it returns **bot** (in practice, an exception is raised)



# Pattern matching

- the matching process proceeds top-down, left-to-right
- patterns may have **boolean guards**

```
sign x | x > 0  = 1  
      | x == 0 = 0  
      | x < 0  = -1
```

- `_` stands for *don't care*
- e.g. definition of **take**

```
take 0 _ = []  
take _ [] = []  
take n (x:xs) = x : take (n-1) xs
```

# Take and definition

- the order of definitions **matters**:

```
Prelude> :t bot  
bot :: t  
Prelude> take 0 bot  
[]
```

- on the other hand, `take bot []` does not terminate
- what does it change, if we swap the first two defining equations?

- **take** with **case**:

```
take m ys = case (m,ys) of
    (0,_)    -> []
    (_,[])   -> []
    (n,x:xs) -> x : take (n-1) xs
```

# let and where

- **let** is like Scheme's `letrec*`:

```
let  x = 3
     y = 12
in  x+y  -- => 15
```

- **where** can be convenient to scope binding over equations, e.g.:

```
powset set = powset' set [[]] where
  powset' [] out = out
  powset' (e:set) out = powset' set (out ++
                                   [ e:x | x <- out ])
```

- layout is like in Python, with meaningful whitespaces, but we can also use a C-like syntax:

```
let {x = 3 ; y = 12} in x+y
```

# Call-by-need and memory usage

- **fold-left** is efficient in Scheme, because its definition is naturally **tail-recursive**:

```
foldl f z [] = z
foldl f z (x:xs) = foldl f (f z x) xs
```

- *note: in Racket it is defined with  $(f \times z)$*
- this is not as efficient in Haskell, because of call-by-need:
  - `foldl (+) 0 [1,2,3]`
  - `foldl (+) (0 + 1) [2,3]`
  - `foldl (+) ((0 + 1) + 2) [ 3 ]`
  - `foldl (+) (((0 + 1) + 2) + 3) []`
  - `((0 + 1) + 2) + 3 = 6`

# Haskell is too lazy: an interlude on strictness

- There are various ways to enforce **strictness** in Haskell (analogously there are classical approaches to introduce laziness in strict languages)
- e.g. on data with **bang patterns** (a datum marked with **!** is considered **strict**)

```
data Complex = Complex !Float !Float
```

- there are extensions for using **!** also in function parameters

# Forcing evaluation

- Canonical operator to **force evaluation** is `seq :: a -> t -> t`
- `seq x y` returns `y`, **only if** the evaluation of `x` **terminates** (i.e. it performs `x` then returns `y`)
- a strict version of **foldl** (available in *Data.List*)

```
foldl' f z []      = z
foldl' f z (x:xs) = let z' = f z x
                    in seq z' (foldl' f z' xs)
```

- strict versions of standard functions are usually primed

## Special syntax for **seq**

- There is a convenient *strict* variant of \$ (function application) called \$!
- here is its definition:

```
($!) :: (a -> b) -> a -> b  
f $! x = seq x (f x)
```



# Modules

- not much to be said: Haskell has a simple module system, with **import**, **export** and namespaces
- a very simple example

```
module CartProd where    --- export everything
infixr 9 *-
-- right associative
-- precedence goes from 0 to 9, the strongest
x *- y = [(i,j) | i <- x, j <- y]
```

# Modules (cont.)

- import/export

```
module Tree ( Tree(Leaf,Branch), fringe ) where
data Tree a  = Leaf a | Branch (Tree a) (Tree a)
fringe :: Tree a -> [a] ...
```

```
module Main (main) where
import Tree ( Tree(Leaf,Branch) )
main = print (Branch (Leaf 'a') (Leaf 'b'))
```

# Modules and Abstract Data Types

- modules provide the only way to build abstract data types (ADT)
- the characteristic feature of an ADT is that the representation type is hidden: all operations on the ADT are done at an abstract level which does not depend on the representation
- e.g. a suitable ADT for binary trees might include the following operations:

```
data Tree a      -- just the type name
leaf             :: a -> Tree a
branch           :: Tree a -> Tree a -> Tree a
cell             :: Tree a -> a
left, right      :: Tree a -> Tree a
isLeaf          :: Tree a -> Bool
```

