

Relational Model Concepts

- Language in which user requests information from the database
- The relational Model of Data is based on the concept of a Relation.
- * A Relation is a mathematical concept based on the ideas of sets
- The strength of the relational approach to data management comes from the formal foundation provided by the theory of relations

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Query Languages

- Categories of languages
 - procedural
 - * non-procedural
- □ "Pure" languages:
 - * Relational Algebra
 - * Relational Calculus
 - > Tuple Relational Calculus
 - > Domain Relational Calculus
- Pure languages form underlying basis of query languages that people use

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RELATIONAL ALGEBRA

- * Relational Algebra
- Unary Operator
- Binary Operator
- ➤ Select ()

- ➤ Cartesian Product (x)
- ➤ Project ()
- ➤Union (U)

➤ Rename()

➤ Set Difference (—)

Extended Relational-Algebra-Operations

The operators take one or more relations as inputs and give a new relation as a result

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Example of Relation

emp

eno	ename	sal
e1	Sam	700
e2	John	500
e3	Smith	1000
e4	Sarah	900

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Select ()

emp

	eno	ename	sal
	e1	Sam	700
	e2	John	500
	e3	Smith	1000
1	e4	Sarah	900

<search condition> (Relation Name)

Query:

Q1. Select all the information of the employee whose salary is more than Rs.700

sal>700 (emp);

SELECT * FROM emp WHERE sal> 700;

eno	ename	sal
e3	Smith	1000
e4	Sarah	900

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Select ()

Query:

Q2. Select all the information of the employee whose salary is more than Rs.500 but less than 1000

(emp);

eno	ename	sal
e1	Sam	700
e2	John	500
e3	Smith	1000
e4	Sarah	900

SELECT * FROM emp WHERE sal> 700 AND sal <1000;

eno	ename	sal	
e4	Sarah	900	

emp

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Select () ❖Query:

Q3. Select all the information of the employee whose employee id is e1

eno=e1(emp);

SELECT * FROM EMP WHERE eno=e1;

emp

eno	ename	sal
e1	Sam	700
e2	John	500
e3	Smith	1000
e4	Sarah	900

ename eno sal Sam 700

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Select () ❖Query: Q4. Select all the information of the employee (emp) SELECT * FROM emp; emp eno ename sal eno ename sal 700 e1 Sam 700 e1 Sam 500 John e2 John 500 e2 Smith 1000 e3 еЗ Smith 1000 900 e4 Sarah 900 e4 Sarah @ SKG 9/9/2020

Select Operation

- Notation: $\dagger_{p}(r)$
- □ *p* is called the **selection predicate**
- Defined as:

OPERATORS in RA is not always same as SQL

$$\dagger_{p}(\mathbf{r}) = \{t \mid t \in r \text{ and } p(t)\}$$

Where p is a formula in propositional calculus consisting of **terms** connected by : \land (and), \lor (or), \neg (not)

Each term is one of:

<attribute> op <attribute> or <constant>

where op is one of: $=, \neq, >, \geq. <. \leq$

Example of selection:

branch_name="Perryridge" (account)

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SELECT Operation Properties

- ☐ The SELECT operation <selection condition>(R) produces a relation S
 that has the same schema as R
- ☐ The SELECT operation is **commutative**; i.e.,

```
<condition1>(<condition2>(R)) = <condition2>(<condition1>(R))
```

- □ A cascaded SELECT operation may be applied in any order;
 - i.e., <condition1>(< condition2> (< condition3> (R))
 - = $\langle condition2 \rangle$ ($\langle condition3 \rangle$ ($\langle condition1 \rangle$ (R)))
- □ A cascaded SELECT operation may be replaced by a single selection with a conjunction of all the conditions; i.e.,

```
<condition1>( < condition2>( <condition3>( R))
```

= <condition1> AND < condition2> AND < condition3> (R)))

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RELATIONAL ALGEBRA

· Relational Algebra

Unary Operator

Binary Operator

>Select ()

➤ Cartesian Product (x)

≻Project ()

>Union (U)

≻Rename ()

