Algorithms and Data Structures Part 1

Topic 4: Hash Tables

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Key-Value Pairs

Suppose we want to store data consisting of pairs of keys and values. For example

name	student number
Smith	123512
Jones	174322
Jackson	192852

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We want to be able to look up the values using the keys, and

- the total amount of data might be very large,
- our algorithms might perform many look ups.

- A hash table consists of a bucket array and a hash function.
- A bucket array for a hash table is an array *A* of size *N*, where each cell of *A* is thought as a bucket storing a collection of key-value pairs.
- \blacksquare The size *N* of the array is called the capacity of the hash table

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- A hash function h is a function mapping each key k to an integer in the range [0, N-1], where N is the capacity of the hash table.
- The main idea is to use h(k) as an index into the bucket array A. That is, we store the key-value pair (k, v) in the bucket A[h(k)].
- If there are two keys with the same hash value h(k), then two different entries will be mapped to the same bucket in A. In this case, we say that a collision has occurred.

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- Can there be entries in the hash table with the same value?

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 - hash code: keys to integers
 - compression function: integers to [0, N-1]
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The goal of the hash function is to disperse the keys in an apparently random way.

Hash Functions

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More practically:

- Hash function should be efficiently computable.
- Each table position equally likely for each key.

Some compression functions:

- \blacksquare division: take integer mod N
- multiply add and divide (MAD): y maps to $ay + b \mod N$ where a and b are nonnegative integers

Hash Functions: Collisions

- There are several ways to deal with collisions. Whichever method we choose to deal with them, a large number of collisions reduces the performance of the hash table.
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We will discuss four different methods for handling collisions

- separate chaining
- 2 linear probing
- 3 quadratic probing
- 4 double hashing

Methods 2. through 4. are examples of open addressing policies for collision resolution.

Separate Chaining

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Separate chaining performance.

- Cost is proportional to length of list.
- Average length = N/M (N is amount of data, M is size of array).
- Worst case: all keys hash to same list.

Linear Probing

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- If $A[(i+1) \mod N]$ is also occupied, then we try $A[(i+2) \mod N]$, and so on, until we find an empty bucket that can accept the new entry.
- The name linear probing comes from the fact that accessing a cell of the bucket array can be viewed as a probe.

Linear Probing: Costs

- Insert and search cost depend on length of cluster.
- Average length of cluster is N/M.
- Worst case: all keys hash to same cluster.

Quadratic probing

Quadratic probing iteratively tries the buckets

$$A[(i+f(j)) \bmod N]$$
, for $j=0,1,2,\ldots$, where $f(j)=j^2$ until finding an empty bucket.

- Quadratic probing avoids clustering patterns that occur with linear probing. However, it creates its own kind of clustering, called secondary clustering.
- The quadratic probing strategy may not find an empty bucket in *A* even if one exists.

Double hashing

■ In this approach, we choose a secondary hash function, h', and if h maps some key k to a bucket A[i], with i = h(k), that is already occupied, then we iteratively try the buckets

$$A[(i+f(j)) \text{ mod } N], \text{ for } j = 0, 1, 2, ..., \text{ where } f(j) = j \cdot h'(k)$$

- A common choice of secondary hash function is $h'(k) = q (k \mod q)$, for some prime number q < N.
- The secondary hash function should not evaluate to zero.

Comparison

- The open addressing schemes are more memory efficient compared to separate chaining.
- Regarding running times, in experimental and theoretical analyses, the separate chaining method is either competitive or faster than the other methods.
- If memory space is not a major issue, the collision-handling method of choice is separate chaining.

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- Deletions from the hash table must not hinder future searches. If a bucket is simply left empty this will hinder future probes.
- But the bucket should not be left unusable.
- To solve these problems we use tombstones: a marker that is left in a bucket after a deletion.
- If a tombstone is encountered when searching along a probe sequence to find a key, we know to continue.
- If a tombstone is encountered during insertion, then we should continue probing (to avoid creating duplicates), but then the new record can placed in the bucket where the tombstone was found.

- The use of tombstones lengthens the average probe sequence distance.
- Two possible remedies:
 - Local reorganization: after deleting a key, continue to follow the probe sequence of that key and move records into the vacated bucket. (This will not work for all collision resolution policies).
 - Periodically rehash the table by reinserting all records into a new hash table. And if you have a record of which keys are accessed most these can be placed where they will be found most easily.