# ADT implementation

```
module TreeADT (Tree, leaf, branch, cell,  
               left, right, isLeaf) where  
data Tree a    = Leaf a | Branch (Tree a) (Tree a)  
leaf           = Leaf  
branch         = Branch  
cell (Leaf a)  = a  
left  (Branch l r) = l  
right (Branch l r) = r  
isLeaf (Leaf _)   = True  
isLeaf _          = False
```

- in the export list the type name `Tree` appears without its constructors
  - so the only way to build or take apart trees outside of the module is by using the various (abstract) operations
  - the advantage of this information hiding is that at a later time we could change the representation type without affecting users of the type

# Type classes and overloading

- we already saw *parametric polymorphism* in Haskell (e.g. in **length**)
- **type classes** are the mechanism provided by Haskell for *ad hoc* polymorphism (aka **overloading**)
- the first, natural example is that of numbers: 6 can represent an integer, a rational, a floating point number...
- e.g.

```
Prelude> 6 :: Float
6.0
Prelude> 6 :: Integer -- unlimited
6
Prelude> 6 :: Int    -- fixed precision
6
Prelude> 6 :: Rational
6 % 1
```

# Type classes: equality

- also numeric operators and equality work with different kinds of numbers
- let's start with equality: it is natural to define equality for many types (but not every one, e.g. functions - it's undecidable)
- we consider here only **value equality**, not **pointer equality** (like Java's `==` or Scheme's **eq?**), because pointer equality is clearly *not referentially transparent*
- let us consider **elem**

<code>x 'elem' []</code>	<code>= False</code>
<code>x 'elem' (y:ys)</code>	<code>= x==y    (x 'elem' ys)</code>

- its type should be: `a -> [a] -> Bool`. But this means that `(==) :: a -> a -> Bool`, even though equality is not defined for every type

# class Eq

- **type classes** are used for overloading: a class is a "container" of overloaded operations
- we can declare a type to be an **instance** of a type class, meaning that it implements its operations
- e.g. class Eq

```
class Eq a where  
  (==)  :: a -> a -> Bool
```

- now the type of (==) is

```
(==)    :: (Eq a) => a -> a -> Bool
```

- `Eq a` is a *constraint* on type `a`, it means that `a` must be an instance of `Eq`

# Defining instances

- e.g. `elem` has type  $(Eq\ a) \Rightarrow a \rightarrow [a] \rightarrow Bool$
- we can define instances like this:

```
instance (Eq a) => Eq (Tree a) where
-- type a must support equality as well
Leaf a == Leaf b = a == b
(Branch l1 r1) == (Branch l2 r2) = (l1==l2) && (r1==r2)
_ == _ = False
```

- an implementation of `(==)` is called a **method**
- *CAVEAT* do not confuse all these concepts with the homonymous concepts in OO programming: there are similarities but also big differences



# Haskell vs Java concepts

- |   | Haskell | Java      |
|---|---------|-----------|
|   | Class   | Interface |
| • | Type    | Class     |
|   | Value   | Object    |
|   | Method  | Method    |
- in Java, an Object is an *instance* of a Class
  - in Haskell, a Type is an *instance* of a Class

# Eq and Ord in the Prelude

- Eq offers also a standard definition of  $\neq$ , derived from  $(==)$ :

```
class Eq a where
  (==), (/=) :: a -> a -> Bool
  x /= y  =  not (x == y)
```

- we can also extend Eq with comparison operations:

```
class (Eq a) => Ord a where
  (<), (<=), (>=), (>) :: a -> a -> Bool
  max, min           :: a -> a -> a
```

- Ord is also called a **subclass** of Eq
- it is possible to have **multiple inheritance**: `class (X a, Y a) => Z a`

## Another important class: Show

- it is used for **showing**: to have an instance we must implement **show**
- e.g., functions do not have a standard representation:

```
Prelude> (+)
<interactive>:2:1:
  No instance for (Show (a0 -> a0 -> a0))
    arising from a use of 'print'
Possible fix:
  add an instance declaration for (Show (a0 -> a0 -> a0))
```

- well, we can just use a trivial one:

```
instance Show (a -> b) where
  show f = "<< a function >>"
```