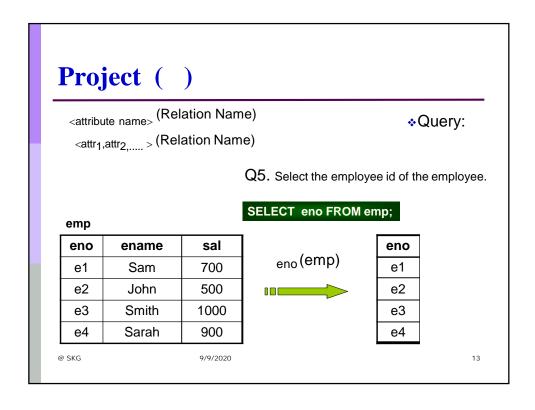
> Set Difference (—)

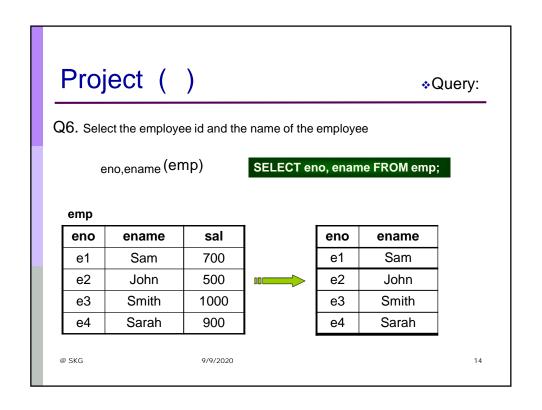
Extended Relational-Algebra-Operations

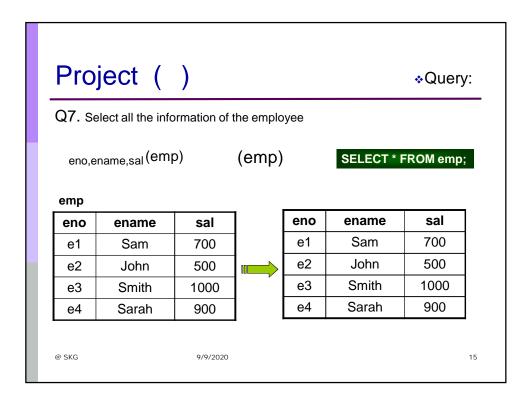
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The operators take one or more relations as inputs and give a new relation as a result

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PROJECT Operation Properties

- The number of tuples in the result of projection slists (R) is always less or equal to the number of tuples in R.
- If the list of attributes includes a key of R, then the number of tuples is equal to the number of tuples in R.

emp eno

e1

Select + Project

Query:

Q8. Select the name of the employee whose salary is more than Rs. 700

ename (sal>700 (emp))

e2 John 500 e3 Smith 1000 e4 Sarah 900

ename

Sam

900

sal

700

SELECT ename FROM emp WHERE sal> 700;

Smith
Sarah

Is the following sequences are Equivalent?

SELECT @ PROJECT

PROJECT ®

SHECT

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Example of Relations

emp

eno	ename	dno
e1	Sam	d2
e2	John	d1
e3	Smith	d1
e4	Sarah	d3

dept

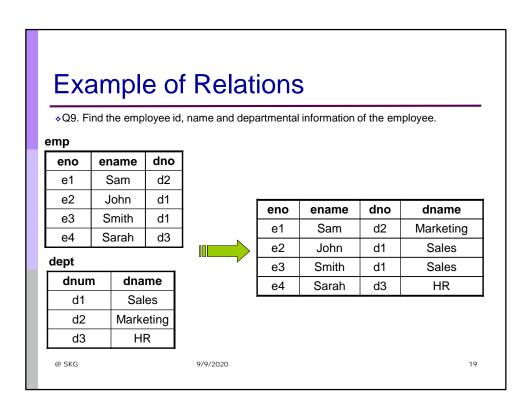
dnum	dname
d1	Sales
d2	Marketing
d3	HR

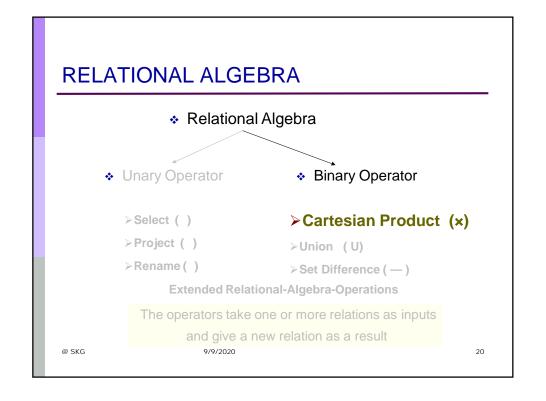
❖Query:

*Q9. Find the employee id, name and departmental information of the employee.