# Showing Trees

- we can also represent binary trees:

```
instance Show a => Show (Tree a) where
  show (Leaf a) = show a
  show (Branch x y) = "<" ++ show x ++ " | " ++ show y ++ ">"
```

- e.g.

```
Branch
  (Branch
    (Leaf 'a') (Branch (Leaf 'b') (Leaf 'c'))))
  (Branch
    (Leaf 'd') (Leaf 'e'))
```

- is represented as

```
<<'a' | <'b' | 'c'>> | <'d' | 'e'>>
```

# Deriving

- usually it is not necessary to explicitly define instances of some classes, e.g. Eq and Show
- Haskell can be quite smart and do it automatically, by using **deriving**
- for example we may define binary trees using an infix syntax and automatic Eq, Show like this:

```
infixr 5 :^:  
data Tr a = Lf a | Tr a :^: Tr a  
           deriving (Show, Eq)
```

- e.g.

```
*Main> let x = Lf 3 :^: Lf 5 :^: Lf 2  
*Main> let y = (Lf 3 :^: Lf 5) :^: Lf 2  
*Main> x == y  
False  
*Main> x  
Lf 3 :^: (Lf 5 :^: Lf 2)
```

# An example with class Ord

- Rock-paper-scissors in Haskell

```
data RPS = Rock | Paper | Scissors deriving (Show, Eq)
```

```
instance Ord RPS where
```

```
  x <= y | x == y      = True
  Rock   <= Paper      = True
  Paper  <= Scissors   = True
  Scissors <= Rock      = True
  _      <= _          = False
```

- note that we only needed to define ( $\leq$ ) to have the instance

# An example with class Num

- a simple re-implementation of rational numbers

```
data Rat = Rat !Integer !Integer deriving Eq
```

```
simplify (Rat x y) = let g = gcd x y  
                    in Rat (x `div` g) (y `div` g)
```

```
makeRat x y = simplify (Rat x y)
```

```
instance Num Rat where
```

```
  (Rat x y) + (Rat x' y') = makeRat (x*y'+x'*y) (y*y')
```

```
  (Rat x y) - (Rat x' y') = makeRat (x*y'-x'*y) (y*y')
```

```
  (Rat x y) * (Rat x' y') = makeRat (x*x') (y*y')
```

```
  abs (Rat x y)           = makeRat (abs x) (abs y)
```

```
  signum (Rat x y)        = makeRat (signum x * signum y) 1
```

```
  fromInteger x           = makeRat x 1
```

## An example with class Num (cont.)

- Ord:

```
instance Ord Rat where
  (Rat x y) <= (Rat x' y') = x*y' <= x'*y
```

- a better show:

```
instance Show Rat where
  show (Rat x y) = show x ++ "/" ++ show y
```

- note: Rationals are in the Prelude!
- moreover, there is class Fractional for / (not covered here)
- but we could define our version of division as follows:

```
x // (Rat x' y') = x * (Rat y' x')
```



# Input/Output is dysfunctional

- what is the type of the standard function **getChar**, that gets a character from the user? `getChar :: theUser -> Char`?
- first of all, it is not **referentially transparent**: two different calls of **getChar** could return different characters
- In general, IO computation is based on **state change** (e.g. of a file), hence if we perform a **sequence of operations**, they must be performed in **order** (and this is not easy with **call-by-need**)

# Input/Output is dysfunctional (cont.)

- `getChar` can be seen as a function `:: Time -> Char`.
- indeed, it is an **IO action** (in this case for Input):  
`getChar :: IO Char`
- quite naturally, to print a character we use **putChar**, that has type:  
`putChar :: Char -> IO ()`
- **IO** is an instance of the **monad** class, and in Haskell it is considered as an **indelible stain of impurity**

# A very simple example of an IO program

- **main** is the default entry point of the program (like in C)

```
main = do {  
    putStr "Please, tell me something";  
    thing <- getLine;  
    putStrLn $ "You told me \"" ++ thing ++ "\".";  
}
```

- special syntax for working with IO: **do**, **<-**
- we will see its real semantics later, used to define an IO action as an **ordered sequence** of IO actions
- "**<-**" (note: not **=**) is used to obtain a value from an IO action
- types:

```
main      :: IO ()  
putStr    :: String -> IO ()  
getLine   :: IO String
```

# Command line arguments and IO with files

- compile with e.g. **ghc readfile.hs**

```
import System.IO
import System.Environment

readfile = do {
  args <- getArgs; -- command line arguments
  handle <- openFile (head args) ReadMode;
  contents <- hGetContents handle; -- note: lazy
  putStr contents;
  hClose handle;
}
main = readfile
```

- **readfile stuff.txt** reads "stuff.txt" and shows it on the screen
- **hGetContents** reads lazily the contents of the file

# Exceptions and IO

- Of course, purely functional Haskell code can raise exceptions: `head [], 3 'div' 0, ...`
- but if we want to catch them, we need an IO action:
- `handle :: Exception e => (e -> IO a) -> IO a -> IO a;`  
the 1st argument is the *handler*
- Example: we catch the errors of **readfile**

```
import Control.Exception
import System.IO.Error
...
main = handle handler readfile
      where handler e
            | isDoesNotExistError e =
              putStrLn "This file does not exist."
            | otherwise =
              putStrLn "Something is wrong."
```

# Other classical data structures

- What about usual, practical data structures (e.g. arrays, hash-tables)?
- Traditional versions are imperative! If really needed, there are libraries with imperative implementations living in the  $\text{IO}$  monad
- Idiomatic approach: use **immutable** arrays (`Data.Array`), and maps (`Data.Map`, implemented with balanced binary trees)
- **find** are respectively  $O(1)$  and  $O(\log n)$ ; **update**  $O(n)$  for arrays,  $O(\log n)$  for maps
- of course, the update operations *copy* the structure, do not change it

## Example code: Maps

```
import Data.Map

exmap = let m = fromList [("nose", 11), ("emerald", 27)]
        n = insert "rug" 98 m
        o = insert "nose" 9 n
        in (m ! "emerald", n ! "rug", o ! "nose")
```

- exmap evaluates to (27,98,9)

## Example code: Arrays

- `(//)` is used for update/insert
- `listArray`'s first argument is the **range** of indexing (in the following case, indexes are from 1 to 3)

```
import Data.Array

exarr = let m = listArray (1,3) ["alpha","beta","gamma"]
        n = m // [(2,"Beta")]
        o = n // [(1,"Alpha"), (3,"Gamma")]
        in (m ! 1, n ! 2, o ! 1)
```

- `exarr` evaluates to `("alpha","Beta","Alpha")`



# How to reach Monads

- We saw that IO is a type constructor, instance of *Monad*
- But we still do not know what a Monad is
- Recent versions of GHC make the trip a bit longer, because we need first to introduce the following classes:
  - Foldable (not required, but useful)
  - Functor
  - Applicative (Functor)

# Class Foldable

- **Foldable** is a class used for *folding*, of course
- The main idea is the one we know from *foldl* and *foldr* for lists:
- we have a container, a binary operation  $f$ , and we want to apply  $f$  to all the elements in the container, starting from a value  $z$ .
- Recall their definitions:
  - 1  $\text{foldr } f \ z \ [] = z$   
 $\text{foldr } f \ z \ (x:xs) = f \ x \ (\text{foldr } f \ z \ xs)$
  - 2  $\text{foldl } f \ z \ [] = z$   
 $\text{foldl } f \ z \ (x:xs) = \text{foldl } f \ (f \ z \ x) \ xs$

# foldl vs foldr in Haskell

- A minimal implementation of Foldable requires *foldr*
- *foldl* can be expressed in term of *foldr* (*id* is the identity function):  
$$\text{foldl } f \ a \ bs = \text{foldr } (\backslash b \ g \ x \rightarrow g \ (f \ x \ b)) \ id \ bs \ a$$
- the converse is not true, since *foldr* may work on infinite lists, unlike *foldl*:
  - in the presence of call-by-need evaluation, *foldr* will immediately return the application of *f* to the recursive case of folding over the rest of the list
  - if *f* is able to produce some part of its result without reference to the recursive case, then the recursion will stop
  - on the other hand, *foldl* will immediately call itself with new parameters until it reaches the end of the list.