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Cartesian-Product Operation

- ❖ Notation r x s
- Defined as:
- $rx s = \{t q \mid t \in r \text{ and } q \in s\}$
- * Assume that attributes of r(R) and s(S) are disjoint. (That is, $R \cap S = \grave{a}$).
- If attributes of r(R) and s(S) are not disjoint, then renaming must be used.

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Cartesian Product

emp

eno	ename dno	
e1	Sam	d2
e2	John	d1
e3	Smith	d1
e4	Sarah	d3

dept



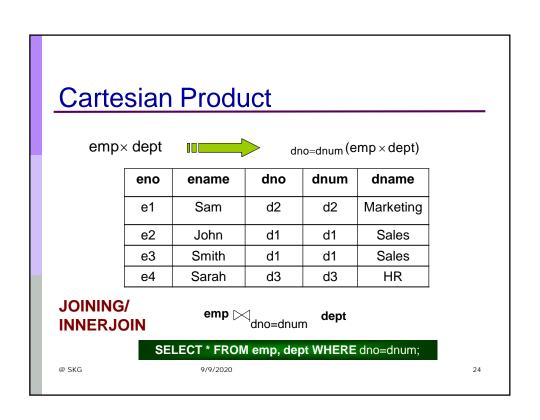
dnum	dname
d1	Sales
d2	Marketing
d3	HR

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SELECT * FROM emp, dept;

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Cartesi	an Produ	ct		emp 🔀 dept
eno	ename	dno	dnum	dname
e1	Sam	d2	d1	Sales
e1	Sam	d2	d2	Marketing
e1	Sam	d2	d3	HR
e2	John	d1	d1	Sales
e2	John	d1	d2	Marketing
e2	John	d1	d3	HR
e3	Smith	d1	d1	Sales
e3	Smith	d1	d2	Marketing
e3	Smith	d1	d3	HR
e4	Sarah	d3	d1	Sales
e4	Sarah	d3	d2	Marketing
e4	Sarah	d3	d3	HR



Join Operation: Derived Operator

- The sequence of cartesian product followed by select is used quite commonly to identify and select related tuples from two relations, a special operation, called JOIN
- This operation is very important for any relational database with more than a single relation, because it allows us to process relationships among relations
- ❖ The general form of a join operation on two relations R(A₁, A₂, . . ., Aₙ) and S(B₁, B₂, . . ., B๓) is:

 $R\bowtie_{< join \ condition>} S$

where R and S can be any relations that result from general *relational* algebra expressions

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Joining: Derived Operator

JOINING (1)

Cartesian Product Followed by a Select Operator

EQUIJOIN

THETA JOIN / JOIN

NATURAL JOIN

SELF JOIN

OUTER JOIN

Joining: Derived Operator

EQUIJOIN

emp dept dno=dnum

THETA JOIN / JOIN

emp dno=dnum sal> 700 dept

 $R \bowtie S$

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Cartesian Product

THETA JOIN

emp dept dno=dnum

emp

eno	ename	sal	dno
e1	Sam	100	d2
e2	John	800	d1
e3	Smith	1000	d1
e4	Sarah	400	d3

eno	ename	sal	dno	dnum	dname
e1	Sam	100	d2	d2	Marketing
e2	John	800	d1	d1	Sales
e3	Smith	1000	d1	d1	Sales
e4	Sarah	400	d3	d3	HR

emp dno=dnum sal> 700

dept

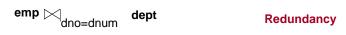
dnum	dname
d1	Sales
d2	Marketing
d3	HR
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SELECT * FROM emp, dept WHERE dno=dnum AND sal>700;							

eno	ename	sal	dno	dnum	dname
e2	John	800	d1	d1	Sales
e3	Smith	1000	d1	d1	Sales

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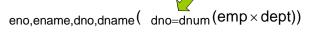
Joining : Derived Operator



eno	ename	dno	dnum	dname
e1	Sam	d2	d2	Marketing
e2	John	d1	d1	Sales
e3	Smith	d1	d1	Sales
e4	Sarah	d3	d3	HR

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Joining: Derived Operator



eno	ename	dno	dname
e1	Sam	d2	Marketing
e2	John	d1	Sales
e3	Smith	d1	Sales
e4	Sarah	d3	HR