## Example: foldable binary trees

- Let's go back to our binary trees

```
data Tree a = Empty | Leaf a | Node (Tree a) (Tree a)
```

- we can easily define a *foldr* for them

```
tfolder f z Empty = z
```

```
tfolder f z (Leaf x) = f x z
```

```
tfolder f z (Node l r) = tfolder f (tfolder f z r) l
```

```
instance Foldable Tree where
```

```
    foldr = tfolder
```

```
> foldr (+) 0 (Node (Node (Leaf 1) (Leaf 3)) (Leaf 5))
```

```
9
```

# Maybe

- **Maybe** is used to represent computations that may fail: we either have *Just*  $v$ , if we are lucky, or *Nothing*.
- It is basically a simple "conditional container"  

```
data Maybe a = Nothing | Just a
```
- It is adopted in many recent languages, to avoid NULL and limit exceptions usage.
- Examples are Scala (basically the ML family approach): `Option[T]`, with values `None` or `Some(v)`; Swift, with `Optional<T>`.
- It is quite simple, so we will use it in our examples with Functors & C.

## Of course, Maybe is foldable

```
instance Foldable Maybe where
  foldr _ z Nothing = z
  foldr f z (Just x) = f x z
```

# Functor

- **Functor** is the class of all the types that offer a *map* operation
- (so there is an analogy with Foldable vs folds)
- the map operation of functors is called **fmap** and has type:
- `fmap :: (a -> b) -> f a -> f b`
- it is quite natural to define map for a container, e.g.:

```
instance Functor Maybe where
    fmap _ Nothing      = Nothing
    fmap f (Just a)    = Just (f a)
```

# Functor laws

- Well-defined functors should obey the following laws:
- $fmap\ id = id$  (where  $id$  is the identity function)
- $fmap\ (f . g) = fmap\ f . fmap\ g$  (homomorphism)
- You can try, as an exercise, to check if the functors we are defining obey the laws



# Trees can be functors, too

- First, let us define a suitable *map* for trees:

```
tmap f Empty = Empty
tmap f (Leaf x) = Leaf $ f x
tmap f (Node l r) = Node (tmap f l) (tmap f r)
```

- That's all we need:

```
instance Functor Tree where
    fmap = tmap
```

```
-- example
> fmap (+1) (Node (Node (Leaf 1) (Leaf 2)) (Leaf 3))
Node (Node (Leaf 2) (Leaf 3)) (Leaf 4)
```

# Applicative Functors

- In our voyage toward monads, we must consider also an extended version of functors, i.e. *Applicative functors*
- The definition looks indeed exotic:

```
class (Functor f) => Applicative f where
  pure :: a -> f a
  (<*>) :: f (a -> b) -> f a -> f b
```

- note that  $f$  is a type constructor, and  $f\ a$  is a Functor type
- moreover,  $f$  must be parametric with one parameter
- if  $f$  is a container, the idea is not too complex:
  - `pure` takes a value and returns an  $f$  containing it
  - `<*>` is like `fmap`, but instead of taking a function, takes an  $f$  containing a function, to apply it to a suitable container of the same kind

# Maybe is an Applicative Functor

- Here is its definition:

```
instance Applicative Maybe where  
  pure = Just
```

```
Just f  <*> m      = fmap f m  
Nothing <*> _       = Nothing
```

- Of course, lists are instances of Foldable and Functor. What about Applicative?
- For that, it is first useful to introduce **concat**
- `concat :: Foldable t => t [a] -> [a]`
- So we start from a container of lists, and get a list with the *concatenation* of them:
- `concat [[1,2], [3], [4,5]]` is `[1,2,3,4,5]`
- it can be defined as: `concat l = foldr (++) [] l`
- its composition with *map* is called **concatMap**

```
concatMap f l = concat $ map f l  
> concatMap (\x -> [x, x+1]) [1,2,3]  
[1,2,2,3,3,4]
```

# Lists are instances of Applicative

- With `concatMap`, we get the standard implementation of `<*>` for lists:

```
instance Applicative [] where
    pure x      = [x]
    fs <*> xs = concatMap (\f -> map f xs) fs
```