SELECT eno,ename,dno,dname FROM emp, dept WHERE dno=dnum;

Example of Relation

emp

eno	ename	dno
e1	Sam	d2
e2	John	d1
e3	Smith	d1
e4	Sarah	d3

dept

dno	dname
d1	Sales
d2	Marketing
d3	HR

❖Query:

Find the employee id, name and departmental information of the employee.

emp | dept emp.dno=dept.dno

SELECT * FROM emp, dept WHERE emp.dno=dept.dno;

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Example of Relation

emp ⋈ dept emp.dno=dept.dno

SELECT * FROM emp, dept WHERE emp.dno=dept.dno;

eno	ename	dno	dno	dname
e1	Sam	d2	d2	Marketing
e2	John	d1	d1	Sales
e3	Smith	d1	d1	Sales
e4	Sarah	d3	d3	HR

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Joining: Derived Operator

 $emp.dno = dept.dno (emp \times dept)$



 $\texttt{eno,ename,emp.dno,dname} (\quad \underbrace{\texttt{emp.dno=dept.dno}}_{\texttt{emp.dno=dept.dno}} (\texttt{emp} \times \texttt{dept}))$

eno	ename	dno	dname
e1	Sam	d2	Marketing
e2	John	d1	Sales
e3	Smith	d1	Sales
e4	Sarah	d3	HR

NATURAL JOINING

emp | dept

SELECT eno,ename,emp. dno,dname FROM emp, dept WHERE emp.dno=dept.dno;

Joining: Derived Operator

 emp

 eno
 ename
 dno

 e1
 Sam
 d2

 e2
 John
 d1

 e3
 Smith
 d1

 e4
 Sarah
 d3

dept	
dno	dname
d1	Sales
d2	Marketing
d3	HR

eno	ename	dno	dname
e1	Sam	d2	Marketing
e2	John	d1	Sales
e3	Smith	d1	Sales
e4	Sarah	d3	HR

emp | dept

 ${\scriptstyle eno, ename, emp. dno, dname (emp. dno = dept. dno (emp \times dept))}$

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Natural-Join Operation

□ Let r and s be relations on schemas R and S respectively. Then, $r \bowtie s$ is a relation on schema $R \cup S$ obtained as follows:

$$r \bowtie s = R \cup S \left(r.A_1 = s.A_1 \land r.A_2 = s.A_2 \land r.A_n = s.A_n \left(r \times s \right) \right)$$

$$R \cap S = \left\{A_1, A_2, \dots, A_n\right\}$$

Example:

$$R = (A, B, C, D)$$
$$S = (E, B, D)$$

 r_{\bowtie} s is defined as:

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$$\prod r.A$$
, $r.B$, $r.C$, $r.D$, $s.E$ ($\sigma r.B = s.B \land r.D = s.D$ ($r \times s$))

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Natural Join Operation - Example

□ Relations r, s:

Α	В	С	D
r	1	r	а
S	2 4	Х	а
Х	4	S	b
r	1	Х	а
u	2	S	b
r			

B D E

1 a r
3 a s
1 a x
2 b u
3 b è

 $r \bowtie s$

Α	В	С	D	Ε
r	1	r	а	r
r	1	r	а	Х
r	1	Х	а	r
r	1	Х	а	Х
u	2	S	b	u

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Joining: Derived Operator

JOINING ①

Cartesian Product Followed by a Select Operator

EQUIJOIN

THETA JOIN / JOIN

NATURAL JOIN

SELF JOIN

OUTER JOIN

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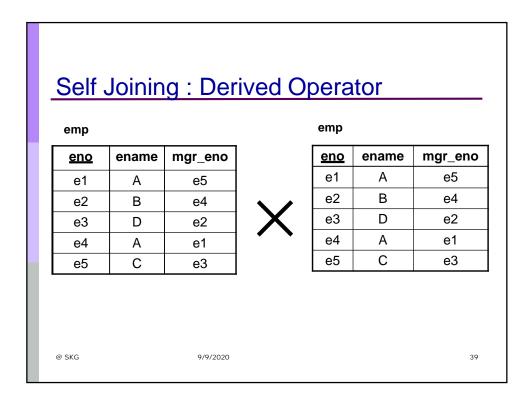
Self Joining : Derived Operator

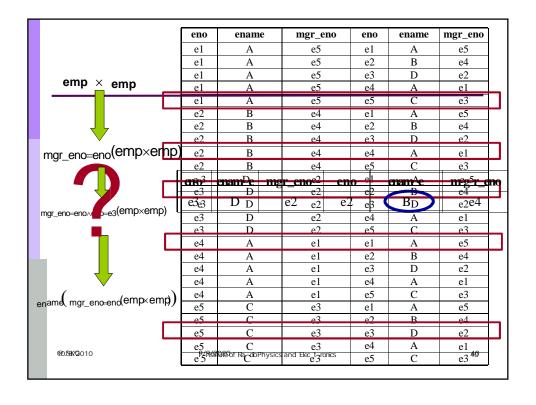
Find the manager's name of employee having employee id e3 emp

	<u>eno</u>	ename	mgr_eno
	e1	Α	e5
\	e2)-	→ (B)	e4
	e3)—	D	e2
	e4	А	e1
	e5	С	e3

?

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Self Joining : Derived Operator

omp .		
ename	mgr_eno	
Α	e5	
В	e4	
D	e2	
Α	e1	
С	e3	
	A B D A	



- U.I.P		
<u>eno</u>	ename	mgr_eno
e1	Α	e5
e2	В	e4
е3	D	e2
e4	Α	e1
e5	С	e3

SELECT ename FROM emp, emp WHERE emp. mgr_eno =emp. eno AND emp. eno=e3;

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Self Joining

Option1

emp

<u>eno</u>	ename	mgr_eno
e1	Α	e5
e2	В	e4
e3	Φ	— e2
e4	Α	e1
e5	C	e3

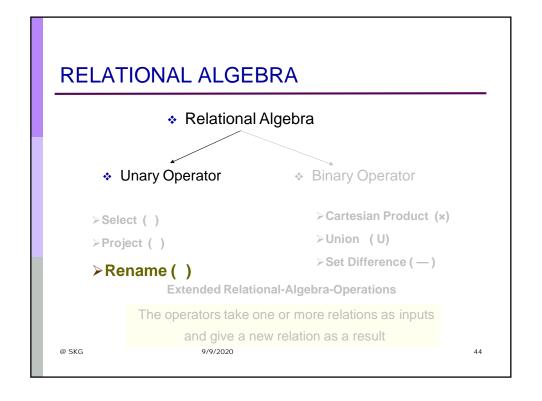
	eno	ename	mgr_eno
	e1	Α	e5
4	e2	→(B)	e4
	e3	۵(e2
	e4	Α	e1
	e5	С	e3

SELECT emp1. ename FROM emp, emp1 WHERE emp. mgr_eno=emp1.eno AND emp. eno=e3;

emp1

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Self Joining Option2 emp <u>eno</u> ename mgr_eno Α e1 е5 e2 В e4 **RENAME** e3 e2 e4 Α e1 С e5 e3 @ SKG 9/9/2020 43



Rename Operator

*Refer a relation by more than one name.

 $_{x}(E);$

returns the expression E under the name X

SELECT * **FROM** emp employee;

*Refer the relation and the attribute of the relation by more than one name

$$X(A_1,A_2,...,A_n)(E);$$

SELECT eno eid, ename name, mgr_eno supervisor_id FROM emp employee;

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Self Joining

Option2

RENAME

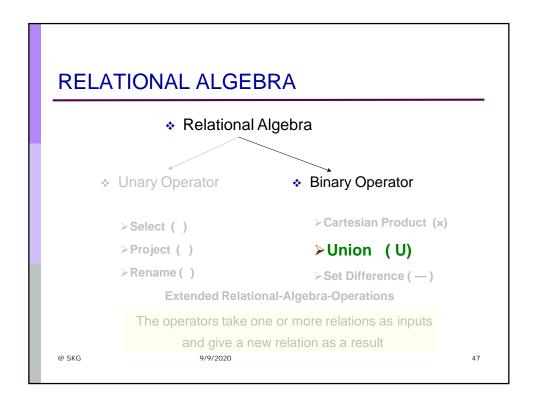
<u>eno</u>	ename	mgr_eno
e1	Α	e5
e2	В	e4
e3	D	e3
e4	Α	e1
e5	С	e2