- What can we do with it? For instance we can apply list of operations to lists:

```
> [(+1),(*2)] <*> [1,2,3]
[2,3,4,2,4,6]
```

- Note that we *map* the operations in sequence, then we *concatenate* the resulting lists

# Trees and Applicative

- Following the list approach, we can make our binary trees an instance of Applicative Functors
- First, we need to define what we mean by tree concatenation:

```
tconc Empty t = t
tconc t Empty = t
tconc t1 t2 = Node t1 t2
```

- now, concat and concatMap (here tconcmmap for short) are like those of lists:

```
tconcat t = tfoldr tconc Empty t
tconcmmap f t = tconcat $ tmap f t
```

# Applicative Trees

- Here is the natural definition (practically the same of lists):

```
instance Applicative Tree where
  pure = Leaf
  fs <*> xs = tconccmap (\f -> tmap f xs) fs
```

- Let's try it:

```
> (Node (Leaf (+1)) (Leaf (*2))) <*>
   Node (Node (Leaf 1) (Leaf 2)) (Leaf 3)
```

```
Node (Node (Node (Leaf 2) (Leaf 3))
           (Leaf 4))
      (Node (Node (Leaf 2) (Leaf 4))
            (Leaf 6))
```

# A peculiar type class: Monad

- introduced by Eugenio Moggi in 1991, a monad is a kind of **algebraic data type** used to represent computations (instead of data in the domain model) - we will often call these computations **actions**
- monads allow the programmer to **chain** actions together to build an **ordered sequence**, in which each action is **decorated with additional processing rules** provided by the monad and performed automatically
- monads are **flexible** and **abstract**. This makes some of their *applications* a bit hard to understand.



## A peculiar type class: Monad (cont.)

- monads can **also** be used to make **imperative** programming easier in a pure functional language
- in practice, through them it is possible to define an **imperative sub-language** on top of a purely functional one
- there are many examples of monads and tutorials (many of them quite bad) available in the Internet

# The Monad Class

```
class Applicative m => Monad m where
  -- Sequentially compose two actions, passing any value produced
  -- by the first as an argument to the second.
  (>>=)      :: m a -> (a -> m b) -> m b
  -- Sequentially compose two actions, discarding any value produced
  -- by the first, like sequencing operators (such as the semicolon)
  -- in imperative languages.
  (>>)       :: m a -> m b -> m b
  m >> k = m >>= \_ -> k
  -- Inject a value into the monadic type.
  return     :: a -> m a
  return     = pure
  -- Fail with a message.
  fail       :: String -> m a
  fail s     = error s
```

# The Monad Class (cont.)

- Note that only `>>=` is required, all the other methods have standard definitions
- `>>=` and `>>` are called **bind**
- `m a` is a *computation* (or action) resulting in a value of type `a`
- **return** is by default **pure**, so it is used to create a single monadic action.  
E.g. `return 5` is an action containing the value 5.
- **bind** operators are used to compose actions
  - `x >>= y` performs the computation `x`, takes the resulting value and passes it to `y`; then performs `y`.
  - `x >> y` is analogous, but "throws away" the value obtained by `x`

# Maybe is a Monad

- Its definition is straightforward

```
instance Monad Maybe where
    (Just x) >>= k      = k x
    Nothing  >>= _      = Nothing
    fail _              = Nothing
```

# Examples with **Maybe**

- The information managed automatically by the monad is the “bit” which encodes the **success** (i.e. *Just*) or failure (i.e. *Nothing*) of the action sequence
- e.g. `Just 4 >> Just 5 >> Nothing >> Just 6` evaluates to `Nothing`
- a variant: `Just 4 >>= Just >> Nothing >> Just 6`
- another: `Just 4 >> Just 1 >>= Just` (what is the result in this case?)