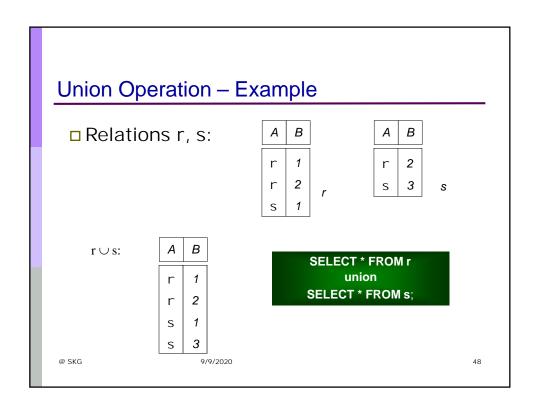
SELECT emp1. ename FROM emp, emp1 WHERE emp. mgr_eno=emp1.eno AND emp. eno=e3;

emp

SELECT b. ename FROM emp a, emp b WHERE a. mgr_eno=b.eno AND a. eno=e3;

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Union Operation

- □ Notation: $r \cup s$
- Defined as:

$$r \cup s = \{t \mid t \in r \text{ or } t \in s\}$$

- \square For $r \cup s$ to be valid
 - 1. r, s must have the same number of attributes
 - 2. The attribute domains must be *compatible* (e.g., 2nd column of r deals with the same type of values as does the 2nd column of s)
 - 3.The sequence of the attributes in the both the relation must be same

$$n(rUs)=n(r)+n(s)-n(r s)$$

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RELATIONAL ALGEBRA

Relational Algebra

Unary Operator

Binary Operator

>Select ()

➤ Cartesian Product (x)

>Project ()

>Union (U)

≻Rename()

>Set Difference (—)

Extended Relational-Algebra-Operations

The operators take one or more relations as inputs and give a new relation as a result

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Set Difference Operation – Example

□ Relations r, s:

Α	В	
r	1	
r	2	
s	1	



r − *s*:



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Set Difference Operation

- □ Notation r s
- Defined as:

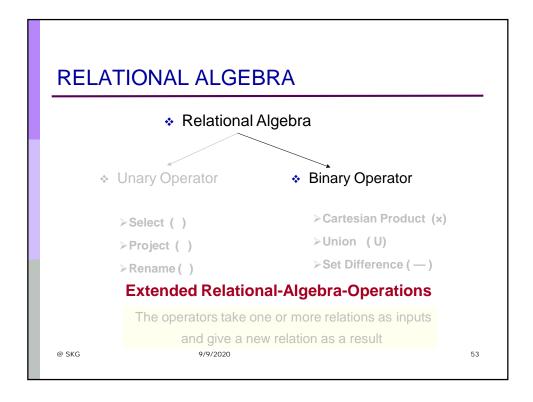
$$r-s = \{t \mid t \in r \text{ and } t \notin s\}$$

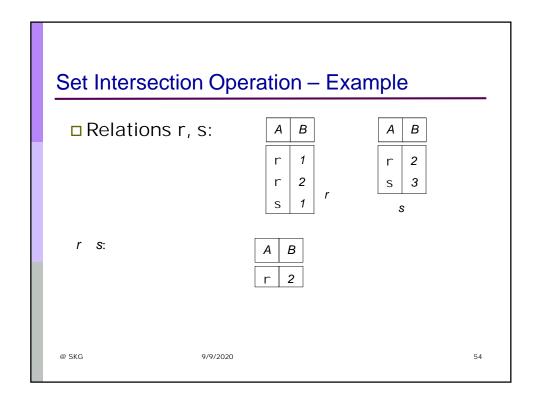
- □ Set differences must be taken between *compatible* relations
 - ❖ r and s must have the same arity
 - ❖ attribute domains of *r* and *s* must be compatible
 - sequence of the attributes in the both the relation must be same

$$n(r-s)=n(r)-n(r s)$$

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Set Difference Operation

- □ Notation *r* s
- Defined as:

$$r s = \{t \mid t \in r \text{ and } t \in s\}$$

- □ Set intersection must be taken between *compatible* relations.
 - r and s must have the same arity
 - * attribute domains of r and s must be compatible
 - sequence of the attributes in the both the relation must be same

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Extended Relational-Algebra-Operations

Assignment Operation

- ${\color{red} \bullet}$ The assignment operation (\leftarrow) provides a convenient way to express complex queries.
 - Write query as a sequential program consisting of
 - > a series of assignments
 - Followed by an expression whose value is displayed as a result of the query
 - Assignment must always be made to a temporary relation variable
- Example:

$$ename \left(sal > 700 \text{ (emp)} \right);$$

 $temp_1 \leftarrow sal > 700 \text{ (emp)}; \qquad result \leftarrow ename \text{ (temp } 1 \text{)};$

Generalized Projection

Extends the projection operation by allowing arithmetic functions to
 be used in the projection list.

$$\prod_{\mathsf{F1,\,F2,\,...,\,Fn}}(E)$$

- □ E is any relational-algebra expression
- Each of F_1 , F_2 , ..., F_n are are arithmetic expressions involving constants and attributes in the schema of E.
- Given relation credit-info(customer-name, limit, credit-balance), find how much more each person can spend:

 $\prod_{customer-name, limit-credit-balance}$ (credit-info);

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Aggregate Functions and Operations

 Aggregation function takes a collection of values and returns a single value as a result

avg: average valuemin: minimum valuemax: maximum valuesum: sum of valuescount: number of values

* Aggregate operation in relational algebra

G1, G2, ..., Gn
$$g$$
 F1(A1), F2(A2),..., Fn(An) (E)

- E is any relational-algebra expression
- \Box G_1 , G_2 ..., G_n is a list of attributes on which to group (can be empty)
- □ Each *F_i* is an aggregate function
- □ Each A_i is an attribute name

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Aggregate Operation – Example Relation r: Α В С 7 r r 7 S 3 S S 10 S SELECT SUM(c) FROM r; sum(c) $g_{sum(c)}(r);$ 27 @ SKG 9/9/2020 59

Aggregate Operation – Example

* Relation account:

branch-name	account-number	balance
Perryridge	A-102	400
Perryridge	A-201	900
Brighton	A-217	750
Brighton	A-215	750
Redwood	A-222	700

Query: Find the minimum account balance from the account relation

g min (balance) (account);

min(balance)

SELECT MIN (balance) FROM account;

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Aggregate Operation - Example branch-name account-number balance Relation account: Perryridge A-102 400 Perryridge A-201 900 Brighton A-217 750 **Brighton** A-215 750 Redwood A-222 700 Query: Find the number of account holder present in the bank count (branch-name) 9 count (branch-name) (account); **SELECT COUNT (branch-name) FROM account;** distinct count (branch-name) 9 distinct-count (branch-name) (account); SELECT COUNT(DISTINCT branch-name) FROM account; @ SKG 9/9/2020 61

Aggregate Operation – Example Relation account grouped by branch-name: branch-name account-number balance Perryridge 400 A-102 Perryridge A-201 900 Brighton A-217 750 Brighton A-215 750 Redwood A-222 700 Query: Find the total balance of each branch $g_{sum(balance)}(account);$ branch-name sum (balance) Perryridge 1300 Brighton 1500 branch-name 9 sum(balance) (account); Redwood 700 SELECT branch-name, SUM (balance) FROM account GROUP BY branch-name;

Aggregate Functions: Renaming

- Result of aggregation does not have a name
 - > Can use rename operation to give it a name
 - For convenience, we permit renaming as part of aggregate operation

branch-name 9 sum(balance) as sum-balance(account);

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Outer Join

- An extension of the join operation that avoids loss of information
- Computes the join and then adds tuples form one relation that do not match tuples in the other relation to the result of the join.
- Uses null values:
 - null signifies that the value is unknown or does not exist
 - All comparisons involving *null* are (roughly speaking) false by definition.
 - □ Will study precise meaning of comparisons with nulls later

Outer Join - Example

* Relation loan

loan-number	branch-name	amount
L-170	Downtown	3000
L-230	Redwood	4000
L-260	Perryridge	1700

Relation borrower

customer-name	loan-number
Jones	L-170
Smith	L-230
Hayes	L-155

Natural Join
loan

Ioan 🔀 Borrower

loan-number	branch-name	amount	customer-name
L-170	Downtown	3000	Jones
L-230	Redwood	4000	Smith

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Outer Join - Example

* Relation loan

loan-number	branch-name	amount
L-170	Downtown	3000
L-230	Redwood	4000
L-260	Perryridge	1700