# The monadic laws

- for a monad to behave correctly, method definitions must obey the following laws:

- 1) *return* is the **identity element**:

$$\begin{aligned} (\text{return } x) >>= f &\quad \Leftrightarrow \quad f \ x \\ m >>= \text{return} &\quad \Leftrightarrow \quad m \end{aligned}$$

- 2) **associativity** for binds:

$$(m >>= f) >>= g \quad \Leftrightarrow \quad m >>= (\backslash x \rightarrow (f \ x >>= g))$$

- (monads are analogous to **monoids**, with  $\text{return} = 1$  and  $>>= = \cdot$ )

## Example: monadic laws application with Maybe

- ```
> (return 4 :: Maybe Integer) >>= \x -> Just (x+1)
Just 5
> Just 5 >>= return
Just 5
```
- ```
> (return 4 >>= \x -> Just (x+1))
  >>= \x -> Just (x*2)
Just 10
> return 4 >>= (\y ->
  ((\x -> Just (x+1)) y)
  >>= \x -> Just (x*2))
Just 10
```

# Syntactic sugar: the **do** notation

- The **do** syntax is used to avoid the explicit use of `>>=` and `>>`
- The essential translation of **do** is captured by the following two rules:

$$\begin{array}{lcl} \text{do } e1 \ ; \ e2 & \Longleftrightarrow & e1 \ \gg \ e2 \\ \text{do } p \ <- \ e1 \ ; \ e2 & \Longleftrightarrow & e1 \ \gg= \ \backslash p \ -> \ e2 \end{array}$$

- note that they can also be written as:

$$\begin{array}{lcl} \text{do } e1 & & \text{do } p \ <- \ e1 \\ \quad e2 & & \quad e2 \end{array}$$

- or:

$$\begin{array}{lcl} \text{do } \{ \ e1 \ ; & & \text{do } \{ \ p \ <- \ e1 \ ; \\ \quad e2 \ } & & \quad e2 \ } \end{array}$$



## Caveat: **return** does not return

- IO is a build-in monad in Haskell: indeed, we used the *do* notation for performing IO
- there are some catches, though – it looks like an imperative sub-language, but its semantics is based on bind and pure
- For example:

```
esp :: IO Integer
esp = do x <- return 4
        return (x+1)
```

```
> esp
5
```

# The List Monad

- **List**: monadic binding involves joining together a set of calculations for each value in the list
- In practice, *bind* is `concatMap`

```
instance Monad [] where
    xs >>= f = concatMap f xs
    fail _ = []
```

- The underlying idea is to represent *non-deterministic computations*

# Lists: do vs comprehensions

- list comprehensions can be expressed in *do* notation
- e.g. this comprehension

```
[(x,y) | x <- [1,2,3], y <- [1,2,3]]
```

- is equivalent to:

```
do x <- [1,2,3]  
   y <- [1,2,3]  
   return (x,y)
```

## the List monad (cont.)

- we can rewrite our example:

```
do x <- [1,2,3]
  y <- [1,2,3]
  return (x,y)
```

- following the monad definition:

```
[1,2,3] >>= (\x -> [1,2,3] >>=
              (\y ->
                return (x,y)))
```

- that is:

```
concatMap f0 [1,2,3]
where f0 x = concatMap f1 [1,2,3]
      where f1 y = [(x,y)]
```

# Monadic Trees

- We can now to define our own monad with binary trees
- Knowing about lists, it is not too hard:

```
instance Monad Tree where
    xs >>= f = tconcmmap f xs
    fail _   = Empty
```

## Now some examples

- Monads are abstract, so monadic code is very flexible, because it can work with **any** instance of Monad
- A simple monadic comprehension:

```
exmon :: (Monad m, Num r) => m r -> m r -> m r
exmon m1 m2 = do x <- m1
                 y <- m2
                 return $ x-y
```

# Let's apply it to lists and trees

- First, we try with lists:

```
> exmon [10, 11] [1, 7]  
[9,3,10,4]
```

- on trees is not much different

```
> exmon (Node (Leaf 10) (Leaf 11)) (Node (Leaf 1) (Leaf 7))  
Node (Node (Leaf 9) (Leaf 3))  
      (Node (Leaf 10) (Leaf 4))
```

# Not just simple containers

- Monads can be used to implement parsers, continuations, ...
- and, of course, IO
- Let's try `exmon` with `IO Int`:

```
-- read is like in Scheme, here is used to parse the number
exmon (do putStr "> "
          x <- getLine;
          return (read x :: Int))
      (return 10)
```

- What is the result, if we enter 12?



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