* Relation borrower

customer-name	loan-number	
Jones	L-170	
Smith	L-230	
Hayes	L-155	

Left Outer Join

loan <u></u>⊠Borrower

loan-number	branch-name	amount	customer-name
L-170	Downtown	3000	Jones
L-230	Redwood	4000	Smith
L-260	Perryridge	1700	null

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Outer Join - Example

* Relation loan

loan-number	branch-name	amount
L-170	Downtown	3000
L-230	Redwood	4000
L-260	Perryridge	1700

Relation borrower

customer-name	loan-number
Jones	L-170
Smith	L-230
Hayes	L-155

□ Right Outer Join

loan ⋈_ Borrower

loan-number	branch-name	amount	customer-name
L-170	Downtown	3000	Jones
L-230	Redwood	4000	Smith
L-155	null	null	Hayes

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Outer Join - Example

* Relation loan

loan-number	branch-name	amount
L-170	Downtown	3000
L-230	Redwood	4000
L-260	Perryridge	1700

Relation borrower

customer-name	loan-number	
Jones	L-170	
Smith	L-230	
Hayes	L-155	

■ Full Outer Join

loan ⋈ borrower

loan-number	branch-name	amount	customer-name
L-170	Downtown	3000	Jones
L-230	Redwood	4000	Smith
L-260	Perryridge	1700	null
L-155	null	null	Hayes

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Modification of the Database

- The content of the database may be modified using the following operations:
 - Deletion
 - Insertion
 - Updating
- All these operations are expressed using the assignment operator

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Deletion

- A delete request is expressed similarly to a query, except instead of displaying tuples to the user, the selected tuples are removed from the database
- Can delete only whole tuples; cannot delete values on only particular attributes
- * A deletion is expressed in relational algebra by:

$$r \leftarrow r - E$$

where r is a relation and E is a relational algebra query

Deletion Examples

· Relation loan

loan-number	branch-name	amount
L-170	Downtown	3000
L-230	Redwood	4000
L-260	Perryridge	1700

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Delete all loan records in the Perryridge branch

 $loan \leftarrow loan - \sigma_{branch-name} = "Perryridge" (loan);$

DELETE FROM loan WHERE branch-name='Perryridge';

■ Delete all loan records with amount in the range of 0 to 50

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Deletion Examples

- □ Let us consider two relational schema:
 - branch(<u>branch-name</u>,branch-city,assets);
 - account (account-number, branch-name, balance);
- ■Delete all accounts at branches located in Needham.

Insertion

- □ To insert data into a relation, we either:
 - specify a tuple to be inserted
 - write a query whose result is a set of tuples to be inserted
- □ in relational algebra, an insertion is expressed by:

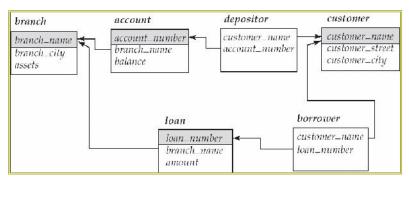
$$r \leftarrow r \cup E$$

where r is a relation and E is a relational algebra expression.

 \Box The insertion of a single tuple is expressed by letting E be a constant relation containing one tuple.

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□ Let us consider this schema diagram



Insertion Examples

*account (account-number, branch-name, balance);

□ Insert information in the database specifying that Smith has \$1200 in account A-973 at the Perryridge branch

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account ← account ∪ {(A-973, "Perryridge", 1200)};
```

□ Insert information in the database specifying that Smith has deposited \$500 in account A-973 at the Perryridge branch

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Insertion Examples

□Suppose, a new savings account has been created with the loan number serve as the account number for the new savings account. Provide as a gift for all loan customers in the Perryridge branch, a \$200, in their new savings account

Updating

- □ A mechanism to change a value in a tuple without charging *all* values in the tuple
- Use the generalized projection operator to do this task

$$r \leftarrow \prod_{F1, F2, ..., Fl,} (r)$$

- \square Each F_i is either
 - the ith attribute of r, if the ith attribute is not updated, or,
 - if the attribute is to be updated F_i is an expression, involving only constants and the attributes of r, which gives the new value for the attribute

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Update Examples

account (account-number, branch-name, balance);

- □ Make interest payments by increasing all balances by 5 percent. $account \leftarrow \prod_{account-number, branch-name, balance * 1.05} (account)$
- Pay all accounts with balances over \$10,000, 6 percent interest and pay all others 5 percent

